# List Edge-Coloring and Total Coloring in Graphs of Low Treewidth 

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#### Abstract

We prove that the list chromatic index of a graph of maximum degree $\Delta$ and treewidth $\leq \sqrt{2 \Delta}-3$ is $\Delta$; and that the total chromatic number of a graph of maximum degree $\Delta$ and treewidth $\leq \Delta / 3+1$ is $\Delta+1$. This improves results by Meeks and Scott. © 2015 Wiley Periodicals, Inc. J. Graph Theory 81: 272-282, 2016


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[^0]
## 1. INTRODUCTION

We treat two common generalizations of graph coloring: list coloring and total coloring. In analogy to the chromatic number, the list chromatic number $\operatorname{ch}(G)$ of a graph $G$ is the smallest integer $k$ so that for each choice of $k$ legal colors at every vertex, there is a proper coloring that picks a legal color at every vertex. In a similar way, the list chromatic index $\mathrm{ch}^{\prime}(G)$ generalizes the chromatic index.

While the list chromatic number and chromatic number may differ widely, the same is not true for the list chromatic index and the chromatic index. No example is known where these invariants differ. Whether this is a general truth is one of the central open questions in the field of list coloring:

List edge-coloring conjecture. Equality $\mathrm{ch}^{\prime}(G)=\chi^{\prime}(G)$ holds for all graphs $G$.
The conjecture appeared for the first time in print in 1985 in [3]. But, according to Alon [1], Woodall [15], and Jensen and Toft [8], the conjecture was suggested independently by Vizing, Albertson, Collins, Erdős, Tucker, and Gupta in the late seventies. The conjecture was verified for bipartite graphs by Galvin [6].

While list coloring generalises either vertex or edge coloring, total coloring applies to both, vertices and edges. The total chromatic number $\chi^{\prime \prime}(G)$ is the smallest integer $k$ so that there is a proper vertex coloring of the graph $G$ with at most $k$ colors and at the same time a proper edge coloring with the same $k$ colors, so that no edge receives the same color as any of its end vertices. If the list edge-coloring conjecture is true an easy argument ${ }^{1}$ shows that $\chi^{\prime \prime}(G) \leq \Delta(G)+3$ for all graphs $G$. The next conjecture asserts a little more:

Total coloring conjecture. $\chi^{\prime \prime}(G) \leq \Delta(G)+2$ holds for all graphs $G$.
The conjecture has been proposed independently by Behzad [2] and Vizing [14] during the seventies. The conjecture is known to hold up to a maximum degree of 5 (see Kostochka [10]), and Molloy and Reed [13] proved that $\chi^{\prime \prime}(G) \leq \Delta(G)+10^{26}$, provided the graph $G$ has large maximum degree.

It is clear that $\mathrm{ch}^{\prime}(G)$ is bounded from below by $\Delta(G)$, the maximum degree of $G$. Also, $\chi^{\prime \prime}(G) \geq \Delta(G)+1$, since a vertex of maximum degree and its incident edges have to receive distinct colors. We show that these trivial lower bounds are already sufficient for graphs of low treewidth and high maximum degree. (The treewidth of a graph is a way to measure how much the graph resembles a tree, a proper definition is given in Section 2.) In particular, our results imply the list edge-coloring conjecture as well as the total coloring conjecture for these classes of graphs.

Theorem 1. Let $G$ be graph of treewidth $k$ and maximum degree $\Delta(G) \geq(k+3)^{2} / 2$. Then $\mathrm{ch}^{\prime}(G)=\Delta(G)$.

Theorem 2. Let $G$ be a graph of treewidth $k \geq 3$ and maximum degree $\Delta(G) \geq 3 k-3$. Then $\chi^{\prime \prime}(G)=\Delta(G)+1$.

Our proofs rely on the fact that graphs with low treewidth and a high maximum degree contain substructures that are suitable for classical coloring arguments. This method has

[^1]been used before: Zhou et al. [17] show that $\chi^{\prime}(G)=\Delta(G)$ if the graph $G$ has treewidth $\leq \frac{1}{2} \Delta(G)$; Juvan et al. [9] prove that the edges of any graph of treewidth 2 can be colored from lists of size $\Delta$; and in [11] the latter results are extended to graphs of treewidth 3 and maximum degree $\geq 7$. Finally, this approach has also been employed by Meeks and Scott [12], who prove that determining the list chromatic index as well as the list total chromatic number is fixed parameter tractable, when parameterized by treewidth. As a by-product they obtain that $\chi^{\prime \prime}(G)=\Delta(G)+1$ (and ch' $(G)=\Delta(G)$ ) for all graphs $G$ of treewidth $k$ and maximum degree $\geq(k+2) 2^{k+2}$. In Theorem 2 , we improve their exponential bound on the maximum degree to a linear bound.

Our other result, Theorem 1, is only a slight improvement of an earlier bound that follows from results of Borodin et al. [4] (see also Woodall [16]). As graphs with treewidth $k$ have maximum average degree at most $2 k$, the results from [4] imply that $\mathrm{ch}^{\prime}(G)=\Delta(G)$ for any graph of treewidth $k$ and maximum degree $\Delta(G) \geq 2 k^{2}$.

We mention, moreover, that while the list edge-coloring conjecture is usually formulated so as to cover multigraphs as well, our methods will fail if parallel edges are allowed.

The rest of the article is organized as follows. In the next section, we will prove a lemma that provides a useful substructure, if applied to a graph of low treewidth and high maximum degree. This lemma will be used for the proofs of both our main results. The last two sections are independent of each other. In Section 3, we give a proof of Theorem 1 and in Section 4 we show Theorem 2. We remark that if we replace the bound $\Delta(G) \geq 3 k-3$ in Theorem 2, with the bound $\Delta(G) \geq 3 k-1$, then Theorem 2 becomes substantially easier to prove: all after Remark 10 will be unnecessary.

## 2. A STRUCTURAL LEMMA

We follow the notation of Diestel [5]. Let us recall the definition of a tree-decomposition and of treewidth. For a graph $G$ a tree decomposition $(T, \mathcal{V})$ consists of a tree $T$ and a collection $\mathcal{V}=\left\{V_{t}: t \in V(T)\right\}$ of bags $V_{t} \subseteq V(G)$ such that

- $V(G)=\bigcup_{t \in V(T)} V_{t}$,
- for each $v w \in E(G)$ there exists a $t \in V(T)$ such that $v, w \in V_{t}$ and
- if $v \in V_{t_{1}} \cap V_{t_{2}}$ then $v \in V_{t}$ for all vertices $t$ that lie on the path connecting $t_{1}$ and $t_{2}$ in $T$.

A tree decomposition $(T, \mathcal{V})$ of a graph $G$ has width $k$ if all bags have size at most $k+1$. Note that in this case, if $t$ is a leaf in $T$, then the degree of the vertices in $V_{t} \backslash \bigcup_{t^{\prime} \neq t} V_{t^{\prime}}$ is bounded by $k$. The treewidth of $G$ is the smallest number $k$ for which there exists a width $k$ tree decomposition of $G$.

Given a tree decomposition $(T, \mathcal{V})$ of $G$, where $T$ is rooted in some vertex $r \in V(T)$, we define the height $h(t)$ of any vertex $t \in V(T)$ to be the distance from $r$ to $t$. For $v \in V(G)$ we define $t_{v}$ as the (unique) vertex of minimum height in $T$ for which $v \in V_{t_{v}}$. In particular, if $v \in V_{r}$, then $t_{v}=r$.

The proof of the following lemma can be extracted from [12]. For the sake of completeness we include a proof here.


FIGURE 1. A useful substructure.

Lemma 3. (Meeks and Scott [12]). For $\Delta_{0}, k \in \mathbb{N}$ with $\Delta_{0} \geq 2 k-1$, let $G$ be a nonempty graph of treewidth at most $k$ and

$$
\operatorname{deg}(v)+\operatorname{deg}(w) \geq \Delta_{0}+2
$$

for each edge $\nu w \in E(G)$. Then there are disjoint vertex sets $U, W \subseteq V(G)$ and a vertex $x \in U$, such that
(a) $W$ is stable with $N(W) \subseteq U$;
(b) $\operatorname{deg}(w) \leq k$ for every $w \in W$;
(c) $W \subseteq N(x) \subseteq W \cup U$; and
(d) $|U| \leq k+1$ and $|W| \geq \Delta_{0}+2-2 k$.

Proof. By the assumptions of the lemma we have

$$
\begin{equation*}
\operatorname{deg}(v)+\operatorname{deg}(w) \geq \Delta_{0}+2 \geq 2 k+1 \text { for any edge } v w . \tag{1}
\end{equation*}
$$

In particular, of any two adjacent vertices, at least one has degree at least $k+1$ (and $G$ has at least one vertex of degree at least $k+1$ ). We define $B \subseteq V(G)$ to be the (nonempty) set of vertices of degree at least $k+1$. Then $S:=V(G) \backslash B$ is stable.

Fix a width $k$ tree decomposition $(T, \mathcal{V})$ of $G$ and root the associated tree $T$ in an arbitrary vertex $r \in V(T)$. Let $x \in B$ such that $h\left(t_{x}\right)=\max _{v \in B} h\left(t_{v}\right)$. Define $T^{\prime}$ as the subtree of $T$ rooted at $t_{x}$, that is, the subgraph of $T$ induced by all vertices $t \in V(T)$ where the path from $t$ to the root $r$ contains $t_{x}$.

Set $U:=V_{t_{x}}$ and $X:=\bigcup_{t \in V\left(T^{\prime}\right)} V_{t}$. Note that $|U| \leq k+1$. We have $B \cap X \subseteq U$, since any $v \in(B \cap X) \backslash U$ would have $h\left(t_{v}\right)>h\left(t_{x}\right)$, contrary to the choice of $x$. Consequently

$$
\begin{equation*}
X \backslash U \subseteq S \tag{2}
\end{equation*}
$$

By definition of the tree decomposition, no element of $X \backslash U$ can appear in a bag indexed by a vertex $t \in V\left(T-T^{\prime}\right)$. Since $S$ is stable this gives

$$
\begin{equation*}
N(X \backslash U) \subseteq U \tag{3}
\end{equation*}
$$

By definition of $t_{x}$, also $x$ does not appear in any bag $V_{t}$ of a vertex $t \in T-T^{\prime}$. So, $N(x) \subseteq X$.

Set $W:=N(x) \backslash U$, and observe that $W$ is nonempty as the at least $k+1$ neighbors of $x$ do not all fit in $U \backslash\{x\}$, which has cardinality at most $k$. Note, moreover, that $W \subseteq X \backslash U$.

So by (2), we can guarantee (b), and by (3), we have (a). Also, assertion (c) and the first part of (d) hold.

Using the assumptions of the lemma and (b), we get

$$
\operatorname{deg}(x) \geq \Delta_{0}+2-\operatorname{deg}(w) \geq \Delta_{0}+2-k
$$

where $w$ is any vertex in $W$. Since $N(x) \subseteq U \cup W$ we obtain

$$
|W| \geq|N(x) \backslash(U \backslash\{x\})| \geq \Delta_{0}+2-2 k,
$$

which is as desired for the second part of (d).

## 3. LIST EDGE-COLORING

We define an assignment of lists for a graph $G$ as a function $L: E(G) \rightarrow \mathcal{P}(\mathbb{N})$ that maps the edges of $G$ to lists of colors $L(v)$. A function $\gamma: E(G) \rightarrow \mathbb{N}$ is called an L-edgecoloring of $G$, if $\gamma(e) \in L(e)$ for each $e \in E(G)$ and if no two edges with a common endvertex receive the same color. The list chromatic index $\mathrm{ch}^{\prime}(G)$ is the smallest integer $k$ such that for each assignment of lists $L$ to $G$, where all lists have size $k$, there is an $L$-edge-coloring of $G$.

For the remainder of this section, we suppose all bipartite graphs to have bipartition classes $U$ and $W$, unless stated otherwise.

Let $G$ be a graph with an assignment of lists $L: E(G) \rightarrow \mathcal{P}(\mathbb{N})$ to the edges of $G$. Suppose that for some stable subset $W^{\prime} \subseteq V(G)$ we can find an $L$-edge-coloring of $G-W^{\prime}$. In order to extend this to an $L$-edge-coloring of $G$ we have to color the edges of the bipartite graph $H$ induced by the edges incident with $W^{\prime}$. Note that in the coloring problem we now have for $H$, the list of each edge $v w$ with $w \in W^{\prime}$ has size of at least $\Delta-\operatorname{deg}_{G-H}(v) \geq \operatorname{deg}_{H}(v)$.

This motivates the following notion. For a bipartite graph $G$, we call a nonempty subset $C \subseteq W$ choosable, if for any assignment of lists $L$ to the edges of the induced graph $H=G[C \cup N(C)]$ with $|L(v w)| \geq d_{H}(v)$ for each edge $v w$ with $w \in C$ and $v \in N(C)$, there is an $L$-edge-coloring of $H$.

Lemma 4. Let $G$ be a (nonempty) bipartite graph with $2|W|>|U|(|U|-1)$. Then $W$ contains a choosable subset.

To prove this we will use the following refined version of Galvin's theorem:
Theorem 5. (Borodin et al. [4]). Let $G$ be a bipartite graph with an assignment of lists $L$ to the edges of $G$ such that such that $|L(v w)| \geq \max \{\operatorname{deg}(v), \operatorname{deg}(w)\}$ for each edge $\nu w \in E(G)$. Then $G$ has an L-edge-coloring.

Corollary 6. Let $G$ be a bipartite graph with $\operatorname{deg}(v) \geq \operatorname{deg}(w)$ for each edge $v w \in$ $E(G)$ with $w \in W$. Then $W$ is choosable.

Proof of Lemma 4. We proceed by induction on $k=|U|$. If $|U|=1$, then for any vertex $w \in W$ the set $\{w\}$ is choosable. Given a graph $G$ that satisfies the assumptions of the lemma and for which $|U|=k+1$, we can assume that there is a vertex $v \in U$ of degree at most $k$. Otherwise $W$ itself is choosable by Corollary 6 : Indeed, we have $\operatorname{deg}(w) \leq k+1$ for every $w \in W$ as $w$ has all its neighbors in $U$, which is of size $k+1$.

Let $W^{\prime}:=W \backslash N(v)$ and $U^{\prime}:=U \backslash\{v\}$. As $\left|U^{\prime}\right|=k$ and

$$
2\left|W^{\prime}\right|=2|W|-2|N(v)|>(k+1) k-2 k=k(k-1)
$$

the graph $G^{\prime}=G\left[U^{\prime} \cup W^{\prime}\right]$ fulfils the assumptions of the lemma. By the induction assumption $W^{\prime}$ contains a subset of vertices that is choosable with respect to $G^{\prime}$ and hence also choosable with respect to $G$.

Proof of Theorem 1. We prove the following assertion.
Let $G$ be a graph of treewidth at most $k$ with an assignment of lists $L$ to the edges of $G$, such that each list $L(v w)$ has size $\max \left\{(k+3)^{2}, \Delta(G)\right\}$. Then $G$ has an $L$-edge-coloring
Set $\Delta:=\max \left(\frac{(k+3)^{2}}{2}, \Delta(G)\right)$ and let $G$ be a counterexample to the claim with $|V(G)|+|E(G)|$ minimal. So there are lists $L(v w)$ of size $\Delta$ for each $v w \in E(G)$, such that there is no $L$-edge-coloring of $G$. Clearly, $G$ is connected and nonempty. Moreover, for every edge $v w \in E(G)$ we have

$$
\operatorname{deg}(v)+\operatorname{deg}(w) \geq \Delta+2
$$

Otherwise choose an $L$-edge-coloring of $G-v w$ by minimality and observe that $L(v w)$ retains at least one available color, which can be used to color $v w$. By Lemma 3 (with $\Delta_{0}=\Delta$ ), we know that $G$ has subsets $U, W \subseteq V(G)$, such that $|U| \leq k+1$ and

$$
|W| \geq \Delta+2-2 k \geq \frac{(k+3)^{2}}{2}+2-2 k>\frac{(k+1) k}{2}
$$

Let $H$ be the bipartite graph induced by the edges between $U$ and $W$. Then Lemma 4 provides a subset $C \subseteq W$ that is choosable with respect to $H$. By minimality there is an $L$-edge-coloring $\gamma$ of the graph $G-C$. Since $C$ is choosable, we can extend $\gamma$ to an $L$-edge-coloring of $G$. This gives the desired contradiction.

Theorem 1 is almost certainly not best possible. In the introduction we mentioned the result of Zhou et al. [17] that $\chi^{\prime}(G)=\Delta(G)$ whenever $\Delta(G)$ is at least twice the treewidth. If one believes the list edge-coloring conjecture then this indicates that in Theorem 1 a maximum degree that is linear in $k$ is already sufficient to guarantee the assertion.

One obvious way to improve the theorem would be to improve the bound on the size of $W$ in Lemma 4. That bound, however, is the best we can obtain by our simple use of Theorem 5. and its corollary. An illustration is given in the following example.

Consider the family of bipartite graphs $G_{i}$, which is constructed as follows. Let $G_{1}$ be the complete bipartite graph with two vertices in partition class $U_{1}$, and one vertex in the other class, $W_{1}$. We obtain $G_{i+1}$ from $G_{i}$ by adding one vertex to $U_{i}$, and $i$ vertices to $W_{i}$, thus obtaining $U_{i+1}$ and $W_{i+1}$. The vertices in $W_{i+1} \backslash W_{i}$ are made adjacent to all vertices in $U_{i+1}$. (Thus, the vertex in $U_{i+1} \backslash U_{i}$ is only adjacent to $W_{i+1} \backslash W_{i}$.)

From the construction it is clear that $\left|W_{i}\right|=\sum_{j=1}^{i} j$ and $\left|U_{i}\right|=i+1$. So for each $\in \mathbb{N}$, we have

$$
2\left|W_{i}\right|=2 \sum_{j=1}^{i} j=(i+1) i=|U|(|U|-1) .
$$

Moreover, we can not apply Corollary 6 to any induced bipartite subgraph $H=$ $G[C \cup N(C)]$ with $C \subseteq W_{i}$ for some $i$. To see this, let $C$ be any subset of $W_{i}$. Choose $\ell \leq i$
maximal such that there exists $w \in C \cap W_{\ell} \backslash W_{\ell-1}$. By construction of $G_{i}$, the vertex $w$ has degree $\left|U_{\ell}\right|=\ell+1$ in $H$, but any neighbor of $w$ in $U_{\ell} \backslash U_{\ell-1}$ has degree $\left|W_{\ell} \backslash W_{\ell-1}\right|=\ell$ in $H$, by the maximality of $\ell$. Thus Corollary 6 does not apply to $(C, N(C)$ ).

However, there is another version of Galvin's theorem, which can be used to show that for any $i \geq 3$, the set $W_{i}$ itself is choosable in $G_{i}$ :

Theorem 7. (Borodin et al. [4]). Let $G$ be a bipartite graph. Then $W$ is choosable if and only if $G$ has an L-edge-coloring from the lists $L^{*}(v w)=\{1, \ldots, \operatorname{deg}(u)\}$ for $v \in U$.

Let us show by induction that the graphs $G_{i}$ are colorable from the lists $L^{*}$, for $i \geq 3$. It is not hard to see that the graph $G_{3}$ (which equals $K_{3,3}-e$ ) can be colored from the lists $L^{*}$. For the graph $G_{i+1}$, consider the lists $L^{*}$ as in the above theorem. By induction, color the edges of $G_{i}$ from the smaller lists, and color the edges adjacent to $U_{i+1} \backslash U_{i}$ with $1, \ldots, i$. The remaining edges lie between $W_{i+1} \backslash W_{i}$ and $U_{i}$, spanning a complete bipartite $(i+1)$-regular graph $H$. Their lists retain a set $C_{i+1}$ of $i+1$ colors that are unused so far. So we may apply Corollary 6 to see that $W_{i+1} \backslash W_{i}$ is choosable in $H$. Thus by Theorem 7, we can color the $E(H)$ with $i+1$ colors. Substitute these colors with the ones from $C_{i+1}$, and we are done.

This suggests that the bound on the size of $|W|$ in Lemma 4 might not be optimal. Perhaps Theorem 7 could be used in general to decrease the bound on the maximum degree.

## 4. TOTAL COLORING

Most of this section is devoted to the proof of Theorem 2. The same theorem with the slightly stronger bound $\Delta(G) \geq 3 k-1$ can be shown with less effort: the reader interested in this variant may read our proof up to Remark 10 and skip everything afterwards.

We show the following assertion, which clearly implies Theorem 2 :

$$
\chi^{\prime \prime}(G) \leq \max \{\Delta(G), 3 k-3,2 k\}+1 \text { for any graph } G \text { of treewidth } \leq k
$$

Suppose this is not true, and let $G$ be an edge-minimal counterexample. Put $\Delta:=$ $\max \{\Delta(G), 3 k-3,2 k\}$. (Thus we assume $G$ cannot be totally colored with $\Delta+1$ colors, but $G-e$ can, for any edge $e$.)

Claim 8. We have $\operatorname{deg}(u)+\operatorname{deg}(v) \geq \Delta+1$ for each edge $u v \in E(G)$.
Proof. Suppose $G$ contains an edge $u v$ for which the degree sum is at most $\Delta$, where we assume that $\operatorname{deg}(u) \geq \operatorname{deg}(v)$. Let $G-u v$ be totally colored with at most $\Delta+1$ colors.

Now, if $u$ and $v$ receive the same color, we recolor $v$ : Note that $v$ has $\operatorname{deg}(v)$ colored neighbors and is incident with $\operatorname{deg}(v)-1$ colored edges. As

$$
2 \operatorname{deg}(v)-1 \leq \operatorname{deg}(u)+\operatorname{deg}(v)-1 \leq \Delta-1,
$$

there is a color among the $\Delta+1$ colors available that can be given to $v$.
Finally, we observe that the edge $u v$ is incident with two colored vertices and adjacent to $\operatorname{deg}(u)+\operatorname{deg}(v)-2$ colored edges. That means there are at most $\operatorname{deg}(u)+\operatorname{deg}(v) \leq \Delta$ different colors that cannot be chosen for $u v$ - but we have $\Delta+1$ colors at our disposal. Thus, $G$ can be totally colored with $\Delta+1$ colors.

By Claim 8 we may apply Lemma 3 with parameters $\Delta_{0}=\Delta-1$ and $k$; let $U, W, x$ as obtained by the lemma. We choose a neighbor $w^{*} \in W$ of $x$ and totally color $G-w^{*} x$ with at most $\Delta+1$ colors. Further, we uncolor every vertex in $W$. Observe that it will not be a problem to color $W$ once all the rest of $V(G) \cup E(G)$ has been colored: The vertices in $W$ have degree at most $k$ each, so there will be at most $2 k \leq \Delta$ forbidden colors at each $w \in W$.

We will say that a color $\gamma$ is missing at a vertex $v$, if neither $v$ nor any edge incident with $v$ is colored with $\gamma$ (neighbors of $v$, though, are allowed to have color $\gamma$ ). Let $M(v)$ be the set of all colors missing at $v$.

As $x$ is incident with at most $\Delta-1$ colored edges, there is a color $\alpha$ missing at $x$. Call an edge colored $\alpha$ an $\alpha$-edge. Note that

$$
\begin{equation*}
\alpha \notin M\left(w^{*}\right) . \tag{4}
\end{equation*}
$$

Indeed, otherwise we could color $w^{*} x$ with $\alpha$, then color $W$ as described above, and thus get a $(\Delta+1)$-coloring of $G$, which by assumption does not exist.

Let $F$ be the set of colors on edges between $x$ and $U$ together with the color of $x$ itself. Note that, since $|U| \leq k+1$, we have that

$$
\begin{equation*}
|F| \leq k+1 . \tag{5}
\end{equation*}
$$

Colors that are not in $F$, but missing at $w^{*}$ are useful to us, because they could be used to color $x w^{*}$ (after possibly recoloring some edges in $E(U, W)$ ). Let us make this more precise:

Claim 9. For every color $\beta \in M\left(w^{*}\right) \backslash F$ there is a vertex $v_{\beta} \in W$ so that $x v_{\beta}$ has color $\beta$. Furthermore, there is an $\alpha$-edge incident with $v_{\beta}$.

Proof. If there is no $v_{\beta} \in W$ with $x v_{\beta}$ colored $\beta$, then, since $\beta \notin F$, the color $\beta$ is also missing at $x$, and we may use it for the edge $x w^{*}$. This proves the first part of the claim.

Next, if $\alpha$ is missing at $v_{\beta}$, we can color $x v_{\beta}$ with $\alpha$ and $x w^{*}$ with $\beta$. Coloring $W$ as described above, this gives a $(\Delta+1)$-coloring of $G$, a contradiction. Thus, we may assume that $\alpha$ is not missing at $v_{\beta}$, which, as the vertices of $W$ are uncolored, means that there is an $\alpha$-edge at $v_{\beta}$.

Denote by $n_{\alpha}$ the number of $\alpha$-edges between $U$ and $W$. Using Claim 9 and the fact that there is an $\alpha$-edge at $w^{*}$ by (4), we see that

$$
\begin{equation*}
n_{\alpha} \geq\left|M\left(w^{*}\right) \backslash F\right|+1 . \tag{6}
\end{equation*}
$$

Let us now estimate how many colors are missing at $w^{*}$. Of the $\Delta+1$ colors available, at most $\operatorname{deg}\left(w^{*}\right)-1 \leq k-1$ are used for incident edges, and none on $w^{*}$.

Thus,

$$
\begin{equation*}
\left|M\left(w^{*}\right)\right| \geq \Delta+1-\left(\operatorname{deg}\left(w^{*}\right)-1\right) \geq 2 k-1 . \tag{7}
\end{equation*}
$$

Remark 10. Our argumentation so far is enough to prove that any graph of treewidth $k$ and maximum degree $\Delta(G) \geq 3 k-1$ satisfies $\chi^{\prime \prime}(G)=\Delta(G)+1$.

Indeed, note that with the assumption $\Delta(G) \geq 3 k-1$, we obtain $\left|M\left(w^{*}\right)\right| \geq 2 k+1$ in (7). Plugging this into (6), and using (5), we get $n_{\alpha} \geq k+1$. On the other hand, the $\alpha$-edges form a matching, which means there can be at most $k$, as $\alpha$ is missing at $x$ and as $|U| \leq k+1$.

Let $\rho_{x}$ be the color of $x$.
Claim 11. We have $F-\rho_{x} \subseteq M\left(w^{*}\right)$. Moreover, $\rho_{x} \in M\left(w^{*}\right)$ if and only if there is a vertex in $U$ that is colored $\alpha$.

Proof. Let $u_{\alpha}$ be the number of vertices of $U$ colored $\alpha$. No vertex in $U$ may be incident with two of the $\alpha$-edges counted by $n_{\alpha}$. As, moreover, $\alpha$ is missing at $x$, we get that

$$
\begin{equation*}
n_{\alpha} \leq|U|-u_{\alpha}-1 \leq k-u_{\alpha} . \tag{8}
\end{equation*}
$$

On the other hand,

$$
\begin{equation*}
\left|M\left(w^{*}\right) \backslash F\right|-\left|F \backslash M\left(w^{*}\right)\right|=\left|M\left(w^{*}\right)\right|-|F| \stackrel{(5),(7)}{\geq} k-2 . \tag{9}
\end{equation*}
$$

Putting (6), (8), and (9) together, we get

$$
k-u_{\alpha} \geq\left|F \backslash M\left(w^{*}\right)\right|+k-1
$$

In other words,

$$
\left|F \backslash M\left(w^{*}\right)\right|+u_{\alpha} \leq 1
$$

In the case $u_{\alpha}>0$, this proves the claim. So suppose $u_{\alpha}=0$. If $\rho_{x} \in M\left(w^{*}\right)$, we can recolor $x$ with $\alpha$, color the edge $x w^{*}$ with $\rho_{x}$ and color $W$ as above. Therefore, $\rho_{x} \notin M\left(w^{*}\right)$, and the claim follows.

Claim 12. We have $|F|=k+1$ and $\operatorname{deg}\left(w^{*}\right)=k$.
Note that the claim, in particular, implies that $x$ is adjacent to every vertex in $U$, as $|U| \leq k+1$.

Proof. Suppose either of the two equalities does not hold. Then the estimate in (9) is never tight, and we deduce

$$
\left|M\left(w^{*}\right) \backslash F\right|-\left|F \backslash M\left(w^{*}\right)\right| \geq k-1
$$

This leads to

$$
\left|F \backslash M\left(w^{*}\right)\right|+u_{\alpha} \leq 0
$$

Thus both $u_{\alpha}=0$ and $\rho_{x} \in M\left(w^{*}\right)$, contradicting Claim 11.
We next investigate that colors are missing at the vertices $v_{\beta}$ from Claim 9 .
Claim 13. $M\left(v_{\beta}\right) \subseteq M\left(w^{*}\right)$ for every color $\beta \in M\left(w^{*}\right) \backslash F$.
Proof. First, note that $\rho_{x} \notin M\left(v_{\beta}\right) \backslash M\left(w^{*}\right)$. Indeed, otherwise $\rho_{x} \notin M\left(w^{*}\right)$ and therefore, by Claim 11, no vertex in $U$ is colored with $\alpha$. Thus we can recolor $x v_{\beta}$ with $\rho_{x}$, color $x w^{*}$ with $\beta$, recolor $x$ with $\alpha$ and finish by coloring $W$.

Now, for contradiction suppose there is a color $\beta^{*} \in M\left(v_{\beta}\right) \backslash M\left(w^{*}\right)$. By the previous paragraph, $\beta^{*} \neq \rho_{x}$. Hence, by Claim 11, $\beta^{*} \notin F$.

Then, there must be a vertex $y \in W$ so that $x y$ has color $\beta^{*}$, as otherwise we can color the edge $x w^{*}$ with color $\beta$, and the edge $x v_{\beta}$ with color $\beta^{*}$, color $W$, and are done. Moreover, $y$ is incident with an $\alpha$-edge. Indeed, otherwise we can color the edge $x y$ with $\alpha$, the edge $x w^{*}$ with $\beta$, and the edge $x v_{\beta}$ with $\beta^{*}$, color $W$, and are done.

Setting $\delta=1$ if $\rho_{x} \in M\left(w^{*}\right)$ and $\delta=0$ otherwise, we deduce from Claim 9 and (4) that

$$
n_{\alpha}+\delta \geq\left|M\left(w^{*}\right) \backslash\left(F \backslash\left\{\rho_{x}\right\}\right)\right|+2 \stackrel{(5),(7)}{\geq} k+1 .
$$

On the other hand, using the second part of Claim 11, we see that

$$
n_{\alpha}+\delta \leq|U|-1 \leq k,
$$

a contradiction.
Fix $\beta \in M\left(w^{*}\right) \backslash F$. (There is such a $\beta$ since $M\left(w^{*}\right) \backslash F \neq \emptyset$ by (9) and Claim 13) The number of colors missing at any vertex $v$ other than $x$ or $w^{*}$ is equal to $\Delta+1-(\operatorname{deg}(v)+1)$; at $x$ and $w^{*}$ there is one more color missing as $x w^{*}$ is uncolored. Thus, it follows from $\operatorname{deg}\left(v_{\beta}\right) \leq k=\operatorname{deg}\left(w^{*}\right)$ (by Claim 11) that $\left|M\left(v_{\beta}\right)\right| \geq$ $\left|M\left(w^{*}\right)\right|-1$. So, by Claim 13, we get that $M\left(v_{\beta}\right)=M\left(w^{*}\right) \backslash\{\beta\}$. In particular, $F-\rho_{x} \subseteq M\left(v_{\beta}\right)$.

By Claim 9, there is a vertex $u \in U$ be so that $v_{\beta} u$ has color $\alpha$. The edge $u x$ exists as $|F|=k+1$ by Claim 12. The color $\rho_{u x}$ of $u x$ is in $F-\rho_{x}$, and thus missing at $v_{\beta}$ (by Claim 11). So we may swap colors on $u x$ and $u v_{\beta}$. This yields again a total coloring of $\left(E-x w^{*}\right) \cup V \backslash W$. In the new coloring $\rho_{u x}$ is missing at $x$. As $\rho_{u x}$ is also missing at $w^{*}$ we may use it to color $x w^{*}$. Finally, we fix the colors of the vertices in $W$ in order to obtain a total coloring of $G$. This finishes the proof of Theorem 2 .

We close the article by a short attempt at answering the question: how good is the bound on $\Delta(G)$ in Theorem 2?

Isobe et al. [7] prove, with quite different methods, a very similar result: namely that every $k$-degenerate graph $G$ with $\Delta(G) \geq 4 k+3$ can be totally colored with $\Delta(G)+1$ colors. So, the result of Isobe et al. is at same time stronger and weaker, that is, their result covers more graphs but with a stricter requirement on the maximum degree.

To see which maximum degree is at least necessary to force $\chi^{\prime \prime}(G)=\Delta(G)+1$ for graphs of treewidth $k$, let $k$ and $b$ be positive integers so that $k+b$ is even. Take a complete graph $K$ on $k$ vertices and add $b$ new vertices, each complete to $K$. The resulting graph $G$ then has treewidth $k$ and maximum degree $\Delta(G)=k+b-1$. Now, define a graph $G^{\prime}$ by adding a further new vertex $b^{*}$, which is adjacent to every vertex in $K$ but to none outside $K$.

Consider any total coloring $\gamma$ of $G$. Define an edge-coloring of $G^{\prime}$ by keeping all colors $\gamma(e)$ of edges $e \in E(G)$, and by coloring the edges $b^{*} v$ for every $v \in K$ with the color $\gamma(v)$ of the vertex $v$ in the total coloring. This shows that

$$
\chi^{\prime \prime}(G) \geq \chi^{\prime}\left(G^{\prime}\right)
$$

We lower bound $\chi^{\prime}(G)$ as

$$
\chi^{\prime}\left(G^{\prime}\right) \geq \frac{\left|E\left(G^{\prime}\right)\right|}{\left\lfloor\left|V\left(G^{\prime}\right)\right| / 2\right\rfloor}=\frac{\frac{1}{2}\left(k^{2}+k+2 k b\right)}{\frac{1}{2}(k+b)}=k+b+\frac{k-b^{2}}{k+b}
$$

Thus, if $k>b^{2}$ then $\chi^{\prime \prime}(G)>\Delta(G)+1$. This means the bound on $\Delta(G)$ in Theorem 2 cannot be replaced by $\Delta(G) \geq k+\lfloor\sqrt{k}\rfloor-1$. We have no clear opinion on whether $\sqrt{k}$ should be the right order for the best lower bound on $\Delta(G)-k$ in Theorem 2.

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[^1]:    ${ }^{1}$ If we color the vertices of $G$ using the colors $1, \ldots, \Delta(G)+3$, then for each edge there are still $\Delta(G)+1$ colors available. We can color the edges from those sets if the list edge-coloring conjecture holds.

