Tailoring electroactive surfaces by non-template molecular assembly. Towards electrooxidation of L-cysteine

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ABSTRACT

We have prepared a nanoelectrode ensemble containing vertically aligned single walled carbon nanotubes (SWCNTs) using a non-template molecular self-assembling strategy. We used a bottom-up construction approach to assemble amino functionalyzed SWCNTs (af-SWCNTs) in a well-defined architecture. These af-SWCNTs were linked and vertically aligned to pre-formed self-assembled monolayers of 4-MBA. A Cobalt(II) tetracarboxyphthalocyanine (Co(COOH)\textsubscript{4}Pc) complex was covalently bonded to external portion of af-SWCNTs to complete the final nanoelectrode ensemble. X-ray photoelectron spectroscopy (XPS) and Atomic Force Microscopy (AFM) confirmed the effectiveness of the assembling steps on the gold surface starting from the Au/MBA SAMs. The system Au/4-MBA/af-SWCNTs shows an interface with large ordered array, which exhibits a high activity for the electrooxidation of L-cysteine (L-cys). Theoretical calculations suggest that the incorporation of the af-SWCNTs increased the activity of the assembly to electronic transfer and it was observed that the electrooxidation reaction is energetically favorable.

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1. Introduction

Electroactive surfaces built from molecular assemblies constitute one of the most important frontiers in modern electrochemical science. The possibility to build modified hybrid electrodes combining two (or more) kinds of materials, such as carbon nanotubes (CNTs) and conjugated organic or inorganic molecules, is becoming a very active area in surface science due to the wide range of potential applications, including photovoltaic and optoelectronics devices [1–3], sensors [4–8], in electrocatalysis for oxygen reduction reaction (ORR) in fuel cell [9–14], and for the immobilization of enzymes and nucleic acids in bioelectronics systems [15–17].

The carbon nanotubes (CNTs) are an excellent supporting conductive material, and in some cases it present a synergistic effect causing a decrease of the overpotential in some electrochemical reactions [18–20]. Unfortunately, they are not soluble in common organic solvent [21] and tend to form agglomerates and molecular bundles at surface and interfaces. For this reason it is necessary to create chemical functionalities mostly located at the edges of tubes [22], like carboxylic or amine groups, increasing their solubility. On top of these functionalities, it is possible to create chemical links between the CNTs and redox active molecules of interest and confined them on surfaces [23,24] where the electron transfer (ET) process can be accelerated, as compared to the case where these redox species are simply adsorbed on the CNTs, as demonstrated recently for the reduction of O\textsubscript{2} on Fe phthalocyanine axially linked to SWCNTs [25], where axial linking enhances the catalytic activity of FePc as compared to that directly adsorbed on the carbon nanotubes. On the other hand,
it is possible to vertically assemble CNTs using different strategies including simple adsorption by profiting the \( \pi-\pi \) interactions of aromatic rings of molecules anchored on gold, such as thiophenol, 4,4’dithiopyridine, and biphenylthiol, which can produce highly ordered molecular assemblies [26]. The vertical alignment can also be achieved by locating negatively charged carboxylic groups at the edges of the CNTs that can interact with positively charged silver surface [27]. Covalent bonds can also be formed between the functional groups in the CNTs and organic molecules attached to an electrode surface. This strategy has been successful mainly when using CNTs with \( -\text{COOH} \) functional groups, which can form amide bonds with amino groups present on organic molecules confined on the electrode. Using this approach Chou et al. [28] modified gold substrates with alkanethiols of different chain lengths, which were further modified with CNTs, resulting in a remarkable increase of the electrochemical reactivity of the surface. They also modified glassy carbon electrodes by electrografting with aromatic amines (phenyldiamine) [29], which show similar properties to those reported by Chou. Silicon and platinum surfaces have also been used for these types of modifications [30–32].

In this work, we describe the molecular assembly of an electrochemically active system composed of single-wall amine-functionalized carbon nanotubes (af-SWCNTs) vertically aligned on self-assembled monolayers of aromatic thiol (4-mercaptobenzoic acid, 4-MBA) on an Au (111) substrate. The SWCNTs were linked to the SAMs by coupling using carbodiimide by formation of an amide bond between the amine group in 4-ATP and af-SWCNTs, and the carboxylic group of 4-MBA.

On the other hand, MN4 metal macrocyclic complexes are very versatile molecules that present electrocatalytic activity for a myriad of oxidation and reduction reactions [33–54]. In particular, when confined on graphite or carbon surfaces, they catalyze the oxidation of thiols (RSH) to give the corresponding disulfides (RSSR) [42–52]. Of special interest is the oxidation of the aminoacid L-cysteine, which bears a \( -\text{SH} \) functionality and undergoes oxidation to give the corresponding disulfide L-cystine [48–52].

2. Experimental

2.1. Materials and reagents

4-aminothiophenol (97%), p-phenylenediamine (99.0%), N-(3-Dimethylaminopropyl)-N’-ethylcarbodiimide hydrochloride (EDAC), 1-hydroxybenzotriazole hydrate (HOBt), were obtained from Sigma-Aldrich; 4-mercaptobenzoic acid (95%) was obtained from Tokyo Chemical Industry Co. Single-Walled Carbon Nanotube (SWCNTs) average diameter 1.1 nm and length 0.45 to 2.3 \( \mu \)m were purchased from South West Nano Technologies and used as provided. Cobalt tetracarbonyl acid phthalocyanine (Co (COOH)\(_{4}\)Pc) was synthesized according to a method described in the previous section (see the Supplementary Data section 1). The reagents and solvents were of analytical grade and were used without further treatment.

2.2. Instruments

Electrochemical experiments were performed with a Potentiostat/Galvanostat PGSTAT302N Autolab, using a conventional three-electrode electrochemical cell. An Ag/AgCl electrode and Pt wire were employed as reference and counter electrodes respectively and as working electrode was a thin vapor deposited Au film on glass (12 \( \times \) 12 mm slides purchased from Arrandee, Germany). The tapping mode AFM images were obtained using a Digital Instruments NanoScope IIIa. IR (Kbr discs) was recorded on Spectrum 64 PerkinElmer spectrophotometer. The XPS measurements were performed on a PHI 550 Multitechnique System (from Physical Electronics) in a ultra high vacuum chamber pressure.

2.3. Electrodes modification

2.3.1. Cutting and amine-functionalization of carbon nanotubes

The commercial SWCNTs were cutting following a reported procedure of acid digestion [55]. The acid treatment of SWCNTs produces carboxylic acid groups on its surface (edges mostly on),

\[ \text{Scheme 1. Fabrication stages of (a) Au/4-MBA/af-SWCNT/Co(COOH) \text{Pc} and (b) Au/4-ATP/Co(COOH) \text{Pc}, assembled electrodes.} \]
the product, ox-SWCNTs, was characterized using infrared spectroscopy (FT-IR) (Fig SD1). See Supplementary Information section 2 for more details.

2.4. Preparation of self-assembled monolayers, SAMs

Gold substrates were immersed in an absolute ethanol solution containing 50 µM of aromatic thiols (4-ATP and 4-MBA) for 24 hours at ambient temperature. The electrodes were then washed with ethanol to remove weakly adsorbed molecules and were then dried in a stream of high-purity N₂. The SAMs were formed by chemisorption of sulfur head group of aromatic thiols on Au(111), these substrates are denoted as Au/4-MBA and Au/4-ATP.

2.5. Fabrication of Au/4-MBA/af-SWCNT/Co(COOH)₄Pc and Au/4-MBA/af-SWCNT/Co(COO)₄Pc Assembled Electrodes

The construction of the assembled electrode was conducted through two main steps (Scheme 1, part a). The first step involved the anchoring and vertical alignment of amino-functionalized (af-SWCNT) carbon nanotubes on Au/4-MBA preformed SAMs; in the second one, the covalent binding Co(COOH)₄Pc catalyst complex to the af-SWCNT is achieved. The assembly of af-SWCNTs on Au/4-MBA SAMs was reached by the activation of the carboxyl groups in the SAMs, which was achieved by a carbodiimide coupling agent (EDAC) according to reported methods [55,56]. The gold substrates pre-modified with SAMs of 4-MBA were immersed in a DMF suspension with af-SWCNT (0.2 mg/mL), EDAC (1.3 mM) and HOBt (1.3 mM). The coupling reaction was carried out for 12 hours under stirring in the absence of light. Then, the substrates were then washed in DMF and dried with N₂. The substrate was denoted as Au/4-MBA/af-SWCNT. Once the assembly was obtained it was covalently functionalized with the Co(COOH)₄Pc complex via amide bond formation. A mixture of EDAC (4.8 µM) and HOBt (4.8 µM) in DMF were added dropwise to a solution of Co(COOH)₄Pc (4.8 µM), under constant stirring for 3 hours. The Au/4-MBA/af-SWCNT substrate was immersed in the solution for 12 hours under stirring in the absence of light. Subsequently, the electrodes were washed with an excess of pure solvent to remove unlinked species and subsequently dried with N₂. This substrate is denoted as Au/4-MBA/af-SWCNT/Co(COOH)₄Pc.

The functionalization of the complex on Au/4-ATP was performed using the previous methodology: the premodified substrate with 4-ATP was immersed in the Co(COOH)₄Pc-activated solution (by EDAC and HOBt) for 12 hours under the same conditions, followed by washing and drying with N₂ (Scheme 1, part b). This substrate was denoted as Au/4-ATP/Co(COOH)₄Pc.

Schematic 1. Diagram for fabrication of (a) Au/4-MBA/af-SWCNT/Co(COOH)₄Pc and (b) Au/4-ATP/Co(COO)₄Pc, assembled electrodes.

3. Theoretical calculations

Theoretical computations were performed to explore structural and electronic properties of the Au/4-MBA/af-SWCNT/Co(COOH)₄Pc and Au/4-ATP/Co(COO)₄Pc systems. The CNTs/Co(COOH)₄Pc systems were considered for the calculations, where the chemical interaction takes place through the amine functionalized CNT. Note that the Au/SAMs surface was omitted from calculations to avoid the high computational cost. Three different CNTs were considered for the calculations, where the dangling bonds at the edges were saturated with hydrogen atoms: (9,0) metallic, (8,0) semi-metallic, and (8,1) semi-metallic. With these CNTs, three systems were modeled (Fig. 9): SWCNTs(9,0)/Co(COOH)₄Pc (S1), SWCNTs(8,0)/Co(COOH)₄Pc (S2) and SWCNTs(8,1)/Co(COOH)₄Pc (S3); additionally the free Co(COOH)₄Pc complex (Sc1) and the SAMs structure 4-ATP/Co(COOH)₄Pc (Sc2) were also considered for comparison purposes. All the molecular structures were pre-optimized at the semi-empirical PM6 [57] level of theory in MOPAC2012 program [58]. Subsequently, the most stable CNT/Co(COOH)₄Pc models

![Fig. 1. Cyclic voltammograms for (a) unmodified Au[111] electrode, the reductive desorption of: (b) 4-MBA/SAMs, (c) 4-ATP/SAMs in 0.1 M NaOH solution and (d) comparative CV for SAMs of the 4-MBA and 4-ATP in solution containing 2.5 mM each in Fe(CN)₆⁴⁻ and Fe(CN)₆³⁻ and 0.50 M KCl.](image-url)
(including their interactions with L-cys) were fully optimized at the density functional theory level in the ORCA 3.0 program [59]. The PBE [60] functional was used in combination with the 6-31G (d) basis sets [61,62]; the LANL2DZ [63] basis set and pseudopotential was used for the cobalt atom. Wavefunction analyses were performed in the Gabedit program [64].

Reactivity indexes [chemical potential (μ) and molecular hardness (η)] were also computed to evaluate the reactivity before the formation of adduct (electrode − L-cys). μ [65] is the tendency of electrons to escape from an equilibrium system, where the electrons flow from regions with greater potential to regions of lower potential. Moreover, η [66] relates to the resistance imposed by a system to deform its electronic density distribution, and indicates how reactive a molecular system is. μ and η were obtained as: μ = (∂E/∂N)ν ≈ (εLUMO + εHOMO)/2 and, η = (∂²E/∂N²)ν ≈ (εLUMO − εHOMO)/2, where E is the total energy, N the total electron number, ν the external potential and εHOMO, εLUMO correspond to the energy of the frontier molecular orbitals HOMO and LUMO, respectively. Interaction energies of the formed adducts were also obtained as: Eint = EAB − (Ea + Eb), where EAB corresponds to the energy of the adduct and, Ea and Eb to the energy of the fragments: molecular assembly (nanotube–complex) and L-cys, respectively. The more negative values of Eint, the more stable the formed adducts are.

4. Results and discussion

4.1. Characterization of SAMs 4-MBA and 4-ATP on Au(111)

In order to follow the formation of SAMs on the gold electrode, Fig. 1 shows the comparison of the voltammetry profile of the unmodified and SAMs modified gold surfaces, realized in a deaerated aqueous NaOH solution. Fig. 1a illustrates the cyclic voltammogram of a naked Au(111) electrode obtained after annealing the Au specimen. The i vs E profile shows the typical shape in alkaline electrolyte for an Au(111) electrode with atomically smooth (111) surfaces. Contrarily, Fig. 1b and c illustrate the voltammetric response for the reductive desorption or stripping process of SAMs of 4-MBA and 4-ATP from the Au(111) surface, respectively. The stripping of the SAMs from the surface electrode was conducted in 0.1 M NaOH at 0.05 V/s. The very sharp desorption wave observed for 4-MBA at ca. −0.6 V suggests that the SAMs formed by this particular molecule are rather homogeneous. Additionally, a more negative broad weak peak is observed at ca. −0.9 V, indicating the desorption of 4-MBA molecules from other surface domains or atomic steps [67]. In contrast, the reductive desorption voltammogram of 4-ATP (Fig. 1c) shows a rather broad and negatively shifted wave, in addition to two more negative peaks, indicating the desorption of three different forms of ATP adsorbed on Au. The molecular structure of 4-MBA suggests that significant lateral intermolecular interactions (π-stacking) could be taking place between thioaromatic moieties once the SAMs is formed, which ultimately strongly influences the stability and the desorption process of the SAMs. The electrical charge associated with the monolayer of 4-MBA (60 μC cm⁻²) is larger than the charge related to 4-ATP (49 μC cm⁻²). This difference in coverage and the rather multiple desorption peak can be attributed to the electron donor character of the 4-ATP molecules that should be assembled less close-packed on the Au(111) surface, giving rise to a more open monolayer and a lower coverage than 4-MBA and poorly defined film structure in agreement with literature [68].

To investigate the electron transfer process between the SAMs and a redox probe, the cyclic voltammetric behaviors of both functionalized electrodes in 1.0 mM K₃[Fe(CN)₆] containing 0.1 M KCl were studied using a potentiostat scan rate of 0.050 V s⁻¹. On Fig. 1d, a pair of peak shaped redox processes of [Fe(CN)₆]³⁻/₄⁻ is observed for both Au/4-ATP (dash line) and Au/4-MBA (solid line) SAMs, with the peak-to-peak separation (ΔEₚ) about 0.089 and 0.140 V for Au/4-ATP and Au/4-MBA, respectively. Both, the electrochemical reversibility and the redox peak current of [Fe(CN)₆]³⁻/₄⁻ declined slightly due to the lower electron transfer rate at the interface of Au/4-MBA and this can be attributed to the negative charge repulsion between the ionized COO⁻ groups in 4-MBA and the [Fe(CN)₆]³⁻/₄⁻ [69].

![Fig. 2. X-ray photoelectron spectra for (a) Au/4-MBA of sulfur (S 2p) and carbon (C 1s) regions and for (b) Au/4-MBA@gf-SWCNTs of carbon (C 1s) and nitrogen (N 1s) regions.](image-url)
4.2. Characterization of Assembly of amine functionalized SWCNTs on pre-formed Au/4-MBA SAMs

The immobilization of the CNTs on the 4-MBA SAMs was performed via amide bond, between the terminal moieties of the self-assembled monolayer (-COOH) and the amino moieties of the CNT, according to step 2 of Scheme 1a. The modification of the electrode was confirmed using X-ray photoelectron spectroscopy (XPS). Fig. 2 shows the XPS spectra for the energy regions of most interest of the gold functionalized surface after the formation of the 4-MBA SAMs, and subsequent immobilization of af-SWCNTs onto this SAMs: sulphur, carbon for the Au/4-MBA (Fig. 2a) and carbon, nitrogen for the Au/4-MBA/af-CNT (Fig. 2b). The S (2p) spectrum consists of two peaks at 161.9 and 163.1 eV (assigned to the orbital doublet arising from S 2p3/2 and S 2p1/2) attributed to the Au-S bond and a peak at 164.2 eV that could indicate unbound (RSH) on the surface [70]. The 4-MBA SAMs showed three components for the C 1s at 284.2, 285.4 and 288.2 eV in agreement with literature for this SAMs [70]. The C (1s) spectrum for the af-CNT on the Au/4-MBA (Fig. 3b) appears a peak at 284.0 eV observed in the SAMs, a main peak centered at 284.6 eV is attributed to the C–C bond of the af-SWCNTs and two peak at 286.0 and 287.9 eV are associated to the amine (−N—C—) and amide (−N—C—O—) bonds, indicative of covalent bond formed between SAMs and carbon nanotube during self-assembly. Moreover, the N (1s) peaks observed at 399.3 and 400.3 eV assigned for the amine and amide groups, respectively, which confirms the attachment of the af-SWCNTs. Finally, the peak at ~402 eV was assigned to the R-NH3+ specie as observed by other authors [32].

Fig. 3a shows the electrochemical behavior of surfaces before and after the assembly of af-SWCNTs on the 4-MBA SAMs. The Au/4-MBA surface presents the typical voltammogram with redox peaks, whereas the Au/4-MBA/af-CNT surface voltammogram is characterized by a decline in the currents and the curve exhibits sigmoidal shape, characteristic behavior of systems in which diffusion fields do not overlap, and increase the mass transport rate [71–73] in a radial diffusion regime, in contrast to the typical transient form of massive electrodes found in the previous system, where the diffusion field is one-dimensional or linear. In this way, it is suggested that the Au/4-MBA/af-CNT interface presents a long-range nanostructured surface arrangement with the nanotubes vertically aligned to the substrate. On the other hand, the significant decrease in current of the system suggests that there are a smaller number of active sites with respect to the Au/4-MBA, because it is not possible to bond one nanotube to each molecule of 4-MBA SAMs. Therefore, it is suggested that the electro-active surface area of the Au/4-MBA/af-CNT system is smaller.

AFM images of Fig. 3b and c show that the carbon nanotubes immobilized on the 4-MBA SAMs provides a shape of needles-like or "saw tooth", typically of vertically aligned nanotubes due to differences in tube lengths (with average heights of ~94 nm), in agreement with previous reports [30,54,74]. On the other hand, the af-CNT can undergo interaction of the walls with the monolayer of the 4-MBA, resulting in a "mixed-array" arrangement with zones of nanotubes lying-down, as observed in the AFM image. This phenomenon is difficult to eliminate completely, since the immobilization of af-CNT is carried out without templates.

4.3. Characterization of the Au/4-ATP/Co(COOH)2Pc and Au/4-MBA/af-SWCNT/Co(COOH)2Pc Assembled Electrodes

The Co(COOH)2Pc complex was attached to the pre-modified electrode (last step Scheme 1a y 1b) through at least one of the peripheral substituents (-COOH) of the complex. Cyclic voltammetry was used to obtain more insights into the assembled electrodes and their previous step of modification. Fig. 4a shows the voltammetric profiles of Au/4-ATP and Au/4-ATP/Co(COOH)2Pc systems in pH 9.1 buffer solutions. The redox process, common for both surfaces, is attributed to the oxidation of 4-ATP generating a radical cation and a reversible redox couple centered at ~0.10 V (black arrow) due to the formation of the pair benzoquinone/ hydroquinone [75]. In addition, the Au/4-ATP/Co(COOH)2Pc

![Fig. 3.](image)

Fig. 3. (a) Comparative cyclic voltammograms for systems Au/4-MBA and Au/4-MBA/af-SWCNTs, in a solution containing 2.5 mM each in Fe(CN)63– and Fe(CN)64– and 0.50 M KCl. Atomic Force Microscopy (Tapping mode) analysis of the modified electrode Au/4-MBA/af-SWCNTs images: (b) a 3D view of surface and, (c) 2D image and the corresponding cross section analysis.
electrode shows a signal at around −0.15 V (red arrow), possibly due to the Co(I) → Co(II) transition process of the complex; besides, a cathodic peak (**) at ~0.25 V is observed corresponding to the reverse process, i.e. Co(II) → Co(I).

The surface coverage of the attachment complex was estimated from the plot of peak current ($i_p$) versus scan rate ($ν$) using Eq. [76]:

$$i_p = \left( \frac{n^2F^2νAΓ}{4RT} \right)$$

where $i_p$ is the peak current (c.a. −0.15 V), $n$ the number of electrons transferred and equal to one, $F$ the Faraday’s constant in Coulombs/equivalent, $ν$ the scan rate in V/s, $A$ the electrode area, $Γ$ the surface coverage in mol/cm², $R$ the gas constant and $T$ is temperature in K. The $Γ$ was calculated to be ≈ 1 × 10⁻¹² mol/cm², which is close to a monolayer, depending on the diameter perpendicular to the surface occupied by each 4-ATP/Co(COOH)$_4$Pc ensemble. Therefore it is suggested that the 4-ATP SAMs could not be completely covered by the phthalocyanines complex.

Fig. 4b compares the cyclic voltammograms of Au/4-MBA, Au/4-MBA/af-SWCNT and Au/4-MBA/af-SWCNT/Co(COOH)$_4$Pc, in pH 9.1 buffer solutions, which does not present defined redox processes. The modification of 4-MBA SAMs with af-SWCNT results in an...

Fig. 4. (a) and (b) Comparison of cyclic voltammograms of all modified electrodes, performed in phosphate buffer solution pH. X-ray photoelectron spectra of (c) nitrogen (N 1s) and (d) cobalt (Co 2p 3/2) regions for Au/4-MBA/af-SWCNTs/Co(COOH)$_4$Pc.

Fig. 5. Nyquist plot of the assembled systems (a) Au/4-ATP/Co(COOH)$_4$Pc and (b) Au/4-MBA/af-SWCNTs/Co(COOH)$_4$Pc systems, in 0.1 M KCl solution in the presence of 2.5 mM [Fe(CN)$_6$]$_{3−/4−}$; and (c) Randles model proposed.
increase of the capacitve current due to the incorporation of CNTs to the electrode surface. In the Au/4-MBA/af-SWCNT/Co(COOH)_4Pc assembled electrode, it is not possible to observe metal-centered redox processes most likely due to a more heterogeneous interface. A weak cathodic peak (*) is observed at ~ -0.31 V present only in this last electrode which can be attributed to the Co(II) →Co(I) process in the immobilized phthalocyanine, thus confirming its presence, such as for the Au/4-ATP/Co(COOH)_4Pc assembled electrode where this process is located at ~0.25 V. That is, the assembly of af-SWCNT to Au/SAMs would be causing a negative displacement of 60 mV in the redox potential of Co(II). The presence of the Co(COOH)_4Pc complex in the system was also evaluated using XPS experiments. Fig. 4c shows the Nitrogen (N 1 s) region for the Au/4-MBA/af-SWCNT/Co(COOH)_4Pc electrode, where three contributions can be distinguished: a peak located at 398.0 eV attributed to phthalocyanine [77] and two other peaks at higher energy (400.5 and 399.3 eV) which were assigned to the amide and amine functional groups present in CNTs. These peaks differ slightly in energy because the XPS technique is very sensitive to the electronic environment of the atom. In addition, a weak peak was observed in the binding energy (BE) of ~783 eV (Fig. 4d) attributed to Co(II) (Co 2p3/2) [77].

The results obtained, by the electrochemistry and XPS experiments, confirm the incorporation of the Co(COOH)_4Pc complex to the two assembled systems.

4.4. Electrochemical impedance spectroscopy

Electrochemical impedance spectroscopy is used to characterize the charge transfer process at the assembled electrodes. The Nyquist plot of the systems is presented in Fig. 5a and b. The measurements were performed in 0.1 M KCl in the presence of an outer-sphere redox probe [Fe(CN)]_6^{3-}/4-. In both plots, it is possible to distinguish two regions: at high frequency, the kinetically controlled region, which is characterized by a half circle, where the diameter of this corresponds to the electron-transfer resistance (R_CT); a smaller diameter of the semicircle indicates a faster electron transfer. At low frequency, we found the diffusion-controlled region, where the straight line or Warburg line is located.

The impedance spectra were fitted to the Randles’ equivalent circuit shown in Fig. 7c. In the model, R_s is the solution resistance, R_CT corresponds the electron-transfer resistance, Q represents the double layer capacitance and Z_W is the Warburg impedance, which represents a kind of resistance to mass transfer [78]. Table 1 shows a summary of the estimated impedance parameters for the Randles model. The R_s and Z_W elements values do not undergo significant changes after modification of the substrates, because they are not affected by the chemical transformations that occur at the interface (they represent bulk solution properties). Also, it is observed that the values obtained for R_s are in agreement with similar electronic systems under the same experimental conditions [75].

In both assembled systems, the highest R_CT values were observed for Au/SAMs (4-ATP and 4-MBA), indicating that the monolayer has the lower conductivity and that imposes a barrier to the electronic transfer. The highest value of R_CT is shown for Au/4-MBA, which could be due to the electrostatic repulsions produced at the interface between the deprotonated carboxyl groups of the 4-MBA monolayer (~COO^-) and the negatively charged redox probe [Fe(CN)]_6^{3-}/4- (at pH ~ 7). By introducing the af-SWCNT to the Au/4-MBA system, it is observed that the R_CT decreases by 0.45 kΩ, which is expected due to the high electrical conductivity of the CNTs that facilitate electronic transport [79]. Some authors suggest that SWCNTs would allow electronic transfer via electron tunneling between the gold substrate and the redox probe present at the assembly/electrolyte interface, increasing the electron transfer [80]. The smaller R_CT values were observed in the systems containing the complex, for Au/4-ATP/Co(COOH)_4Pc the resistance decreases approximately 0.3 kΩ with respect to the Au/4-ATP and for Au/4-MBA/af-SWCNT/Co(COOH)_4Pc the decrease in R_CT is ~1.28 kΩ with respect to Au/4-MBA/af-SWCNT. The fact that the R_CT value for the above mentioned systems is significantly smaller than the other electrodes could be due to the excellent electronic properties of phthalocyanine complex as well as due to attractive electrostatic interactions between the positively-charged metal center of the complex (Co^{2+}) with the negatively-charged redox probe [Fe(CN)]_6^{3-}/4-. Finally, the electrochemical impedance data showed that the consecutive modification of the electrode results in molecular architectures that favor the electronic transport and yield high path for electron conduction between the electrochemical probe [Fe(CN)]_6^{3-}/4- and the electrode.

4.5. Electrocatalysis of L-cysteine oxidation

It is well known that the oxidation reaction of L-cys occurs at high potentials on conventional electrodes. Chemical modification of the surface has resulted in a remarkable improvement in the electrocatalytic response of the so modified electrode for the amino acid oxidation, using MN4 metal complexes such as metal porphyrins and metal phthalocyanines as electrocatalysts confined on graphite or carbon electrodes by simple adsorption [45], by deposition as thin films of complex polymers [46] or on gold electrodes functionalized with self-assembled monolayers of organo-thiolates capped with Co phthalocyanines [47]. In this regard, the electrocatalytic activity of our assembled electrodes was tested for the oxidation of L-cys, using cyclic voltammetry and slow potential scan linear voltammetry. Fig. 6a shows the cyclic voltammograms of all modified electrodes recorded in 1 mM L-cys in a phosphate buffer solution (pH = 9.1). No anodic peaks are observed on the Au/4-ATP, Au/4-MBA and Au/4-MBA/af-SWCNT surfaces, because SAMs and carbon nanotubes do not possess active sites for the oxidation of L-cys. However, the CV of L-cys on Au/4-ATP/Co(COOH)_4Pc and Au/4-MBA/af-SWCNT/Co(COOH)_4Pc show an irreversible anodic process at ~0.1 V that can be attributed to the oxidation of L-cys promoted by Co(COOH)_4Pc. Au/4-ATP/Co(COOH)_4Pc shows a well-resolved anodic peak with a onset potential at ~0.19 V, value that is near the formal potential of the Co(II)/[I] redox process (Fig. 4a), indicating that the catalytic reaction is mediated by this redox couple. The voltammetric response of Au/4-MBA/af-SWCNT/Co(COOH)_4Pc shows a wider anodic peak and higher intensity than the previous system with a more negative onset potential (~ -0.38 V). The increase in the electrocatalytic activity of this system is evidenced by the displacement of the onset towards more negative potential values and by the significant increase in the current of the irreversible anodic peak of the oxidation of the L-cys. The catalytic process of the oxidation of L-cys involves in a first stage the formation of an adduct between the metal complex and the amino acid by means of metal-sulfur bonding [48]. In this process, the metal can change its oxidation state, resulting in its partial reduction. As we pointed above (section 4.3), in the Au/4-MBA/af-SWCNT/Co(COOH)_4Pc
ensemble, the potential of the Co(II)/(I) redox pair would experience a much greater negative displacement of its redox potential due to the incorporation of the CNTs between the SAMs and the Co(COOH)₄Pc complex, of this way affecting their electrocatalytic activity [48,49]. The catalytic activity of CoN₄ complexes for the oxidation of L-cys expressed as log (i/E versus the Co(II)/(I) redox potential varies in a non linear fashion [48]. Essentially it gives a volcano correlation. On one leg of the volcano the activity increases linearly with the Co(II)/(I) redox potential reaching a maximum and then it decreases also linearly. On the ascending leg and descending leg of the volcano several Co porphyrins and several Co phthalocyanines are located, respectively. So, for the case of the present work, a shift of the Co(II)/(I) redox potential in the negative direction compared to the complex adsorbed on the electrode surface will increase the catalytic activity. We could not detect the Co(II)/(I) transition at Au/4-MBA/af-SWCNT/Co(COOH)₄Pc ensemble clearly enough as it is masked by the capacitive currents on the hybrid electrode, so we assume that the higher activity of Co(COOH)₄Pc when located at the outmost location on the SAMs could attributed to a higher electron density on the Co center and this will move up the position of the catalyst in a hypothetical volcano correlation as that reported by us before [48]. Further in section 4.5 theoretical calculation shows that when SWCNTs are involved in the assembly the electron density on the Co center increases and this essentially agrees with what the volcano correlation predicts.

Kinetic studies were also performed in order to determine the Tafel slopes obtained from the corresponding Tafel plots and polarization curves (Fig. 6b), in a quasi-stationary regime. The Tafel linear relationship between E and log j is defined according to the following equation:

\[
E = a + b \log j
\]

where \( a \) is the exchange current \( i_0 \) and \( b \) is the Tafel slope (with \( b = 2.3 \) RT/\( aF \), for an oxidation process that is controlled by a first one-electron rate determining transfer step [50].

The Tafel slopes obtained were 110 and 90 mV/decade for Au/4-ATP/Co(COOH)₄Pc and Au/4-MBA/af-SWCNT/Co(COOH)₄Pc, respectively. In the present case, a slope equal to 118 mV/decade (or 2.3 \( \times \) 2RT/\( F \)) indicates that the first transfer of one electron to the thiol molecule is the rate determining step of the reaction, with an almost symmetrical energy barrier (\( \alpha \sim 0.5 \)). In both systems the slopes are not far from 120 mV/decade, suggesting a common rate determining step is common for both configurations of Co (COOH)₄Pc molecule. Thus, one could argue that the surface active site for L-cyst is the Co center and the molecular electronic structure of the Co-phthalocyanine is not changed very much when it is bound to ATP or bound to the SWCNT so the mechanism of the reaction should be the same.

A general mechanism for the oxidation of L-cys proposed in alkaline medium that agrees with th Tafel slope found is the following [51]:

\[
RS^- + \text{PcCo}^{III} \rightarrow [\text{PCo}^{II} - (SR)]^- \quad (1)
\]

\[
[\text{PCo}^{II} - (SR)]^- \rightarrow [\text{PCo}^{III} - (SR)] + e^- \quad \text{rds} \quad (2)
\]

\[
[\text{PCo}^{III} - (SR)] \rightarrow \text{PCo}^{IV} + RS^* \quad (3)
\]

\[
RS^* + RS^* \rightarrow RS- \quad (4)
\]

where RS\(^-\) corresponds to the deprotonated L-cys (p\( K_a \)=8.2) and \( \text{PcCo}^{IV} \) corresponds to the surface confined cobalt (II) phthalocyanine. It is known that in aqueous solution L-cyst reduced water soluble sulfonated Co(II) to Co(I) [42]. According to the mechanism, the key step is the binding of Cys\(^-\) to the Co active site (step 1) with the simultaneous reduction of the metal center from Co(II) to Co(I). This process has been documented by spectroreflectance in situ studies of Co phthalocyanine adsorbed on the basal plane of graphite in the presence of L-cys. [42] The rate-determining step corresponds to step (2). However, this mechanism implies a chemical step 1 (bond forming) faster than electronic transfer, which is not in accordance with the theoretical results obtained (section 4.5), neither with the high electron transfer rate values observed for the catalytic process (Fig. 6b). Further, this mechanism has the drawback that if the formation of the [\( \text{PCo}^{II} - (SR) \)]\(^-\) species involves the stabilization of the RS\(^-\) species, then the activation energy for the next step will be higher compared to a non-bound RS\(^-\) species, i.e. no catalysis. Essentially,
[PcCoII-(SR)] will be in the bottom of an energy well. Step 1 involves bond formation and should be slow. So the mechanism presented above does not seem to explain the catalytic effect of the Co(COOH)₃Pc. The arguments given above also apply to step 3 that involves bond breaking as it implies important energy costs. The above mechanism would not account for the facility of the adduct rupture after the hypothetical slow electronic transfer in step 2. Therefore, the following three-step reaction mechanism agrees better with the experimental results:

\[ RS^- + PcCo^{II} \rightarrow [PcCo^{II}-(SR)] + e^- \quad \text{rds} \quad (1a) \]

\[ [PcCo^{II}-(SR)] \rightarrow PcCo^{II} + RS^+ \quad (2a) \]

\[ RS^+ + RS^- \rightarrow RS-RS \quad (4) \]

In this mechanism, the slow step would correspond to (1a), where bond formation and oxidation of the L-cys occur simultaneously by transferring the one electron to the assembled electrode. The stabilization of the [PcCo^{II}-(SR)] intermediate favors the reaction since it lowers the activation energy as illustrated in the scheme in Fig. 10. Additionally, the rupture of the adduct (2a) should faster than step (1a) which is now the rate determining step. Step (4) is well known to be very fast and thermodynamically favorable as thiol radicals are very unstable and highly reactive.

According to this mechanism, and neglecting the back reaction, the reaction rate is given by:

\[ v = k_1 \Gamma_{\text{CoII}} [L-\text{cys}][1-\theta] \exp[-\alpha \Delta G_{\text{l-cys}/RT}] \exp[\alpha EF/RT] \]

where \( k_1 \) is the rate constant of step (1a), \( \Gamma_{\text{CoII}} \) is the total surface concentration of active Co(II)/Pc molecules in mol cm⁻², \([L-\text{cys}]\) is the concentration of L-cys at the electrode surface in mol cm⁻², \( \theta \) is the fraction of active sites covered by the thiolate or adduct [PcCo^{II}-(RS)], \( \Delta G_{\text{l-cys}} \) is the \( \Delta G \) associated with the adsorption or coordination of the thiolate to the Co(II) active site, \( E \) is the potential of the electrode vs. a reference electrode, \( \alpha \) is a Brønsted factor that corresponds to the symmetry of the intersection of the energy parabolas in the transition state and \( \alpha \) is the symmetry factor of the transition state corresponding to the charge transfer process which in this case coincides with \( \alpha \) due the transition state is the same, i.e. the slow step (1a). We can suppose that the fraction of occupied sites [PcCo^{II}-(RS)] is given by a Langmuir isotherm as the active sites are well separated from each other and then \( \Delta G_{\text{l-cys}} \) should be independent of coverage:

\[ \theta = [L-\text{cys}] \exp[-\Delta G_{\text{l-cys}/RT}]/[1 + [L-\text{cys}] \exp[-\Delta G_{\text{l-cys}/RT}]] \]

Which can be rewritten for \((1 - \theta)\):

\[ (1 - \theta) = 1/[1[L-\text{cys}] \exp[-\Delta G_{\text{l-cys}/RT}]] \]

The role of the catalyst in the reaction is illustrated in Fig. 7 since as discussed before, the coordination of the thiolate to the Co (II) causes a decrease in the energy barrier, compared to the barrier where there is no Co-SR bond formation. This process then takes place in a concerted fashion with the electron transfer.

4.6. Analysis of the electronic structures

DFT calculations were performed for a better understanding of the previously described experimental data. Fig. 8a shows the

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Fig. 8. (a) Molecular structures of the systems: S1- S3 and Sc and (b) molecular structures of the adduct formed between the systems: S1- S3 and Sc with l-cys, optimized at PBE/6-31G(d)/LANL2DZ level.
optimized structures of the five molecular arrangements: S1, S2, S3, Sc1 and Sc2. These systems show a single attachment between the af-SWCNT with Co(COOH)₄Pc, which is an approximation because of the binding sites could be through more than one substituent of the complex. The optimized structures shows that the phthalocyanine is vertically placed when bound to af-SWCNT, this is in the same vertical orientation as the nanotubes with a slight inclination of 18° in average, the same was observed for the phthalocyanine bound to 4-ATP (4-ATP/Co(COOH)₄Pc system); then, both axial positions of the central metal remain available for the subsequent interaction with analytes (e.g. L-cys), unlike other systems reported in the literature [51].

On the other hand, the interaction of the af-SWCNTs/Co(COOH)₄Pc, free Co(COOH)₄Pc, and 4-ATP/Co(COOH)₄Pc systems with L-cysteinate is displayed in Fig. 8b, which takes place by Co-S binding; note that L-cys is coordinated to the metal center when the oxidation reaction occurs. The Co-S bond distances (see the supporting information for details) of the S1, S2 and S3 systems are of the order of 2.45 Å, which are lower with respect to the free Co(COOH)₄Pc complex and 4-ATP/Co(COOH)₄Pc (~2.52 Å). The latter suggest an increase in the interaction strength, which is noted from the interaction energies (ΔEint) summarized in Table 3. For all the systems, the ΔEint values are of the order of ~49.40 to ~52.34 kcal/mol, indicating that the formation of the five adducts are energetically favorable. However, the molecular systems containing the CNTs and 4-ATP showed that the adduct has greater stability, ~2 kcal/mol, with respect to the Sc1 system. This suggests that both carbon nanotubes and 4-ATP SAM would affect the metallic center of the macrocycle displacing its Electronic cloud and this way the interaction between Co with L-cys would become more favorable in the first step of the oxidation mechanism compared to the free Co(COOH)₄Pc complex. Note that for a single covalent bond is expected a bond order value close to 1.0; however, the dative Co-S bonding shows a bond order value of ~0.6. This result is expected for further steps, where the homolytic cleavage of the adduct must be reached without higher energies.

With respect to the electronic reactivity, Table 2 shows the μ and η indexes of the molecular arrangements and their isolated fragments. Taking as starting point the free Co(COOH)₄Pc complex, the data clearly show that as the system is assembled its electronic reactivity increases. Because of the low η values are associated to the high electronic reactivity, it is observed the free af-SWCNT systems have the highest reactivity (η ≈ 0.03 eV); while, the free Co(COOH)₄Pc complex (corresponding to the Sc1) and 4-ATP/Co(COOH)₄Pc (corresponding to the Sc2) showed the lowest reactivity with respect to the other systems (η = 0.51 and 0.44 eV, respectively). Consequently, when the af-SWCNT/Co(COOH)₄Pc assembled systems are formed, an relatively high reactivity is reached, with η values in the range of 0.07 to 0.14 eV. Furthermore, the μ index (which is associated to the negative of the Mulliken electronegativity [81]) shows that the free Co(COOH)₄Pc complex has the highest electrophilic character among all the systems. Thus, when the complex is attached to the af-SWCNT, the electrophilic character of the assemblies is improved with respect to the isolated af-SWCNT. An analysis of the frontier molecular

<table>
<thead>
<tr>
<th>Table 2</th>
<th>Reactivity descriptors molecular hardness (η) and chemical potential (μ) of molecular and isolated systems obtained at PBE/6-31G(d)/LANL2DZ level, values in eV.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Systems</td>
<td>Molecular Hardness</td>
</tr>
<tr>
<td>S1</td>
<td>0.07</td>
</tr>
<tr>
<td>S2</td>
<td>0.14</td>
</tr>
<tr>
<td>S3</td>
<td>0.11</td>
</tr>
<tr>
<td>Sc1</td>
<td>0.51</td>
</tr>
<tr>
<td>Sc2</td>
<td>0.44</td>
</tr>
<tr>
<td>af-SWCNTs(9.0)</td>
<td>0.02</td>
</tr>
<tr>
<td>af-SWCNTs(8.0)</td>
<td>0.03</td>
</tr>
<tr>
<td>af-SWCNTs(8.1)</td>
<td>0.05</td>
</tr>
<tr>
<td>L-cys (RS⁻)</td>
<td>1.80</td>
</tr>
</tbody>
</table>
orbitals (Fig. 9) (see the supporting information for details) shows that the LUMO (lowest unoccupied molecular orbital) has an important contribution in the metal center of the af-SWCNT/Co (COOH)₄Pc systems; thus the electrophilic character is still retained in the metal center, which is expected to act as the active site for the nucleophilic attack of the L-cys, favoring the oxidation of this thiol. In addition, it is worth noting that the μ values of all the systems (S₁, S₂, S₃, Sc₁ and Sc₂) are lower with respect to the deprotonated L-cys (Rs); then, the electrons should flow from the amino acid to the assemblies, suggesting the good setting for the oxidation reaction. Therefore, the covalent interaction of the CNTs with the Co(COOH)₄Pc complex has the expected synergistic effect, improving the properties presented by each component and provides a favorable scenario for the electrochemical reaction.

4.7. Interaction Energies

In order to obtain more information regarding each stage of the L-cys oxidation reaction with the S₁, S₂, S₃, Sc₁ and Sc₂ systems, Fig. 10 shows the energy profile where the relative energy of the involved processes is displayed: formation of the adduct, oxidation of the amino acid, and the homolytic cleavage of the adduct. The energy difference between the adduct and the free fragments is denoted as ΔEₑ, also called the interaction energy (Eᵣᵣ₉):

\[\Delta E₁ = E_{af-SWCNT/Co(COOH)₄Pc-Rs} - (E_{af-SWCNT/Co(COOH)₄Pc} + E_{Rs})\]

(1)

Additionally, the ΔE₂ and ΔE₃ values correspond to the energies involved in the amino acid oxidation and in the rupture of the oxidized adduct, respectively:

\[\Delta E₂ = E_{af-SWCNT/Co(COOH)₄Pc-Rs} - E_{af-SWCNT/Co(COOH)₄Pc} \quad \text{and} \quad \Delta E₃ = E_{af-SWCNT/Co(COOH)₄Pc-Rs} - E_{af-SWCNT/Co(COOH)₄Pc} \quad \text{and} \quad E_{Rs} \]

ΔE₃ = (E_{af-SWCNT/Co(COOH)₄Pc} + E_{Rs*})

(3)

Table 3 shows the ΔE₁, ΔE₂ and ΔE₃ values for the four molecular systems. The ΔE₁ values indicate that the formation of all adducts are energetically favorable as noted above, especially those systems with CNTs and 4-ATP SAM (S₁ > S₂ > Sc₂ > S₃). The next step corresponding to the oxidation of L-cys (ΔE₂) corresponds to an exergonic process; therefore, the system does not require additional energy to oxidize the L-cys. It was observed that in the S₁, S₂ and S₃ systems, the process is even more favorable with respect to Sc₁ and Sc₂ in ~7.6 and ~9.3 kcal/mol, respectively; this result is in agreement with the experimental trend, where the oxidation reaction requires less energy (a more negative onset potential) in comparison with the usage of af-SWCNT systems. In the last step, it is necessary to overcome a small energy barrier (positive ΔE₃ values) of the order of ~38 kcal/mol; however, the interaction between 4-ATP and Co(COOH)₄Pc (Sc₂) complex significantly increased the energy barrier (ΔE₃ ~ 44.80 kcal/mol) of dissociate the adduct after oxidation. Finally, it can be observed that the overall reaction is an energetically favorable process, where the lower values of ΔE₃TOT are obtained for the S₁, S₂ and S₃ systems with values from −103.5 to −97.83 kcal/mol. The latter indicates the key role of the carbon nanotubes in the assemblies, which significantly favors the different steps of the catalytic reaction.

5. Conclusions

We have shown the construction of two electrocatalytic systems: Au/4-ATP/Co(COOH)₄Pc and Au/4-MBA/af-SWCNT/Co(COOH)₄Pc. Both systems proved to be good catalysts for the reaction of electro-oxidation of L-cys. Nevertheless, the system with af-SWCNTs showed an improved catalytic activity with respect to Au/4-ATP/CoPc(COOH)₄: more negative oxidation potentials and higher anodic peak currents. The analysis of the Tafel slope (≥120 mV/decade) suggests for both systems that the rate determinant step is the transfer of the first and only electron. The large surface area of functionalized SWCNTs provide an easy pathway for fast electron transfer, and increases the electrophilic character of the metal center of Co(COOH)₄Pc complex by promoting the nucleophilic attack of the L-cys favoring the oxidation of this thiol.

The electrochemical impedance spectroscopy showed that incorporation of af-SWCNTs and Co(COOH)₄Pc decreased the energy barrier to the charge transfer imposed by the monolayers on Au/TCN. Theoretical calculations performed by density functional theory showed a good correlation with the experimental results obtained in the analysis of: geometrical parameters, reactivity descriptors and interaction energy, among others; validating the models used and the calculation methodology. Further, it is suggested that the af-SWCNTs increased the reactivity of the assembly to the electronic transfer and in energy terms, the electrooxidation reaction is favorable.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.electacta.2017.09.082.

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