The early origins hypothesis with an emphasis on growth rate in the first year of life and asthma: a prospective study in Chile

R J Rona, N C Smeeton, P Bustos, H Amigo, P V Diaz

Background: There is uncertainty about the impact of the programming hypothesis in terms of nutritional status at birth, rate of growth in the first year of life, length of gestation, breast feeding, and episodes of illness on asthma. An analysis was therefore carried out to test this hypothesis.

Methods: Data were collected on 1232 children born between 1974 and 1978 in a semi-rural area of Chile. Measurements at birth and growth in the first year of life were obtained from a birth registry and clinical notes. Information on asthma was collected using the European Community Respiratory Health Survey questionnaire. Sensitisation to eight allergens and bronchial hyperresponsiveness (BHR) to methacholine were determined. All other information was obtained using a questionnaire. Polytomous logistic analyses were carried out to explore the association of factors at birth and during the first year of life with asthma symptoms, atopy, and BHR.

Results: Weight and length gain in the first year were positively associated with wheeze (odds ratio (OR) 1.004, 95% CI 1.001 to 1.007 and OR 1.11, 95% CI 0.98 to 1.25, respectively). A higher body mass index (BMI) at birth was protective in subjects reporting both wheeze and waking with breathlessness (OR 0.54, 95% CI 0.35 to 0.84). Length rate in tertiles divided by length at birth in tertiles was related to asthma symptoms (OR 1.68, 95% CI 1.19 to 2.37). Most other assessments were not associated with asthma.

Conclusion: These results show promising but inconclusive evidence that a rapid rate of growth in length, especially in newborn infants of low length, might be involved in the aetiology of asthma.

Susceptibility to asthma and poor lung function have been linked to events and processes in newborn infants and the first year of life. Although there is consistency in several studies on the positive association between birth weight and lung function, there is less agreement on the association between birth weight and asthma. Some studies have shown a negative association, while others have shown no association or a positive association. Studies have also reported a similar type of association between asthma in relation to gestational age, breast feeding, and infections in the first year of life, but all these associations have been contested. The published results are not consistent, and the fact that the majority of papers have reported positive results may have been due to publication bias or researchers submitting papers only on those analyses showing a significant association. Lack of consistency has also characterised analysis of head circumference at birth in relation to IgE and asthma.

With one exception, most studies have been based in developing countries and the findings may not correspond to those from less economically developed countries. One of the main issues of programming is related to undernutrition and poor environmental circumstances in the mother, the fetus, and the infant. It would therefore be more relevant to investigate this hypothesis with data from appropriate settings.

We studied asthma symptoms, sensitisation, and bronchial hyperresponsiveness (BHR) to methacholine in a population of young adults in a semi-rural area in Chile. We also had access to information about birth weight, length at birth, duration of breast feeding, infections in the first year of life, and rate of growth and body mass index (BMI) in the first year of life. Our aim was to assess the whole range of early life variables as possible causal factors of asthma. We were especially interested in assessing the association between growth in the first year and asthma. This has not been reported previously since it is unusual to have this type of information in a large data set.

METHODS

Sample

This was a non-concurrent prospective study as the survey was carried out between January 2001 and April 2003, and additional information collected at birth and in the first year of life was available in a registry and clinical notes. A sampling frame based on all births in the Hospital of Limache in Chile between 1974 and 1978 (5 years) was used for randomly selecting 1232 subjects. Those who could not be included in the study because of death (3.2%), emigration (11.3%), excluding reasons such as custodial sentence, disability or lactation (3.3%) and unwillingness to participate (7%) were randomly replaced using the same sampling frame. This strategy ensured that those participating in the survey would have information recorded at birth and were likely to have clinical information regarding the first year of life.

Limache is an agricultural area of approximately 50 000 inhabitants. Many of its products are exported but, despite its favourable economic position, poverty breeding corresponds to the median for Chile. We chose Limache for our study because of the high quality birth and medical records in the first year of life and the low emigration rates in this population.

The ethics committee of the Faculty of Medicine of the University of Chile approved the study.
Assessments

Participants completed the Spanish version of the European Community Respiratory Health Survey (ECRHS) questionnaire adapted for use in Chile. Skin test sensitisations to cat fur, dog hair, cockroach, Dermatophagoides pteronyssinus, Alternaria alternata, and blends of grass pollens, trees, and weeds and shrubs common in Chile were assessed (samples obtained from Allergy Therapeutics). A weal size of at least 3 mm was considered positive in this analysis and this was unadjusted for histamine control following advice. BHR challenge to methacholine was assessed using the tidal breathing methodology. Increasing concentrations of 0.5, 1.00, 4.00, 8.00 and 16 mg/ml were used with a Hudson nebuliser at a flow rate of 0.13 l/min over a period of 2 minutes. Forced expiratory volume in 1 second (FEV1) was measured using a Vitalograph 2120 and software Spirotrac IV following the American Thoracic Society (ATS) norms. A decrease in FEV1 of 20% compared with baseline FEV1 at any concentration up to 16 mg/ml (PC20) was considered a positive BHR. Participants were advised not to smoke or take asthma relievers and preventives before the test for at least 1 and 6 hours, respectively. Subjects with an expected FEV1 below 70% of the predicted value and those with a heart condition, epilepsy, current pregnancy, or who were breast feeding were excluded. The tests and measurements were carried out in a health setting with ready access to medical facilities. Three university nurses trained for the study administered the questionnaire and carried out the tests.

Information was also collected on current smoking, number of household possessions, car ownership, and number of brothers and sisters. The possessions included were a gas fuelled water heating device, refrigerator, washing machine, and microwave oven. BMI was calculated based on height and weight measurements. The issue of parental asthma was not covered in the questionnaire.

The information collected from the birth registry and clinical notes in the first year of life were birth weight, length at birth, length of pregnancy, and duration of breast feeding. Weight and length at the age of 12 months were used and, if unavailable, measurements taken at 10 or 11 months were used and corrected to age 12 months using UK reference values. Midwives measured weight and length at birth following norms published by the Ministry of Health in 1973. The norms describe the equipment and advise staff to adjust for the weight of any garment put on the scales to protect the baby. The measurement techniques were illustrated and summarily explained. Gestational age was estimated from the first day of last normal menstruation period (LNMP). We calculated BMI at birth and at 1 year.

Statistical analysis

The main analyses were carried out using polytomous logistic regression. This method is an extension of multiple logistic regression and allows the dependent variable to have more than two categories. The rationale for using this method was that, for subjects with a manifestation of asthma, those with only a single asthma symptom need to be considered separately from those who have asthma symptoms, positive BHR, and sensitisation to at least one tested allergen. The polytomous logistic regression method allowed us to combine two characteristics (for example, asthma symptoms and positive BHR) to assess associations with the independent variables for each characteristic separately and together. Three main polytomous analyses were carried out: one in which the characteristics representing asthma symptoms were wheeze in the last 12 months and waking with breathlessness at night; another in which wheeze or waking with breathlessness at night in the last 12 months and positive atopy or BHR were combined; and a third in which atopy and BHR were considered together. This approach covered all possible combinations of asthma symptoms, positive BHR, and sensitisation. This allowed us to determine whether an association was statistically significant for participants with a specific characteristic only or whether it was consistent across the spectrum of asthma. In the first column of results in the tables, individuals positive on one of the characteristics considered are compared with those who are negative on both. The second column relates similarly to the other characteristic. In the third column, individuals positive to the two characteristics are compared with those negative on both. We used as independent variables those described above, apart from respiratory and gastrointestinal episodes of disease in the first year. As height and weight at birth are highly associated, we carried out separate analyses for these two variables. The results in which birth weight and weight gain in the first year of life were included as independent factors are shown in the tables. We adjusted the association of early life variables and the polytomous dependent variables for sex, the socioeconomic factors explained above, current smoking, adult BMI, and number of sisters and brothers. The analysis was performed using the mlogit command in Stata Version 8.0 (Stata Corporation, College Station, TX, USA, 2003).

We also examined the hypothesis that the combination of low birth weight and rapid increase in weight in the first year may be related to asthma. The length of the baby was examined in the same way. Ratios of the tertile of the rate of increase in weight divided by the tertile of birth weight (weight ratio) and tertile of the rate of increase in length ratio divided by the tertile of birth length (length ratio) were used in separate analyses. Thus, an infant could fall into one of three categories of length or weight in the first year of life and one of three categories of weight or length at birth.

As there was incomplete information on duration of breast feeding and length of pregnancy, these two variables were included in the analyses as categorical variables which included a ‘‘not known’’ group. Breast feeding was dichotomised using a cut off point of 1 month. This unconventional approach was taken because very few women did not breast feed for at least 1 week. Rate of growth up to the age of

<table>
<thead>
<tr>
<th>Variable</th>
<th>Men</th>
<th>Women</th>
</tr>
</thead>
<tbody>
<tr>
<td>No siblings</td>
<td>0 or 1</td>
<td>76 (13.7%)</td>
</tr>
<tr>
<td></td>
<td>2 or 3</td>
<td>174 (31.4%)</td>
</tr>
<tr>
<td></td>
<td>&gt;4</td>
<td>305 (55.0%)</td>
</tr>
<tr>
<td>Possessions*</td>
<td>0 or 1</td>
<td>76 (13.7%)</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>174 (31.4%)</td>
</tr>
<tr>
<td></td>
<td>&gt;4</td>
<td>305 (55.0%)</td>
</tr>
</tbody>
</table>
| Microwave oven, gas fuelled water heater device, washing machine, refrigerator | No of possessions* | BHR, bronchial hyperresponsiveness; BMI, body mass index; FEV1, forced expiratory volume in 1 second.

Table 1 Prevalence of each dependent variable and some independent factors in the analysis
were 130 g lighter at birth and 430 g at 1 year. Compared with UK reference values, men were shorter and lighter than men at birth and at 1 year of age average population by Chilean standards. Women were from a large sibship, and their poverty level (judged by the number of household possessions) corresponded to an very high percentage of participants were current smokers, breast fed, over half for more than 1 month. A babies were breast fed, over half for more than 1 month. A very high percentage of participants were current smokers, were from a large sibship, and their poverty level (judged by the number of household possessions) corresponded to an average population by Chilean standards. Women were shorter and lighter than men at birth and at 1 year of age (table 2). Compared with UK reference values, men were 250 g lighter at birth and 400 g lighter at 1 year and women were 130 g lighter at birth and 430 g at 1 year. Their adult BMI was high with means of 25.2 and 26.0 in men and women respectively, but their final height was low compared with Western values.

Table 3 shows the separate and joint odds ratios (ORs) of wheeze in the last 12 months and waking with breathlessness at night in the last 12 months with the independent variables. Of all the variables related to birth and the infant period of life, only weight gain in the first year was positively associated with wheeze in the last 12 months (p = 0.011). The two asthma symptoms together were negatively associated with BMI at birth (p = 0.007). Those who were breast fed for less than a month were less likely to have wheeze than those breast fed for longer than a month (p = 0.023). More women than men woke with breathlessness at night (p = 0.01). Current smoking status was associated with wheeze and the two symptoms combined (p<0.001 and 0.009, respectively). Car ownership was associated with a reduced risk of the combined symptoms (p = 0.025). The replacement of weight and weight gain for length and length rate in the analysis did not change the results (not shown). Length rate was associated with wheeze (p = 0.009). When we excluded the growth gain in the first year to enable a similar analysis in the total sample, the association between BMI at birth and wheeze disappeared and adult BMI and wheeze reached the conventional level of statistical significance (p = 0.048).

Length ratio was significantly associated with wheeze in the last 12 months in the polytomous analysis (OR 1.69 (95% CI 1.19 to 2.37), p = 0.003; table 4). The length ratio variable markedly reduced the association between length rate and wheeze, indicating that the combination of length rate tertiles divided by length birth weight tertiles was more important than length rate on its own. Length ratio was also associated with the two asthma symptoms together, albeit not reaching the conventional significance level (p = 0.093). Length at birth was associated with both symptoms together (p = 0.017). Length ratio was also found significantly associated with wheeze in the last 12 months or waking with breathlessness in the last 12 months (OR 1.74 (95% CI 1.21 to 2.50), p = 0.003; not shown).

<table>
<thead>
<tr>
<th>Table 2</th>
<th>Mean (SD) anthropometric and lung function characteristics of the sample</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Men</strong></td>
<td><strong>Women</strong></td>
</tr>
<tr>
<td>Birth weight (g)</td>
<td>3226 (491), n = 554</td>
</tr>
<tr>
<td>Length at birth (cm)</td>
<td>49.69 (2.06), n = 554</td>
</tr>
<tr>
<td>BMI at birth (kg/m²)</td>
<td>13.00 (1.34), n = 554</td>
</tr>
<tr>
<td>Height at 12 months (cm)</td>
<td>73.16 (3.18), n = 477</td>
</tr>
<tr>
<td>Weight at 12 months (kg)</td>
<td>9.71 (1.26), n = 536</td>
</tr>
<tr>
<td>BMI at 12 months (kg/m²)</td>
<td>18.09 (1.63), n = 477</td>
</tr>
<tr>
<td>Adult height (cm)</td>
<td>168.2 (6.0), n = 556</td>
</tr>
<tr>
<td>Adult BMI (kg/m²)</td>
<td>25.20 (3.60), n = 556</td>
</tr>
<tr>
<td>FEV₁ (l)</td>
<td>4.12 (0.53), n = 554</td>
</tr>
</tbody>
</table>

BMI, body mass index; FEV₁, forced expiratory volume in 1 second.

OR, odds ratio; BMI, body mass index.

Each assessment was adjusted for all the variables in the table.

Asthma symptoms in the last 12 months.

![Table 3](https://example.com/table3.png)
We looked at the associations between all the main components of asthma (asthma symptoms, atopy and BHR status) in one polytomous analysis (table 5). In such an analysis the two dependent variables were asthma symptoms, wheeze in the last 12 months or waking with breathlessness at night in the last 12 months, and atopy or BHR. Weight and BMI at birth were unrelated to the dependent variables. An unknown length of pregnancy was negatively associated with asthma symptoms and a short length of pregnancy was protective of having the two variables together. Smoking was highly associated with asthma symptoms and the two dependent variables together (p<0.001) and adult BMI was associated with asthma symptoms (p = 0.023). In the analysis in which length at birth replaced birth weight, the results were broadly similar. Length and weight gain in the analysis including only 1062 participants was positively associated with asthma symptoms (p = 0.034 and p = 0.031, respectively).

In a separate polytomous analysis we looked at the association between the more objective components of asthma—atopy to at least one allergen and BHR. None of the variables reflecting newborn and infant characteristics were associated with atopy or BHR, but those with a short length of pregnancy were less likely to have atopy, although the association did not reach the conventional significance level of p<0.05 (table 6). BHR was more prevalent in women, smokers, in those not owning a car, and in those with a low BMI. Some evidence was found that sibship was negatively associated with atopy and with BHR and atopy together. In the separate analysis with the reduced sample, weight and height gain in the first year were not associated with atopy or BHR.

There was a borderline insignificant association between length ratio and sensitisation (p = 0.086) in the unadjusted model which was not apparent after adjustment (p = 0.163). Infants with low length at birth but rapid growth tended to have a higher level of sensitisation. We did not find an association between the weight ratio and any dependent variables.

Analysis in relation to number of episodes of respiratory infections and diarrhoea in the first year and the dependent variables asthma symptoms, atopy, and BHR were all not significant (not shown). Anthropometric measurements at birth and during the first year of life were unrelated to FEV1.

**DISCUSSION**

There was some evidence that weight and length gain in the first year and BMI at birth were positively associated with wheeze in the last 12 months, and that the length ratio was positively related to wheeze in the last 12 months and waking with breathlessness in the last 12 months. We found little or no evidence that the other variables during the first year of life—such as breast feeding, length of pregnancy, diarrhoea and respiratory infections—were associated with asthma symptoms, sensitisation, and BHR.

**Strengths and weaknesses of the study**

Our results are important because we had information at birth and during the first year of life recorded at the time these events occurred, and the study was carried out in a sample born when infant malnutrition was prevalent in Chile. We are the first group to report on the rate of growth in the first year of life in relation to asthma. The data corresponding to the adult period are of high quality and are complete as the questionnaires were administered and trained fieldworkers carried out the assessments. Our strategy of analysis was to include all the independent variables, thus avoiding bias by choosing solely independent variables.
variables most likely to show a positive association with asthma. We used current measures of socioeconomic status as we believe it is more reliable, but in our data parental education level was associated with the participant’s education level. The weaknesses of our study are that we did not have data on maternal smoking during pregnancy and during the first year of life, and we did not have information on head circumference. Data on gestational age may be subject to random error that would decrease the chance of detecting an association when one could have been detected if the precision of the information was high. These limitations do not detract from the main findings of the study. We could not take into account the possible effects of immunisation on asthma because uptake is close to 100% in Chile.

**Interpretation of the results**

Our contention was that, as the rate of growth in the first year of life is a good summary measure of nutritional status and disease in infancy, if nutritional status is relevant in the aetiology of asthma it would be an ideal measure for assessing an association with respiratory illness. The participants in our study were born when infant mortality in Chile was decreasing but was still high at 50 per 1000. It is known that infants with severe malnutrition have low levels of allergic skin test response. Our findings are concordant in that a greater rate of growth was related to asthma symptoms. It seems that the intervening mechanism is a low birth weight accompanied by a rapid rate of growth. This would indicate that a reasonable nutritional status would be necessary for the development of asthma. We found a statistically insignificant relation between the ratio tertile of length rate/tertile of length at birth and atopy in the same direction and found no relation between the ratio weight and our outcome measures. Based on the rationale of our analyses, an association between the ratio tertile of length rate/tertile of length at birth and asthma symptoms and positive BHR or sensitisation would have been more compelling than results showing a significant association only with asthma symptoms. It is possible that the number of participants with both asthma symptoms and sensitisation or positive BHR was relatively small in comparison with the group in which the presence of asthma symptoms was the only requirement for being part of the group. The effect size of our results lead us to believe that the association between growth gain in infancy and asthma symptoms was sufficiently great to support a meaningful association rather than a fortuitous finding. In our analyses only current smoking behaviour showed a greater size effect in relation to asthma symptoms, and this is convincing because it was also associated with BHR and the FEV₁/FVC ratio but not with FEV₁ (not shown), which is expected in young adults.

We are convinced that the quality of the measurements taken in the paediatric clinic between 1974 and 1978 was reasonably good. We chose only one measurement—the nearest to 1 year—but a series of measurements was available for each child and the clinicians would have detected inconsistencies in the majority of the cases as the main aim of the visit was to check the nutritional progress of the baby.

BMI was assessed at birth with the same intention. A Finnish study reported a U-shaped relationship between ponderal index at birth and atopy, but it was not associated with physician diagnosed asthma. Our results are the mirror image of the Finnish study as BMI at birth was associated with the two asthma symptoms when considered together, but there was no association with atopy or each asthma symptom separately.

Oddy and Peat recommended breast feeding as a preventive measure of asthma but other researchers have failed to detect such a difference. Breast feeding was common in our sample and most mothers attempted to breast feed their children, which is why we could not compare those with and without breast feeding. Our study did not show a beneficial effect of breast feeding in relation to asthma, but we did not have information as to whether the mother was exclusively breast feeding her baby or supplementing it with other food. In a meta-analysis based on longitudinal studies it was reported that exclusive breast feeding was associated with a lower rate of asthma.

In conclusion, in a population suitable for testing the effect of nutritional components at birth and in the first year of life on asthma, we found indications that rapid growth among those with a low length at birth was associated with asthma symptoms. However, such rapid growth was only weakly related to skin sensitisation and was unrelated to BHR and FEV₁. Breast feeding and gestational age were unrelated to asthma, atopy, and BHR. This study provides possible clues that nutritional status, especially rapid growth in the first year of life, may be a factor in the aetiology of asthma.

**ACKNOWLEDGEMENTS**

The authors thank Dr E Zumelzu, Ms E Moyano, Ms E Bardian and Ms V Alvear for their dedication in collecting data for the project, and

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**Table 6** Association between variables at birth, socioeconomic factors, and smoking and BHR and atopy by polytomous regression analysis (n = 1213)*

<table>
<thead>
<tr>
<th>Variable</th>
<th>Atopy OR (95% CI), p value</th>
<th>Positive BHR OR (95% CI), p value</th>
<th>Atopy and positive BHR combined OR (95% CI), p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex (females)</td>
<td>0.82 (0.61 to 1.09), 0.162</td>
<td>2.01 (1.25 to 3.23), 0.004</td>
<td>3.01 (1.63 to 5.54), &lt;0.001</td>
</tr>
<tr>
<td>No of siblings</td>
<td>0.95 (0.89 to 1.01), 0.099</td>
<td>0.98 (0.89 to 1.08), 0.621</td>
<td>0.88 (0.77 to 1.01), 0.066</td>
</tr>
<tr>
<td>Birth weight (100 g)</td>
<td>1.02 (0.96 to 1.08), 0.559</td>
<td>1.03 (0.94 to 1.13), 0.476</td>
<td>0.94 (0.85 to 1.03), 0.267</td>
</tr>
<tr>
<td>BMI at birth (kg/m²)</td>
<td>1.03 (0.83 to 1.27), 0.796</td>
<td>1.02 (0.95 to 1.25), 0.379</td>
<td>1.02 (0.70 to 1.47), 0.292</td>
</tr>
<tr>
<td>Smoking status</td>
<td>1.05 (0.80 to 1.41), 0.684</td>
<td>1.59 (0.99 to 2.54), 0.053</td>
<td>1.26 (0.74 to 2.14), 0.404</td>
</tr>
<tr>
<td>Car ownership</td>
<td>1.01 (0.73 to 1.39), 0.965</td>
<td>0.45 (0.24 to 0.84), 0.011</td>
<td>0.76 (0.40 to 1.44), 0.394</td>
</tr>
<tr>
<td>Length of pregnancy</td>
<td>0.62 (0.36 to 1.08), 0.091</td>
<td>1.24 (0.61 to 2.51), 0.551</td>
<td>0.91 (0.39 to 2.13), 0.825</td>
</tr>
<tr>
<td>Duration of breastfeeding</td>
<td>1.13 (0.84 to 1.52), 0.428</td>
<td>0.93 (0.56 to 1.53), 0.764</td>
<td>1.09 (0.61 to 1.94), 0.781</td>
</tr>
<tr>
<td>&lt;1 month</td>
<td>0.98 (0.70 to 1.35), 0.883</td>
<td>0.80 (0.46 to 1.39), 0.428</td>
<td>1.29 (0.73 to 2.38), 0.385</td>
</tr>
<tr>
<td>Not known</td>
<td>0.87 (0.55 to 1.40), 0.575</td>
<td>1.33 (0.70 to 2.52), 0.381</td>
<td>0.79 (0.30 to 2.07), 0.625</td>
</tr>
<tr>
<td>No of household belongings</td>
<td>0.95 (0.81 to 1.10), 0.478</td>
<td>0.99 (0.78 to 1.26), 0.961</td>
<td>1.03 (0.77 to 1.38), 0.845</td>
</tr>
<tr>
<td>Adult BMI (kg/m²)</td>
<td>1.03 (1.00 to 1.06), 0.094</td>
<td>0.94 (0.89 to 0.99), 0.028</td>
<td>0.96 (0.90 to 1.02), 0.161</td>
</tr>
</tbody>
</table>

OR, odds ratio; BMI, body mass index; BHR, bronchial hyperresponsiveness.

*Each assessment was adjusted for all the variables in the table.
REFERENCES