Invasive fungal infections in Chile: a multicenter study of fungal prevalence and susceptibility during a 1-year period

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> During the first year of an ongoing surveillance program of invasive fungal infections (IFI) a total of 130 patients (56% male) with fungal strains isolated from blood and other sterile sites were reported from 13 hospitals in Chile. Significant yeast isolates were obtained from 118 patients, and molds affected 12 patients. The main patient groups affected were neonates, children less than 1 year old and adults aged 50–79 years. All fungal bloodstream infections (BSI) were due to yeasts; 79 patients (61%) were affected. The main risk factors recorded were antibiotic therapy (76%), stay in the intensive care unit (ICU) (70%) and presence of a central venous catheter (65%). Nosocomial infections were represented in 83.5% of BSI. Overall, Candida albicans (40.8%), C. parapsilosis (13.1%), C. tropicalis (10%) and Cryptococcus neoformans (10%) were the most common species. Aspergillus fumigatus (3.1%) was the most frequent mold. C. albicans (48.1%) and C. parapsilosis (17.7%), were the most frequent agents recovered from blood. Saccharomyces cerevisiae and Trichosporon mucoides, two emerging pathogens, were also isolated. All yeasts tested were susceptible to amphotericin B with minimal inhibitory concentration (MIC) $\leq 1 \mu g/ml$. Resistance to itraconazole $(MIC \ge 1 \ \mu g/ml)$ and fluconazole $(MIC \ge 64 \ \mu g/ml)$ was observed in 4 and 6% of cases, respectively. C. glabrata was the least susceptible species, with 50% of isolates resistant to itraconazole and 33% resistant to fluconazole, with one strain showing combined resistance. Reduction of BSI requires greater adherence to hand-washing and related infection control guidelines.

> **Keywords** invasive fungal infections, bloodstream infections, susceptibility, Chilean surveillance program

Introduction

The incidence of invasive fungal infections (IFI), mainly candidemia, has increased significantly during

the past 20 years; immunocompromised and intensive care unit (ICU) patients are the main groups involved in this increase [1,2]. *Candida* species are the most

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common opportunistic fungi causing IFI. Nosocomial fungemia rates in the United States were shown in recent surveys to have increased almost fourfold, with candidemia accounting for approximately 10-12% of nosocomial infections among ICU patients, and 8% of all hospital-acquired bloodstream infections (BSI) [3-5]. The proportion of opportunistic infections formed by nosocomial candidemia also increased in pediatric hospitals from 11% in 1989 to 23% in 1993 [6]. In Chile, Candida albicans ranks as the fourth most common agent of pediatric nosocomial BSI and as the most common agent of bloodstream infections related to parenteral nutrition [7]. Pneumonia caused by species of Aspergillus was one of several deep mold infections that occurred with increased frequency [5,8]. In the face of such an emergent problem, development of an organized surveillance program appeared essential to allow the collection and analysis of reliable information on prevalences, antifungal resistance trends, and emerging pathogens [5,9]. Several studies have documented trends in species distribution and antifungal susceptibility, and both these factors varied markedly among different countries and time periods [9–17]. In general, though, these studies show a mild increase in the proportion of candidemia due to non-C. albicans species, as well as the emergence of *Candida glabrata* and C. parapsilosis as important agents of BSI in recent years. The present report documents the findings of a Chilean multicenter surveillance program for all fungi isolated from blood and other normally sterile sites. Here we present the species prevalences of yeasts and molds isolated during the first year of surveillance, as well as the antifungal susceptibility profiles of the isolates.

Materials and methods

Study design

The Chilean surveillance program for IFI was established in March 2000. Its aim was to obtain up-to-date information on the prevalence of fungal opportunists and on antifungal susceptibility patterns of strains isolated from blood and other normally sterile sites. It involved 13 hospital laboratories (nine from Santiago and four from other Chilean provinces). Epidemiological and relevant clinical data were recorded on a standard form that included space for recording age, sex, site of infection, concomitant diseases, predisposing factors, the hospital and service area involved, occurrence of a positive clinical sample and identification of a nosocomial infection. All isolates were stored on Sabouraud glucose agar and sent to the Medical Mycology Laboratory of the Medical School, University of Chile, Santiago, for characterization and reference-level susceptibility testing. Data reported here were collected from March 2000 to March 2001 and results represent the species identification of the first etiologically significant strain obtained from a given patient.

Organism identification

Mold strains were identified by culture and microscopic morphology on potato dextrose agar and Candida species were characterized by germ tube analysis, morphological evaluation on cornmeal-Tween 80 agar and carbohydrate assimilation tests, supplemented, where necessary, with tests for growth at several temperatures, ascospore production and urease activity [18,19]. Yeast isolates were stored in drying gelatin disk at 4°C, according to Silva et al. [20]. In brief, 1 ml of inoculum at a density equal to the No. 3 McFarland standard was transferred to 1 ml 20% dissolved gelatin (Difco Laboratories, Detroit, MI) at pH 7.2. Immediately, drops ($\sim 50 \mu$ l) of the inoculum-impregnated gelatin were spotted onto a base of solid paraffin in a Petri dish and were incubated in the presence of silica gel at room temperature for 48 h. The dehydrated gelatin discs so produced were stored in tubes containing silica gel and cotton at 4°C.

Susceptibility testing

Antifungal susceptibility testing of Candida species and Cryptococcus neoformans strains was performed by a broth microdilution method following NCCLS recommendations [21], using RPMI 1640 (Sigma Aldrich, Milwaukee, WI) broth supplemented with 2% glucose. Visually read minimal inhibitory concentrations (MIC) were confirmed spectrophotometrically at 540 nm after 48 h incubation at 35°C, using broth inocula without antifungal agents as controls. For amphotericin B, the MIC was defined as the lowest drug concentration that gave an optical density (OD)-based reduction in turbidity of $\geq 90\%$ compared to a control. For azoles, the MIC was defined as a reduction of $\geq 50\%$ [22,23]. Amphotericin B was obtained from Bristol-Myers Squibb (Wallingford, CN) fluconazole from Pfizer (New York, NY) and itraconazole from Janssen Pharma (Beerse, Belgium). Quality control was performed by testing C. parapsilosis ATCC 22019 (American Type Culture Collection, Manassas, VA) and Candida krusei ATCC 6258 [24]. Interpretative susceptibility criteria for fluconazole and itraconazole were published by NCCLS [21] and Rex et al. [25].

Statistical analyses

The Epi Info 6.0 (Centers for Disease Control and Prevention and World Health Organization) statistical program was used. Relationships between proportions were analyzed by chi-squared tests and a *P*-value of less than 0.05 was used to determine statistical significance.

Results

During the 12-month study period, 130 patients (56%) male and 44% female) with IFI were reported by the 13 participating hospitals (Table 1). Fungi were recovered from blood (79 patients), bronchoalveolar lavage (18 patients), cerebrospinal fluid (11 patients), brain, kidney, liver or eye tissues (eight patients), abdominal fluid (six patients), and other sterile sites (eight patients). Questionnaires were completed for 114 patients with ages ranging from 10 days to 91 years. Of these 114 patients, 41 were children, of which 13 were newborns and 18 were non-neonates less than 1 year old. The mean and median ages of the 73 adult patients were 56 and 62 years, respectively, and the most commonly affected age class was patients between 50 and 79 years old. HIV (19%), lung disease (18%), surgery (15%) and prematurity (11%) were the most common underlying diseases. Of 79 patients with BSI, 51% were male (data not shown) and the most frequent predisposing factors were antibacterial therapy (76%), stay in the ICU (70%), central venous catheters (65%), mechanical ventilation (42%), urinary catheters (35%) and parenteral nutrition (24%). A total of 72 (63%) of these 114 infections were considered nosocomial, and 66 (85.5%) of 79 BSI were nosocomial (data not shown).

The distribution of species in 130 strains analyzed is shown in Table 2. Overall, 118 cases were due to yeasts and 12 were due to mold. The main species involved were *C. albicans* 53 (40.8%), followed by *C. parapsilosis* 17 (13.1%), *C. tropicalis* 13 (10%) and *C. neoformans* 13 (10%). Among 12 strains of filamentous fungi, four were identified as *Aspergillus fumigatus*, two as *A. flavus*, two as *Fusarium solani* and the remaining four as *A. niger, Fusarium semitectum* (current name: *Fusarium incarnatum*), *Exophiala jeanselmei* and *Rhizopus oryzae*. The *Fusarium* strains were isolated from eye tissues and all other molds were isolated from broncheoalveolar lavage, except one *A. fumigatus* strain that was recovered from a brain biopsy.

The species most frequently isolated from blood were *C. albicans* with 38 isolates (48.1%), *C. parapsilosis* with 14 (17.7%), and *C. tropicalis* with 11 (13.9%).

 Table 1
 Clinical data for patients with invasive mycosis in 13

 Chilean centres surveyed from the year 2000–2001

Characteristic	No. (%) patients
$\overline{\text{Sex} (n = 130)}$	
Male	73 (56)
Female	57 (44)
Sites of isolation $(n = 130)$	
Blood	79 (61)
Bronchoalveolar lavage fluid	18 (14)
Cerebrospinal fluid	11 (8)
Tissue (brain, kidney, liver, eye)	8 (6)
Abdominal fluid	6 (5)
Other sterile sites	8 (6)
Ages of pediatric patients ($n = 41/114$	(years)
Newborn	13 (32)
< 1	18 (44)
2-5	3 (7)
6-10	2 (5)
11-15	5 (12)
Ages of adult patients $(n = 73/114)$ (y	vears)
20-29	6 (8)
30-39	8 (11)
40-49	8 (11)
50-59	13 (18)
60-69	16 (22)
70-79	13 (18)
80-89 > 90	8 (11) 1 (1)
	1 (1)
Underlying condition $(n = 114)$	22 (10)
HIV	22 (19)
Lung disease	20 (18)
Surgery Prematurity	17 (15) 13 (11)
Malignant disease	10 (9)
Splenic disease	9 (8)
Diabetes mellitus	7 (6)
Renal insufficiency	7 (6)
Leukemia	6 (5)
Organ transplant	3 (3)
Predisposing factors for BSI $(n = 79)$	
Antibacterial therapy	60 (76)
Stay in ICU	55 (70)
Central venous catheter	51 (65)
Mechanical ventilation	33 (42)
Urinary catheter	28 (35)
Parenteral nutrition	19 (24)
Chemotherapy	16 (20)
Neutropenia	10 (13)
Dialysis	7 (9)
Nosocomial infections $(n = 114)$	
Yes	72 (63)
No	32 (28)
Not determined	10 (9)

BSI, bloodstream infections; ICU, intensive care unit.

Apart from *Candida* species, other yeasts isolated from blood were two isolates of *C. neoformans*, two of

Species	Blood isolates $(n = 79)$ No. (%)	All isolates $(n = 130)$ No. (%)	Number of cases positive for fungal filaments in direct microscopy (molds only)
			meroscopy (molds only)
Candida albicans	38 (48.1)	53 (40.8)	
Candida parapsilosis	14 (17.7)	17 (13.1)	
Candida tropicalis	11 (13.9)	13 (10.0)	
Cryptococcus neoformans	2 (2.5)	13 (10.0)*	
Candida glabrata	3 (3.8)	8 (6.1)	
Candida famata	5 (6.3)	5 (3.8)	
Aspergillus fumigatus		4 (3.1)	3‡
Aspergillus flavus		2 (1.5)	1‡
Saccharomyces cerevisiae	2 (2.5)	2 (1.5)	
Fusarium solani		2 (1.5)	2
Candida kefyr	1 (1.3)	1 (0.8)	
Candida krusei	1 (1.3)	1 (0.8)	
Trichosporon mucoides	1 (1.3)	1 (0.8)	
Aspergillus niger		1 (0.8)	1
Fusarium incarnatum		1 (0.8)	1
Exophiala jeanselmei		1 (0.8)	1
Rhizopus oryzae		1 (0.8)	1
Candida guilliermondii		1 (0.8)	
Candida lusitaniae		1 (0.8)	
Other yeasts [†]	1 (1.3)	2 (1.5)	

Table 2 Species distribution of 130 fungal isolates causing invasive mycosis in Chilean centres

*Eleven were isolated from CSF. †One isolate was identified only as *Candida* sp. and another as *Cryptococcus* sp. ‡Accepted cases lacking positive direct microscopy results were from BAL; in these cases, direct microscopy was not done but the strains recorded were the only molds recovered in four tubes from each sample. Other clinical factors were also consistent with infection.

Saccharomyces cerevisiae and one of Trichosporon mucoides.

In-vitro susceptibility results for 125 isolates (the second strain from some patients were included) tested against amphotericin B, fluconazole and itraconazole are shown in Table 3. All isolates were susceptible to amphotericin B with MIC₉₀ $\leq 1 \mu g/ml$. Azoles were highly active: 89% of isolates were susceptible to fluconazole and only 6% resistant, while 84% were susceptible to itraconazole and only 4% resistant. For fluconazole the MIC range was 0.25 to 128 µg/ml and the MIC₉₀ was 16 μ g/ml. The itraconazole MIC range was 0.03 to 16 μ g/ml and the MIC₉₀ was 0.5 μ g/ml. The pattern of susceptibility shown in relation to the two azoles varied among the species tested. C. albicans, C. parapsilosis and C. neoformans showed an MIC₉₀ of ≤ 8 µg/ml to fluconazole, and 97, 96 and 91% of isolates, respectively, were susceptible. No resistance was found. C. tropicalis, by contrast had an MIC_{90} of 128 µg/ml to fluconazole; 87% of isolates were susceptible and 7% resistant. C. glabrata was the least susceptible species to fluconazole with a MIC_{90} of 128 μ g/ml and with 50% susceptible isolates and 33% resistant. The sole C. krusei isolate was resistant to fluconazole. No C. albicans, C. parapsilosis, C. tropicalis or C. neoformans isolates were resistant to itraconazole, and 98, 96, 80 and 73%, respectively, were susceptible. *C. famata* had an itraconazole MIC₉₀ of 1 μ g/ml with 33% of isolates resistant. *C. glabrata* exposed to itraconazole showed an MIC₉₀ of 16 μ g/ml with 50% of isolates resistant. One isolate of *C. glabrata* was resistant to both azoles.

Discussion

The majority of serious fungal infections affecting our 130 patients were BSI (61%), a situation similar to that reported by other studies on IFI [4,5,16]. The finding that the most common underlying diseases were HIV infection, lung disease and surgery is in agreement with the results of Rees *et al.* in the San Francisco area [5]. The predisposing factors summarized above are comparable to those observed by others [4,6,7,12,13,16,17]. Among these, antibacterial therapy, ICU stay, and presence of a central venous catheter were the factors most commonly signaled in our patients. The relatively small number of patients with filamentous fungal infection and the predominance among these of *A. fumigatus* infections is also in agreement with other findings [5,8].

Our finding that *C. albicans* made up 48.1% of BSI is in accordance with several reports that document a prevalence near 50% for this species in BSI

Species (n)	Antifungal agents	Antifungal agents MIC (µg/ml)		
	Range	50%	90%	
Candida albicans (59)				
Amphotericin B	0.03 - 1	0.06	1	100 - 0
Fluconazole	0.25-16	0.25	1	97-0
Itraconazole	0.03 - 0.5	0.03	0.06	98-0
C. famata (6)				
Amphotericin B	0.03-0.13	0.03	0.13	100 - 0
Fluconazole	8-16	8	16	50-0
Itraconazole	0.5 - 1	0.5	1	0-33
C. glabrata (6)				
Amphotericin B	0.06 - 1	0.5	1	100 - 0
Fluconazole	0.25-128	8	128	50-33
Itraconazole	0.03-16	0.5	16	33-50
C. parapsilosis (23)				
Amphotericin B	0.03 - 1	0.13	0.25	100 - 0
Fluconazole	0.25-128	1	4	96-4
Itraconazole	0.03-0.25	0.03	0.13	96-0
C. tropicalis (15)				
Amphotericin B	0.03 - 1	0.06	1	100 - 0
Fluconazole	0.25 - 128	0.5	128	87-7
Itraconazole	0.03 - 0.5	0.06	0.5	80-0
Cryptococcus neoformans (11)				
Amphotericin B	0.13 - 0.5	0.25	0.5	100 - 0
Fluconazole	0.5-16	2	8	91-0
Itraconazole	0.03 - 0.5	0.13	0.25	73-0
All yeasts* (125)				
Amphotericin B	0.03 - 1	0.13	1	100 - 0
Fluconazole	0.25-128	0.5	16	89-6
Itraconazole	0.03-16	0.03	0.5	84-4

Table 3 In-vitro susceptibility patterns to amphotericin B, fluconazole and itraconazole for yeasts from invasive infections

S, susceptible; R, resistant. *Some second strains isolated from the same patients were included; data were thus incorporated for two *C*. *lusitaniae*, one *C*. *guilliermondii*, and one *C*. *kefyr*, as well as one *C*. *krusei* that was resistant to fluconazole with an MIC of $\ge 64 \mu g/ml$.

[6,9,14,16,22,26], but differs from other reports showing frequencies over 60% [13,15] and less than 35% [10]. That the most common non-C. albicans species from blood was C. parapsilosis also agrees with other studies [6,9,11,13]. In Brazil and Argentina, however, C. parapsilosis was reported to occur with a frequency equal to that of C. tropicalis [10,14]. Between 1997 and 1998 an increased incidence of C. parapsilosis was reported in the US, concomitant with a decline in Canada and Latin America [26]. The authors did not provide an explanation for this. Candidemia caused by C. parapsilosis may be influenced by several factors: this is a pathogen associated with intravascular devices, and it is therefore a common cause of nosocomial infection clusters related to poor catheter care, hyperalimentation, contaminated solutions and biomedical devices, and poor adherence to infection control especially hand-washing [11,12,17,26]. practices, In a recent study, we documented C. parapsilosis

colonization of the hands of medical students and showed that the prevalence, diversity and quantity of yeasts isolated increased according to the number of years these students had studied [27]. The high frequency of *C. parapsilosis* on hands suggests that exogenous transmission is important. To reduce the BSI, it appears necessary to remind practitioners about basic medical hygiene and to improve infection control practices.

Our study showed that candidemia caused by *C. glabrata* and *C. krusei* was uncommon, as has previously been observed in Spain [13]. These results differ from those reported from Norway, the US and Canada, where *C. glabrata* was found to be the most common non-*C. albicans* species causing candidemia [15,16,26]. This difference in incidence can be explained in part by the widespread use of azoles in the US, a practice that may exert selective pressure towards an increase in incidence of the less susceptible species, as has been documented for *C. glabrata* [16]. More

epidemiological and clinical research is required in order to identify local risk factors for candidemia. Such information is required for the design of specific preventive measures.

We identified *S. cerevisiae* and *T. mucoides* from blood. Both species have been reported as emerging agents of fungemia [28–30]. All *C. neoformans* strains isolated were from HIV patients. These strains mainly caused meningitis, though two cases of cryptococcal sepsis were also seen. This population clearly remains the group most susceptible to *C. neoformans* infection [5].

The susceptibility of all isolates to amphotericin B is similar results documented in many other areas [9,15,22,26], but differs from what was observed in Québec, Canada, by St-Germain *et al.* [16]. For all isolates tested against fluconazole and itraconazole, the MIC₉₀ was susceptible-dose-dependent; however, our collection of yeast BSI strains was highly susceptible to both drugs. These results again are similar to those obtained elsewhere [9,10,14,16,26]. For the first time in Chile, one *C. glabrata* strain was identified that demonstrated co-resistance to both antifungals.

Six strains of *C. famata* were isolated from five patients over a short time in just one of the centers, suggesting a possible outbreak. These strains had relatively low levels of susceptibility to azoles, especially to itraconazole.

Other than *C. krusei* and *C. glabrata*, isolates showing *in-vitro* resistance to fluconazole made up low proportions, 7 and 4% respectively, of the *C. tropicalis* and *C. parapsilosis* isolates tested. Some previous authors also found no resistance in these species [26], but others obtained *C. parapsilosis* or *C. tropicalis* isolates with MICs \geq 16 µg/ml to fluconazole [15,16]. A recent Sentry survey from 1997 to 1999 documented a trend in decreased fluconazole susceptibility, where resistant strains of *C. tropicalis* increased from 0 to 3%. However, *C. glabrata* isolates susceptible to fluconazole increased from 48 to 84% [9].

At present, it appears that resistance to antifungal drugs is rare in Chile and restricted mainly to *C. glabrata*. This preliminary information on prevalence and susceptibility of fungal strains isolated from IFI in Chile raises concerns regarding sources of infections that can be only answered by continued surveillance.

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