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In this thesis, theoretical aspects of observables thrown by cosmic inflation were studied. In the first work, hypothetical feature correlations were studied in the inflationary scalar spectrum with features in the tensorial spectrum, with an explicit relationship between both at the limit of the sharp features. In particular, the anomaly in the scalar spectrum around  $l = 23$  was taken as an example, where the impact of it on the tensorial spectrum was studied. The first slow-roll parameter, Epsilon, might suppress those possible deviations to the scale invariance in the tensorial spectrum.

In the second work, it was found how to generate physical modes in the infrared limit in scenarios where the curvature perturbations evolve outside the horizon. They obey remaining symmetries that satisfy curvature modes in the infrared limit, called by other authors, shift-symmetries. Particularly, as a result of this limit, pre-existing relationships in the literature (violations of the Maldacena consistency relation) could be recovered without resorting to standard in-in formalism for the calculation quantum interactions, or Schwinger-Keldish interactions.

In the third work, the gravitational effect of the adiabatic curvature modes on an inertial observer was studied, for which the conformal Fermi coordinates (CFC) associated with this observer were used. In this framework, we investigated the limits of the testability on the modes of curvature in the infrared limit, particularly, considered two inflationary scenarios of great phenomenological importance using CFCs; ultra slow-roll (USR) and slow-roll (SR) inflation. In both, it was found that the amount of local non-Gaussianity observed by an inertial observer at first order is zero. Additionally, a connection was found between the coordinate transformations induced by the CFCs and the coordinate transformations generated by elements of the conformal group in a de Sitter space.

Finally, beams associated with the 40GHz band for the CLASS telescope were characterized, they were modeled at the level of 1% above the intrinsic noise floor of the detectors, eliminating systematic calibration effects through a pseudoanalytic deconvolution of the calibration source used (the Moon), in the regime of axially symmetric beams and sources. Finally, its window function was obtained within the range of multiples  $0 < l < 250$  at the level of 1%.

En esta tesis, se estudiaron los aspectos teóricos de los observables arrojados por la inflación cósmica. En el primer trabajo, se estudiaron correlaciones hipotéticas de características en el espectro escalar inflacionario con características en el espectro tensorial, con una relación explícita entre ambas en el límite de las características definidas. En particular, se tomó como ejemplo la anomalía en el espectro escalar de alrededor de  $l = 23$ , donde se estudió su impacto en el espectro tensorial. El primer parámetro de desplazamiento lento, Epsilon, podría suprimir esas posibles desviaciones de la invariancia de escala en el espectro tensorial.

En el segundo trabajo, se encontró como generar modos físicos en el límite infrarrojo en escenarios donde las perturbaciones de curvatura evolucionan fuera del horizonte. Los modos de curvatura en el límite infrarrojo obedecen simetrías remanentes, llamados por otros autores, simetrías de desplazamiento. En particular, como resultado de este límite, las relaciones preexistentes en la literatura (violaciones de la relación de coherencia de Maldacena) podrían recuperarse sin recurrir al formalismo estándar para el cálculo de las interacciones cuánticas o las interacciones de Schwinger-Keldish.

En el tercer trabajo, se estudió el efecto gravitacional de los modos de curvatura adiabáticos en un observador inercial, para lo cual se utilizaron las coordenadas conformes de Fermi(CFC) asociadas con este observador. En este marco, se indagaron los límites de la testeabilidad sobre los modos de curvatura en el límite infrarrojo, en particular, consideramos dos escenarios inflacionarios de gran importancia fenomenológica utilizando las CFC; Inflación ultra de desplazamiento lento(USR) y desplazamiento lento(SR). En ambos, se encontró que la cantidad de no-Gaussianidad local observada por un observador inercial de primer orden es cero. Además, se encontró una conexión entre las transformaciones de coordenadas inducidas por las CFC y las transformaciones de coordenadas generadas por elementos del grupo conforme en un espacio de de Sitter.

Finalmente, se caracterizaron los beams asociados con la banda de 40 GHz para el telescopio CLASS, se modelaron a un nivel de 1% por encima del nivel de ruido intrínseco de los detectores, eliminando los efectos de calibración sistemáticos a través de una deconvolución pseudoanalítica de la fuente de calibración utilizada (La Luna), en el régimen de beams y fuentes axialmente simétricas. Finalmente, se obtuvo la función ventana de los beams dentro del rango de múltiplos  $0 < l < 250$  a nivel de 1%.

*The journey is the destination, man.*  
Gerald Johanssen



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# Chapter 1

## Introduction

The last century was an epoch of significant achievements in physics. Mainly, cosmology started with Einstein's discovery of General Relativity in 1915, then Hubble derived an empiric relation between the distance and velocity of galaxies that could be explained by the expansion of the Universe. The discovery of the Cosmic Microwave Background signal in 1964 by Arno Penzias and Robert Wilson, which was the first observational indication of a cosmological phase of the Universe proposed in 1948 by George Gamow and Ralph A. Alpher known as big bang nucleosynthesis; by 1967, Partridge and Wilkinson had shown, over large regions of the sky, that the fractional difference of temperature was order  $10^{-3}$ , leading to the conclusion that the Universe was in thermal equilibrium at the time of decoupling.

During the 80s and 90s, funding agencies came to realize the opportunity to deploy compelling astrophysical programs both on the ground and on satellites; COBE and WMAP became a reality. First, Cosmic Background Explorer(COBE) measured spectral radiation coming from an almost perfect black body in all directions of the sky, and for the first time, detected oscillatory features in the CMB power spectrum. The exactness of the black body radiation opened the opportunity to make sensitive tests to non-thermal injections of energy that could distort this spectrum to times before decoupling, for example, the existence of long-lived relic particles with tolerability of one month of a lifetime. Second, Wilkinson Mapping Anisotropy Probe(WMAP) settled a landmark in 2003 by measuring with unprecedented precision the CMB temperature anisotropies, allowing to pin down the Universe's properties as the best as possible, in many cases at the percent levels. Nowadays, they continue to be accord with what are now few percent-level observations. It seems inevitable that this was the moment when the Standard Cosmological Model became firmly established.

One of the most striking and unexpected result in cosmology was the outstanding discovery in 1998 by the Supernova Cosmology Project, and the High-Z Supernova Search Team used type Ia supernovae to measure the rate of the 'expected' deceleration since recession velocity would always be decreasing, due to the gravitational attraction of the matter in the Universe. Surprisingly, they found something that challenged our current belief at that time: objects in the Universe are moving away from one another at an accelerated rate, the so-called accelerating expanding the Universe. Confirmatory evidence has been found in baryonic acoustic oscillations, and analyses of the clustering of galaxies. Again, nature does not care

about what we believe; nature is what it wants to be.

Further development also came by work made by theorists; the actual inflationary paradigm developed to explain the homogeneity and the flatness of our Universe in 1982 by Guth, Linde, Albrecht, and Steinhardt; also came with the surprise that served as a mechanism to generate density fluctuations that seeded the structures of our Universe. The findings by WMAP supported the idea that structures in the Universe came from gravitational instability to overdensities, as was believed 20 years previous of these measurements. In words of the astrophysics John Bahcall, " the biggest surprise is that there are no surprises," this tells us that our blind eye anticipated descriptions of our Universe were entirely consistent with the unprecedented measurements made by WMAP and its successor Planck.

Despite all these developments, GR had only been tested in the regime of weak gravity, but never in the strong one, until recently. In 2016, the LIGO group detected gravitational waves from binary compact objects, concisely, the coalescence of two binary black holes of  $\approx 30M_{\odot}$ . So far, our picture of the Universe was mostly assembled using traditional optic telescopes in every electromagnetic band, from gamma rays to radio. Although this picture revealed wonders, it has so far lacked highly precise information about objects where gravity is extremely strong such as BHs, or where gravity is dynamical, and speeds are relativistic. LIGO team provided missing pieces of this puzzle, for instance, constraining the speed of gravitational waves close to the speed of light. Nowadays, the two advanced LIGO detectors in the U.S. and the Virgo detector in Italy are gradually approaching their design sensitivity, and the third LIGO interferometer in India is expected to join the worldwide network around 2025. This increasing sensitivity could help us to keep testing GR in strong regimes constraining the relaxation process and structure, or simple, "BH ringdown" after BHs collisions. This remnant oscillatory spectrum could shed some new light in testing modified theories of gravity.

Also in 2019, the event horizon telescope(EHT), a set of synchronized telescopes on the Earth's surface, unveiled the first direct visual evidence of a supermassive BH. The image of the BH at the center of M87, a massive galaxy in the nearby Virgo galaxy cluster, reveals a ring-like structure with a dark central region - the black hole's "shadow." Many of the features of the observed image matched our theoretical understanding of BH "atmosphere" surprisingly well.

Most of these findings helped to set the foundations of the standard model of cosmology known as the  $\Lambda$ CDM model, or concordance model. This way of looking our Universe encompasses different concepts for its description: First, we have the  $\Lambda$  component which tells us about the expanding Universe at late times, and second, we have the CDM which stands for cold dark matter. Under this model, our Universe is made of  $\sim 70\%$  of dark energy, encoded in the cosmological constant  $\Lambda$ , and around a  $26\%$  of cold dark matter which is used to explain the formation of galaxies and their empirical rotation curves. Its original composition and why it does not interact with ordinary matter is still a remaining mystery. The last part is the  $4\%$  containing all the baryonic matter of our Universe. These are the particles contained in planets, stars and gas clouds. It is worth mentioning that the  $\Lambda$ CDM model describes the main complexities of our Universe in just six parameters that come from Quantum Mechanics, General Relativity, Nuclear Physics, Electrodynamics, and Kinetic theory. This

striking description makes our Universe a remarkably simple system. Nevertheless, there are still some unknown ingredients. For example, dark matter, dark energy whose microscopic origin is not understood, after all, cosmology has become a mainstream topic within particle physics, mainly because cosmology provides several of the pieces of observational evidence for the incompleteness of the Standard Model of particle physics.

Nowadays, there is still open problems and prospects in cosmology. Local measurements of the Hubble parameter,  $H_0$ , from supernovae and lensing time delays, disagree with the value inferred from a  $\Lambda$ CDM fit to the cosmic microwave background (CMB), with local measurements suggesting a higher value. Any apparent systematic effect does not easily explain this discrepancy in either measurement, and so increasing attention is focusing on the possibility that this "Hubble tension" may be indicating new physics beyond the standard  $\Lambda$ CDM cosmological model. In the 2020s, surveys will discover thousands of SNe Ia across a broad redshift range  $0 < z < 2$ , and together with our advances in understanding and controlling the sources of systematic uncertainties, this opens up a wide array of potential uses and narrowing down the achievable experimental precision.

Ground and potential new space mission with thousands of detectors will constraint the elusive B-modes, characterize galactic foregrounds with unprecedented precision. The missing pieces of the puzzle will be provided by GW detectors, such as LIGO, Virgo, and future ground-based detectors; Pulsar Timing Arrays; and the planned future space-based interferometers such as LISA. Increasing their sensitivity to detect binary compacts objects, but also increasing our hopes to keep pushing the limits of testability and therefore our understanding of nature.

Precision cosmology in now. Fasten your belts to your seats.

## 1.1 Standard $\Lambda$ CDM Cosmology

Cosmology became a science once Einstein's discovery of General Relativity (GR) related the observed distribution of stress-energy to the measurable geometry of spacetime. This implies the geometry of the universe as a whole can be tied to the overall distribution of matter at the largest scales. It used to be an article of faith that this geometry should be assumed to be homogeneous and isotropic, the so-called cosmological principle, but these days it is pretty much an experimental fact that the stress-energy of the universe is homogeneous and isotropic on the largest scales visible. On such large scales, the geometry of space-time should, therefore, be homogeneous and isotropic.

The Friedmann-Robertson-Walker(FRW) metric describes the most general homogeneous and isotropic geometry in 3+1 dimensions. The line-element for this metric can be written as

$$ds^2 = g_{\mu\nu}dx^\mu dx^\nu = -dt^2 + a^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2(d\theta^2 + \sin^2 \theta d\phi^2) \right], \quad (1.1)$$

where  $a(t)$  is the scale factor describing the relative size of a spacelike hypersurface  $\Sigma_t$  at different times. The FRW geometry is characterized by the curvature of such spatial slices, where the parameter  $k$  is 0 for flat Euclidean space; 1 for positive curvature space and  $-1$  for negative ones.

To study this class of spacetime it is useful to analyze the propagation of signals emitted by a source at a given time  $t_1$ , and observed by us today at a time  $t_0$ . Because of the expansion of space, this signals become stretched while they travel through the universe. We define the redshift of light between the time of departure  $t_1$  and arrival  $t_0$  as

$$1 + z = \frac{a(t_0)}{a(t_1)}. \quad (1.2)$$

Then if  $a(t)$  is increasing with time, the frequency is shifted towards the infrared (redshift today), by a factor  $a(t_1)/a(t_0)$ , and  $z$  is different from 0. Additionally, the expansion rate is characterized by the Hubble parameter  $H = \dot{a}/a$ , which is positive for an expanding universe and negative for contracting universes. It is convenient and usual to define  $a(t_0) = 1$  and recognize  $H(t_0) = H_0$  as the Hubble rate today or the Hubble constant. The comoving distance to an object at redshift  $z$ ,

$$\chi(z) = \int_0^z \frac{dz'}{H(z')}, \quad (1.3)$$

allows us to define two important cosmological distance measures:

- **Angular diameter distance.** It is defined as the ratio of an objects physical transverse size to its angular size at emission time. This is given by

$$d_A(z) = \frac{1}{1+z} \chi(z). \quad (1.4)$$

- **Luminosity distance.** It measures the corrected distance, due to redshifting of the luminous distance emitted by an object as observed on Earth. It is given by

$$d_L(z) = (1+z) \chi(z). \quad (1.5)$$

The importance of these measures is that they give us the possibility to consider measurements of distances at large redshifts, say  $z > 0, 1$  when the effects of cosmological expansion are considerable. Measurements at large redshift, tell us whether the universe is expanding or contracting and how fast.

### 1.1.1 Einstein equations

To describe the dynamics of the FRW spacetime defined previously, we should solve the Einstein's equations. As a first approximation, we assume that the interaction between different matter components is negligible. In that case, the universe can be modeled as it was filled with a perfect fluid of pressure  $p$  and density  $\rho$ . This type of matter is consistent with the symmetries of the spacetime considered previously, and is described by the following energy-momentum tensor:

$$T_{\nu}^{\mu} = \begin{pmatrix} \rho(t) & & & \\ & -p(t) & & \\ & & -p(t) & \\ & & & -p(t) \end{pmatrix}. \quad (1.6)$$

Einstein equations  $G_{\mu\nu} = 8\pi T_{\mu\nu}$  become:

$$H^2 + \frac{k^2}{a^2} = \frac{1}{3M_{\text{pl}}^2}\rho, \quad \dot{H} + H^2 = -\frac{1}{6M_{\text{pl}}^2}(\rho + 3p). \quad (1.7)$$

On the other hand, conservation of energy-momentum tensor  $T^{0\nu}{}_{;\nu}$  gives:

$$\dot{\rho} + 3H(\rho + p) = 0. \quad (1.8)$$

Solving this set of equations will allow us to study the evolution of the scale factor  $a$  in terms of the matter content that fills the universe. To proceed we will consider low-density fluids, such that they satisfy a barotropic state equation. In that case, the pressure as a function of density is given by  $p = w\rho$ . Therefore Eq.(1.8) can be rewritten as

$$\frac{d \ln \rho}{d \ln a} = -3(1 + w), \quad (1.9)$$

thus implying that  $a \sim \rho^{-3(1+w)}$ , which gives us back the scale factor as a function of time:

$$a(t) = \begin{cases} t^{\frac{2}{3(1+w)}} & \text{for } w = -1 \\ e^{Ht} & \text{for } w = -1 \end{cases} \quad (1.10)$$

Therefore, we notice that depending on the matter content that we consider, we get a different evolution of the scale factor  $a(t)$  and, thus, the Hubble parameter  $H(t)$ . As the universe is filled with a mixture of different matter components, it is useful to classify them by their contribution to the pressure. Let us examine four important cases:

- **Matter.** We will use the term matter, to refer to any form of matter for which the pressure is much smaller than energy density  $|p| \ll \rho$ . This is the case for a gas of non-relativistic particles, where the energy density is dominated by the mass term. This includes cold dark matter and baryons (nuclei and electrons). Setting  $w = 0$ , we get:

$$\rho \propto a^{-3}. \quad (1.11)$$

This dilution of the energy density simply reflects the expansion of a volume  $V \propto a^3$ .

- **Radiation.** Radiation denotes anything for which the pressure is about a third of energy density,  $p = \rho/3$ . This is the case for a gas of relativistic particles, for which the energy is dominated by the kinetic energy. This includes photons, neutrinos, and gravitons.

$$\rho \propto a^{-4}. \quad (1.12)$$

The dilution now includes an extra factor  $a^{-1}$  due to the redshifting of the photon energy  $E \propto a^{-1}$ .

- **Vacuum energy.** Or better known as *Dark Energy*. Einstein's equations allow another source  $T_{\mu\nu} \propto g_{\mu\nu}$ , producing a negative pressure component characterized by an equation of state  $p = -\rho$ . This is unlike anything we have encountered in the lab but is hypothesized as the cause of the actual expansion of the universe. We find that the energy density is constant

$$\rho \propto a^0. \quad (1.13)$$

Since in this case, the energy density does not dilute, energy is created as the universe expands.

- **Curvature.** We can also include the effect of the curvature of spacetime as a type of fluid characterized by an energy density:

$$\rho \propto a^{-2}. \quad (1.14)$$

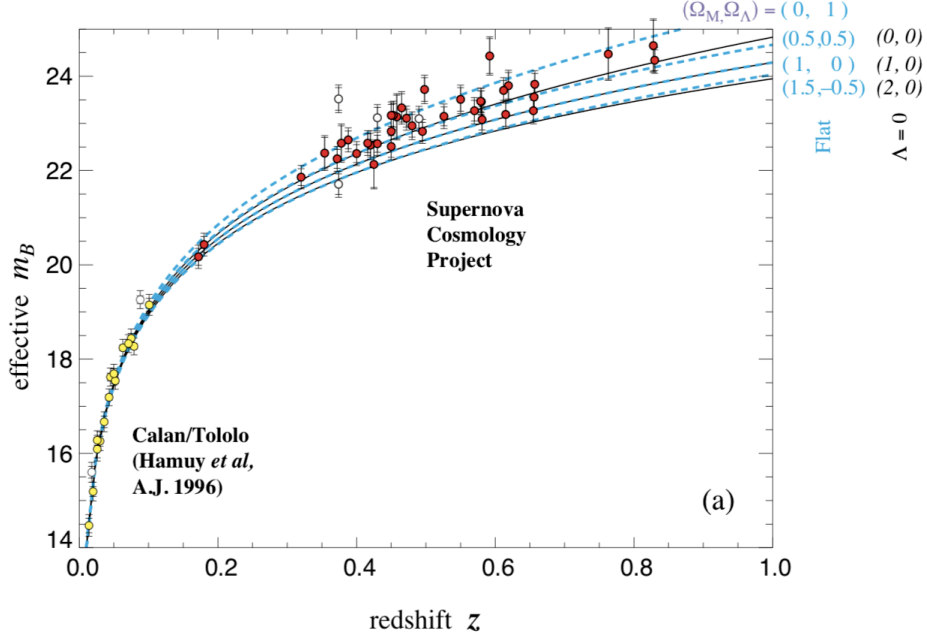


Figure 1.1: Supernova recession: Evidence for dark energy, found in 1998 by the Supernova Cosmology Project and Calan-Tololo Supernovae Survey.

It will be useful to rewrite the different components of the stress-energy tensor in terms of the critical density for a flat Universe  $\rho_c = 3M_{\text{pl}}^2 H^2$ , which evaluated today becomes,

$$\rho_{c,0} = \frac{3H_0^2}{8\pi G} \quad (1.15)$$

The dimensionless densities evaluated today are:

$$\Omega_{i,0} = \frac{\rho_{i,0}}{\rho_{c,0}} \quad (1.16)$$

Then Friedmann eq. (1.7) becomes

$$H^2 = H_0^2 [\Omega_{r,0} a^{-4} + \Omega_{m,0} a^{-3} + \Omega_{k,0} a^{-2} + \Omega_\Lambda] \quad (1.17)$$

Where we have defined

$$\Omega_{k,0}(a) = -\frac{k}{a^2 H_0^2} \quad (1.18)$$

as a measure of the relative curvature contribution. In the literature, one usually drops the index '0'. Observations suggest that  $\Omega_k = 0$  so we disregard this contribution hereafter.



### 1.1.2 Concordance model

The concordance or  $\Lambda$ CDM model is based on well-tested physical principles, including general relativity that describes the dynamics of an expanding universe, the quantum mechanical laws that govern the creation of species during early times, and the Boltzmann equation which allows us to track the evolution of each of these species.

Parameter	Planck $TT$ +low $P$ +lensing
$\Omega_b h^2$	$0.02237 \pm 0.00015$
$\Omega_c h^2$	$0.1200 \pm 0.0012$
$100\theta_{\text{MC}}$	$1.04092 \pm 0.00031$
$\tau$	$0.0544 \pm 0.0073$
$\ln(10^{10} A_s)$	$3.044 \pm 0.014$
$n_s$	$0.9649 \pm 0.0042$
$H_0$	$67.36 \pm 0.54$
$\Omega_m$	$0.3153 \pm 0.0073$
$\sigma_8$	$0.8111 \pm 0.0060$

Table 1.1: Parameter 68% confidence levels for the base  $\Lambda$ CDM cosmology computed from the *Planck* CMB power spectra, in combination with the CMB lensing likelihood.

However, most of the parameters in the concordance model contains information about physics about which we still have no detailed understanding. The relative fractions of baryons, dark matter and dark energy in the universe are all governed by fundamental processes that still lie outside the current Standard Model of particle physics, and may extend up to the TeV, GUT or even Planck scales. The set of variables required by the concordance cosmology is not fixed but is dictated by the quality of the available data and our ignorance of fundamental physical parameters and interactions.

Table 1.1 details the six parameter used in the  $\Lambda$ CDM model and their current values according to Planck [1] combined with supernovae data and LSS observations [2]. From 1.1 it can be deduced that the current model favors a flat universe dominated by a 68.25% of dark energy, 26.8% of dark matter and a 4.9% of ordinary or baryonic matter. This parametrization also includes data about the initial condition necessary to produce the CMB power spectrum. Assuming that the initial state of the universe was filled with small adiabatic curvature perturbations parametrized by a power spectrum of the form:

$$\mathcal{P}_{\mathcal{R}}(k) \propto A_s k^{n_s-1}. \quad (1.19)$$

Table 1.1 indicates that Eq.(1.19) forms a near scale invariant power spectrum.

### 1.1.3 Thermal History

The concordance model describes how the universe is today dominated by dark energy, and how it is composed of a determined number of species. Since dark energy remains constant in time, at early times, matter and radiation should be dominant. We now, examine briefly, the evolution of different types of matter, that reproduce the universe we see today.

At the beginning, our universe was hot and dense, and spacetime was expanding. This means that early epochs are characterized by high energies, at which certainly broken symmetries in the laws of physics were restored, and by the fact that the expansion rate  $H(t)$  plays an important role as a timescale. The interaction between particles freeze out or decouple when the interaction rate drops below the expansion rate. Table 1.2 summarizes the various phase transitions related to symmetry breaking events. We will give a quick summary of the most important events in the evolution of the Universe. This description will start at 100 GeV where we still have a detailed picture of the physics present.

Above 100 GeV the electroweak symmetry is restored, and the  $Z$  and  $W_{\pm}$  bosons are massless. Interactions are strong enough to keep quarks and leptons in thermal equilibrium. Below 100 GeV the symmetry between the electromagnetic and the weak forces is broken,  $Z$  and  $W_{\pm}$  bosons acquire mass, and the cross-section of weak interactions decreases as the temperature of the universe drops. As a result, at 1 MeV, neutrinos decouple from the rest of the matter. Shortly after, at 1 second, the temperature drops below the electron rest mass and electrons and positrons annihilate efficiently. Only an initial matter-antimatter asymmetry of one part in a billion survives. The resulting photon-baryon fluid is in equilibrium. Around 2.2 MeV the strong interaction becomes important, and protons and neutrons combine into the light elements (H, He, Li) during Big Bang nucleosynthesis ( $\sim 200$ s). The successful prediction of the light elements (H, He and Li) abundances is one of the most striking consequences of the Big Bang theory. The matter and radiation densities are equal at around 1 eV ( $10^{11}$  s). Charged matter particles and photons are strongly coupled in the plasma and fluctuations in the density propagate as cosmic *sound waves*. Around 0.1 eV (380,000 yrs) protons and electrons combine into neutral hydrogen atoms. This epoch, at which the first atoms start to form (H) is referred to as recombination, despite the fact that electrons had never before combined into atoms.

Event	time $t$	redshift $z$	temperature $T$
Electroweak phase transition	$10^{-10}$ s	$10^{15}$	100 GeV
QCD phase transition	$10^{-9}$ s	$10^{12}$	150 MeV
Neutrino decoupling	1 s	$6 \cdot 10^9$	1 MeV
$e^- - e^+$ annihilation	6 s	$2 \cdot 10^9$	500 KeV
Big bang nucleosynthesis	180 s	$4 \cdot 10^8$	0.75 eV
Matter-radiation equality	60 kyr	3200	0.75 eV
CMB decoupling	380 kyr	1100	0.23eV
Reionization	100 Myr	11	2.6meV
Dark energy-matter equality	9Gyr s	0.4	0.33meV
Present	13.7 Gyr	0	0.24meV

Table 1.2: Thermal history of the universe.

At a temperature higher than about 3000K the Universe consisted of an ionized plasma of mostly protons, electrons and photons, with mainly helium and traces of lithium. The main feature of this plasma is that it was opaque, or more precisely, the mean free path of a photon was smaller than the Hubble length. As the universe cooled and expanded, the plasma recombines into neutral atoms, first the helium, then a little later the hydrogen.

After recombination, and once the gas became neutral, the mean free path for photons

become much larger than the Hubble length, since radiation decoupled from matter. The universe is then full of a background of freely propagating photons with an almost perfect black body distribution at the time of recombination epoch. This background radiation has a temperature of  $T = 3000\text{K}$ , and as the universe expands photons redshifted, so that the temperature of photons drops with the increase of the scale factor  $T \propto a^{-1}$ . We can detect these photons today by looking at the sky, this background of photons come to us evenly from all directions, with an average temperature of  $T_0 = 2.73\text{K}$ , this is the **cosmic microwave background**(CMB) located at a uniform surface or last scattering surface at redshift 1100.

Even though the observed CMB is highly isotropic, it is not perfectly isotropic. The first anisotropy discovered was the dipole which was first measured in the 1970's by several groups. It was until more than a decade after, that the first observation for the anisotropy was made  $l > 2$ , by COBE satellite:

The natural basis for describing these anisotropies are the spherical harmonics  $Y_{lm}(\theta, \phi)$ . The motion of earth with respect to the CMB comoving frame induces a Doppler shift in temperature, and this intrinsic dipole effect can be average out; additionally, any locally uniform perturbation will contribute to the effective background, making indistinguishable from noticing it. Technically, we typically say that  $l = 0, 1$ (monopole and dipole) contribution are gauge dependent in a part in a  $\sim 10^5$ , so it does not make any sense have an exact measurement for them. Nevertheless, the multipoles  $l \geq 2$  are genuinely gauge invariant and well defined:

$$\frac{\Delta T}{T_0} = \sum_{l=0}^{\infty} \sum_{m=-l}^{m=l} a_{lm} Y_{lm}(\theta, \phi). \quad (1.20)$$

If we assume statistical isotropy, then there is no preferred direction in the universe, and we expect that the statistical properties of  $\Delta T/T_0$  to be independent of the index  $m$ . In consequence, we can define the power spectrum estimator

$$C_l = \frac{1}{(2l+1)} \sum_m |a_{lm}|^2 \quad (1.21)$$

which is better known as the rotationally invariant temperature angular power spectrum. Which technically is the variance of the probability distribution for  $a_{lm}$ 's. This quantity simplifies the information contained in a CMB map pixel and thus is used to analyze the CMB power spectrum.

$$\frac{\Delta T}{T} \approx 10^{-5}, \quad (1.22)$$

The anisotropies represent the tiny primordial density fluctuations in the cosmological matter present at the time of recombination. These small density perturbations, grow via gravitational instability to form the large-scale structures observed in the late universe. A competition between the background pressure and gravity determines the details of the growth of structure. During radiation domination the growth of the overdensities is slow  $\delta\rho \propto \ln a$ . Clustering becomes more efficiently after matter dominates the background density,  $\delta\rho \propto a$ . Small scales become non-linear when  $\delta\rho \sim 1$ , and form gravitationally bound

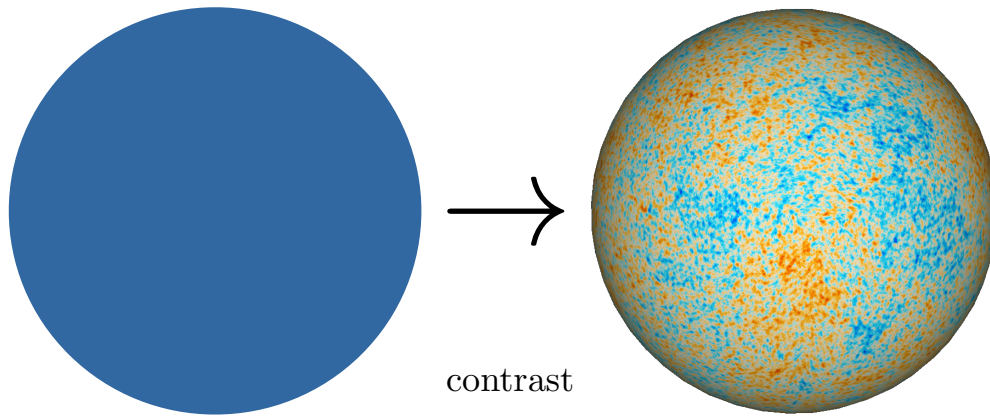


Figure 1.2: Homogeneity and contrast of the CMB: Pictorially if we take a CMB picture and then we tune its contrast we would notice the anisotropies structure, corresponding to a different temperature at a different point on the sky.

objects that decouple from the overall expansion that leads to a picture of hierarchical structure formation with small-scale structures (like stars and galaxies) forming and then merging in larger structures (clusters and superclusters of galaxies). Around redshift  $z \approx 25$ , high energy photons from the first stars begin to ionize the hydrogen in the intergalactic medium. This process of reionization is completed at  $z \approx 6$ . Meanwhile, the most massive stars run out of nuclear fuel and explode as supernovae. In these explosions the heavy elements (C, O, etc.) necessary for the formation of life. At  $z \approx 1$  negative pressure dark energy starts to dominate the universe expansion, and the growth of structure ceases  $\delta\rho \approx \text{constant}$ .

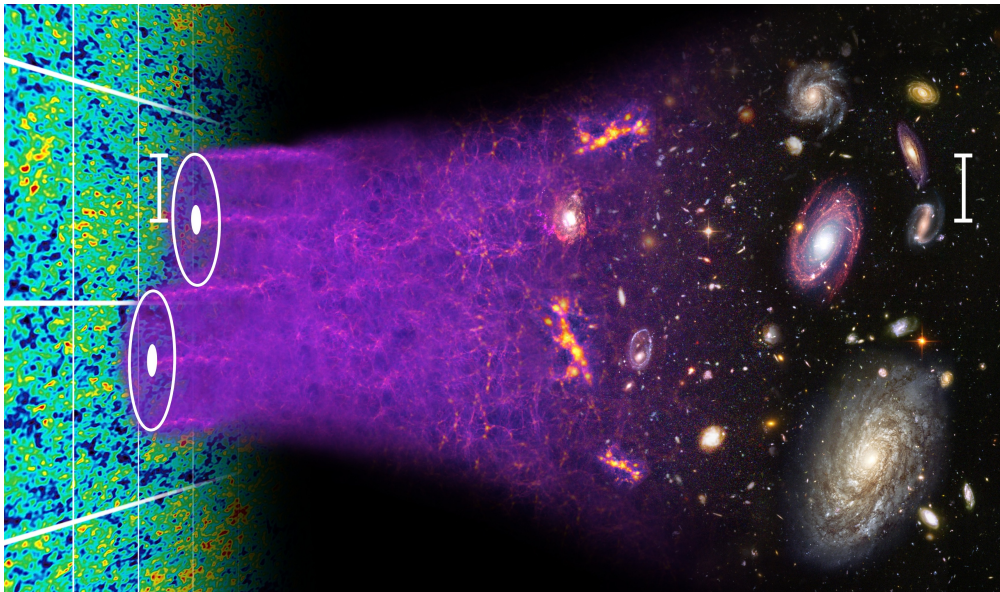


Figure 1.3: Universe evolution. Credit: <http://www.damtp.cam.ac.uk/user/db275/concepts/Lecture7.pdf>.

Nowadays, there are many groups such as CLASS, Simons Observatory, BICEP, Polar Bear among others, expanding the frontier by trying to detect the elusive B-modes and constraint

the reionization depth, which is a challenging task due to the experimental effort. Mainly, the B-modes are entirely a quantum gravity phenomena, so fundamentally is important; additionally, it may give us the energy scale of inflation. We are not able to reach a signal fainter than  $r \sim 0.001$ , if inflation is a quantum phenomena we know that they must be there since it must excite light degree of freedom, but we do not know how energetic inflation was, these pure signal independently from the inflationary model will tell us something about its energy. For which a principal claim is that quantum fluctuations explain the properties of primordial fluctuations presently found written large across the entire space. This claim would imply not only that quantum gravity effects are observable; but that their imprint has already been detected cosmologically so far. Such affirmations encourage the need to clarify what parameters control the size of quantum effects in gravity and identify the regime of validity of semi-classical methods in cosmology.



Figure 1.4: Cosmology Large Angular Scale Surveyor Aka. CLASS telescope located in cerro Toco, San Pedro de Atacama, Chile. Credit: Matthew Petroff, CLASS collaboration.

As we know so far, inflation gave us coherent adiabatic modes that are frozen until the hot big-bang epoch; their power spectrum is characterized for being nearly scale-invariant giving insights about a nearly de Sitter expansion, and their coherent phases tell us that some event happened everywhere at the same time. However, inflation from the fundamental perspective is not well understood. Nobody knows what happened precisely there, but we are confident that something happened at the same time everywhere. Theories embedded in

string theories and supergravities as UV completions offer a possible compelling explanation, but their testability maintains us skeptic from it. The most significant advances in the understanding of inflation have been in the frame of Effective Field Theory(EFT), its success is based on the fact that it allows separating the physics valid at different energy scales. Therefore one can isolate the essential degrees of freedom valid up to a specific range of energy, while the remaining is encoded in operators of the low energy theory. This EFT approach allows unifying most of the single field models of inflation in just a few parameters.

Observability of inflationary predictions is another enterprise as well and makes contact with the issue of what means a measurement in gravity at the classical and quantum level. The theory of cosmological perturbations assumes an external observer with unlimited access to all places and at all times with complete knowledge of the entire ensemble of modes of different fields and their properties; under this treatment, this type of observers are outside the experiment(our universe). Nevertheless, that is not our case. Instead, we are observers confined in a 4-dimensional spacetime influenced and subordinated by its dynamics. Under this perspective, we are observers inside of the experiment, which at the same time is merely one realization of other similar alternatives, with limited access to the entire ensemble of fields modes.

Due to this fact, we can not have full access to the entire universe restricting our knowledge and information available to just a causally connected small region of space from which we sample the local statistical distribution. How we formally deal with the influence of spacetime dynamics on us is by using the Conformal Fermi Coordinates(CFC). First introduced [3], in the context of an observer trajectory near strong gravitational fields(Fermi coordinates), but ultimately incorporated and revived in a cosmological context [4] [5] adapted to a conformal scenario to study the primordial curvature power spectrum and bispectrum of our universe. Its construction follows from the *flatness theorem* and at the same time is a consequence of the *equivalence principle* on our Hubble local patch. These coordinates allow us to connect the global theory of fluctuations with observations whose precision scales as  $k_S^2/k_L^2$ , where  $k_S$  is the observed fluctuation on a determined scale and  $k_L$  correspond to long modes whose scale is longer than the scales of interest. The main prediction of these coordinates is the vanishing of the local measured squeezed limit of the bispectrum[5].

Additionally, current CMB observations show the existence anomalies such as the dipolar, quadrupole and octupole asymmetry, and a bumpy *features* at  $l \sim 23$ . During this work, we will focus on the latter. First, we will use the time-dependent background of the de Sitter universe, commonly encoded in the slow roll parameters, to create a self-interaction term for the curvature perturbations[6]. In chapter 4 of this thesis, we analyze the effect of such background quantities in the power spectrum of the tensor modes and establish a possible relationship between both power spectra.

The outline of this thesis is the following: In chapter 1, We sketch the salient features of the standard cosmological model  $\Lambda$ CDM. In chapter 2, We introduce the standard paradigm of *inflation*, and review its main features, non-gaussianities to pave our the road in the subsequent sections. In chapter 3, We introduce the main features of CMB by dissecting it in different pieces to understand the main features qualitatively for temperature and polarization signal. In chapter 4, We analyze the implications of the time-dependent background

quantities that parametrize inflation for the scale invariance of the tensor power spectrum. In chapter 5, We derive new relations for the local non-gaussianity for Slow and Ultra-slow roll scenarios. In chapter 6, First, introduce the notion of the Fermi coordinates, and then analyze their implications for the observed power spectrum and bispectrum. In chapter 7, We characterize the beams and the window functions for the 40GHz band of the Cosmology Large Angular Scale Surveyor(CLASS).

Finally, we will follow the natural units convention  $c = \hbar = 1$ . The reduced Planck mass:  $M_{\text{pl}}^2 = 32G_{\text{N}}$ . The Einstein summation over repeated indices. The Greek indices range from 0 to 3 and Latin indices from 1 to 3. The boldface variable denotes spatial coordinates. e.g. **x**.





# Chapter 2

## Inflation

The cosmic inflation or simply inflation is an early phase of our universe, in which it suffered a dramatic exponential spatial expansion. This phase explains the universe flatness, near spatial homogeneity and isotropy, and provides an explanation for the origin of the large-scale structure(LSS) of the cosmos. Particularly, the origin of LSS are understood as quantum fluctuations of microscopic regions that were amplified to cosmic scales when the universe expanded during inflation [7, 8].

Nowadays, inflation is a fundamental piece of our current six cosmological parameter model, the  $\Lambda$ CDM model, its mechanism of expansion provides two essential parameters that characterize the near scale-invariant primordial scalar power spectrum of the matter overdensities that seeded our universe: the amplitude  $A_s$  and slope,  $n_s$  [9]. The former is a parameter that characterize how big the fluctuations are and the latter is a parameter that tells us about how the amplitude varies according to the spatial scales, but most importantly about a quasi de Sitter spatial expansion during the earliest time in our universe.

The predictive power of Inflation relies on one the features of its spacetime fluctuations: adiabaticity. Adiabatic quantum spacetime fluctuations are conserved or frozen when their exit the Hubble horizon until then re-enter after reheating [10]. The mesmerizing strong facts about CMB fluctuations, is that they are both adiabatic and coherent. These facts imply that observations can be connected with fluctuations generated during inflation; additionally, they tell us that some event happened at the same time everywhere[11].

In this chapter first, we will discuss about some cosmic problems that were of relevance before inflation was introduced and we will explain how inflation solves them. Second, we will characterize the inflationary metric background, how it can be produced from a field theoretical frame. Third, we consider how spacetime fluctuations are generated from quantum field theory of spacetime during inflation and characterize the main features of them. Finally, we will review some frontier topics in inflation.

This chapter is partially based on [12]. Section (2.7) and (2.8) are based on [13] and [14] respectively.

## 2.1 Causal structure

Causality is the relationship between causes and effects. An effect can not occur before its cause. Causality form part of the set of the fundamental principles of nowadays physics, it restricts the shape of the known interactions of the fundamental particles, and is also useful to predict the shape of new possible interactions. In the frame of General Relativity tell us roughly about the structure of spacetime at a fundamental level, restricting and defining regions of spacetime in which objects are able to interact between them depending on their spatial and temporal location. So people with basic notions of general relativity can say "given a spacetime metric, I can tell you whether these two object can communicate each other." This causal connection obviously depends exclusively on the particular spacetime, we could say things such as, two given objects in Minkowski spacetime can communicate each other no matter their separation if we had infinity time, or and object inside of the event horizon of a classical Black Hole will never communicate with an object outside its Schwarzschild radius. So, a physical meaningfully question in this chapter is: how two object in a FRW spacetime can communicate each other? or simply what is the causal structure of a FRW universe?

To do so we begin by taking Eq. (1.1) and changing its time  $t$  coordinate to conformal time  $\tau$ (remembering that coordinates in Physics are not fundamental, so no matter what are their values of as long as the mapping between two set of coordinates is well defined) using the following relation

$$d\tau = \frac{dt}{a(t)}, \quad (2.1)$$

thus, we realize that a FRW metric factorizes into a Minkowski metric  $\eta_{\mu\nu}$  multiplied by the scale factor  $a(\tau)$

$$ds^2 = a^2(\tau)\eta_{\mu\nu}dx^\mu dx^\nu. \quad (2.2)$$

Therefore the radial propagation of particles is characterized by the following line element

$$ds^2 = a^2(\tau) [-d\tau^2 + dr^2]. \quad (2.3)$$

In particular null geodesics followed by photons are represented by just straight lines at  $\pm 45$  angles in the  $\tau - r$  plane

$$r(\tau) = \pm\tau + c. \quad (2.4)$$

The maximal distance of photons can travel between an initial time  $t_i$  and later time  $t > t_i$  is given by:

$$\Delta r = \tau - \tau_i = \int_{t_i}^t \frac{dt'}{a(t')}. \quad (2.5)$$

Thus the maximal distance traveled is equal to the amount of conformal time elapsed during the interval  $t - t_i$ . The initial time is often taken to be the origin of the universe  $t_i = 0$ , defined by the initial singularity  $a_i = a(t_i) = 0$ . We obtain

$$\Delta r_{\max}(t) = \int_0^t \frac{dt'}{a(t')} = \tau(t) - \tau(0), \quad (2.6)$$

which is the comoving particle horizon. If we rewrite the conformal time as

$$\tau = \int \frac{dt}{a(t)} = \int \frac{d \ln a}{aH}, \quad (2.7)$$

from the above equation, we deduce that the elapsed conformal time depends on the evolution of the comoving Hubble radius  $(aH)^{-1}$ . For example, for a universe dominated by a barotropic state equation  $w = p/\rho$ , we find that this evolves as

$$(aH)^{-1} \approx a^{\frac{1}{2}(1+3w)}. \quad (2.8)$$

Note that the dependence of the exponent on the combination  $1 + 3w$ . All the familiar sources satisfy the strong energy condition (SEC),  $1 + 3w > 0$ , so it is reasonable, prior to the knowledge of the acceleration of the universe, to assume that the comoving Hubble radius increases as the universe expands. Performing the integral Eq.(2.7) gives

$$\tau \approx \frac{2}{(1+3w)} a^{\frac{1}{2}(1+3w)} \quad (2.9)$$

For conventional matter sources the initial singularity is at  $\tau_i = 0$ , with

$$\tau_i \sim a_i^{\frac{1}{2}(1+3w)}, \quad (2.10)$$

as a result, the comoving horizon is finite

$$\Delta r_{\max}(t) \approx a^{\frac{1}{2}(1+3w)}. \quad (2.11)$$

### 2.1.1 Flatness problem

Let us recall the evolution of curvature in FRW spacetime, is described by the following density parameter:

$$\Omega_k(a) = -\frac{k}{a^2 H^2}. \quad (2.12)$$

Then if we assume, for simplicity, that the expansion is dominated by some form of matter with an equation of state parameter  $w$ , we have  $a \sim t^{\frac{2}{3(1+w)}}$ , in consequence we get its evolution:

$$\dot{\Omega}_k = \Omega_k H(1+3w) \rightarrow \frac{d\Omega_k}{dN} = \Omega_k(1+3w). \quad (2.13)$$

If we further assume that  $w > -1/3$ , then the solution  $\Omega_k = 0$  is an unstable point. Thus if  $\Omega_k > 0$  at some point,  $\Omega_k$  will keep growing. And viceversa, if  $\Omega_k < 0$  at some point, it will keep decreasing. Of course at most  $|\Omega_k| = 1$  in which case  $w$  becomes  $-1/3$  if  $k < 0$ , or otherwise the universe collapses if  $k > 0$ .

The surprising fact is that nowadays inferred  $\Omega_k$  is smaller than about  $10^{-2}$ . Taking into account the content of matter of the universe, this means that at earlier times it was even closer to zero. For example, at BBN epoch, it had to be  $|\Omega_k| < 10^{-18}$ , at the Planck scale  $|\Omega_k| < 10^{-63}$ . In others words, since curvature depends on redshift as  $a^{-2}$ , it tends to dominate in the future with respect to other forms of matter. So, if today curvature is not already dominating, it means that it was likely negligible in the past. The value of  $\Omega_k$  at those early times represents a fascinating small number.

A plausible solution could be  $k = 0$  as an initial state of our universe. While this possibility could be true, it is unknown the reason why the universe should choose such a precise and particular initial state. A second possibility, might be that in some epoch the universe would have been dominated by some bizarre matter content with equation state parameter  $w < -1/3$ .

## 2.1.2 Horizon Problem

Let us digress briefly to make a simple calculation. Given that the universe seems very homogeneous at large distances, a valid question to ask, if we can trace back this to the beginning of the universe. To do this let us compute the angle subtended by the comoving horizon at recombination. This is defined as the ratio of the comoving particle horizon at recombination and the comoving angular diameter distance from us (an observer at redshift  $z = 0$ ) to recombination ( $z \approx 1100$ )

$$\theta_{\text{hor}} = \frac{d_{\text{hor}}}{d_{\text{A}}}. \quad (2.14)$$

A well defined quantity is the comoving distance between redshifts  $z_1$  and  $z_2$

$$\tau_2 - \tau_1 = \int_{z_1}^{z_2} \frac{dz}{H(z)} = \mathcal{I}(z_1, z_2). \quad (2.15)$$

With this the comoving particle horizon at recombination is

$$d_{\text{hor}} = \tau_{\text{rec}} - \tau_i \approx \mathcal{I}(z_{\text{rec}}, \infty), \quad (2.16)$$

therefore, the angular scale of the horizon at recombination

$$\theta_{\text{hor}} = \frac{d_{\text{hor}}}{d_{\text{A}}} = \frac{\mathcal{I}(z_{\text{rec}}, \infty)}{\mathcal{I}(0, z_{\text{rec}})}. \quad (2.17)$$

Using Eq.(1.17) as a function of redshift

$$H(z) = H_0 \sqrt{\Omega_m(1+z)^3 + \Omega_\gamma(1+z)^4 + \Omega_\Lambda}, \quad (2.18)$$

it can be deduced that

$$\theta_{\text{hor}} = 1.16^\circ. \quad (2.19)$$

Therefore, in a matter or radiation dominated universe no physical influence could have smoothed out initial inhomogeneities that were separated by more than  $\theta > \theta_c = 2\theta_{\text{hor}} = 2.3^\circ$ . It seems to particular how different points, in different directions are so similar. A generic scenario would not had enough time to thermalize and homogenize the observed universe, then, how is the CMB so nearly perfect isotropic? This striking fact tells us that even at the time of the recombination, the largest scales were still outside the horizon. This is called the **Horizon Problem**.

## 2.2 Background

As we have seen Eq. (2.7) implies that the comoving horizon is the logarithmic integral of the comoving Hubble radius  $(aH)^{-1}$ . The Hubble radius, as we mentioned previously, is the distance over which particles can travel in the course of one expansion time, i.e., it is roughly the time in which the scale factor doubles. So the Hubble radius is another way of measuring whether particles are causally connected with each other: If they are separated by distances larger than the Hubble radius, they cannot currently communicate.

There is a subtle distinction between the comoving horizon  $\tau$  and the comoving Hubble radius  $(aH)^{-1}$ . If particles are separated by distances greater than  $\tau$ , they never could have

communicated with one another; if they are separated by distances greater than  $(aH)^{-1}$  they cannot talk to each other now. Therefore, it is possible that  $\tau$  could have been much larger than  $(aH)^{-1}$  now so that particles cannot communicate today but were in causal contact early on. This might happen if the comoving Hubble radius early on was much larger than it is now so that the **comoving horizon** got most of its contribution from early times. This could happen, but is not possible during matter or radiation dominated epochs, because in those cases, the comoving Hubble radius increases in time, so typically we expect the largest contribution to  $\tau$  to come from most ancient times.

All this suggests a solution to the horizon problem. If there exists a brief time, where the universe was not dominated by radiation or matter, and moreover the Hubble radius decreased. Then the comoving horizon  $\tau$  would get most of its contributions not from late times but rather from primordial epochs. Particles separated by many Hubble radius today would have been in causal contact before the epoch of rapid expansion, and this could explain the smoothness of the CMB observed today. This epoch of dramatically decreasing Hubble radius is called **inflation**.

As noted earlier, a decreasing Hubble radius requires a violation of the SEC,  $1 + 3w < 0$ . Therefore we notice that the Big Bang singularity is now pushed to negative conformal time,

$$\tau_i \propto \frac{2}{1 + 3w} a_i^{\frac{1}{2}(1+3w)} = -\infty, \text{ for } w < -\frac{1}{3}. \quad (2.20)$$

This implies that there was much more conformal time between the singularity and decoupling than we had thought at the beginning of this chapter. The past light cone of widely separated points in the CMB now had time to intersect before the time  $\tau = 0$  which is not the initial singularity, but instead becomes, what it is called, the time of reheating. There is conformal time both before and after  $\tau = 0$ . A decreasing comoving horizon means that large scales entering the present universe were outside the horizon before inflation ending. Therefore, causal physics before inflation ending had time to thermalize fluctuations. With a period of inflation, the uniformity of the CMB is not longer a mystery.

To quantitatively define inflation, let us notice that a shrinking Hubble radius  $(aH)^{-1}$  corresponds to

$$\frac{d}{dt}(aH)^{-1} = \frac{d}{dt}\dot{a}^{-1} = -\frac{\ddot{a}}{\dot{a}^2}. \quad (2.21)$$

Thus,

$$\frac{d^2 a}{dt^2} > 0, \quad (2.22)$$

which implies that a shrinking comoving horizon produces an accelerated expansion. Also, inflation implies some constraint on the Hubble parameter. If we write,

$$\frac{d}{dt}(aH)^{-1} = -\frac{\dot{a}H + a\dot{H}}{(aH)^2} = -\frac{1}{a}(1 - \varepsilon), \text{ where } \varepsilon = -\frac{\dot{H}}{H^2} > 0. \quad (2.23)$$

The shrinking Hubble sphere therefore also correspond to

$$\varepsilon = -\frac{\dot{H}}{H^2} = -\frac{d \ln H}{dN} < 1. \quad (2.24)$$

Here we have defined  $dN = d \ln a = H dt$ , which measures the number of e-folds  $N$  of inflationary expansion. Eq.(2.24) implies the fractional change of the Hubble parameter per e-fold is small. Moreover, to solve the horizon problem, we want inflation to last for a sufficiently long time. To achieve this requires (2.24) to remain small for a sufficiently large number of Hubble times. This condition is measured by a second parameter,

$$\eta = \frac{\dot{\epsilon}}{H\epsilon} = \frac{d \ln \epsilon}{dN}. \quad (2.25)$$

Then, for  $|\eta| \ll 1$  the fractional change of  $\epsilon$  per Hubble time is small and inflation persists. We should also ask ourselves what form of stress-energy tensor source permits accelerated expansion. Assuming a perfect fluid with  $p$  and density  $\rho$ , the Friedmann equations become:

$$\dot{H} + H^2 = -\frac{1}{6M_{\text{pl}}^2}(\rho + 3p) = -\frac{H^2}{2}(1 + 3w). \quad (2.26)$$

Then

$$\epsilon = -\frac{\dot{H}}{H^2} = \frac{3}{2} \left(1 + \frac{p}{\rho}\right) < 1 \rightarrow w = \frac{p}{\rho} < -\frac{1}{3}. \quad (2.27)$$

The question we would like to answer now is how we can implement inflation on a theoretical frame. To do this we have a large number of options. This is a big problem in inflation; up to date, it has been impossible to find a unique model that match all the predictions of inflation, but instead an enormous variety of models have appeared since inflation was first presented in the eighties. But to introduce inflation, we give a brief description of the simplest models.

### 2.2.1 Single field slow-roll inflation

The simplest discussion we can give to push forward our intuition is to consider inflation as embedded in a field theoretical context. We start by considering a scalar field  $\phi$ , called inflaton with potential  $V(\phi)$  whose dynamics is given by the Lagrangian (we now set  $M_{\text{pl}}^2 = 1$ ):

$$\mathcal{L}(\phi) = -\frac{1}{2}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi - V(\phi). \quad (2.28)$$

The idea of inflation is to fill a small region of the initial universe with a homogeneously distributed scalar field sitting on top of its potential  $V(\phi)$ . The equations of motion described by the action of (2.28), using a FRW background, are

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0, \quad (2.29)$$

and

$$H^2 = \frac{1}{3} \left( \frac{1}{2}\dot{\phi}^2 + V(\phi) \right). \quad (2.30)$$

Using these equations we derive the continuity equation, which is found to be given by

$$\dot{H} = -\frac{1}{2}\dot{\phi}^2. \quad (2.31)$$

In addition, the stress-energy tensor is given by

$$T_{\mu\nu} = -\frac{2}{\sqrt{-g}}\frac{\delta S_\phi}{\delta g^{\mu\nu}} = \partial_\mu\phi\partial_\nu\phi - g_{\mu\nu} \left( \frac{1}{2}\partial_\rho\phi\partial^\rho\phi + V(\phi) \right). \quad (2.32)$$

This result leads to the following expressions for the energy-density and pressure

$$\rho_\phi = \frac{1}{2}\dot{\phi}^2 + V(\phi), \quad p_\phi = \frac{1}{2}\dot{\phi}^2 - V(\phi). \quad (2.33)$$

Therefore, the equation of state is

$$w_\phi = \frac{p_\phi}{\rho_\phi} = \frac{\frac{1}{2}\dot{\phi}^2 - V(\phi)}{\frac{1}{2}\dot{\phi}^2 + V(\phi)}. \quad (2.34)$$

So the condition for inflation is equivalent to have an Eq. of state with  $w_\phi \approx -1 < \frac{1}{3}$ . Thus the potential energy  $V$ , should dominate over the kinetic energy  $\dot{\phi}^2$  (subdominant but not negligible). This may be expressed using the *slow-roll* parameter  $\varepsilon$ . Then

$$\varepsilon = -\frac{\dot{H}}{H^2} = \frac{1}{2} \frac{\dot{\phi}^2}{H^2} \ll 1. \quad (2.35)$$

Also, notice that Eq.(2.29) is the same as the one of a particle rolling down a potential. This particle is subject to friction through the term  $H\dot{\phi}$ . Like for particle trajectory, this means that the solution where  $\dot{\phi} \approx V_\phi/3H$  is a slow-roll attractor solution if the friction is large enough. This can be written in terms of the slow roll parameter  $\eta$  as

$$\eta = -\frac{\ddot{\phi}}{H\dot{\phi}} \ll 1. \quad (2.36)$$

Then we have found that the two slow-roll parameters have to be much smaller than 1. The first parameter  $\varepsilon$  meaning that we are on a background solution where the Hubble parameter means that we are on an attractor solution, and also that this phase of accelerated expansion ( $w \approx -1, a \propto e^{Ht}$ ) will last for a long time. For this condition the persistence of the acceleration of scalar field has to be small to achieve this, it is useful to define dimensionless acceleration per Hubble time. We now use the above conditions,  $\varepsilon \sim |\eta| \ll 1$  to simplify the equations of motion. This is the so-called "slow-roll approximation". First, we notice that the parameters can be written in terms of the potential as,

$$\varepsilon \approx \frac{1}{2} \left( \frac{V'}{V} \right)^2 \quad (2.37)$$

$$\eta \approx \left( \frac{V''}{V} \right)^2 - \frac{1}{2} \left( \frac{V'}{V} \right)^2 \quad (2.38)$$

These conditions lead to the following simplification of the inflation equations, which now reduce to:

$$\dot{\phi} = \frac{V'}{3H}, \quad H^2 = \frac{V}{3M_{pl}^2}, \quad a \propto e^{Ht}. \quad (2.39)$$

Let us notice that inflation will end when  $w$  ceases to be  $\approx -1$ , which in terms of slow roll parameters is,

$$\varepsilon \sim \eta \sim 1 \quad (2.40)$$

In terms of the potential, this means that the field that starts on top of his potential will slowly roll down while the Hubble parameter  $H$  will decrease, providing less friction. Hence, it

will be a point when the potential will become too steep to guarantee that the kinetic energy is negligible with respect to the potential energy. We will call the point in field space where this occurs  $\phi_{\text{end}}$ . At that point (immediately after inflation occurs), a period dominated by a form of energy  $w > -1/3$  is expected to begin. This period is called reheating.

The amount of inflation required to solve the cosmological problems is most easily measured using the number of e-foldings. These are defined as the logarithm of the ratio of the scale factor at the end and at the beginning of inflation. We then have

$$N(\phi) = \ln\left(\frac{a_{\text{end}}}{a}\right) = \int_{a_i}^{a_f} d \ln a = \int_{t_i}^{t_f} H dt = \int_{\phi}^{\phi_{\text{end}}} \frac{H}{\dot{\phi}} \approx \int_{\phi_{\text{end}}}^{\phi} \frac{V}{V'} d\phi. \quad (2.41)$$

Where we have used that  $a \propto e^{Ht}$  and the slow roll approximations. The largest scales observed in the CMB are produced some 40 to 60 e-folds before the end of inflation, thus

$$N_{\text{CMB}} \sim \int_{\phi_{\text{end}}}^{\phi} d\phi \frac{V}{V'} \sim 40 - 60 \quad (2.42)$$

## 2.2.2 Slow And Ultra Slow Roll Inflation

Another interesting regime of inflation can be the ultra slow roll phase which is characterized by the flatness of its potential and can correspond to the previous phase of the slow-roll regime, more precisely a starting USR period followed by SR phase with a smooth phase transition. Such scenarios can arise in UV completions theories from higher-dimensional supergravities[15]. In this case, the expected form for the scalar potential during the inflationary regime could be

$$V(\phi) = V_0 - V_1 e^{-\phi/\phi_0} + \dots \quad (2.43)$$

For some scales  $V_0, V_1$  and  $\phi_0$ . The USR scenario can be understood as a transient phase between the beginning of inflation and the slow-roll phase, particularly, in the above model takes place when  $\phi \gg \phi_0$ , so the first potential term,  $V_0$ , dominates, and so is chosen as needed for inflationary cosmology, with  $H^2 = V_0/(3M_{\text{pl}}^2)$ . In this regime, the scalar field dynamics reduces to:

$$\ddot{\phi} + 3H\dot{\phi} = 0. \quad (2.44)$$

Additionally, with this simplification the relevant potential derivatives are  $V' = (V_1/\phi_0)e^{-\phi/\phi_0}$  and  $V'' = -(V_1/\phi_0^2)e^{\phi/\phi_0}$  leading to slow-roll parameters of the form:

$$\varepsilon = \frac{1}{2} \left( \frac{M_{\text{pl}} V_1}{\phi_0 V_0} \right)^2 e^{-2\phi/\phi_0}, \quad \eta = - \left( \frac{M_{\text{pl}}^2 V_1}{\phi_0^2 V_0} \right) e^{-\phi/\phi_0}, \quad (2.45)$$

thus,

$$\varepsilon = \frac{1}{2} \left( \frac{\phi_0}{M_{\text{pl}}} \right)^2 \eta^2 \quad (2.46)$$

which can easily be large so long as  $\phi \gg \phi_0$  and  $\phi_{\text{end}}/\phi_0$  is order unity. Notice that  $\varepsilon$  and  $\eta$  are generically small whenever  $\phi \gg \phi_0$ , even if  $V_1 \sim V_0$ , so there is no need to require  $\phi_0$  be larger than  $M_{\text{pl}}$  to ensure a slow roll. Typical examples of underlying UV theories give  $\phi_0 \sim M_{\text{pl}}$ , in which case  $\varepsilon \propto \eta^2$ . It turns out that this prediction provides better agreement with



experiment that  $\varepsilon \simeq \eta$  does, and the generic expectation  $\varepsilon \propto \eta^2$  has potentially interesting observational consequences for measurements of primordial gravitational waves because it relates the as yet unmeasured tensor-to-scalar ratio  $r < 0.07$ , to the observed spectral tilt,  $n_s = 0.96$ , giving the prediction  $r \simeq (n_s - 1)^2 \simeq 0.002$ .

Another interesting and simpler solution in the regime when the field  $\phi$  has large values ( $\phi \gg \phi_0$ ), in this case, the potential becomes constant and all the background dynamic is dominated by a single parameter,  $V_0$ . In this regime, the slow-roll parameters scale as:

$$\varepsilon \propto a^{-6}, \text{ and } \eta \propto -6. \quad (2.47)$$

This can be understood as transient phase, furthermore, it offers a large departure from conventional slow-roll inflationary models.

## 2.3 Quantum Initial Conditions from Inflation

As we have seen, Inflaton field  $\phi$  governs the primordial universe dynamics through a dominant scalar contribution to the stress-energy tensor  $T_{\mu\nu}$ , in particular, its energy density  $\rho$  and pressure  $p$ . From Friedmann equations, the energy density controls how the universe evolves in time, most importantly, implicitly determines a universal clock: more energy density means a smaller universe, hotter and younger, on the other hand, less energy density means a bigger universe cooler and older. Thus, it defines indirectly a universal clock whose flow is  $t$ ; but something remarkable happens when we consider quantum mechanics and general relativity at the same footing. In order to satisfy the Heisenberg's uncertainty principle from quantum mechanics, a precise time from precise clock is impossible to have, therefore this quantum mechanical clock, necessarily must locally fluctuate  $\delta t(t, x) \sim \delta\phi/\dot{\phi} \sim H/\dot{\phi}$ , this mechanism produces an intrinsic variation in the energy density field  $\delta\rho$  in the primordial universe, that are amplified due to the universe expansion, providing the seeds for the formation of structures that we see today, such as the Cosmic Microwave Background (CMB), Large Scale Structures(LSS) and smaller objects therein.

### 2.3.1 Free Scalar spectator Field In $dS_4$

A precise quantum clock is impossible to have, so quantum fluctuations are an inherent of any inflationary theory. To parametrize these fluctuations, let start with a free spectator scalar field in de Sitter space with mass  $m$  that carries an insignificant amount of energy and therefore the background does not react in presence of this field. For simplicity, we denote  $\phi = \delta\phi$ . The action for this theory is given by:

$$S = \frac{1}{2} \int d^4x \sqrt{-g} [-g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - m^2 \phi^2], \quad (2.48)$$

or in conformal time  $a(\tau) = -1/H\tau$ :

$$S = \frac{1}{2} \int d\tau d^3x a^2 [\phi'^2 - (\partial_i \phi)^2 - m^2 a^2 \phi^2]. \quad (2.49)$$

It is useful to define an auxiliary field:  $v = a\phi$ , so the action becomes,

$$S = \frac{1}{2} \int d\tau d^3x \left( v'^2 - \partial_i v^2 + \left( \frac{a''}{a} - m^2 a^2 \right) v^2 \right), \quad (2.50)$$

whose Fourier representation is

$$S = \frac{1}{2} \int d\tau \frac{d^3k}{(2\pi)^3} [v_{\mathbf{k}}'^2 - \omega_{\mathbf{k}}^2(\tau)v_{\mathbf{k}}^2]. \quad (2.51)$$

One can see that this action is nothing but just a continuous sum of a set of decoupled harmonic oscillators with time-varying effective mass and angular frequencies related by the following its dispersion relation,

$$\omega^2(\tau) = k^2 + m_{\text{eff}}^2(\tau) = k^2 + \left(\frac{m^2}{H^2} - 2\right) \tau^{-2} \quad (2.52)$$

We notice immediately, that the longer the wavelength the slower oscillates. This dispersion relation is associated to the field Fourier modes,

$$v_{\mathbf{k}}(\tau) = \int d^3x v(\tau, \mathbf{x}) e^{i\mathbf{k}\mathbf{x}}. \quad (2.53)$$

Varying the action it produces in the so-called *Mukhanov-Sasaki equation*:

$$v_{\mathbf{k}}'' + \left(k^2 + \frac{m^2/H^2 - 2}{\tau^2}\right) v_{\mathbf{k}} = 0. \quad (2.54)$$

In de Sitter space this mode equation becomes

$$\tau^2 v_k'' + \left(k^2 \tau^2 - \nu^2 + \frac{1}{4}\right) v_k = 0, \text{ with } \nu^2 = \frac{9}{4} - \frac{m^2}{H^2}, \quad (2.55)$$

whose general solution:

$$v_k(\tau) = \sqrt{-k\tau} \left[ c_k^{(1)} \mathcal{H}_\nu^{(1)}(-k\tau) + c_k^{(2)} \mathcal{H}_\nu^{(2)}(-k\tau) \right]. \quad (2.56)$$

Where  $\mathcal{H}_\nu^{(1)}, \mathcal{H}_\nu^{(2)}$  are the Hankel function of the first and second kind. Notice that its time evolution does not depend on their wave vector, only its modulo  $k$ , that is to say they do not have a preferred direction to evolve; additionally, in the massless limit  $\nu = 3/2$ , the Hankel functions are of the form of 'half-integer', and one recover the well know results for these mode equation:

$$v_k(\tau) = c_k^{(1)} \left(1 - \frac{i}{k\tau}\right) e^{-ik\tau} + c_k^{(2)} \left(1 + \frac{i}{k\tau}\right) e^{+ik\tau} \quad (2.57)$$

where  $c_k^{(1)}, c_k^{(2)}$  were redefined to absorb some irrelevant remnant constants. The above mode solution correspond to the so called **de Sitter modes**, and are useful to make quick computations.

### 2.3.2 Canonical Quantization

From the action we learned that the conjugate momentum for the auxiliary field  $\pi = \partial\mathcal{L}/\partial\dot{v} = \dot{v}$ . We promote those field to quantum operators  $\hat{v}(\tau, x), \hat{\pi}(\tau, x)$ . The operators satisfy que equal time conmutator relation:

$$[\hat{v}(\tau, \mathbf{x}), \hat{\pi}(\tau, \mathbf{x}')] = i\delta^3(\mathbf{x} - \mathbf{x}'). \quad (2.58)$$

Where  $\hbar = 1$ . The delta function is required by locality restrictions: points at a different location in space are independent and their corresponding operator commutes. Moreover, if this relation is Fourier transformed immediately one obtain its equivalent representation:

$$[\hat{v}_{\mathbf{k}}(\tau), \hat{\pi}_{\mathbf{k}}(\tau)] = -i(2\pi)^3 \delta^3(\mathbf{k} + \mathbf{k}'). \quad (2.59)$$

Which means the field operator modes are independent, unless they are the same. It is convenient to expand the quantum auxiliary field  $\hat{v}_{\mathbf{k}}(\tau)$  in terms of creation and annihilation time independent operators,

$$\hat{v}_{\mathbf{k}} = v_k(\tau)\hat{a}_{\mathbf{k}} + v_k^*(\tau)\hat{a}_{-\mathbf{k}}^\dagger. \quad (2.60)$$

Where the mode function  $v_k(\tau)$  and its complex conjugate  $v_k^*(\tau)$  satisfy the classical field equation (2.56),  $\hat{a}_{\mathbf{k}}$  is a creation operator and  $\hat{a}_{-\mathbf{k}}^\dagger$  its hermitian complex conjugate, they satisfies bosonic algebra:

$$[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{k}'}^\dagger] = (2\pi)^3 \delta^3(\mathbf{k} - \mathbf{k}'), \quad [\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{k}'}] = [\hat{a}_{\mathbf{k}}^\dagger, \hat{a}_{\mathbf{k}'}^\dagger] = 0. \quad (2.61)$$

Which is the well-known commutation relation for raising and lowering operators of a harmonic oscillator. Quantum states in its Hilbert space are constructed by defining the vacuum state  $|0\rangle$  via

$$\hat{a}_{\mathbf{k}}|0\rangle = 0, \quad (2.62)$$

and the repeated application of  $\hat{a}_{\mathbf{k}}^\dagger$  produces excited states for this system.

### 2.3.3 Choice of the vacuum

The Wronskian of the modes function is:

$$W[v_k, v_k^*] = v_k' v_k^* - v_k v_k'^* = 2i\text{Im}(v_k' v_k^*) \quad (2.63)$$

From the Eq.(2.54) it is easy to show the Wronskian is time-independent, and therefore, it can be freely chosen. In particular, we can choose,  $W[v_k, v_k^*] = -i$ , because it simplifies the commutation relations for raising and lowering operator in momentum space. Given this conservation property, it is convenient to choose a time in which the Wronskian computation simplifies, such as in the *early time limit*, where the Hankel functions have a friendly asymptotic representation:

$$\lim_{\tau \rightarrow -\infty} \mathcal{H}_\nu^{(1,2)}(-k\tau) = \sqrt{\frac{2}{-\pi k\tau}} e^{\mp ik\tau} e^{\mp \frac{\pi}{2}(\nu + \frac{1}{2})}. \quad (2.64)$$

Therefore the mode function Eq. (2.56) behaves like:

$$\lim_{\tau \rightarrow \infty} v_k(\tau) = \tilde{c}_k^{(1)} e^{-ik\tau} + \tilde{c}_k^{(2)} e^{ik\tau}. \quad (2.65)$$

Where  $\tilde{c}_k^{(1)}$  and  $\tilde{c}_k^{(2)}$  were defined to absorb the remaining constant in this expansion. Particularly, in this suitable limit these constants satisfies a simple constraint relation:

$$|\tilde{c}_k^{(1)}|^2 - |\tilde{c}_k^{(2)}|^2 = \frac{1}{2k}. \quad (2.66)$$

As it can be seen, there is a free set of choices that underlie in a hyperbola branch for each Fourier mode  $k$ , therefore there is a remnant arbitrariness for the solution of MS equation.

Moreover, this constraint relation is unable to fix completely the vacuum condition because it is always possible to apply a Bogolyubov transformation by taking another linear combination of the 2 modes solution and find another set of raising and lowering operator. Thus it is fundamental and necessary to find a physical argument to fix completely the vacuum ket  $|0\rangle$ . This ambiguity can be untangled by minimizing the vacuum energy, that is to say, minimizing the vacuum expectation value of the Hamiltonian operator:

$$\hat{H}(\tau) = \frac{1}{2} \int d^3x [\hat{\pi}^2 + \partial_i \hat{v}^2 + m^2(\tau) \hat{v}^2]. \quad (2.67)$$

Its momentum representation is:

$$\hat{H}(\tau) = \frac{1}{2} \int \frac{d^3k}{(2\pi)^3} [\hat{a}_k^- \hat{a}_{-k}^- F_k(\tau) + \hat{a}_{-k}^+ \hat{a}_k^+ F_k^*(\tau) + (2\hat{a}_k^+ \hat{a}_k^- + (2\pi)^3 \delta^{(3)}(0)) E_k], \quad (2.68)$$

with:

$$E_k(\tau) = |v_k'(\tau)|^2 + \omega_k^2(\tau) |v_k(\tau)|^2, \quad \text{and} \quad F_k(\tau) = v_k'(\tau)^2 + \omega_k^2(\tau) v_k(\tau) \quad (2.69)$$

where  $\omega_k^2(\tau) = k^2 + m_{\text{eff}}^2(\tau)$ . Computing its vacuum expectation value, one finds,

$$\langle 0 | \hat{H} | 0 \rangle = \int \frac{d^3k}{(2\pi)^3} (1 + (2\pi)^3 \delta^{(3)}(0)) E_k. \quad (2.70)$$

Where the divergence term comes from an infinite volume of integration and  $E_k$  is the energy for each mode. This functional has to be minimized by tuning the mode constants  $c_k^{(1)}, c_k^{(2)}$ . In the same manner that before, the spectral energy density simplifies in the early time limit, giving an expectation value (omitting the vacuum energy):

$$\langle 0 | \hat{H} | 0 \rangle = \int \frac{d^3k}{(2\pi)^3} k^2 (|c_k^{(1)}|^2 + |c_k^{(2)}|^2). \quad (2.71)$$

Combining this relation with (2.63), this energy density is minimized when  $|c_k^{(1)}| = \frac{1}{\sqrt{2k}}$  and  $c_k^{(2)} = 0$ . Thus the mode function reduces to:

$$v_k(\tau) = \frac{\sqrt{\pi}}{2} \sqrt{-\tau} \mathcal{H}_\nu^{(1)}(-k\tau). \quad (2.72)$$

## 2.4 Two point correlation function

Given the stochastic nature of the quantum field, it is impossible to predict with precision the exact field configuration in a given space-time point. Due to this impossibility we are concerned in fields statistical properties, such as, its variance and higher statistical moments. With this in mind let start computing the most basic, but useful and powerful quantity for a quantum field in cosmology: the 2-pt correlation function for a quantum field  $\hat{v}$

$$\langle 0 | \hat{v}(\tau, \mathbf{x}) \hat{v}(\tau, \mathbf{y}) | 0 \rangle = \int \frac{d^3k}{(2\pi)^3} e^{-i\mathbf{k}(\mathbf{x}-\mathbf{y})} |v_k(\tau)|^2. \quad (2.73)$$

One of the remarkable features of this expression is that the field correlation function is real, position independent and only depends on the distance between the two spatial coordinates. Exploiting the fact that the power spectrum is rotationally invariant in momentum space one gets a reduced expression for the same 2-pt correlation function:

$$\langle 0|\hat{v}(\tau, \mathbf{x})\hat{v}(\tau, \mathbf{y})|0\rangle = \int d\ln k j_0(kr) \frac{k^3 P_v(\tau, k)}{2\pi^2}, \quad (2.74)$$

where  $j_0$  is the 0-spherical Bessel function and  $P(\tau, k) = |v_k(\tau)|^2$ . Thus, if we are interested in the power spectrum for the field  $\phi$  Eq.(2.49), we get

$$P_\phi(\tau, k) = \frac{1}{a^2} \frac{k^3}{2\pi^2} P_v(\tau, k), \quad (2.75)$$

or more explicitly:

$$\mathcal{P}_\phi(\tau, k) = \frac{k^3}{2\pi^2} (H\tau)^2 \frac{(-\pi\tau)}{4} |\mathcal{H}_\nu^{(1)}(-k\tau)|^2. \quad (2.76)$$

In cosmology, we are interested in superhorizon adiabatic modes, since these modes freezes after horizon crossing, allowing us to connect theoretical predictions with observations. Thus formally in the superhorizon limit, since

$$\lim_{k\tau \rightarrow 0} \mathcal{H}_\nu^{(1)}(-k\tau) = \frac{-i}{\pi} \Gamma(\nu) \left( \frac{-k\tau}{2} \right)^{-\nu}, \quad (2.77)$$

the power spectrum becomes

$$\mathcal{P}_\phi(\tau, k) = \frac{\Gamma^2(\nu)}{\pi^3} \left( -\frac{k\tau}{2} \right)^{3-2\nu} H^2. \quad (2.78)$$

### 2.4.1 Light field limit

A phenomenologically interesting limit is when the scalar field mass approaches to zero, the called **light field limit**,  $m^2 \ll H^2$ , in which  $\nu$  from the power spectrum (2.78) reduces to  $3/2 - 1/3m^2/H^2$  and the power spectrum becomes:

$$\mathcal{P}_\phi(\tau, k) = \left( \frac{H}{2\pi} \right)^2 \left( -\frac{k\tau}{2} \right)^{\frac{2}{3} \frac{m^2}{H^2}} \left( 1 - \frac{2}{3} c_1 \frac{m^2}{H^2} \right) \quad (2.79)$$

Therefore its spectral index:

$$\frac{d \ln \mathcal{P}_\phi}{d \ln k} = \frac{2}{3} \frac{m^2}{H^2}, \quad (2.80)$$

Where  $c_1 = (2 - \gamma - 2 \ln 2)$  and  $\gamma$  is the Euler-Mascheroni number. When  $m \rightarrow 0$  the spectral index approaches to zero, and we get a scale invariant power spectrum for a massless field in de Sitter space.

## 2.4.2 Heavy field limit

Another interesting limit is when  $m \gg \frac{3}{2}H$ , or the **heavy field limit**, in which the degree of the Hankel function becomes imaginary so  $\nu = i\mu$  so,

$$\mu = \sqrt{\frac{m^2}{H^2} - 9/4} \rightarrow m/H, \quad (2.81)$$

thus the Fourier modes becomes:

$$|v_k(\tau)| = \frac{\sqrt{\pi}}{2} e^{-\pi\mu/2} \sqrt{-\tau} \mathcal{H}_\mu^{(1)}(-k\tau) \quad (2.82)$$

In effect, the power spectrum of a very massive field with Bunch-Davis initial condition is suppressed by an exponential law  $\mathcal{P}_\phi(k) \propto e^{-\pi\frac{m}{H}}$ .

## 2.5 Curvature Power Spectrum

Since we have already developed all the machinery necessary to describe scalar light degrees of freedom of fields in de Sitter space, now we will consider single-field slow-roll models of inflation

$$S = \frac{1}{2} \int d^4x \sqrt{-g} [R - g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi)], \quad (2.83)$$

where we have set the Planckian mass,  $M_{\text{pl}} = 1$ . We will study both scalar and tensor fluctuations. For the scalar modes, we have to be careful to identify the true physical degrees of freedom. A priori, we have 5 scalar modes: 4 metric perturbations  $\delta g_{00}$ ,  $\delta g_{ii}$ ,  $\delta g_{0i} \sim \partial_i B$  and  $\delta g_{ij} \sim \partial_i \partial_j H$  and 1 scalar field perturbation  $\delta\phi$ . Gauge invariances associated with the invariance of Eq.(2.83) under scalar coordinate transformations  $x^\mu \rightarrow x^\mu + \varepsilon^\mu$  remove two modes. The Einstein's constraint equations remove two more modes, so that we are left with 1 physical scalar mode.

### 2.5.1 Comoving gauge

We will work with a fixed gauge throughout. For a various reasons, it will be convenient to work in comoving gauge, defined by the vanishing momentum density  $\delta T^{0i} = 0$ . For slow-roll inflation, this becomes

$$\delta\phi = 0. \quad (2.84)$$

In this gauge, perturbations are characterized purely by fluctuations in the metric,

$$\delta g_{ij} = a^2(1 - 2\zeta)\delta_{ij} + h_{ij}. \quad (2.85)$$

Here,  $h_{ij}$  is a transverse ( $\nabla_i h^{ij} = 0$ ), traceless ( $h_i^i = 0$ ) tensor and  $\zeta$  is a scalar. One can show that the comoving spatial slices  $\phi = \text{const}$  have first order three-curvature  $R^{(3)} = \frac{4}{a^2} \nabla^2 \zeta$ . Hence,  $\zeta$  is referred to as the comoving curvature perturbation. The perturbation  $\zeta$  has the crucial property that (for adiabatic matter fluctuations) it is time-independent on superhorizon scales:

$$\lim_{k \ll aH} \dot{\zeta}_{\vec{k}} = 0. \quad (2.86)$$

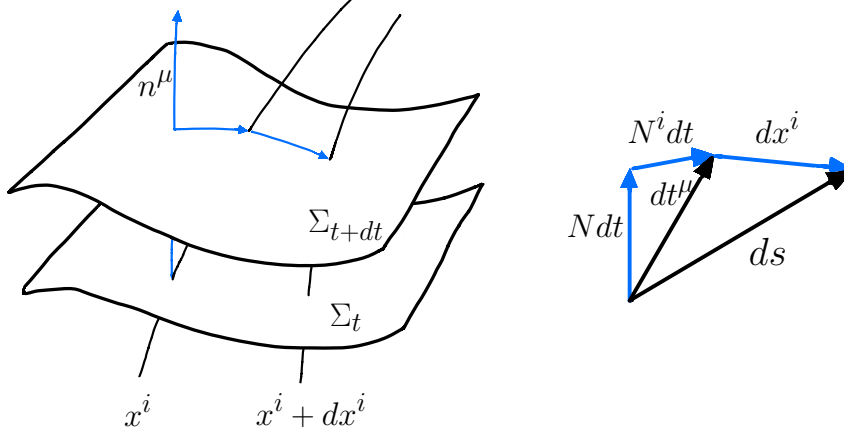


Figure 2.1: Space time foliation.

Solving the Einstein's equations for the non-dynamical metric perturbations  $\delta g_{00}$  and  $\delta g_{0i}$  in terms of  $\zeta$  is a bit tedious. In the ADM metric parametrization where  $N, N^i$  are respectively the lapse and the shift vector that define the foliation and where  $h_{ij}$  is the induced three-metric on the spatial hypersurface. One advantage of parametrizing in this way is that neither  $\dot{N}$  nor  $\dot{N}^i$  do not appear in the action, hence they correspond to non-propagating fields that act as Lagrange's multipliers(constraints) with a just algebraic equation of motion. Furthermore, all the gravitational dynamics is encoded in the 3-metric  $h_{ij}$  on slices of constant time. Thus in ADM, the spacetime metric is

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt). \quad (2.87)$$

Ignoring tensorial perturbation, the 3-metric reads,

$$h_{ij} = a^2(t)e^{-2\zeta(t,\mathbf{x})}\delta_{ij} \quad (2.88)$$

where  $\zeta(t, \mathbf{x})$  is the non-linear generalization [16] of the variable introduced by Bardeen, Steinhardt and Turner [17] in linear perturbation theory. This variable corresponds to a local rescaling of the scale factor that is equivalent to a local time reparametrization of the background evolution.

The action (2.83) can be rewritten as:

$$S = \frac{1}{2} \int d^4x \sqrt{h} \left[ NR^{(3)} - 2NV + N^{-1}(E_{ij}E^{ij} - E^2) + N^{-1}\dot{\phi}^2 \right] \quad (2.89)$$

Where  $E = E_i^i$  and  $K_{ij} = N^{-1}E_{ij}$  is the extrinsic curvature defined by:

$$K_{ij} = \frac{1}{2N}(\dot{h}_{ij} - \mathcal{D}_i N_j - \mathcal{D}_j N_i). \quad (2.90)$$

Where  $\mathcal{D}_i$  is the covariant derivative on the 3-metric. The ADM action implies the following constraints equations for the Lagrange multipliers  $N$  and  $N^i$  or technically the **Hamiltonian** and the **Momentum constraint**:

$$R^{(3)} - 2V - N^{-2}(E^{ij}E_{ij} - E^2) - N^{-2}\dot{\phi}^2 = 0, \quad \mathcal{D}_i [N^{-1}(E_j^i - \delta_j^i E)] = 0. \quad (2.91)$$

To solve these constraint equations, we split the shift vector  $N_i$  into an irrotational and incompressible part (scalar-vector decomposition):

$$N_i = \partial_i \psi + N_i^T. \quad (2.92)$$

It is useful to solve the constraint equation order by order in  $\zeta$  so they admit a perturbative expansion and simplifying the non-trivial non-linearities in their algebraic equations:

$$N = 1 + \delta N^{(1)} + \delta N^{(2)} + \dots, \quad \psi = \psi^{(1)} + \psi^{(2)} + \dots, \quad N^{Ti} = N^{Ti(1)} + N^{Ti(2)} + \dots, \quad (2.93)$$

replacing in (2.89) one finds that:

$$\delta N^{(1)} = \frac{\dot{\zeta}}{H}, \quad \partial^2 N^{Ti(1)} = 0, \quad \psi^{(1)} = -\frac{\zeta}{H} + \frac{a^2}{H} \partial^{-2}(\varepsilon \dot{\zeta}). \quad (2.94)$$

Where  $\partial^{-2}$  is defined as  $\partial^{-2} \partial^2 f = f$ . Substituting the first-order constraint solution for  $N, N^i$  back into the action, and tediously integrating by parts one finds second order action for the curvature perturbation  $\zeta$ :

$$S = \frac{1}{2} \int d^4x a^3 \frac{\dot{\phi}^2}{H^2} \left[ \dot{\zeta}^2 - \frac{1}{a^2} (\partial_i \zeta)^2 \right]. \quad (2.95)$$

Defining the canonically normalized Mukhanov variable  $v = z\zeta$ , where  $z^2 = a^2 \frac{\dot{\phi}^2}{H^2} = 2a^2 \varepsilon$ , and changing to conformal time, we get:

$$S = \frac{1}{2} \int d\tau d^3x [v'^2 - (\partial_i v)^2 - m_{\text{eff}}^2(\tau) v^2] \quad (2.96)$$

where  $m_{\text{eff}}^2(\tau) = -\frac{z''}{z} = -\frac{H}{a\dot{\phi}} \frac{\partial^2}{\partial \tau^2} \left( \frac{a\dot{\phi}}{H} \right)$ . We see that this action is of the form Eq.(2.49), thus we can apply the entire machinery already derived. The effective mass can be obtained in terms of the background quantities with further manipulations one finds:

$$\frac{z''}{z} = (aH)^2 \left[ 2 - \varepsilon + \frac{3}{2}\eta - \frac{1}{2}\varepsilon\eta + \frac{1}{4}\eta^2 + \eta k \right]. \quad (2.97)$$

Additionally, the conformal time satisfies at first order in slow roll expansion:

$$aH = -\frac{1}{\tau}(1 + \varepsilon). \quad (2.98)$$

Therefore, the effective mass at the first order in slow roll expansion:

$$m_{\text{eff}}^2(\tau) = -\frac{1}{\tau^2} \left[ 2 + 3 \left( \varepsilon + \frac{1}{2}\eta \right) \right]. \quad (2.99)$$

From the Eq.(2.55) we can identify the  $\nu$  parameter to fix the mode equation  $v_k(\tau)$ ,  $m^2(\tau) = \frac{\nu^2 - 1/4}{\tau^2}$ , where  $\nu^2 = \frac{9}{4} + 3(\varepsilon + \frac{1}{2}\eta)$ , thus the equivalent to the ratio  $m^2/H^2$  in the equation (2.80) is  $-3(\varepsilon + \frac{1}{2}\eta)$ . If  $\varepsilon$  and  $\eta$  are small enough and nearly constant during inflation thus  $\nu = \frac{3}{2} + \varepsilon + \frac{1}{2}\eta$ . We can replace these values into (2.79) and (2.80) and divide by an additional  $2\varepsilon$  factor that comes from Mukhanov variable  $\zeta = z^{-1}v$  to get the power spectrum for  $\zeta$  field:

$$\mathcal{P}_\zeta(\tau, k) = \frac{H^2}{8\pi^2 M_{\text{pl}}^2 \varepsilon} \left( -\frac{k\tau}{2} \right)^{-2\varepsilon - \eta} (1 - O(\varepsilon, \eta)) \quad (2.100)$$



Where we have added  $M_{\text{pl}}^{-2}$  to reconstruct the units. Additionally, observations suggest that this power spectrum can be accurately parametrized by

$$\mathcal{P}_\zeta(\tau, k) = A_s(k_*) \left( \frac{k}{k_*} \right)^{n_s-1}, \quad (2.101)$$

with  $A_s$  is the amplitude of the power spectrum,  $k_*$  is some reference scale and  $n_s$  is the spectral index defined by:

$$n_s - 1 = \frac{d \ln \mathcal{P}_\zeta}{d \ln k} = -2\varepsilon - \eta. \quad (2.102)$$

## 2.5.2 Tensor Perturbations

Primordial gravitational waves are tensor perturbations of the spacetime metric generated during inflation, they are gauge invariant objects and appear in the metric as:

$$ds^2 = a^2(\tau) [-d\tau^2 + (\delta_{ij} + h_{ij})dx^i dx^j]. \quad (2.103)$$

Where  $h_{ij}$  is a symmetric, traceless and transverse tensor, that is to say:

$$h_{ij} = h_{ji} \quad h_i^i = 0 \quad \partial_i h_j^i = 0, \quad (2.104)$$

respectively. These conditions imply that  $h_{ij}$  has only two degrees of freedom, which we shall denote as the helicity  $p = \pm 2$ . Moreover, we decompose  $h_{ij}$  in Fourier modes as:

$$h_{ij}(\tau, \mathbf{x}) = \sum_{p=\pm 2} \int \frac{d^3 k}{(2\pi)^3} h_{ij}^{(p)}(\tau, \mathbf{k}) e^{i\mathbf{k}\mathbf{x}}. \quad (2.105)$$

For  $k$  along the  $\hat{z}$ -axis, we choose a set of basis tensors

$$m^{(\pm 2)}(\hat{z}) = \frac{1}{2}(\hat{x} \pm i\hat{y}) \otimes (\hat{x} \pm i\hat{y}). \quad (2.106)$$

Satisfying the orthonormality and reality conditions:

$$m_{ij}^{(p)}(\hat{k})(m^{(q)ij}(\hat{k}))^* = \delta^{pq} \quad (2.107)$$

$$(m^{(p)ij}(\hat{k}))^* = m_{ij}^{(-p)}(\hat{k}) = m^{(p)ij}(-\hat{k}) \quad (2.108)$$

On such a basis, we have:

$$h_{ij}^{(\pm 2)}(\tau, \mathbf{k}) = \frac{1}{\sqrt{2}} m_{ij}^{\pm(2)}(\hat{k}) h^{(\pm 2)}(\tau, \mathbf{k}). \quad (2.109)$$

From the Einstein-Hilbert action the Ricci scalar contribution decouples at linear in perturbations and we find:

$${}^{(2)}S = \frac{M_{\text{pl}}^2}{8} \int d\tau d^3 x a^2 \left( \dot{h}_{ij} \dot{h}^{ij} - \partial_i h_{jk} \partial^i h^{jk} \right). \quad (2.110)$$

After tedious algebra we get

$${}^{(2)}S = \frac{M_{\text{pl}}^2}{16} \sum_{p=\pm 2} \int d\tau d^3 k a^2 \left[ (\dot{h}^{(p)})^2 + k^2 (h^{(p)})^2 \right]. \quad (2.111)$$

Quantizing this field is the same as the scalar field but replacing  $\zeta \rightarrow (M_{\text{pl}}/\sqrt{8})h^{(p)}$  for each independently-evolving helicity state, one can derive the power spectrum by defining the two point correlator at an equal time:

$$\langle h^{(p)}(\mathbf{k})h^{(q)}(\mathbf{k}')^* \rangle = \frac{2\pi^2}{k^3} \frac{\mathcal{P}_h(k)}{2} \delta^{pq} \delta(\mathbf{k} + \mathbf{k}') \quad (2.112)$$

at the horizon crossing, finally:

$$\mathcal{P}_h(k) = \frac{2H^2}{\pi^2 M_{\text{pl}}^2} \left( -\frac{k\tau}{2} \right)^{-2\varepsilon - \eta} (1 + \mathcal{O}(\varepsilon, \eta)). \quad (2.113)$$

Whose tensor spectral index  $n_T$  is defined by

$$n_T = \frac{d \ln \mathcal{P}_h(k)}{d \ln k} = -2\varepsilon, \quad (2.114)$$

as the same for the scalar power spectrum, Eq.(2.113) can be parametrized as

$$\mathcal{P}_h(k) = A_t(k_*) \left( \frac{k}{k_*} \right)^{n_T}. \quad (2.115)$$

Considering the expressions Eq.(2.100) and Eq.(2.113), it is defined *the scalar to tensor ratio* as:

$$r = \frac{A_t}{A_s} = 16\varepsilon = -8n_T. \quad (2.116)$$

This is the so called **consistency relation** for scalar and tensorial power spectrum or simply **scalar-to-tensor ratio**. In addition to this, since  $\mathcal{P}_\zeta(k)$  is fixed and  $\mathcal{P}_h(k)$  is proportional to the Hubble scale  $H$ , the tensor to scalar ratio is a direct measure of the energy scale of inflation.

$$H^{1/4} \sim \left( \frac{r}{0.01} \right)^{1/4} 10^{16} \text{GeV}. \quad (2.117)$$

### 2.5.3 Observations

According to observations, the power spectrum of the curvature perturbation is almost scale-invariant, with a value of order  $10^{-9}$ . The scale dependence of the spectrum is characterized by the spectral index  $n_s$ . Scale invariance correspond to  $n_s = 1$ , and often the quantity  $n_s - 1$  is called the spectral tilt. If  $n_s$  is constant then  $\mathcal{P}_\zeta(k) \propto A_s k^{n_s - 1}$ . If  $n_s$  depends on  $k$  one says that the spectral index is running. In that case, one usually assumes that that  $n_s$  can be approximated as a linear function on  $\ln k$  so that the running is defined by  $n'_s = dn_s/d \ln k$ . Observations are compatible with the hypothesis that  $\zeta$  is the primordial perturbation. Also from the  $\Lambda$ CDM model to current CMB observations anisotropies and galaxy distributions gives [18]

$$\ln(10^{10} A_s) = 3.044 \pm 0.014, \quad n_s = 0.9649 \pm 0.0042, \quad (2.118)$$

where the spectrum is defined at the pivot scale  $k_0 = 0.002 \text{Mpc}^{-1}$ . This observational value of the power spectrum is known as the COBE normalization. From the above equations, one see that the power spectrum depends weakly on the scale so one often refer this as a *nearly*

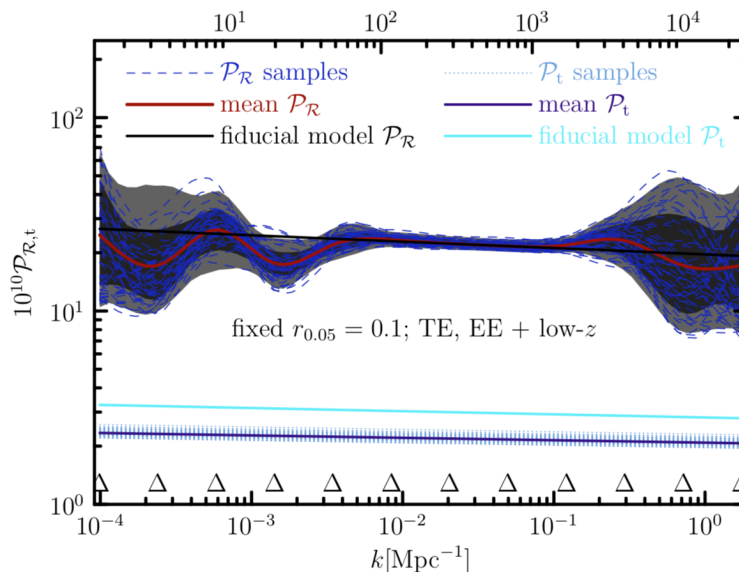


Figure 2.2: Primordial curvature power spectrum from Planck collaboration 2015. The nearly scale-invariant of this spectrum, tell us about a quasi de Sitter expansion of the early universe and its duration, more importantly, it is obtained by naturally deconvolving the angular power temperature power spectrum or the  $C_l^{TT}$ 's, which makes of this image a remarkably beautiful and at the same time thrilling plot in concordance with basic inflationary predictions. Nevertheless, it is worth mentioning that the information (amplitude and the scalar tilt) of this spectrum is not sufficient to break the physical parameter degeneracy between its  $H_{\text{inflation}}$  and  $\varepsilon$ , furthermore, the scenario is even worst, making the energy inflationary scale one of the worst constrained parameters in physics. This is why we need an ultimate and independent primordial source to break this degeneracy, such as  $B$ -modes from primordial gravitational waves [19].

*scale invariant* power spectrum, and this parameter tell us about a quasi-de Sitter early stage of our Universe. One can also define the running of the spectral index, as

$$\alpha_s = \frac{d \ln n_s}{d \ln k} = -2\eta\varepsilon - \frac{\dot{\eta}}{H\eta}. \quad (2.119)$$

Thus is one order smaller than  $n_s \sim 1$  in terms of slow roll parameters in the above simple model. The observational constraint for  $n_s$  is

$$\alpha_s = -0.0084 \pm 0.008, \quad (68\% \text{CL, Planck TT+lowP}). \quad (2.120)$$

Thus although inflation is nearly scale-invariant, an exact scale invariance with  $n_s = 1$  is ruled out at over  $5\sigma$ .

The production of a stochastic background of gravitational waves (GW) is a fundamental prediction of any cosmological inflationary model. Their observation would produce the first experimental evidence of a quantum gravity phenomenon, thus represents an exciting, powerful window on the origin and evolution of the universe. The features of such a signal encode unique information about the physics of the Early Universe and the main observational signature of the inflationary GW background is a curl-like pattern (B-modes) in the polarization of the CMB.

In the same footing as the primordial curvature perturbation and in spite of all the efforts possible so far, primordial gravitational waves have not been detected on the CMB. The current best upper limit comes from the joint analysis of Planck, BICEP2 and Keck Array [20], which correspond to a scalar-to-tensor ratio of

$$r < 0.07 \text{ (95\% CL)}, \quad \text{with } k_* = 0.05 \text{ Mpc}^{-1}. \quad (2.121)$$

Assuming the consistency relation  $r = -8n_T$ , where  $n_T$  is the tensor spectral index. Excluding temperature data and assuming a scale-invariant GW power-spectrum, the bound becomes

$$r < 0.09 \text{ (95\% CL)}, \quad \text{with } k_* = 0.05 \text{ Mpc}^{-1}. \quad (2.122)$$

In light of all this, it is not at all surprising that primordial GW's are the object of a growing experimental effort, and that their detection will be a major goal for Cosmology in the forthcoming decades. The state of the art corresponds to multiple projects at the different places around the world, mainly in the north of Chile and Antarctica, due to the privileged atmospheric conditions along the year. A number of, present or forthcoming, ground-based or balloon-borne experiments, such as ACTPol[21], Polarbear[22], CLASS[23], Piper[24] and Spider[25], are specifically aimed at B-mode detection. In addition, CMB satellites such as WMAP and Planck have, in recent years, provided bounds on  $r$ , such as the one reported above.

## 2.6 Statistical Non-Gaussianity of Primordial Fluctuations

The measured temperature fluctuations in a CMB map from some experiment, with  $N_{\text{pix}}$  and  $\Delta T_i$  the temperature fluctuation at the direction  $\hat{n}$  satisfy with good accuracy a Gaussian probability distribution(PDF)

$$P_g(\Delta T) = \frac{1}{(2\pi)^{N_{\text{pix}}|\xi|^{1/2}}} \exp\left(-\frac{1}{2} \sum_{ij} \Delta T_i \xi_{ij}^{-1} \Delta T_j\right). \quad (2.123)$$

Where  $\xi_{ij} = \langle \Delta T_i \Delta T_j \rangle$  is the covariance matrix or two point correlation function of the temperature anisotropy and  $|\xi|$  is its determinant. It is usual practice to expand  $\Delta T$  in spherical harmonics,  $\Delta T(\hat{n}) = \sum_{lm} a_{lm} Y_{lm}(\hat{n})$ . The Gaussian PDF for the  $a'_{lm}$ s becomes

$$P_g(a) = \frac{1}{N_{\text{harm}}|C|^{1/2}} \exp\left(-\frac{1}{2} \sum_{lm} \sum_{l'm'} a_{lm}^* [(C^{-1})_{lm,l'm'}] a_{l'm'}\right). \quad (2.124)$$

Where  $C_{lm,l'm'} = \langle a_{lm}^* a_{l'm'} \rangle$  and  $N_{\text{harm}}$  is the number multipoles that is summed over. For a Gaussian PDF for the CMB, its covariance matrix  $[C_{lm,l'm'}]$ , provides a full description of the data. All higher correlations either vanish,  $\langle a_{lm} a_{l'm'} a_{l''m''} \rangle = 0$ , or can be expressed in terms of  $C_{lm,l'm'}$ . Moreover, when the CMB is statistical homogeneous and isotropic

$$C_{lm,l'm'} = C_l \delta_{ll'} \delta_{mm'}, \quad (2.125)$$

Eq.(2.124) reduces to

$$P_g(a) = \prod_{lm} \frac{e^{-\frac{|a_{lm}|^2}{2C_l}}}{\sqrt{2\pi C_l}}. \quad (2.126)$$

So far, the the statistics of the CMB fluctuations obeys a Gaussian probability distribution, however, the existence of non-Gaussian features have not been ruled out. In this case to parameterize CMB **non-Gaussianity** makes sense to Taylor expand the probability distribution(Gram-Charlier expansion) around a Gaussian distribution:

$$P(a) = \left[ 1 - \frac{1}{6} \sum \langle a_{l_1 m_1} a_{l_2 m_2} a_{l_3 m_3} \rangle \frac{\partial}{\partial a_{l_1 m_1}} \frac{\partial}{\partial a_{l_2 m_2}} \frac{\partial}{\partial a_{l_3 m_3}} + \dots \right] P_g(a). \quad (2.127)$$

Evaluating their derivatives at the gaussian level gives

$$\frac{P(a)}{P_g(a)} = 1 + \frac{1}{6} \sum \langle a_{l_1 m_1} a_{l_2 m_2} a_{l_3 m_3} \rangle \left( (C^{-1}a)_{l_1 m_1} (C^{-1}a)_{l_2 m_2} (C^{-1}a)_{l_3 m_3} - 3(C^{-1})_{l_1 m_1, l_2 m_2} (C^{-1}a)_{l_3 m_3} \right). \quad (2.128)$$

This formula tell us what we expected that the leading deviation from the Gaussian PDF is proportional to the angular bispectrum  $\langle a_{l_1 m_1} a_{l_2 m_2} a_{l_3 m_3} \rangle$ . The formula is also used to estimate the angular bispectrum from data by maximizing this PDF. In this section, we will concentrate on the primordial non-Gaussianity of the curvature field  $\zeta$ .

The hope and the racing for detecting non-Gaussianity keeps intact since the beginning, theoretically and experimentally. We have the potential to constraint(measure) up to order 0.1 using multi-channel data from future CMB experiments and LSS. Particularly, CMB can provides us constraint on  $f_{\text{NL}}$  up to 1, from modes larger than 10 Mpc projected on the last scattering 2-sphere ( $(10^3)^2 \sim 10^6$  modes). Additionally, LSS will survey 3-dimensional fields, from scales larger than 10 Mpc and shorter than the horizon scale  $\sim 10^4$  Mpc, capturing potentially  $(10^4/10)^3 \sim 10^9$  independent modes, improving the sensitivity of non-gaussianity to 0.1. So this is the beginning of a vibrant epoch to keep pushing(as far as we can) our fundamental understanding up to the next level.

## 2.6.1 Non-Gaussian Fields

As far as we have seen, within the inflationary cosmology, higher connected correlators of the primordial perturbations are generally expected to be small. Fourier coefficients of a Gaussian perturbation have only the minimal correlation demanded by the reality condition. As a result, the stochastic properties of perturbations are completely defined by its spectrum. In particular, the non-zero correlators of the Fourier coefficients are given by the spectrum that only depend on the magnitude of  $\mathbf{k}$ , corresponding to rotational invariance:

$$\langle \phi_{\mathbf{k}} \rangle = 0, \quad \langle \phi_{\mathbf{k}} \phi_{\mathbf{k}'} \rangle = (2\pi)^3 \delta^3(\mathbf{k} + \mathbf{k}') P_\phi(k), \quad \langle \phi_{\mathbf{k}_1} \phi_{\mathbf{k}_2} \phi_{\mathbf{k}_3} \rangle = 0, \quad (2.129)$$

moreover, trispectrum is related by the power spectrum as

$$\langle \phi_{\mathbf{k}_1} \phi_{\mathbf{k}_2} \phi_{\mathbf{k}_3} \phi_{\mathbf{k}_4} \rangle = (2\pi)^6 \delta^3(\mathbf{k}_1 + \mathbf{k}_2) \delta^3(\mathbf{k}_3 + \mathbf{k}_4) P_\phi(k_1) P_\phi(k_3) + \text{two permutations} . \quad (2.130)$$

Nevertheless, the coefficients of non-Gaussian perturbations have additional correlations, not specified by its spectrum; that means additional correlation between modes. The following contribution is the three-point correlator that vanishes in the Gaussian case. Thus we define the **bispectrum**  $B$  given by

$$\langle \phi_{\mathbf{k}_1} \phi_{\mathbf{k}_2} \phi_{\mathbf{k}_3} \rangle = (2\pi)^3 \delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B(k_1, k_2, k_3). \quad (2.131)$$

Translational invariance demands that each correlator vanishes when the sum of the momenta  $\mathbf{k}_i$  vanishes and the fact that bispectrum depends only on the lengths of three sides of the triangle formed by the momenta corresponds to invariance under rotations. It is convenient to define the reduced bispectrum  $B$  by going to position space

$$\langle \phi(\mathbf{x}_1 + \mathbf{x}_3)\phi(\mathbf{x}_2 + \mathbf{x}_3)\phi(\mathbf{x}_3) \rangle = \int \frac{d^3k_2}{(2\pi)^3} \frac{d^3k_1}{(2\pi)^3} B(k_1, k_2, k_3) e^{i(\mathbf{k}_1\mathbf{x}_1 + \mathbf{k}_2\mathbf{x}_2)}. \quad (2.132)$$

Notice that  $\mathbf{x}_3$  does not appear in the R.H.S. of the above equation because translational invariance, whereas  $\mathbf{x}_1$  and  $\mathbf{x}_2$  are needed to define a triangled configuration. When  $\mathbf{x}_1 = \mathbf{x}_2 = \mathbf{x}_3$ , this configuration defines the *skewness* of the probability distribution defined by

$$S = \langle \phi^3 \rangle / \langle \phi^2 \rangle^{3/2}. \quad (2.133)$$

## 2.6.2 Local non-Gaussianity

The simplest possibility to generate non-Gaussianities at higher order is

$$\zeta(\mathbf{x}) = \zeta_g(\mathbf{x}) + \zeta_{\text{N.G.}}(\mathbf{x}) = \zeta_g(\mathbf{x}) + \sum_{n>1} b_n [\zeta_g^n(\mathbf{x}) - \langle \zeta_g^n(\mathbf{x}) \rangle]. \quad (2.134)$$

The second term in the summatory is to guarantee  $\langle \zeta(\mathbf{x}) \rangle = 0$  at all orders. This ansatz was introduced in [26] in the context of Galaxy halo bias. For our purposes we can just keep the second order term and ignore the constant contribution, because it can always be absorbed via a coordinate transformation by the unperturbed background, so

$$\zeta(\mathbf{x}) = \zeta_g(\mathbf{x}) + b\zeta_g^2(\mathbf{x}) + \dots = \zeta_g(\mathbf{x}) + \frac{3}{5}f_{\text{NL}}\zeta_g^2(\mathbf{x}) + \dots, \quad (2.135)$$

This is known as local non-gaussianity<sup>1</sup>. Since  $\zeta_g(\mathbf{x})$  is Gaussian to good accuracy, the first term must dominate. It is worth emphasizing that this is just an ansatz, instead of a generic expansion, as an example, the  $f_{\text{NL}}$  estimator could depend on position and terms like  $\zeta_g^2$  are not necessarily expanded at the same spatial point. As a result, the terms like  $f_{\text{NL}}\zeta_g^2(\mathbf{x})$  has to be in general written as a convolution(as a non-local term). But hereafter we are going to consider just this term since the local ansatz has clear physical meaning: this ansatz assumes non-Gaussianities are generated independently at different spatial points. Translating this requirement into the context of inflation, typically implies the non-Gaussianity is generated on super-Hubble scales.

Qualitatively, for positive  $f_{\text{NL}}$ , the part of contribution from positive  $\zeta_g(\mathbf{x})$  gets enhanced, and the part of contribution from negative  $\zeta_g$  gets suppressed. This provides an intuitive way to visualize the sign of  $f_{\text{NL}}$ . In position space, this non-Gaussianity can be characterized by the probability distribution of  $\zeta$ . The probability distribution is plotted in Fig. 2.3. Note that the tail at large and positive  $\zeta$  indicates how abundant structures the universe are. Thus positive  $f_{\text{NL}}$  means more galaxies are formed given a fixed power spectrum. For the CMB sky, positive  $f_{\text{NL}}$  means there are more very cold spots than very hot spots on the CMB, and more modestly hot spots than modestly cold spots. The position-space features

<sup>1</sup>The numerical factor 3/5 in (2.135) comes its due to historical reason.  $f_{\text{NL}}$  originally was introduced in terms of the gravitational potential.

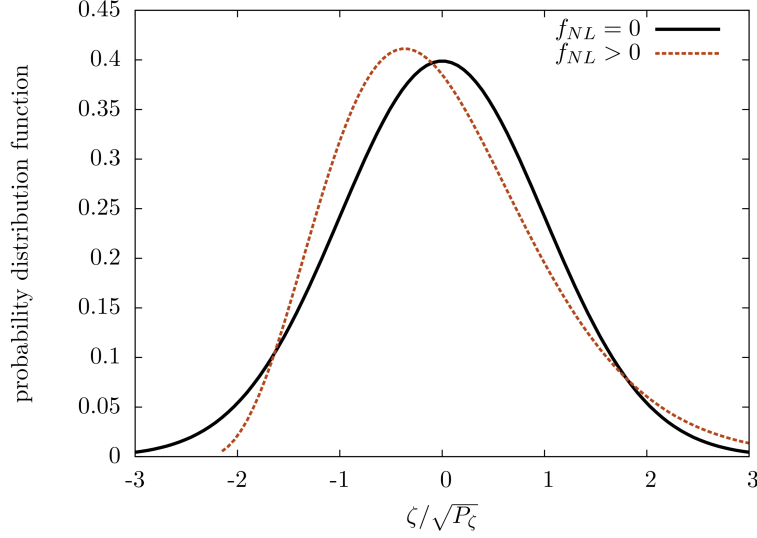


Figure 2.3: Schematic probability distribution for the primordial curvature perturbation. Positive  $f_{\text{NL}}$  correspond to the red curve in the figure. Negative  $f_{\text{NL}}$  correspond to an axial reflection at  $\zeta = 0$  of the red curve. The constrained  $f_{\text{NL}}$  is should be represented much smaller in this plot. Credit:[27].

of  $f_{\text{NL}}$  is intuitive to understand. However, inflationary perturbations manifest themselves in momentum space. There are much more configurations of three-point function to look at, than just looking at position-space probability distributions point by point. Thus momentum space correlation functions provide a much more powerful test of non-Gaussianity than the isolated position space test. In momentum space, the corresponding expression is:

$$\zeta_{\mathbf{k}} = \zeta_{\mathbf{k}}^g + \frac{3}{5} f_{\text{NL}} \int \frac{d^3q}{(2\pi)^3} \zeta_{\mathbf{q}}^g \zeta_{\mathbf{q}-\mathbf{k}}^g. \quad (2.136)$$

Inserting this expression into the three point function:

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_1} \rangle = (2\pi)^3 \delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B_\zeta(k_1, k_2, k_3), \quad (2.137)$$

with

$$B_\zeta(k_1, k_2, k_3) = \frac{18}{5} f_{\text{NL}} \frac{\mathcal{S}(k_1, k_2, k_3)}{(k_1 k_2 k_3)^2} \mathcal{P}^2(k_*), \quad (2.138)$$

where  $\mathcal{P}_\zeta(k_*) = k_*^3 P_\zeta(k_*)$  is the quasi-scale invariant dimensionless power spectrum evaluated at the fiducial scale  $k_*$  with a shape function normalized to  $\mathcal{S}(k, k, k) = 1$  and defined by

$$S_{\text{loc}}(k_1, k_2, k_3) = \frac{1}{3} \left( \frac{k_3^2}{k_1 k_2} + 2 \text{ permutations} \right). \quad (2.139)$$

The shapes of the bispectrum refers to the dependence  $\mathcal{S}$  on the momentum ratios  $k_2/k_1$  and  $k_3/k_1$ , while fixing the overall momentum scale  $K = (k_1 + k_2 + k_3)/3$ . Explicitly with this parametrization the bispectrum (2.138) becomes :

$$B_\zeta(k_1, k_2, k_3) = \frac{6}{5} f_{\text{NL}} \frac{\mathcal{P}^2(k_*)}{(k_1 k_2 k_3)^3} \left( \frac{k_1^2}{k_2 k_3} + \frac{k_2^2}{k_1 k_3} + \frac{k_3^2}{k_1 k_2} \right). \quad (2.140)$$

An interesting quantity appears when one of the momenta goes to zero, for example (assuming  $k_3 \ll k_1 \approx k_2$ ) in whose case the shape function

$$\lim_{k_3 \rightarrow 0} S_{\text{loc}}(k_1, k_2, k_3) = \frac{2}{3} \frac{k_2}{k_1}. \quad (2.141)$$

Thus the bispectrum

$$\lim_{k_3 \rightarrow 0} B_\zeta(k_1, k_2, k_3) = \frac{12}{5} f_{\text{NL}} \mathcal{P}^2(k_*) \frac{1}{k_1^3 k_2 k_3^2} \cong \frac{12}{5} f_{\text{NL}} P_\zeta(k_1) P_\zeta(k_3) \quad (2.142)$$

This is known as the **squeezed limit** bispectrum and is a direct relevance since it provides a direct test for the local ansatz Eq.(2.135). The observational constraint for  $f_{\text{NL}}$  from Planck ([9]) is:

$$f_{\text{NL}} = 0.8 \pm 5.0, \quad (68\% \text{CL, Planck TT} + \text{low P}). \quad (2.143)$$

## 2.7 In-In formalism

The in-in formalism is a systematic scheme to evaluate radiative corrections or higher order contributions to primordial cosmological perturbation, which is the basis (as well the ADM formalism) of modern calculations for higher-order contributions to the primordial spectrum. The importance of these tools for inflation relies upon its predictability, accuracy and its relative simplicity, therefore, it helps to capture all phenomena by finding the action of fields, how it behaves, what symmetries are hidden and respected by those fields and finally all possible couplings with others fundamental fields.

This subsection is organized as follow: first, we will introduce some formal aspects of the in-in formalism a la Weinberg [13] and secondly, we will compute the simplest radiative contribution from the lowest order interaction Hamiltonian  $H_I$  order 3. To have some intuition on the computation of the complete 3 point correlation function we will pick up the simplest term and compute its contribution through the in-in formalism in function to illustrate some aspect of this methodology. The remaining terms are analogous and straightforward, however, are a tedious task.

The in-in formalism scheme can be viewed as one of the initial conditions, in-in takes the initial states of some set of fields,  $|in\rangle$ , and calculates the expectation value of some set of operators  $\mathcal{O}$  with respect to these fields at a later time  $t$ ,  $|\Omega(t)\rangle$ . Specifying the initial conditions typically amounts to choosing the Bunch-Davies vacuum: the dynamical degrees of freedom behave like harmonic oscillators at very small length scales (well inside the horizon), and each mode is assumed to be in its ground state. To evaluate an expectation value at some arbitrary time in the interaction picture one needs to evolve the 'in state' forward in time  $|\Omega(t)\rangle$ . Operationally, the simplest way of incorporating this initial condition is to include some evolution in imaginary time,  $t \rightarrow t(1 + i\varepsilon)$  and compute the expectation value,  $\langle O(t) \rangle$ , of a product of operators  $O(t)$  at time  $t$ , require that we evaluate

$$\langle O(t) \rangle = \left\langle \left( T e^{-i \int_{-\infty}^t H_I(t') dt'} \right)^\dagger O(t) \left( T e^{-i \int_{-\infty}^t H_I(t'') dt''} \right) \right\rangle \quad (2.144)$$

Where the fields on the right-hand side are Heisenberg fields. The expectation value is taken with respect to the initial state,  $|in\rangle$ , which we assume to be the Bunch-Davies vacuum. The



interaction Hamiltonian  $H_I$  is defined in the usual way so that the total Hamiltonian  $H$  is the combination of  $H_I$  and the free-field Hamiltonian,  $H_0$ ,

$$H = H_0 + H_{int}. \quad (2.145)$$

From the action one constructs the Hamiltonian by defining conjugate momenta, and separating out the quadratic from the higher order parts:  $H_0$  consists of terms that are quadratic in the perturbative degrees of freedom (and thus free), while  $H_{int}$  consists of all third and higher order terms. The free Hamiltonian  $H_0$  drives the evolution of the operators, while  $H_{int}$  evolves the states. This separation is natural since in a homogenous and isotropic background we can find eigenstates of free field Hamiltonian at past infinity. Interaction terms generally have derivative couplings even when the action contains only canonical kinetic terms. These derivative couplings are the end result of perturbatively expanding the action. If  $L_I$  is the portion of the action with terms of third order and higher, the usual expression for the interaction Hamiltonian  $H_{int} = -L_{int}$ . In the more general case, there will be extra interaction terms.

$$\langle O \rangle = \sum_{n,m} \langle 0 | \left( T e^{-i \int_{-\infty}^t H_{int}(t') dt'} \right)^\dagger | n \rangle \langle n | O | m \rangle \langle m | \left( T e^{-i \int_{-\infty}^t H_{int}(t') dt'} \right) | 0 \rangle. \quad (2.146)$$

The interpretation is clear, the 'in-in' correlation is the product of vacuum transition amplitudes ('in-out') and a matrix element  $\langle n | O | m \rangle$ , summed over all possible 'out' states. The 'in-in' formalism is simply standard QFT, rigged to compute correlation functions at a fixed time, given initial conditions instead of asymptotic boundary conditions. Initial conditions in QFT are usually specified by finding the eigenstates of the free Hamiltonian  $H_0$ , and stipulating that the system begins in one (or some combination) of these eigenstates. If the system begins in the quantum mechanical vacuum, this amounts to putting our system in the vacuum state of  $H_0$  at the initial time. Operationally, the vacuum is selected by redefining the range of  $t$  to include a small imaginary component,  $t \rightarrow t + i\varepsilon|t|$ . At the lowest order:

$$\langle O \rangle = \text{Re} \left\langle \left[ -2i O^I(t) \int_{-\infty(1+i\varepsilon)}^t dt' H_{int}^I(t') \right] \right\rangle \quad (2.147)$$

The above reduced formula is due to the Hermiticity of the operator product. Let us consider a Lagrangian with generic fields  $\phi_a$ ,

$$S = \int d^4x \mathcal{L}(\phi_a(\mathbf{x}, t), \dot{\phi}_a(\mathbf{x}, t)). \quad (2.148)$$

In a generic system, a range over all the fields in the theory, be it the metric, matter scalar fields, etc. We will keep the discussion general in this section, and specialize to a FRW cosmology in the next section. The canonical momenta for this system are defined as usual

$$\pi_a = \frac{\partial \mathcal{L}}{\partial \dot{\phi}_a}, \quad (2.149)$$

and hence the Hamiltonian is

$$H[\phi_a(t), \pi_a(t)] = \int d^3x \dot{\phi}_a \pi_a - L. \quad (2.150)$$

In the quantum theory, the variables obey the equal time commutators relations

$$[\phi_a(\mathbf{x}, t), \pi_a(\mathbf{y}, t)] = i\delta_{ab}\delta(\mathbf{x} - \mathbf{y}). \quad (2.151)$$

The other commutators vanish as usual. The Heisenberg equations of motion are then

$$\dot{\phi}_a(\mathbf{x}, t) = i[H[\phi(t), \pi(t)], \phi_a(\mathbf{x}, t)], \quad \dot{\pi}_a(\mathbf{x}, t) = i[H[\phi(t), \pi(t)], \pi_a(\mathbf{x}, t)]. \quad (2.152)$$

Now we want to consider the following system. We want to split the fields into a classical part  $\bar{\phi}$  and its quantum part  $\delta\phi$ :

$$\phi_a(\mathbf{x}, t) = \bar{\phi}_a(\mathbf{x}, t) + \delta\phi_a(\mathbf{x}, t), \quad \pi_a(\mathbf{x}, t) = \bar{\pi}_a(\mathbf{x}, t) + \delta\pi_a(\mathbf{x}, t). \quad (2.153)$$

Where the classical part obey the classical equations of motion

$$\dot{\bar{\phi}}_a(\mathbf{x}, t) = \frac{\delta H[\bar{\phi}(t), \bar{\pi}(t)]}{\delta \bar{\pi}_a(\mathbf{x}, t)}, \quad \dot{\bar{\pi}}_a(\mathbf{x}, t) = \frac{\delta H[\bar{\phi}(t), \bar{\pi}(t)]}{\delta \bar{\phi}_a(\mathbf{x}, t)}. \quad (2.154)$$

Varying the Hamiltonian density

$$\dot{\bar{\phi}}_a(\mathbf{x}, t) = \int d^3y \frac{\delta H[\bar{\phi}(t), \bar{\pi}(t)]}{\delta \bar{\pi}_a(\mathbf{x}, t)} \delta(\mathbf{x} - \mathbf{y}). \quad (2.155)$$

And the 'background quantities' just commutes the Hamiltonian  $[\bar{\phi}(\mathbf{x}, t), H] = [\bar{\pi}(\mathbf{x}, t), H] = 0$ . Plugging the above equation into Eq.(2.151), we see that the perturbations satisfies the commutator

$$[\delta\phi_a(\mathbf{x}, t), \delta\pi_b(\mathbf{y}, t)] = i\delta_{ab}\delta(\mathbf{x} - \mathbf{y}) \quad (2.156)$$

The way to think about this system is that it is a theory of quantized perturbations living on the classical time-dependent background. This should be familiar to you in the context of linear cosmological perturbation theory, but in this section, we will formalize it, and consider beyond linear order perturbation theory.

$$H[\phi(t), \pi(t)] = H[\bar{\phi}(t), \bar{\pi}(t)] + \frac{\delta H[\bar{\phi}(t), \bar{\pi}(t)]}{\delta \bar{\phi}(\mathbf{x}, t)} \delta\phi(\mathbf{x}, t) + \frac{\delta H[\bar{\phi}(t), \bar{\pi}(t)]}{\delta \bar{\pi}(\mathbf{x}, t)} \delta\pi(\mathbf{x}, t) + \tilde{H}[\delta\phi(t), \delta\pi(t); t] \quad (2.157)$$

The first piece describes the background quantities, the second order piece is proportional to the background equations of motion so vanishes and finally the quantum piece that starts at second order in perturbation. In other words,  $\delta H$  contains terms of quadratic and higher order in the quantized perturbations. The perturbation Hamiltonian  $\tilde{H}[\delta\phi(t), \delta\pi(t); t]$  term is a functional of the perturbations, with an explicit time dependence on the background values  $(\delta\phi(t), \delta\pi(t))$  which we denote by appending a 't' at the end.

To apply this toolkit to cosmology, particularly, we are interested in a Hamiltonian of an interacting theory of primordial curvature perturbation, since they encode quantum radiative correction for higher order correlation function. This class of Hamiltonian can be splitted into 2 pieces: the free theory(quadratic) and the interacting(cubic and higher order):

$$H = H_0 + H_{int} \quad (2.158)$$

$H_0$  correspond to the quadratic y  $H_I$  to the interacting term<sup>2</sup>. Concisely, the free theory is related with gaussian statistic and the power spectrum described in previous, whereas the second is related with the so-called non-Gaussianities. Particularly, the quadratic Hamiltonian density for this theory is given by

$$\mathcal{H}_0 = 2\varepsilon \left[ \frac{1}{2}\pi^2 - a\frac{1}{2}(\partial\zeta)^2 \right] \quad (2.159)$$

While the remaining higher order terms [28]:

$$\mathcal{H}_{int} = -a^3\varepsilon^2\zeta\pi^2 + a\varepsilon^2\zeta(\partial\zeta)^2 - 2a\varepsilon\pi(\partial\zeta)(\partial\chi) + \frac{a^3}{2}\varepsilon\dot{\eta}\zeta^2\pi + \frac{1}{2}\frac{\varepsilon}{a}(\partial\zeta)(\partial\chi)(\partial^2\chi) + \frac{\varepsilon}{4a}\partial^2\zeta(\partial\chi)^2 \quad (2.160)$$

where it has been defined  $\partial^2\chi = a^2\varepsilon\dot{\zeta}$ ,  $\varepsilon = -\dot{H}/H^2$  y  $\eta = -\dot{\varepsilon}/H^2$ . It is worth noting that the first three terms are  $O(\varepsilon^2)$  while the rest three are  $O(\varepsilon^3)$ . For illustrative purposes let us consider only the first term in Eq.(2.160) and compute its contribution to 3-point correlation function of curvature modes by using the in-in formalism:

$$\begin{aligned} \langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = & \quad (2.161) \\ \left\langle \left[ \bar{T} \exp \left( i \int_{-\infty(1-i\varepsilon)}^{\tau} d\tilde{\tau} \mathcal{H}_{int}(\tilde{\tau}) \right) \right] \zeta_{\mathbf{k}_1}(\tau) \zeta_{\mathbf{k}_2}(\tau) \zeta_{\mathbf{k}_3}(\tau) \left[ T \exp \left( -i \int_{-\infty(1+i\varepsilon)}^{\tau} d\tilde{\tau} \mathcal{H}_{int}(\tilde{\tau}) \right) \right] \right\rangle \end{aligned}$$

where  $T$  is the ordering operator and  $\bar{T}$  is the anti-temporal ordering as already shown in the previous subsection. Picking up just first term in (2.160) its contribution becomes

$$\begin{aligned} \langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = & \quad (2.162) \\ -2\text{Re} \left( i \left\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \int_{-\infty(1+i\varepsilon)}^{\tau} d\tilde{\tau} dx^3 a^2 \varepsilon^2 \zeta(x, \tilde{\tau}) \zeta'(x, \tilde{\tau}) \zeta'(x, \tilde{\tau}) \right\rangle \right), \end{aligned}$$

where the apostrophe on the function denotes derivation with respect  $\tau$

$$\begin{aligned} \langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = & \quad (2.163) \\ -2\text{Re} \left( i \left\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \int \frac{dq_{123}^3}{(2\pi)^9} \int_{-\infty(1+i\varepsilon)}^{\tau} d\tilde{\tau} dx^3 a^2 \varepsilon^2 \zeta_{\mathbf{q}_1}(\tilde{\tau}) \zeta'_{\mathbf{q}_2}(\tilde{\tau}) \zeta'_{\mathbf{q}_3}(\tilde{\tau}) \right\rangle \cdot e^{+i(\mathbf{q}_1 + \mathbf{q}_2 + \mathbf{q}_3) \cdot \mathbf{x}} \right) \end{aligned}$$

contracting the internal and external legs

$$\begin{aligned} \langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = & \quad (2.164) \\ -4\text{Re} \left( i u_{k_1}(0) u_{k_2}(0) u_{k_3}(0) \int \frac{dq_{123}^3}{(2\pi)^9} \int_{-\infty(1+i\varepsilon)}^{\tau} d\tilde{\tau} dx^3 a^2 \varepsilon^2 u_{\mathbf{q}_1}^*(\tilde{\tau}) \frac{d}{d\tilde{\tau}} u_{\mathbf{q}_2}^*(\tilde{\tau}) \frac{d}{d\tilde{\tau}} u_{\mathbf{q}_3}^*(\tilde{\tau}) \right. \\ \left. \cdot (2\pi)^9 \delta^{(3)}(\mathbf{k}_1 + \mathbf{q}_1) \delta^{(3)}(\mathbf{k}_2 + \mathbf{q}_2) \delta^{(3)}(\mathbf{k}_3 + \mathbf{q}_3) \cdot e^{-i(\mathbf{q}_1 + \mathbf{q}_2 + \mathbf{q}_3) \cdot \mathbf{x}} + 1 \rightarrow 2 + 1 \rightarrow 3 \right) \end{aligned}$$

using

$$\frac{du_k(\tau)}{d\tau} = \frac{-H}{\sqrt{4\varepsilon k^3}} k^2 \tau e^{ik\tau}, \text{ and } \int dx^3 e^{+i(\mathbf{q}_1 + \mathbf{q}_2 + \mathbf{q}_3) \cdot \mathbf{x}} = (2\pi)^3 \delta^{(3)}(\mathbf{q}_1 + \mathbf{q}_2 + \mathbf{q}_3) \quad (2.165)$$

---

<sup>2</sup>Hereafter:  $\tilde{H} \rightarrow H$ ,  $\delta\phi \rightarrow \zeta$

using  $a = -1/H\tau$  for a de Sitter universe the three-point correlation function becomes

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = ' \quad (2.166)$$

$$\left[ \frac{-4iH^6}{(4\varepsilon)^3} \frac{1}{(k_1 k_2 k_3)^3} \int_{-\infty(1-i\varepsilon)\tau}^{\tau} d\tilde{\tau} \frac{1}{(H\tilde{\tau})^2} \varepsilon^2 \times (k_2 k_3)^2 \tilde{\tau}^2 (1 + ik_1 \tilde{\tau}) e^{-i(k_1 + k_2 + k_3)\tilde{\tau}} \right] + \text{Sym}$$

where  $='$  denotes the equality and the factor  $(2\pi)^3 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)$  on the right hand side at the same time. Integrating the time out

$$\int_{-\infty(1+i\varepsilon)}^{\tau} d\tilde{\tau} (k_2 k_3)^2 (1 + ik_1 \tilde{\tau}) e^{-iK\tilde{\tau}} = (k_2 k_3)^2 \left( \frac{ik_1}{K^2} + \frac{i}{K} - \frac{k_1 \tilde{\tau}}{K} \right) e^{-i(K\tilde{\tau})} \Big|_{-\infty(1+i\varepsilon)}^{\tau} \quad (2.167)$$

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = ' \frac{H^4}{16\varepsilon} \frac{1}{(k_1 k_2 k_3)^3} (k_2 k_3)^2 \left( \frac{1}{K} + \frac{k_1}{K^2} + 1 \rightarrow 2 + 1 \rightarrow 3 \right). \quad (2.168)$$

Obtaining the expression from the first contribution of (2.160) for the non-normalized shape function

$$B_1(k_1, k_2, k_3) = \frac{H^4}{16\varepsilon} \frac{1}{(k_1 k_2 k_3)^3} (k_2 k_3)^2 \left( \frac{1}{K} + \frac{k_1}{K^2} + 1 \rightarrow 2 + 1 \rightarrow 3 \right). \quad (2.169)$$

If one consider the remaining terms order  $O(\varepsilon^2)$  of (2.160) that contributes to the three point correlation function, it turns out:

$$B(k_1, k_2, k_3) = \frac{H^4}{M_{\text{pl}}^4} \frac{1}{(k_1 k_2 k_3)^3} \frac{1}{4\varepsilon^2} \left[ \frac{\eta}{8} \sum k_i^3 + \frac{\varepsilon}{8} \left( -\sum k_i^3 + \sum_{i \neq j} k_i k_j^2 + \frac{8}{K} \sum_{i > j} k_i^2 k_j^2 \right) \right]. \quad (2.170)$$

We see if one of the modes is much longer than the others  $k_2, k_3 \gg k_1$  hence  $k_2 \sim k_3$ , then Eq.(2.170) becomes:

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = (2\pi)^3 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) \frac{H^4}{M_{\text{pl}}^4} \frac{1}{4\varepsilon^2} \left[ \frac{\eta + 2\varepsilon}{8} \sum k_i^3 \right]. \quad (2.171)$$

identifying  $n_s - 1 = -2\varepsilon - \eta$ , the above expression reduces to

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = (2\pi)^3 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) (1 - n_s) P(k_1) P(k_2), \quad (2.172)$$

This is known as the **squeezed limit** since the triangle looks like a very squeezed triangle. Comparing to Eq.(2.142), we that in this limit the slow-roll shape coincides with the local shape so:

$$f_{\text{NL}}^{\text{local}} = -\frac{5}{12}(n_s - 1). \quad (2.173)$$

Note the two power spectra are of the short and long modes respectively and the slow roll parameters are evaluated at the time the short wavelength modes cross the horizon. In other words, there is a **consistency relation** between the index of the scalar power spectrum and the 3-pt correlation function in the squeezed limit. This is a fairly powerful relationship, the argument laid out above relies on the fact that the long wavelength mode  $\zeta_1$  remains frozen out outside the horizon and does not evolve, this is a feature of single scalar field models regardless of the exact details of the potential. Hence if this consistency relationship is not obeyed via observations, then we can rule out single scalar field models. In practice this is a particularly difficult observation to do: the squeezed limit requires  $k_2, k_3 \gg k_1$ , hence we need a very long wavelength mode. But we only have very few long wavelength modes in the sky due to cosmic variance, hence our ability to observe the squeezed limit of a 3-pt is basically constrained by cosmic variance.

## 2.8 Conformal Symmetries of Adiabatic Modes

Following [14], we work in  $\zeta$ -gauge, defined by an unperturbed scalar field and a conformally-flat spatial metric:

$$h_{ij} = a^2(t)e^{2\zeta(t,\vec{x})}\delta_{ij}. \quad (2.174)$$

We look for a set of diffeomorphisms that preserve this gauge. To maintain  $\delta\phi = 0$ , clearly diffeomorphisms must be purely spatial,  $\xi^i(t, \vec{x})$ , but a priori can depend on time. To preserve the conformal flatness of  $h_{ij}$ , the residual spatial diffeomorphisms are just conformal transformations on  $\mathbb{R}^3$ . This 10-parameter group includes 3 translations, 3 rotations, 1 dilation and 3 SCTs. Therefore, we ignore translations and rotations, since these are linearly realized on  $\zeta$  and therefore do not give rise to *soft-pion theorems* [29, 30]. **Dilations** and **special conformal transformations**(SCTs) act on the spatial coordinates as

$$\begin{aligned} \text{Dilation: } x^i &\rightarrow \lambda(t)x^i \\ \text{SCT: } x^i &\rightarrow \frac{x^i - x^2 b^i}{1 - 2b \cdot x + x^2 b^2}. \end{aligned} \quad (2.175)$$

Under these transformations, the spatial part of the line element transforms as

$$\delta_{ij}dx^i dx^j \rightarrow \Omega^2(t, \vec{x})\delta_{ij}dx^i dx^j \quad (2.176)$$

where the conformal pre-factor is given by

$$\begin{aligned} \text{Dilation: } \Omega(t, \vec{x}) &= e^{\lambda(t)} \\ \text{SCT: } \Omega(t, \vec{x}) &= (1 - 2b \cdot x + x^2 b^2)^{-1} \end{aligned} \quad (2.177)$$

At the infinitesimal level, the Killing vectors that generate these transformations are

$$\begin{aligned} \text{Dilation: } \xi^i(t, \vec{x}) &= \lambda(t)x^i \\ \text{SCT: } \xi^i(t, \vec{x}) &= 2x \cdot b(t)x^i - x^2 b^i(t) \end{aligned} \quad (2.178)$$

The change in the spatial line element by this conformal factor can be absorbed in a redefinition of  $\zeta$ ; which infinitesimally transforms as

$$\text{Dilation: } \delta\zeta = \lambda(t)(1 + x^i \partial_i \zeta) \quad (2.179)$$

$$\text{SCT: } \delta\zeta = b_i(t)(2x^i + (2x^i x^j \partial_j - x^2 \partial^i)\zeta). \quad (2.180)$$

So far these transformations are just diffeomorphisms and as such map solutions of the equations of motion to other solutions. However, the  $\delta\zeta$  profiles induced by (2.179) do not preserve boundary conditions: they map field configurations which fall off at infinity into those which do not. If we want (2.179) to represent the long-wavelength limit of a physical mode with suitable fall-off behavior at infinity, then we must check whether the induced profiles can satisfy the constraint equations away from the neutral mode  $\vec{k} = 0$ . In other words, these profiles cannot “accidentally” solve the equations only because spatial derivatives are hitting them [31].

This was checked carefully in [29, 30, 32] by generalizing Weinberg’s original argument. The result is that only a subset of the transformations can be extended to a physical mode.

Since  $\zeta'_L \approx k^2 \zeta_L$  is constant in time at linear order in gradients [28, 33], the parameters of the transformations must be time independent:  $\dot{\lambda} = 0, \dot{b}^i = 0$ . Moreover, a SCT must be accompanied by a time-dependent translation [29, 32]

$$\xi^i = \xi_{\text{SCT}}^i - 2b^i \int^t \frac{dt'}{H(t')}. \quad (2.181)$$

These are the adiabatic modes of scalar perturbations: field profiles that can be induced by a coordinate transformation which are the  $\vec{k} \rightarrow 0$  limit of a physical field configuration. Equation (2.181) can be understood physically by considering the linear solution with a long wavelength mode  $\zeta_L$ . After solving the constraint equations for the lapse function and shift vector, the perturbed line element to leading order in gradients takes the form [29, 30, 32, 34]

$$ds^2 = -dt^2 - \frac{2}{H} \partial_i \zeta_L dx^i dt + a^2 (1 + 2\zeta_L) \delta_{ij} dx^i dx^j, \quad (2.182)$$

where we have specialized to single-field, slow-roll inflation for simplicity, the aim is to show that this metric can be generated by performing a suitable change of coordinates on an unperturbed, homogeneous solution. Alternatively, we can think of *removing a long-wavelength*  $\zeta$  mode of this form by performing the inverse transformation. To generate the desired  $h_{ij}$  while remaining in  $\zeta$ -gauge, as discussed earlier, the only allowed transformations are spatial dilations and SCTs. These preserve the conformal flatness of  $h_{ij}$ . Working at linear order in  $\zeta_L$ , it is easy to check that the transformation

$$x^i \rightarrow x^i + \lambda x^i + 2(b \cdot x)x^i - x^2 b^i \quad (2.183)$$

generates the desired spatial metric with

$$\zeta_L = \lambda + x^i b_i. \quad (2.184)$$

In order to generate the complete metric (2.182), we need an additional change of coordinates to induce the shift vector component  $g_{0i} = H^{-1} \partial_i \zeta_L$ . This is achieved by performing a time-dependent translation

$$x^i \rightarrow x^i - \frac{\partial_i \zeta_L}{2H^2 a^2}. \quad (2.185)$$

This confirms the result (2.181) that the linear-gradient adiabatic mode corresponds to a time-independent SCT combined with a particular time-dependent translation. Since all correlation functions we are interested in are translationally invariant, in practice the additional transformation (2.185) will be of no consequence to our discussion, thus, safely ignored. Although we have focused here on slow-roll inflation for concreteness, it can be shown that the construction of adiabatic long modes is similar in any model of single-field inflation [32, 35].







# Chapter 3

## Cosmic Microwave Background

One of the most essential features of the CMB is its Planck spectrum. It follows the blackbody curve to extremely high precision, over a factor of approximately 1000 in frequency Fig.3.1. This implies that the universe was in thermal equilibrium when the radiation was released, which was at a temperature of approximately 3000K. Today it is near 3K. An even more important feature is that, to better than a part in  $10^4$ , this temperature is the same over the entire sky. This is surprising because it strongly implies that everything in the observable universe was in thermal equilibrium at one time in its evolution. The most salient feature is that there are differences in the CMB temperature from place to place, at the level of  $10^{-5}$ , and that these fluctuations have coherence beyond the horizon at the time of the last scattering. In particular, for this chapter we are interested only in the photons density contrasts, since, it contains information of matter domination epoch, this time is called the decoupling, in which physically the photons are no longer interact with electrons via Thomson scattering, this contains relic information about how our universe began. Pictorially, the CMB can be viewed as a snapshot of our universe when it was just 380000 years old. Just a baby universe.

This chapter is partially based on [36, 37] and Lecture notes Physics 217bc, spring 2008, Caltech<sup>1</sup>.

### 3.1 CMB Anisotropies

Now we will see how the photons density evolves from early time to the present epoch. This anisotropy is observed today as the cosmic microwave background(CMB anisotropy). Photons in the early universe were in thermal equilibrium, with blackbody distribution momenta. As the epoch of decoupling is approached, the distribution begins to fall out of equilibrium, developing anisotropy which is different for two polarization states. After decoupling at  $z \sim 1000$ , the redshifting of the photons through the inhomogeneous gravitational field generates more anisotropy, without affecting the polarization states. The reionization at  $z \sim 10$  generates further anisotropy and more importantly, polarization. The anisotropy is characterized by perturbations in intensity, which corresponds to perturbations in the temperature

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<sup>1</sup><http://www.tapir.caltech.edu/~chirata/ph217/index.html>

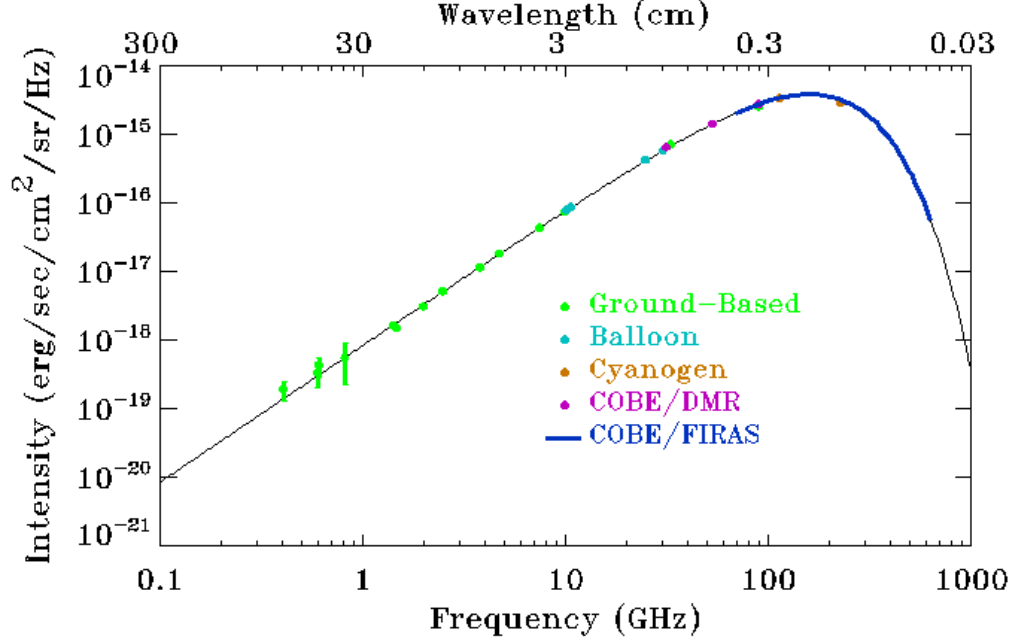


Figure 3.1: Measurements of the CMB intensity vs. frequency together with a fit to the data. Superposed are the expected black body curves for  $T = 2\text{K}$  and  $T = 40\text{K}$ . They are the most precise measurements of the CMB spectrum at the millimeter wavelengths near its peak were made by the Far Infrared Absolute Spectrophotometer (FIRAS) instrument aboard the Cosmic Background Explorer (COBE) satellite. Credit: <https://lambda.gsfc.nasa.gov/product/cobe/>

of the blackbody distribution, and by two polarization parameters. In this chapter, we study the temperature and polarization fluctuations which are imprinted on cosmological scales larger than  $k \sim 0.1 \text{ Mpc}^{-1}$ . In this regime, first-order cosmological perturbation theory is almost always a good approximation, failing only on smaller scales and later times. We start our study with a perturbation called the **brightness function**  $\Theta$  defined on the two-sphere for a given spacetime position:

$$\Theta(\eta, \mathbf{x}, \hat{n}) = \frac{\delta T(\eta, \mathbf{x}, \hat{n})}{T(\eta)}. \quad (3.1)$$

Where  $\eta^2$  is the conformal time and  $\hat{n} = \mathbf{p}/p$  is the direction of the incoming photons or equivalently in the direction where photons are seen  $-\mathbf{e}$ , and  $\mathbf{x}$  is the observer position. This field can be decomposed on the 2-sphere, such as

$$\Theta(\eta, \mathbf{x}, \hat{n}) = \sum_{l=0}^{\infty} \sum_{m=-l}^{m=l} (-1)^l \Theta_{lm}(\eta, \mathbf{x}) Y_{lm}(\hat{n}) = \sum_{l=0}^{\infty} \sum_{m=-l}^{m=l} \Theta_{lm}(\eta, \mathbf{x}) Y_{lm}(\mathbf{e}). \quad (3.2)$$

We see the monopole  $l = 0$  is related to photon energy density contrast by [38]:

$$\Theta_{00}(\eta, \mathbf{x}) = \frac{1}{4} \delta_{\gamma}(\eta, \mathbf{x}). \quad (3.3)$$

<sup>2</sup>often in matter domination epoch  $\eta$  is used instead of  $\tau$  to denote the conformal time. While  $\tau$  is reserved to the optical depth of reionization. This chapter will be the exception.

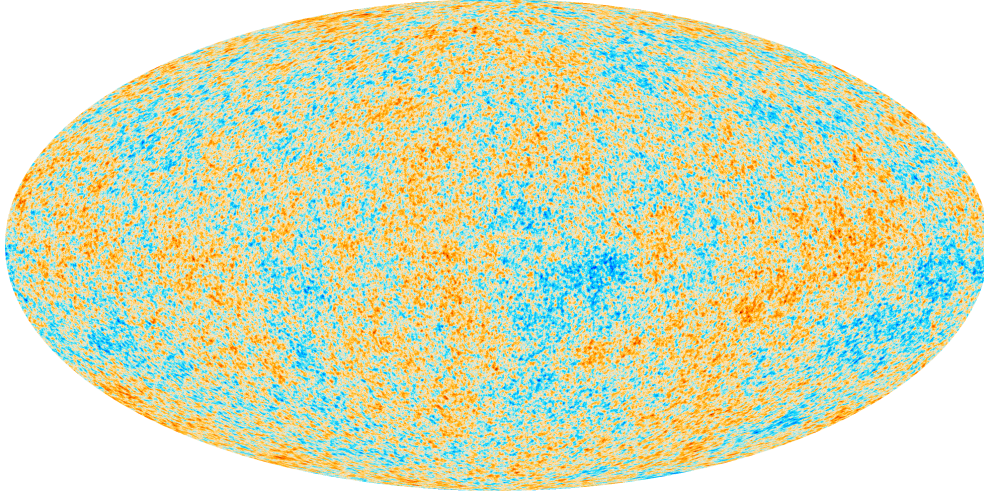


Figure 3.2: Angular anisotropies. <https://www.cosmos.esa.int/web/planck/planck-collaboration>.

This means that the dipole needs a slicing to be defined, but is independent of the threading choice since it is a scalar. Additionally, it means that we cannot determine the monopole, because there is no way of measuring the photon energy density at positions other than our own. The dipole  $l = 1$  is the Doppler shift caused by the motion of the photon fluid relative to the observer,

$$\sum_m \Theta_{1m} Y_{1m}(\mathbf{e}) = -\mathbf{v}_\gamma \cdot \mathbf{e}. \quad (3.4)$$

We have evaluated the Doppler shift to first order in  $\mathbf{v}_\gamma$  (non-relativistic formula). At this point, we have to distinguish between an observer who moves with the gauge threading used to implement cosmological perturbation theory. It is easy to see that the dipole depends on the gauge threading, but not the slicing. For these observers,  $\mathbf{v}_\gamma$  is a cosmological perturbation, and the evaluation of the Doppler shift to first order corresponds to first order cosmological perturbation theory. The observed multipoles with  $l \geq 2$ , therefore, represent the intrinsic anisotropy of the CMB. They are denoted by  $\Theta_{lm}$ :

$$a_{lm} = \Theta_{lm}(\eta = \eta_0, \mathbf{x} = 0). \quad (3.5)$$

Where  $\mathbf{x} = 0$  is our current position relative to the CMB rest frame and  $\eta_0$  is the actual time. Therefore we need to understand the statistic of the local multipoles  $a_{lm}(\eta, 0)$ . To do this, let first consider a Fourier decomposition of the field:

$$\Theta(\eta, \mathbf{x}, \hat{n}) = \int \frac{d^3k}{(2\pi)^3} \Theta(\eta, \mathbf{k}, \hat{n}) e^{i\mathbf{k}\mathbf{x}}. \quad (3.6)$$

Additionally, we can expand the angular Fourier components into spherical harmonics, such that:

$$\Theta(\eta, \mathbf{k}, \hat{n}) = \sum_{l=0}^{\infty} (-i)^l \sqrt{4\pi(2l+1)} \Theta_l(\eta, \mathbf{k}) Y_{l0}(\theta', \phi'), \quad (3.7)$$

where  $\theta', \phi'$  are the angular variables with the 3-axis rotated in such a way that it points in the direction of the wavenumber  $\hat{k}$ , that is  $\cos \theta = \hat{n} \cdot \hat{k}$ . Since the spherical harmonics are

related to Legendre polynomials, we have the following relation:

$$Y_{l0}(\theta', \phi') = \sqrt{\frac{2l+1}{4\pi}} P_l(\theta'). \quad (3.8)$$

Therefore we obtain:

$$\Theta(\eta, \mathbf{k}, \hat{n}) = \sum_{l=0}^{\infty} (-i)^l (2l+1) \Theta_l(\eta, \mathbf{k}) P_l(\hat{n} \cdot \hat{k}), \quad (3.9)$$

whereas in real space

$$\Theta(\eta, 0, \hat{n}) = \int \frac{d^3k}{(2\pi)^3} \sum_{l=0}^{\infty} (-i)^l (2l+1) \Theta_l(\eta, \mathbf{k}) P_l(\hat{n} \cdot \hat{k}). \quad (3.10)$$

In order to get  $a_{lm}$  we use the addition theorem for Legendre Polynomials

$$P_l(\hat{n} \cdot \hat{k}) = \frac{4\pi}{(2l+1)} \sum_{m=-l}^{m=l} Y_{ml}^*(\hat{k}) Y_{ml}(\hat{n}). \quad (3.11)$$

So that Eq.(3.6) becomes

$$\Theta(\eta, 0, \hat{n}) = 4\pi \sum_{l,m} \int \frac{d^3k}{(2\pi)^3} (-i)^l \Theta_l(\eta, \mathbf{k}) Y_{ml}^*(\hat{k}) Y_{ml}(\hat{n}) \quad (3.12)$$

We can read the multipoles components as

$$a_{lm}(\eta) = 4\pi (-i)^l \int \frac{d^3k}{(2\pi)^3} \Theta_l(\eta, \mathbf{k}) Y_{lm}^*(\hat{k}). \quad (3.13)$$

The ensemble average of  $\langle a_{lm} \rangle$  is equal zero; therefore they are equally positive or negative, this fact reflects the statistical isotropy of the primordial probability distribution; nevertheless the second moment of the multipoles are not, so we are interested in its variance. For doing that we compute the equal time correlation function:

$$\langle a_{lm}(\eta) a_{l'm'}^*(\eta) \rangle = 16\pi^2 \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3k'}{(2\pi)^3} (i)^{l'-l} \langle \Theta_l(\mathbf{k}, \eta) \Theta_{l'}(\mathbf{k}', \eta) \rangle Y_{l'm'}^*(\hat{k}') Y_{lm}(\hat{k}). \quad (3.14)$$

The field  $\Theta$  can be written in terms of the primordial curvature perturbations generated by quantum fluctuation during inflation, whose relation is:

$$\langle \Theta_l(\mathbf{k}) \Theta_l(\mathbf{k}') \rangle = \frac{\Theta_l(\mathbf{k})}{\zeta(\mathbf{k})} \frac{\Theta_l(\mathbf{k}')}{\zeta(\mathbf{k}')} \langle \zeta(\mathbf{k}) \zeta(\mathbf{k}') \rangle = T_l(k) T_l(k') \langle \zeta(\mathbf{k}) \zeta(\mathbf{k}') \rangle. \quad (3.15)$$

Notice that the ratios between  $\Theta$  and  $\zeta$  are direction independent. They are called transfer functions,  $T_l(k)$ , and encodes the adiabatic mode evolution, explicitly they depend on the physics between the horizon re-entry and its evolution afterward. Moreover, the correlation function on the R.H.S. satisfies:

$$\langle \zeta(\mathbf{k}) \zeta(\mathbf{k}') \rangle = (2\pi)^3 P_\zeta(k) \delta^3(\mathbf{k} + \mathbf{k}'), \quad (3.16)$$

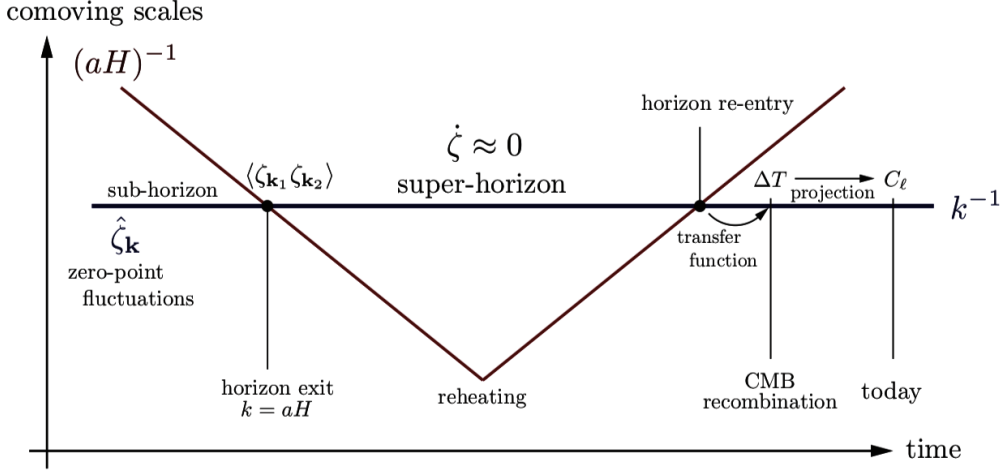


Figure 3.3: Modes evolution: Overdensities are generated during inflation as quantum fluctuations. As the horizon shrinks, the wavelength of the curvature modes becomes larger than the horizon (aka. they exit the horizon), and consequently, they freeze and become classical. After the hot big bang era or recombination, these modes re-enter the horizon and they start to grow according to the underlying subhorizon physics, finally at decoupling or recombination, they leave defined statistical fingerprints which are transported and projected through incoming photons from the CMB toward us. Credit: <http://www.damtp.cam.ac.uk/user/db275/Cosmology/Lectures.pdf>.

where is  $P_\zeta(k)$  the primordial curvature power spectrum. Therefore, the equal time correlation function (3.14) reduces to:

$$\langle a_{lm}(\eta)a_{l'm'}(\eta) \rangle = 16\pi^2 \int \frac{d^3k}{(2\pi)^3} (i)^{l'-l} T_l^2(k) P_\zeta(k) Y_{l'm'}(\hat{k}) Y_{lm}(\hat{k}). \quad (3.17)$$

Separating the  $k$  integral into a radial and an angular part to integrate the above expression,

$$\int \frac{d^3k}{(2\pi)^3} = \int \frac{k^3 d \ln k}{(2\pi^2)} \int \frac{d^2\hat{k}}{4\pi}. \quad (3.18)$$

Finally we get

$$\langle a_{lm}a_{l'm'} \rangle = 16\pi^2 (i)^{l'-l} \int \frac{d^2\hat{k}}{4\pi} Y_{lm}(\hat{k}) Y_{l'm'}(\hat{k}) \int \frac{k^3 d \ln k}{(2\pi^2)} T_l^2(k) P_\zeta(k) \quad (3.19)$$

$$\langle a_{lm}a_{l'm'} \rangle = \delta_{ll'} \delta_{mm'} 4\pi \int d \ln k T_l^2(k) P_\zeta(k) \quad (3.20)$$

the above expression defines the angular power spectrum as:

$$\langle a_{lm}a_{l'm'} \rangle = \delta_{ll'} \delta_{mm'} C_l, \quad C_l = 4\pi \int d \ln k P_\zeta(k) T_l^2(k), \quad (3.21)$$

where we have defined the dimensionless curvature power spectrum as  $\mathcal{P}_\zeta(k) = k^3 P_\zeta(k)/(2\pi^2)$  and the power spectrum anisotropy as  $C_l = \langle |a_{lm}|^2 \rangle$ , is the variance of a gaussian distribution for a realization of the value a certain multipole  $a_{lm}$ . The above companion  $\delta_{ll'} \delta_{mm'}$  (with the

condon-shorty phase convention) tell us that the different modes are statistical independent or simple do not have any correlation. While its variance,  $C_l$ , only depend on the angular number  $l$  reflect the fact of an ensemble rotationally invariant. The  $C_l$ 's are quantities that most of CMB observers aim to measure. The  $l$  number has associated an intrinsic angular scale  $\theta \sim \pi/l$ , thus a dominant physical scale  $x \sim r_{\text{CMB}}\theta \sim \pi r_{\text{CMB}}$  with a wavenumber  $k \sim l/r_{\text{CMB}}$ .

Usually, the angular power spectrum is measured starting from  $l = 2$ . This is because the monopole is unobservable; we do not know the true mean value of the CMB temperature fluctuations, only its value in our location and technically it depends on the gauge time slicing and therefore gauge-dependent. The dipole  $l = 1$  depend on the observer velocity, so it has no absolute meaning. It does not make any sense to ask that is the CMB dipole in a frame relative to distant galaxies, but the latter frame is not known well. The multipole measured from  $l \geq 2$  in the CMB rest frame are well defined and genuinely gauge invariant. The power spectrum defined here is dimensionless, but some of the CMB observers prefer to quote  $a_{lm}$  in mK, i.e. they report the perturbations  $\Delta T$  from the mean temperature  $T_\gamma$  rather than their fractional temperature  $\Delta T/T_0$ . In this case the power spectrum Eq.(3.21) must be multiplied by  $T_{\gamma 0}^2$ , where  $T_{\gamma 0} = 2.73 \cdot 10^6 \mu\text{K}$ .

Another important limitation is the fact that we have one universe in which we have limited access to sample its statistical distribution; a typical difference between  $C_l$  and measured multipole will be of order the *rms* deviation of  $|a_{lm}|^2$  from  $C_l$ . The latter is given by

$$(\Delta C_l)^2 = \langle (|a_{lm}|^2 - C_l)^2 \rangle = \langle |a_{lm}|^4 - C_l^4 \rangle \quad (3.22)$$

This is the cosmic variance of  $C_l$ , similar to the cosmic variance of correlators of Fourier components. The real and imaginary parts of the multipoles will have independent Gaussian probability distributions, provided that the primordial distribution is Gaussian. If a single real or imaginary part is measured, the cosmic variance is  $2C_l^2$ . If all  $(2l + 1)$  independent components of  $a_{lm}$  are measured, the cosmic variance is reduced by a factor  $(2l + 1)$ . If the data are further binned by averaging over a range  $\Delta l$  around  $l$ , this reduces the cosmic variance by an additional factor  $\Delta l$ , giving

$$(\Delta C_l)^2 = \frac{2}{(2l + 1)\Delta l} C_l^2. \quad (3.23)$$

The cosmic variance is a severe limitation to low  $l$  but becomes negligible at higher  $l$ . It is worth remarking that direct observational constraints on non-Gaussianity become very weak on large scales, corresponding to very low multipoles of CMB. Indeed, in this regime, they come only from CMB itself, and they depend on the assumed form of non-gaussianity. However, at least within an inflationary scenario, one does not expect an abrupt change from Gaussianity.

## 3.2 Radiative transfer: from recombination to today.

In this section, we consider what happens to photons after recombination. We need to compute  $\Theta_l$  and hence the CMB power spectrum. In the absence of scattering, the photon

multipoles equations are:

$$\Theta_0 = -k\Theta_1 - \dot{\Phi}; \quad (3.24)$$

$$\dot{\Theta}_1 = \frac{k}{3}(\Theta_0 - 2\Theta_2) + \frac{1}{3}k\Psi; \quad (3.25)$$

$$\dot{\Theta}_l = \frac{k}{2l+1} [l\Theta_{l-1} - (l+1)\Theta_{l+1}]. \quad (3.26)$$

$$(3.27)$$

These equations simplify if we note that  $\Psi = -\Phi$  once the photons and neutrinos do not contribute significantly to the energy density, and defining:

$$\bar{\Theta}_0 = \Theta_0 + \Psi = \Theta_0 - \Phi; \quad \bar{\Theta} = \Theta \quad (l \geq 1). \quad (3.28)$$

The system of equations reduces to:

$$\dot{\bar{\Theta}}_0 = -k\bar{\Theta}_1 - 2\dot{\Phi} \text{ and} \quad (3.29)$$

$$\dot{\bar{\Theta}}_l = \frac{k}{2l+1} [l\bar{\Theta}_{l-1} - (l+1)\bar{\Theta}_{l+1}]; \quad (l \geq 1) \quad (3.30)$$

Since the universe was optically thick (large  $\tau$ ) prior to recombination, we will have  $\Theta_l(\eta_{\text{rec}}) = 0$  for  $l \geq 2$ . Thus the solutions to (3.29) are determined by the initial conditions  $\bar{\Theta}_{0,1}(\eta_{\text{rec}})$  and the metric source  $\dot{\Phi}(\eta)$ . Since the equation is linear, these three contributions can be assessed separately.

- **Initial monopole perturbation.** Let us suppose first that at recombination  $\bar{\Theta}_0 = 1$  and  $\bar{\Theta}_l = 0$  ( $l \geq 1$ ), and that  $\dot{\Phi} = 0$ . from the derivative relation for spherical Bessel functions,

$$(2l+1)j_l'(x) = lj_{l-1}(x) - (l+1)j_{l+1}(x), \quad (3.31)$$

we can see that the solutions are

$$\bar{\Theta}_l = j_l(k\Delta\eta), \quad \Delta\eta = \eta - \eta_{\text{rec}}. \quad (3.32)$$

This is not surprising: since in this approximation the photons are simple free-streaming, one would expect that at  $k\Delta\eta < 1$  an observer should see only a monopole, but at late times an observer sees many ( $\approx \Delta\eta/\lambda = k\Delta\eta/2\pi$ ) perturbation wavelength. In this case, the dominant multipole is:

$$l \sim k\Delta\eta. \quad (3.33)$$

Since  $j_l(x)$  peaks at  $x \sim l$  this is indeed what we get.

- **Initial dipole perturbation.** Now let us suppose that initially  $\bar{\Theta}_1 = 1$ , all other  $\bar{\Theta}_l$  vanish. Also suppose  $\dot{\Phi} = 0$  at all times. Since the equations of motion are  $\eta$ -independent, if  $j_l(k\Delta\eta)$  is a solution, then the derivative with respect to  $\eta$  of this is also a solution.

$$\bar{\Theta} = 3j_l'(k\Delta\eta). \quad (3.34)$$

This is the solution that satisfies our initial conditions; recall that at small  $x$ ,  $j_l(x) \rightarrow x^l/(2l+1)!!$ . At large  $l$  this is very similar to the monopole solution, expect that there is a factor 3, and the  $'$  implies that the phase of oscillation is 90 out of phase from the monopole.

- **Time-varying potentials.** We take a Green's function approach. If initially  $\bar{\Theta}_l = 0$ , but  $\dot{\Phi}$  is a delta function at some conformal time  $\eta_1$ ,

$$\dot{\Phi} = \delta(\eta_1), \quad (3.35)$$

then immediately afterwards  $\bar{\Theta}_0 = -2$ , and the subsequent evolution is:

$$\bar{\Theta}_l = -2j_l(k(\eta - \eta_1)) \quad (3.36)$$

We can get the evolution for general  $\dot{\Phi}$  by a superposition:

$$\bar{\Theta}_l = -2 \int_{\eta_{\text{rec}}}^{\eta} d\eta_1 \dot{\Phi}(\eta_1) j_l(k(\eta - \eta_1)) \quad (3.37)$$

- **Complete solution.** The complete solution to the problem is obtained by superposition:

$$\Theta_l = (\Theta_0(\eta_{\text{rec}}) - \Phi(\eta_{\text{rec}})) j_l(k\Delta\eta) + 3\Theta_1 j'_l(k\Delta\eta) - 2 \int_{\eta_{\text{rec}}}^{\eta} d\eta_1 \dot{\Phi}(\eta_1) j_l(k(\eta - \eta_1)) \quad (3.38)$$

for  $l \geq 1$ . These three terms are generally called the monopole, dipole, and the integrated Sachs-Wolfe(ISW) terms.

### 3.3 Large scales: The Sachs-Wolfe effect

We begin our study with the largest scales in the CMB, in which the Sachs-Wolfe(SW) effect takes place. The SW is a property of the CMB, in which photons from the CMB are gravitationally redshifted, causing the CMB spectrum to appear uneven. This effect is the predominant source of fluctuations in the CMB for angular scales above about ten degrees; those were outside the horizon at recombination, this condition requires  $k\eta_{\text{rec}} < 1$  or:

$$l < \frac{r_{\text{CMB}}}{\eta_{\text{rec}}} \approx \frac{\eta_0}{\eta_{\text{rec}}} = 50 \quad (3.39)$$

In this case, at recombination, we may take as an initial condition the matter-dominated photons multipole moments:

$$\Theta_0 = \frac{3}{5}\Phi(0); \quad \Theta_1 = \frac{3}{5}i\Phi(0)(k\eta_0)^{1/2}; \quad \Phi = \frac{9}{10}\Phi(0) \quad (3.40)$$

The higher multipoles are zero at  $\eta = \eta_{\text{rec}}$ , since  $k\eta_{\text{rec}} < 1$ , the dipole term is negligible. We will also ignore the effects of the cosmological constant  $\Lambda$ , so that we are in matter domination, therefore  $\Phi' = 0$ , that is to say no integrated SW effect. Then:

$$\Theta_l(\eta_0) = -\frac{3}{10}\Phi(0)j_l(k(\eta_0 - \eta_{\text{rec}})) \approx -\frac{3}{10}\Phi(0)j_l(k\eta_0) = -\frac{1}{5}\zeta j_l(k\eta_0) \quad (3.41)$$

the CMB power spectrum is then:

$$C_l = \frac{4\pi}{25} \int d \ln k \mathcal{P}_\zeta(k) |j_l(k\eta_0)|^2. \quad (3.42)$$



Now let us suppose that  $\mathcal{P}_\zeta(k)$  is a power law, as predicted by inflation with a smooth potential:

$$\mathcal{P}_\zeta(k) = \mathcal{P}_\zeta(\eta_0^{-1})(k\eta_0)^{n_s-1} \quad (3.43)$$

then

$$C_l = \frac{4\pi}{25} \mathcal{P}_\zeta(\eta_0^{-1}) \int_0^\infty d \ln k (k\eta_0)^{n_s-1} |j_l(k\eta_0)|^2 = \frac{4\pi}{25} \mathcal{P}_\zeta(\eta_0^{-1}) \int_0^\infty \frac{dx}{x} x^{n_s-1} |j_l(x)|^2 \quad (3.44)$$

The last integral can be evaluated to give:

$$C_l = \frac{2^{n_s-2} \pi^2}{25} \mathcal{P}_\zeta(\eta_0^{-1}) \frac{\Gamma(l + n_s/2 - 1/2) \Gamma(3 - n_s)}{\Gamma(l + 5/2 - n_s/2) \Gamma^2(2 - n_s/2)}. \quad (3.45)$$

An important case is when  $n_s = 1$ , for which we get:

$$\frac{l(l+1)}{2\pi} C_l = \frac{\mathcal{P}_\zeta(\eta_0^{-1})}{25} = \text{Constant}. \quad (3.46)$$

For this reason, CMB observers often make plots of angular power spectrum with  $l(l+1)C_l/2\pi$  on the vertical axis. If  $n_s \neq 1$ , but  $l \gg 1$ , then we can apply Stirling's formula to the  $\Gamma$ 's and get:

$$C_l \approx \frac{2^{n_s-2} \pi^2 \Gamma(3 - n_s)}{25 \Gamma^2(2 - n_s/2)} \mathcal{P}_\zeta(\eta_0^{-1}) l^{n_s-3}, \quad (3.47)$$

so find the dependence:

$$\frac{l(l+1)}{2\pi} C_l \propto l^{n_s-1}. \quad (3.48)$$

For  $n_s > 1$  this means the CMB power spectrum increases as one goes to smaller angular scales, while for  $n_s < 1$  the opposite occurs. In principle, one can measure  $n_s$  this way. In practice, the ISW effect is essential at the lowest range of  $l$ 's, where the Bessel function is slowly varying, and there is a limited range of  $l$ 's satisfying the condition  $l < \eta_0/\eta_{\text{rec}}$ . Therefore in order to measure  $n_s$  one resorts to a global fit to all the CMB data, which includes scales that were inside the horizon at recombination. We will study next.

### 3.3.1 Acoustic peaks

Now let us consider the scale that was inside the horizon at the time of equality. Using (3.38), the anisotropy today is:

$$C_l = 4\pi \int d \ln k \mathcal{P}_\zeta(k) \left| \frac{\Theta_0(k)}{\zeta} j_l(k\Delta\eta) + 3 \frac{\Theta_0(k)}{\zeta} j_l'(k\Delta\eta) \right|^2 \quad (3.49)$$

where  $\Delta\eta = \eta_0 - \eta_{\text{rec}}$ . These terms correspond to the monopole photon perturbation, the dipole term o the last scattering surface. We can simplify the integrals if we go to late times, that is to say,  $k\Delta\eta \gg 1$ , and we use the asymptotic form of the spherical Bessel function for  $l \gg 1$ . The function  $j_l(x)$  goes to zero if  $x < l + 1/2$ , and for  $x > l + 1/2$  we have:

$$j_l(x) \rightarrow \frac{1}{l + 1/2} \frac{\cos \beta}{\sqrt{\sin \beta}} \cos \left[ \left( l + \frac{1}{2} \right) (\tan \beta - \beta) - \frac{\pi}{4} \right], \quad (3.50)$$

$$j_l'(x) \rightarrow \frac{-1}{l + 1/2} \cos \beta \sqrt{\sin \beta} \sin \left[ \left( l + \frac{1}{2} \right) (\tan \beta - \beta) - \frac{\pi}{4} \right], \quad (3.51)$$

$$x = \left( l + \frac{1}{2} \right) \sec \beta, \quad (3.52)$$

where  $x \leq \beta < \pi/2$ . The above equations correspond the WKB solutions. Now we can exchange the integral form  $k$  to  $\beta$ , by using:

$$k = \frac{\sqrt{3}}{\eta_{\text{rec}}} \left( l + \frac{1}{2} \right) \sec \beta, \quad (3.53)$$

and  $d \ln k = \tan \beta d\beta$ . At large  $l$ , the arguments  $(l+1/2)(\tan \beta - \beta)$  are rapidly varying so we can replace the squares of Bessel functions with their cycle averages using  $\cos^2, \sin^2 \rightarrow 1/2$   $\sin \cos \rightarrow 0$ :

$$(j_l(x))^2 \rightarrow \frac{1}{2(l+1/2)^2} \frac{\cos^2 \beta}{\sin \beta}, \quad (3.54)$$

$$(j'_l(x))^2 \rightarrow \frac{1}{2(l+1/2)^2} \frac{\cos^2 \beta}{\sin \beta}, \quad (3.55)$$

$$(j_l(x))^2 (j'_l(x))^2 \rightarrow 0. \quad (3.56)$$

The last results mean that in the high  $l$  limit, the correlation between the monopole and the dipole terms vanish, which is what we should expect since the dipole is equally likely to point toward the observer as away so it ought to add incoherently to the monopole. In the  $C_l$  formula we have:

$$C_l = 2\pi \int d\beta \tan \beta \mathcal{P}_\zeta(k) \left| \frac{\bar{\Theta}_0}{\zeta} \right|^2 \frac{1}{(l+1/2)^2} \frac{\cos^2 \beta}{\sin \beta} \tan \beta \quad (3.57)$$

$$+ 18\pi \int d\beta \tan \beta \mathcal{P}_\zeta(k) \left| \frac{\bar{\Theta}_1}{\zeta} \right|^2 \frac{1}{(l+1/2)^2} \cos^2 \beta \sin \beta \tan \beta d\beta \quad (3.58)$$

given the fact we are working at high  $l$  we may simplify further and display the above expression as  $l(l+1)C_l/2\pi$  form:

$$\frac{l(l+1)}{2\pi} C_l = \int_0^{\pi/2} d\beta \mathcal{P}_\zeta(k) \left| \frac{\bar{\Theta}_0}{\zeta} \right|^2 \cos \beta + 9 \int_0^{\pi/2} d\beta \mathcal{P}_\zeta(k) \left| \frac{\bar{\Theta}_1}{\zeta} \right|^2 \cos \beta \sin^2 \beta \quad (3.59)$$

The physical remaining of this equation is that  $\pi/2 - \beta$  is the angle between the Fourier mode  $\mathbf{k}$  and the line of sight. The integration over  $\cos \beta d\beta$  represents the averaging of such angles over the unit sphere,  $\bar{\Theta}$  is the monopole, and the Doppler term has a  $\sin \beta$  in amplitude ( $\sin^2 \beta$  in power) because only the line of sight component of the velocity is relevant.

**Specific values.** For  $k \gg k_{\text{eq}}$ , the photon perturbations at the time of recombination were:

$$\Theta_0(\eta_{\text{rec}}) = -\zeta \cos \frac{k\eta_{\text{rec}}}{\sqrt{3}}; \quad \Theta_1(\eta_{\text{rec}}) = \frac{\zeta}{\sqrt{3}} \sin \frac{k\eta_{\text{rec}}}{\sqrt{3}} \quad (3.60)$$

with the potential  $\Phi \rightarrow 0$ . This correctly predicts that the function  $\bar{\Theta}_0/\zeta$  and  $\bar{\Theta}_1/\zeta$  are oscillatory, and that this will give rise to oscillations in (3.59) since the integrands are dominated by  $k \sim l/r_{\text{CMB}}$ . Since the  $\bar{\Theta}_0/\zeta$ ,  $\bar{\Theta}_1/\zeta$  are squared, the period of oscillation is now:

$$\Delta k = \frac{\pi\sqrt{3}}{\eta_{\text{rec}}} \quad (3.61)$$

and this corresponds to oscillations in  $l$  of:

$$\Delta l = r_{\text{CMB}} \Delta k = \frac{\pi\sqrt{3}r_{\text{CMB}}}{\eta_{\text{rec}}} = \pi\sqrt{3} \frac{\eta_0}{\eta_{\text{rec}}} \approx 270 \quad (3.62)$$

for  $\eta_0/\eta_{\text{rec}} = 50$ . And this is indeed *what we see*. A second prediction from this approximation, which does not come out correctly, is the amplitude of fluctuations. At large  $l$ , where the amplitude over  $\beta$  smooths out the oscillations, we predict:

$$\frac{l(l+1)}{2\pi} C_l \rightarrow \mathcal{P}_\zeta(k), \quad (3.63)$$

which is wrong: it overpredicts the CMB fluctuations. There are two major reasons for this: first, the amplitude of  $\Theta_0$  as written above is only valid if  $k$  is much greater than  $k_{\text{eq}}$ , which is not true of modes relevant for CMB; and second photons can diffuse relative to the baryons since  $\dot{\tau}$  is not infinite. Both of these facts bring down fluctuation power. There is no range of  $k$  in which one can simultaneously neglect diffusion and take  $k \gg k_{\text{eq}}$ . The first effect can only be derived by numerical calculation, since there is no analytic solution to modes that are the order of the horizon scale, and are near matter-radiation equality. It brings a factor of  $\sim 4$  suppression in  $C_l$ . The second effect can be treated analytically, which we do next.

### 3.4 The Damping Tail

At tiny scales, we must consider the fact that photons have a finite mean free path. We will give two treatments of the effect: first an order-of-magnitude treatment, and then treatment based on the Boltzmann hierarchy.

**Diffusion length.** We will first try to estimate the comoving mean free path of photons is given by:

$$L_{\text{mfp}} = \frac{1}{n_e \sigma_T a} = \frac{a^2}{n_{H,0} \sigma_T x_e}, \quad (3.64)$$

where  $n_{H,0} = 2 \cdot 10^{-7} \text{ cm}^{-3}$  is the comoving density of hydrogen atoms. Now the conformal time between scatterings is:

$$\Delta\eta = \frac{1}{L_{\text{mfp}}}. \quad (3.65)$$

The distance-squared traveled by a photon by diffusion adds incoherently after each scattering: (the number of scattering  $\int d\eta/\eta$ )

$$\Delta x^2 \sim \int L_{\text{mfp}}^2 \frac{d\eta}{\eta} = \int L_{\text{mfp}} d\eta = \frac{1}{n_{H,0} \sigma_T} \int d\eta \frac{a^2}{x_e}. \quad (3.66)$$

If recombination were instantaneous ( $x_e = 1$  until  $\eta_{\text{rec}}$ ), and we assume matter domination so  $a \propto \eta^2$ , this would imply:

$$\Delta x^2 \sim \frac{a^2(\eta_{\text{rec}})\eta_{\text{rec}}}{5n_{H,0}\sigma_T} \sim \frac{(10^{-3})^2(300\text{Mpc})}{5 \cdot 2 \cdot 10^{-7} \text{ cm}^3 \cdot 7 \cdot 10^{-25} \text{ cm}^2} \sim 140\text{Mpc}^2, \quad (3.67)$$

so a photon can travel about 12Mpc comoving before recombination. In reality, the distance is a little bit larger because  $x_e$  drops as hydrogen begins to recombine, and hence there is a rise in the integrand before the surface of the last scattering at  $x_e \sim 0.1$ .

**Boltzmann equation treatment.** The formal way to treat the diffusion effects is by including the  $\Theta_2$  term in the Boltzmann equation. Leaving out the potential, and neglecting

the  $\Theta_3$  term which is suppressed relative to  $\Theta_2$  by another factor of  $\dot{\tau}$ , we get:

$$\dot{\Theta}_0 = -k\Theta_1 \quad (3.68)$$

$$\dot{\Theta}_1 = \frac{1}{3}k(\Theta_0 - 2\Theta_2) + \dot{\tau} \left( \Theta_1 - \frac{1}{3}iv_b \right) \quad (3.69)$$

$$\dot{\Theta}_2 = \frac{2}{5}k\Theta_1 + \frac{9}{10}\dot{\tau}\Theta_2 \quad (3.70)$$

If we neglect baryon inertia ( $R \ll 1$ ) so that the baryon come to the photon rest frame instantaneously then we can neglect the  $\dot{\tau}$  term in  $\dot{\Theta}_1$  because  $v_b = -3i\Theta_1$ . Then we find:

$$\frac{\partial}{\partial \eta} \begin{pmatrix} \Theta_0 \\ \Theta_1 \\ \Theta_2 \end{pmatrix} = \begin{pmatrix} 0 & -k & 0 \\ k/3 & 0 & -2k/3 \\ 0 & 2k/5 & 9\dot{\tau}/10 \end{pmatrix} \begin{pmatrix} \Theta_0 \\ \Theta_1 \\ \Theta_2 \end{pmatrix} \quad (3.71)$$

In practice  $\dot{\tau}$  is varying, but on small scales, one may take a WKB approximation and treat it as approximately constant over a cycle. One may then determine the dispersion relation of the acoustic waves by looking at the eigenvalues of the 3x3 matrix. The determinant is:

$$\begin{vmatrix} -\omega & -k & 0 \\ k/3 & -\omega & -2k/3 \\ 0 & 2k/5 & 9\dot{\tau}/10 - \omega \end{vmatrix} = 0 \quad (3.72)$$

which gives:

$$-\frac{4}{5}k^2\omega + \left( \omega^2 + \frac{k^2}{3} \right) \left( \frac{9}{10}\dot{\tau} - \omega \right) = 0. \quad (3.73)$$

This is a cubic equation and has three roots. In the limit  $|\dot{\tau}| \gg |\omega|$ , the solutions are  $\omega \pm ik/\sqrt{3}, 9\dot{\tau}/10$ . We want to know the leading-order corrections to two oscillatory solutions(the exponentially decaying solution is not of interest). In this case,  $|\omega| \gg |\dot{\tau}|$ , so we approximate:

$$-\frac{4}{15}k^2\omega + \frac{9}{10}\dot{\tau} \left( \omega^2 + \frac{k^2}{3} \right) = 0 \quad (3.74)$$

We let  $\omega \pm ik/\sqrt{3} + \varepsilon$ :

$$-\frac{4}{5}k^2 \frac{\pm ik}{\sqrt{3}} + \frac{9}{10}\dot{\tau} \left( -\frac{1}{3}k^2 \pm \frac{2}{\sqrt{3}}ik\varepsilon + \frac{1}{3}k^2 \right) = 0 \quad (3.75)$$

The solution is:

$$\varepsilon = 4 \frac{k^2}{27\dot{\tau}}, \quad (3.76)$$

so

$$\omega = \pm \frac{ik}{\sqrt{3}} + 4 \frac{k^2}{27\dot{\tau}} \quad (3.77)$$

Since  $\dot{\tau} < 0$  this means that the acoustic waves decay. The amplitude decays by a factor

$$\exp \left( - \int \frac{4k^2}{27|\dot{\tau}|} d\eta \right). \quad (3.78)$$

This is usually written as  $e^{-k^2/k_D^2}$ , where the damping scale is:

$$k_D^{-2} = \int \frac{4}{27|\dot{\tau}|} d\eta = \frac{4}{27} \int \frac{d\eta}{n_e \sigma_T a} \quad (3.79)$$

Thus wavenumbers smaller than the photon diffusion length are wiped out. In reality, there are finite baryon inertia corrections to this equation, and also the photons develop polarization which causes additional anisotropic scattering the factor of  $4/27$  should be  $8/45$ .

### 3.5 Cosmology from the CMB power spectrum

We have seen that the CMB power spectrum is quite rich in features. It has the Sachs-Wolfe plateau at low  $l$ , then a series of acoustic peaks, and finally a damping tail. This spectrum allows us to obtain a number of cosmological observables.

- **Amplitude and slope.** The overall normalization and tilt of the CMB power spectrum allow one to estimate  $\mathcal{P}(k)$  and the spectral index  $n_s$ .
- **Baryon density.** The baryon density  $\Omega_b h^2$  has two significant imprints on the CMB power spectrum: since baryons are pressureless, they decrease the sound speed and hence reduce the sound horizon. This stretches all the acoustic peaks to higher  $l$ . Baryons are attracted to dark matter potential wells (the  $ik\Psi$  term in the baryon velocity equation), and thus the acoustic oscillation in  $\Theta_0 - \Phi$  is offset: the positive extremes of  $\Theta_0 - \Phi$  are larger than the negative extremes. Since the odd-numbered acoustic peaks are associated with  $\Theta_0 - \Phi > 0$ , they are enhanced relative to the even peaks. This effect increases if  $\Omega_b h^2$  is increased.
- **Matter density.** If the matter density  $\Omega_m h^2$  is increased, then the matter-radiation equality occurs earlier. This suppresses the high- $l$  power spectrum (relative to the SW plateau) even more since the decaying potential during the radiation era that drives the acoustic oscillations in our earlier calculation does not occur. Also, the ISW effect, which enhances the first peak because the universe is not entirely matter-dominated at recombination, is suppressed.
- **Distance to the surface of the last scattering.** The comoving angular diameter distance determines the positions of the peaks in the CMB power spectrum to the surface of last scattering,  $r$ . If  $r$  is increased, then the peaks move to the right. Historically this was of importance in ruling out open Universe models. A key issue here is parameter degeneracy: the situation where multiple parameters affect a feature. The CMB power spectrum slope is affected by both matter density and the primordial slope  $n_s$ , but not in the same way (matter density suppresses only the peak region and produces a unique suppression of the first peak). Two other degeneracies that will encounter later are reionization and tensors, which also tilts the spectrum, but they produce unique features in the polarization that already have (reionization) or soon will (tensor) break the degeneracy.

### 3.6 CMB Polarization

So far, we have treated the CMB as unpolarized. This picture is incorrect since it affects the statistic properties generated via Thomson scattering process. This small polarized signal

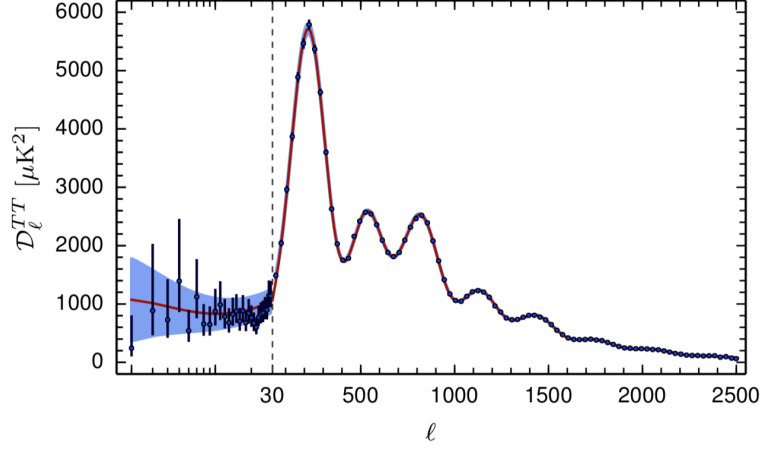


Figure 3.4:  $TT$ -angular power spectrum by Planck collaboration 2015. The dashed vertical line at  $l = 30$  correspond to the change of map between commander( $l = 2 - 29$ ) and SMICA( $l = 30 - 2500$ ). Data shows an astonishing matching with the CMB model for higher  $l$ ; however, at low  $l$  is cosmic variance(theoretical error) dominated. The unique easy solution to fix these statistical mismatches is to switch from this universe to another, take data, and combine them. Credit: <https://www.cosmos.esa.int/web/planck/planck-collaboration>.

is about 10% of the total intensity coming from the CMB temperature alone, so indeed, it is not negligible. This statistical polarized signal has already provided various significant information about the primordial statistic and its consistency and has the potential to keep shedding some new light by opening a new window to the nature of inflation, recombination, and reionization.

### 3.6.1 Polarized Boltzmann equation

We have assumed that the photons have a scalar phase space density  $f(\hat{x}^i, p, \hat{p}^i, \eta)$ . In reality, there is two polarization of photons, vertical  $\hat{\theta}$  and horizontal  $\hat{\phi}$ , and the phase space density may be different in each one. Moreover, the two polarizations may be correlated if the photons are polarized in a diagonal direction or have circular polarization. Generally, we write the phase space density as 2x2 Hermitian density matrix:

$$f = \begin{pmatrix} f_{\hat{\theta}\hat{\theta}} & f_{\hat{\theta}\hat{\phi}} \\ f_{\hat{\theta}\hat{\phi}} & f_{\hat{\phi}\hat{\phi}} \end{pmatrix} = \begin{pmatrix} f_I + f_Q & f_U + if_V \\ f_U - if_V & f_I - f_Q \end{pmatrix} \quad (3.80)$$

The phase space density viewed through a linear polarizing filter at position angle  $\psi$  is:

$$f(x^i, p, \hat{p}^i, \eta, \psi) = f_I + f_Q \cos(2\psi) + f_U \sin(2\psi). \quad (3.81)$$

The temperature perturbation that we have been studying has an analogue for polarization. We define the temperature polarization  $\Theta$  as:

$$f_I = 1 / (\exp(p/T_{\gamma 0}(1 + \Theta)) - 1) \quad (3.82)$$

with

$$\Theta(x^i, p, \hat{p}^i, \eta) = \frac{f_I - f^{(0)}}{-p \partial f^{(0)} / \partial p} \quad (3.83)$$

Whereas for  $Q$ ,

$$Q(x^i, p, \hat{p}^i, \eta) = \frac{f_Q}{-p\partial f^{(0)}/\partial p} \quad (3.84)$$

moreover, similarly for  $U$  and  $V$ . Thus our goal now is to characterize  $\Theta, Q, U, V$ , as usual, we will work in Fourier space and arrange the  $\hat{z}$  axis in the direction of  $\mathbf{k}$ . The polarization will be created by Thomson scattering. Since Thomson scattering does not create circular polarization, we will not consider  $V$ . It is then convenient to write the polarization as a traceless-symmetric tensor field,

$$P_{ab} = \begin{pmatrix} Q & U \\ U & -Q \end{pmatrix} \quad (3.85)$$

Now we could deal with the Boltzmann equation for polarized phase space density is just like that for intensity and we write:

$$C[f] = \frac{Df}{d\eta}, \quad (3.86)$$

where  $C[f]$  is the collision term, and the derivative  $D/d\eta$  transports the 2x2 matrix according to:

$$\frac{Df_{ab}}{d\eta} = \frac{\partial}{\partial \eta} f_{ab} + \dot{x}^i \frac{\partial}{\partial x^i} f_{ab} + \dot{p} \frac{\partial}{\partial p} f_{ab} + \dot{\hat{p}}^i \frac{\partial}{\partial \hat{p}^i} f_{ab} + h_a^\mu \frac{(u \cdot \nabla) h_\mu^c}{u^0} h_b^\nu \frac{(u \cdot \nabla) h_\nu^d}{u^0} f_{ab} \quad (3.87)$$

where  $a, b \in \hat{\theta}, \hat{\phi}$  are indices of 2-dimensional plane perpendicular to direction of photon propagation. In the last term,  $h_a^\mu$  is a 4-vector corresponding to the unit vector in the direction of  $a$  on the unit 2-sphere. This term accounts for the fact that the basis  $\hat{\theta}, \hat{\phi}$  is not parallel-transported along the photon's trajectory so the polarization can appear to rotate due to the choice of the coordinate system. At first order in perturbation theory, this effect must vanish since the polarization is first-order and any coordinate rotation is also first-order. A similar argument kills the  $\dot{\hat{p}}^i$  term. Also  $\dot{x}^i$  multiplies a first-order term (a spatial gradient) so it can be replaced with its zeroth-order value  $\hat{p}^i$ . If one is looking at the polarized components,  $f_{ab}$  is first-order so one may replace  $\dot{p}$  with  $-aHp$ . Thus the photon Boltzmann equation reduces to:

$$C[f_Q] = \dot{f}_Q + \hat{p}^i \frac{\partial}{\partial x^i} f_Q - aHp \frac{\partial}{\partial p} f_Q \quad (3.88)$$

similarly for  $U$ . Writing this in terms of the dimensionless  $Q$  and  $U$ , we get:

$$C[f_Q] = -p \frac{\partial f^{(0)}}{\partial p} \dot{Q} - Q \frac{\partial}{\partial \eta} \left( p \frac{\partial f^{(0)}}{\partial p} \right) - p \frac{\partial f^{(0)}}{\partial p} \hat{p}^i \frac{\partial}{\partial x^i} + aHp \frac{\partial}{\partial p} \left( p \frac{\partial f^{(0)}}{\partial p} Q \right) \quad (3.89)$$

Since  $f^{(0)}$  depends only on the combination of  $ap$ , the  $\partial/\partial \eta$  term cancels against the part of fourth term where the derivative acts on  $p\partial f^{(0)}/\partial p$ . Then, after dividing through by  $-\partial f^{(0)}/\partial p$ , we get:

$$\frac{C[f_Q]}{-p\partial f^{(0)}/\partial p} = \dot{Q} + \hat{p}^i \frac{\partial}{\partial x^i} Q + aHp \frac{\partial f^{(0)}}{\partial p} \frac{\partial Q}{\partial p} \quad (3.90)$$

This is a remarkably simple equation: note that there is no gravity inside of it. Polarization is created only by the collision operator, which includes Thomson scattering. Lie the case for  $\Theta$ , it will turn out that  $Q$  and  $U$  are frequency independent. We will write the right-hand side as  $C[Q]$  so:

$$\dot{Q} + i\mathbf{k} \cdot \hat{p}Q = C[Q] \quad (3.91)$$

### Spherical harmonic decomposition.

The decomposition of  $Q$  and  $U$  in spherical harmonics is not as straightforward as  $\Theta$  they generate a traceless and symmetric tensor, with determined transformation properties. In order to the spherical harmonic of  $Q$  and  $U$  satisfies the same rotational properties as  $\Theta_{lm}$ , we need to construct a tensor covariantly derived from the basis functions  $Y_{lm}$ . An option is by taking derivatives of  $Y_{lm}$  and generate a new tensor that respects the properties of the polarization vector, such as:

$$Y_{lm,ab}^E(\theta, \phi) = -\frac{2}{\sqrt{(l-1)l(l+1)(l+2)}} \left( D_a D_b - \frac{1}{2} g_{ab} D^2 \right) Y_{lm}(\theta, \phi) \quad (3.92)$$

$$Y_{lm,ab}^B(\theta, \phi) = -\frac{2}{\sqrt{(l-1)l(l+1)(l+2)}} (\varepsilon_{bc} D_a D_c + \varepsilon_{ac} D_b D_c) Y_{lm}(\theta, \phi) \quad (3.93)$$

Where  $D_a$  and  $D^2$  are the covariant derivative and the Laplacian, respectively, on unitary 2-sphere with a metric and antisymmetric symbol:

$$g_{ab} = \begin{pmatrix} 1 & 0 \\ 0 & \sin^2 \theta \end{pmatrix}, \quad \varepsilon_{ab} = \frac{1}{\sin \theta} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad (3.94)$$

This set of functions form a complete basis for a traceless-symmetric tensor field on the unit sphere. This completeness can be demonstrated by applying second derivative operators to any polarization field  $P_{ab}$  to get a scalar, and then expand the scalar in spherical harmonics. the normalization coefficients have been chosen so that:

$$\int Y_{lm,ab}^{E*} Y_{lm}^{E,ab} d^2 \hat{n} = 2 \quad (3.95)$$

and similarly for  $B$ . The factor 2 is useful because  $|f_{ab}|^2$  actually double-counts the square of each Stokes parameter, i.e. it is  $2(f_I^2 + f_Q^2 + f_U^2 + f_V^2)$ . It is worth noting there is no  $l = 0$  or  $l = 1$  tensor field, which is a consequence of  $e^{\pm 2i\psi}$  dependence of the polarized intensity. One can see this as well by noting that traceless-symmetric derivative operators applied to  $Y_{00}$  and  $Y_{1m}$  give zero. In addition to rotational properties, which are equivalent to those of  $Y_{lm}$ , the tensor spherical harmonics have parity properties:

$$Y_{lm}(\hat{n}) = (-1)^l Y_{lm}(-\hat{n}), \quad Y_{lm}^E(\hat{n}) = (-1)^l Y_{lm}^E(-\hat{n}), \quad Y_{lm}^B(\hat{n}) = (-1)^{l+1} Y_{lm}^B(-\hat{n}). \quad (3.96)$$

The  $B$ -type spherical harmonic has an extra minus sign because its definition includes the Levi-Civita tensor. It is customary to express the polarized phase space density in  $E$  and  $B$  spherical harmonics:

$$\begin{pmatrix} Q(\hat{n}) & U(\hat{n}) \\ U(\hat{n}) & -Q(\hat{n}) \end{pmatrix} = \sum_{lm} (-i) \sqrt{4\pi(2l+1)} [E_{lm} Y_{lm,ab}^E(\hat{n}) + B_{lm} Y_{lm,ab}^B(\hat{n})] \quad (3.97)$$

in analogy to the temperature anisotropies. This equation is the analogue of the spherical harmonic decomposition for polarization. The  $E_{lm}$  and  $B_{lm}$  transform under rotations in the same way as  $\Theta_{lm}$ .

**Free streaming term.** If the equation (3.91) is projected in this basis and after some tedious



but straightforward algebra we obtain the streaming equation for the  $E$  and  $B$  modes:

$$\begin{aligned} \dot{E}_{lm} = & \frac{\sqrt{(l-2)(l+2)(l-m)(l+m)}}{l(2l+1)} k E_{l-1,m} - \frac{\sqrt{(l-1)(l+3)(l+1-m)(l+1+m)}}{(l+1)(2l+1)} k E_{l+1,m} \\ & - \frac{2im}{l(l+1)} k B_{lm} + C[E_{lm}]. \end{aligned} \quad (3.98)$$

$$\begin{aligned} \dot{B}_{lm} = & \frac{\sqrt{(l-2)(l+2)(l-m)(l+m)}}{l(2l+1)} k B_{l-1,m} - \frac{\sqrt{(l-1)(l+3)(l+1-m)(l+1+m)}}{(l+1)(2l+1)} k B_{l+1,m} \\ & + \frac{2im}{l(l+1)} k E_{lm} + C[B_{lm}] \end{aligned} \quad (3.99)$$

Where the  $C[\cdot]$  denotes the collision operator. Particularly the collision operator for polarization depend only on the local phase space density of photons, and only the photon density at that particular frequency. Symmetry under rotation and parity dictate the shape that the collision terms should have, thus  $C[E_{lm}]$  can depend only on quantities with the same angular momentum and parity, i.e.  $\Theta$  and  $E_{lm}$ , whereas  $B_{lm}$  can only depend on  $B_{lm}$ . The freedom under the coordinate choice also demands that the companion coefficients must depend only on  $l$ , so we get:

$$C[E_{lm}] = \dot{\tau} E_{lm} - \dot{\tau}(\alpha_l \Theta_l + \beta_l E_{lm}); \quad C[B_{lm}] = \dot{\tau} B_{lm} - \dot{\tau} \gamma_l B_{lm} \quad (3.100)$$

the second term represents the terms that have been remitted after scattering. The easiest way to determine each coefficient is just by studying the  $m = 0$  case, in which the  $E$  type spherical harmonics  $Y_{lm}^E$  have only  $Q$  polarization and the  $B$  modes only  $U$ . Thus we may derive  $\alpha, \beta, \gamma$  by taking  $m = 0$  and keeping only the  $Q/U$  polarization. There is then a separate vertical 'north-south' temperature perturbation  $\Theta_V$  and a horizontal 'east-west' polarization  $\Theta_H$ , given by

$$\Theta_V = \Theta + Q, \quad \Theta_H = \Theta - Q. \quad (3.101)$$

One can estimate the post-scattering intensity and polarization by looking at the polarization-resolved differential scattering cross section from the incoming direction and polarization at the baryon rest frame  $\hat{p}'$  and  $\zeta'$  to the outgoing  $\hat{p}$  and  $\zeta$ . The component of the collision term due to re-scattered radiation is:

$$C[\Theta_V]_{\text{r.s.}} = |\dot{\tau}| \int d^2 \hat{p}' \left[ \frac{dP_{V \rightarrow V}}{d\Omega} \Theta_V(\hat{p}') + \frac{dP_{H \rightarrow V}}{d\Omega} \Theta_H(\hat{p}') \right] \quad (3.102)$$

and the polarization differential probability is

$$\frac{dP_{\zeta' \rightarrow \zeta}}{d\Omega} \Theta_V(\hat{p}' \rightarrow \hat{p}) = \frac{3}{8\pi} (\zeta \cdot \zeta')^2 \quad (3.103)$$

where  $\zeta$  is given by:

$$\zeta_V = (\cos \theta \cos \phi, \cos \theta \sin \phi, -\sin \phi), \quad \zeta_H = (\sin \phi, \cos \phi, 0) \quad (3.104)$$

and the differential probability are:

$$\frac{dP_{H \rightarrow H}}{d\Omega} \Theta_V(\hat{p}' \rightarrow \hat{p}) = \frac{3}{8\pi} \cos^2 \Delta\phi \quad (3.105)$$

$$\frac{dP_{H \rightarrow V}}{d\Omega} \Theta_V(\hat{p}' \rightarrow \hat{p}) = \frac{3}{8\pi} \cos^2 \theta \sin^2 \Delta\phi \quad (3.106)$$

$$\frac{dP_{V \rightarrow H}}{d\Omega} \Theta_V(\hat{p}' \rightarrow \hat{p}) = \frac{3}{8\pi} \cos^2 \theta' \sin^2 \Delta\phi \quad (3.107)$$

$$\frac{dP_{V \rightarrow V}}{d\Omega} \Theta_V(\hat{p}' \rightarrow \hat{p}) = \frac{3}{8\pi} (\cos \theta \cos \theta' \cos \Delta\phi + \sin \theta \sin \theta')^2 \quad (3.108)$$

where  $\Delta\phi = \phi - \phi'$ . Since we are looking from  $m = 0$  modes, we may angle average over  $\Delta\phi$  and then get:

$$C[\Theta_V(\hat{p})]_{r.s.} = \frac{3|\dot{\tau}|}{4} \int \left[ \left( \frac{1}{2} \cos^2 \theta \cos^2 \theta' + \sin^2 \theta \sin^2 \theta' \right) \Theta_V(\hat{p}') + \frac{1}{2} \cos^2 \theta \Theta_H(\hat{p}') \right] \sin \theta' d\theta' \quad (3.109)$$

$$C[\Theta_H(\hat{p})]_{r.s.} = \frac{3|\dot{\tau}|}{4} \left[ \frac{1}{2} \cos^2 \theta' \Theta_V(\hat{p}') + \frac{1}{2} \Theta_H(\hat{p}') \right] \sin \theta' d\theta' \quad (3.110)$$

Using  $Q = (\Theta_V - \Theta_H)/2$ , thus:

$$C[Q(\hat{p})]_{r.s.} = \frac{3 \sin \theta |\dot{\tau}|}{8} \int d\theta' \sin \theta' \left[ \left( \frac{1}{2} - \frac{3}{2} \cos^2 \theta' \right) \Theta(\hat{p}') + \frac{3}{2} \sin^2 \theta' Q(\hat{p}') \right] \quad (3.111)$$

this equation can be simplified if we recall the values of spherical harmonics,

$$Y_{20} = \sqrt{\frac{5}{16\pi}} (3 \cos^2 \theta - 1), \quad Y_{20, \hat{\theta}\hat{\theta}}^E = \sqrt{\frac{15}{32\pi}} \sin^2 \theta \quad (3.112)$$

and we note that only spherical harmonics with  $l = 2$  are involved. Moreover, if we transform to harmonic space,  $C[E_{lm}]$  vanishes unless  $l = 2$ . For this special case, we get:

$$C[E_{20}]_{r.s.} = \left( -\frac{\sqrt{6}}{10} \Theta_{20} + \frac{3}{5} E_{20} \right) |\dot{\tau}| \quad (3.113)$$

so we identify  $\alpha_2 = -\sqrt{6}/10$  and  $\beta_2 = 3/5$ . A similar calculation can be done for  $B$  modes and shows that the re-scattering term to be zero  $\gamma_l = 0$ . Finally, the overall system of equations for polarization is:

$$\dot{E}_{lm} = \frac{\sqrt{(l-2)(l+2)(l-m)(l+m)}}{l(2l+1)} k E_{l-1,m} - \frac{\sqrt{(l-1)(l+3)(l+1-m)(l+1+m)}}{(l+1)(2l+1)} k E_{l+1,m} \quad (3.114)$$

$$- \frac{2im}{l(l+1)} k B_{lm} - |\dot{\tau}| \left( E_{lm} + \frac{\sqrt{6} \Theta_{2m} - 6 E_{2m}}{10} \delta_{l2} \right) \quad (3.115)$$

$$\dot{B}_{lm} = \frac{\sqrt{(l-2)(l+2)(l-m)(l+m)}}{l(2l+1)} k B_{l-1,m} - \frac{\sqrt{(l-1)(l+3)(l+1-m)(l+1+m)}}{(l+1)(2l+1)} k B_{l+1,m} \quad (3.116)$$

$$+ \frac{2im}{l(l+1)} k E_{lm} - |\dot{\tau}| B_{lm} \quad (3.117)$$

There are separate  $E$  type and  $B$  type power spectra,

$$\langle a_{lm}^{E*} a_{l'm'}^E \rangle = C_l^{EE} \delta_{ll'} \delta_{mm'} \quad \langle a_{lm}^{B*} a_{l'm'}^B \rangle = C_l^{BB} \delta_{ll'} \delta_{mm'} \quad (3.118)$$

Because  $E$  has the same parity as temperature, it is also possible to have a temperature-polarization spectrum:

$$\langle a_{lm}^* a_{l'm'}^E \rangle = C_l^{\Theta E} \delta_{ll'} \delta_{mm'} \quad (3.119)$$

The power spectra for the scalars can be determined using the same method as for temperature, i.e. integrating over wavenumbers and angles to get:

$$C_l^{EE} = 4\pi \int d \ln k \mathcal{P}_\zeta(k) \left| \frac{E_l}{\zeta} \right|^2 \quad (3.120)$$

$$C_l^{\Theta E} = 4\pi \int d \ln k \mathcal{P}_\zeta(k) \text{Re} \left[ \frac{\Theta_l^*}{\zeta} \frac{E_l}{\zeta} \right] \quad (3.121)$$

although the real part in the integrand is unnecessary for scalars since  $\Theta_l/\zeta$  and  $E_l/\zeta$  are real.

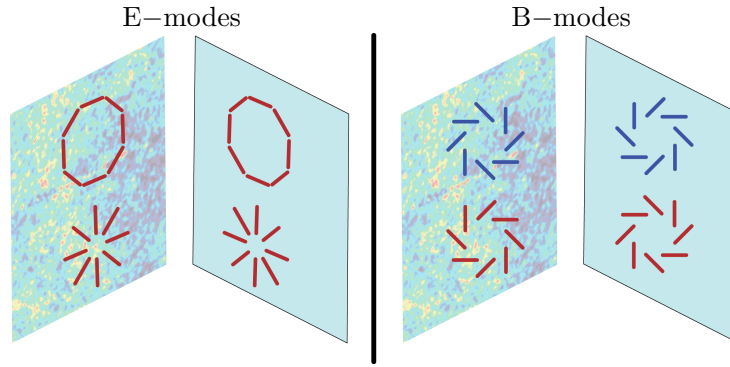


Figure 3.5: E-modes and B-modes patterns: The E-modes or curl free patterns are symmetric under reflection, whereas the B-modes or divergenless pattern are antisymmetric under reflection. Credit: <https://writescience.wordpress.com/2014/04/11/>

## Recombination epoch

Polarization can be generated at recombination because of the finite thickness of the last scattering surface. The finite optical depth  $|\dot{\tau}| < \infty$  allows a  $\Theta_2$  to be generated, and then converted into polarization. We can get an approximate sense for the magnitude of the polarization by using the tight-coupling limit:

$$\dot{\Theta}_2 = \frac{2}{5} k \Theta_1 + \frac{9}{10} \dot{\tau} \Theta_2, \quad (3.122)$$

and supposing that the two terms on the right side approximately balance, which should be true if  $\dot{\tau}$  is large. Then:

$$\Theta_2 \approx \frac{4k}{9|\dot{\tau}|} \Theta_1 \quad (3.123)$$

The polarization generated by the source term is:

$$\dot{E}_2 \approx -\frac{\sqrt{6}}{10}|\dot{\tau}|\Theta_2 \approx -\frac{2\sqrt{6}}{45}k\Theta_1. \quad (3.124)$$

Thus right after recombination, we should have a polarization field of

$$E_2(\eta_{\text{rec}}) \approx -\frac{2\sqrt{6}}{45}k\Delta\eta_{\text{ls}}\Theta_1, \quad (3.125)$$

where  $\Delta\eta_{\text{ls}}$  is the width of the last scattering surface, i.e. the time during which the above equations are valid. But  $\Theta_1$  is an oscillating function; in the small-scale limit we have

$$\Theta_1 \approx -\frac{\zeta}{\sqrt{3}}\sin\frac{k\eta_{\text{rec}}}{\sqrt{3}}\exp(-k^2/k_D^2) \quad (3.126)$$

so

$$E_2(\eta_{\text{rec}}) \approx \frac{2\sqrt{2}}{45}k\Delta\eta_{\text{ls}}\sin\frac{k\eta_{\text{rec}}}{\sqrt{3}}\exp(-k^2/k_D^2) \quad (3.127)$$

This is an oscillating function, which rapidly goes to zero at large  $k$ , and also has an exponential cutoff. It is proportional to the width of the surface of the last scattering. It is smaller than  $\Theta_1$  by a factor of  $k\eta_{\text{ls}}$ . In order to determine what the polarization looks like today, we need to do a radiative transfer calculation. This is analogous to the spherical Bessel function calculation for temperature, except that the polarization equations are more complicated and the solution is a tensor spherical Bessel function. Qualitatively, however, the results are similar to those for temperature: the power spectrum  $C_l^{EE}$  today is an integral over  $\mathcal{P}(k)$  weighted heavily at  $k \sim lr_{\text{CMB}}$ . because  $E_2$  has a sine instead of a cosine dependence, the results are. First, The  $E$ -type polarization power spectrum  $C_l^{EE}$  shows acoustic oscillations, but  $180^\circ$  out of phase with  $C_l^{\Theta\Theta}(\sin^2\text{vs.}\cos^2)$ . Second, The cross-correlation  $C_l^{E\Theta}$  is  $90^\circ$  out of phase with both ( $\sin \cos$ ).

## Reionization epoch.

Theory predicts that the universe should have become neutral at  $z \sim 1200$  and the existence of acoustic oscillations confirms that this picture is correct. however, we know that universe must have become reionized again from studies of hydrogen(Lyman- $\alpha$ ) absorption lines in quasars. A neutral intergalactic medium would present an optical depth of  $\sim 10^4$  and all flux blueward of Lyman- $\alpha$  in the quasar rest frame would be wiped out. Instead what is observed is a complex series of absorption features whose fractional transmission increases with redshift, being about 50% at  $z \sim 3$  and becoming complete above  $z \sim 6$ . This implies that some mechanism reionized almost all the gas in the universe sometime before  $z = 6$ . The most likely candidate is UV radiation from an early generation of stars. Reionization causes an additional source of optical depth between us and recombination surface. If reionization were a step function at  $z = z_{\text{ri}}$ , with post-reionization electron abundance  $x_e$ , the this optical depth is

$$\tau_{\text{ri}} = \int n_e\sigma_T dt = \frac{\sigma_T n_{H0} x_e}{H_0} \int_0^{z_{\text{ri}}} (1+z)^3 \frac{dz}{(1+z)\sqrt{\Omega_\lambda + \Omega_m(1+z)^3}}. \quad (3.128)$$

If  $z_{\text{ri}}$  is large then the cosmological constant has only a minor influence; removing it reduces the integral to:

$$\tau_{\text{ri}} \approx \frac{2\sigma_T n_{H0} x_e}{3\Omega_m^{1/2} H_0} (1 + z_{\text{ri}})^{3/2}. \quad (3.129)$$

The usual assumption is that at reionization, H became ionized to  $\text{H}^+$  and He to  $\text{He}^+$ , which gives  $x_e = 1.08$  (1 electron from H and 0.08 from He).  $\text{He} \rightarrow \text{He}^+$  is predicted by simulations to occur at the same time as  $\text{H} \rightarrow \text{H}^+$  because of the spectrum of the stars. Under these conditions, we get:

$$\tau_{\text{ri}} \approx 0.0023(1 + z_{\text{ri}})^{3/2}. \quad (3.130)$$

The requirement of  $z_{\text{ri}} > 6$  from the quasar absorption features implies  $\tau_{\text{ri}} > 0.043$ . In order to measure  $\tau_{\text{ri}}$  we must understand its impact on the CMB. If one studies the Boltzmann equation, one can see that all of the high multipoles in the CMB have terms in the  $\dot{\Theta}$  equation that contain  $-\dot{\tau}|\Theta_l$  for  $l \geq 1$ . These terms become inactive after recombination, but turn on again due to reionization. This implies that all of the modes that were inside the horizon at reionization (hence have temperature anisotropies dominated by large  $l$ ) are suppressed by a factor of  $\exp(-\tau_{\text{ri}})$ . The condition for this to occur is roughly  $k\eta_{\text{ri}} \gg 1$ , or

$$l = k(\eta_0 - \eta_{\text{ri}}) \gg \frac{\eta_0 - \eta_{\text{ri}}}{-\eta_{\text{ri}}}. \quad (3.131)$$

Within this range the power spectrum, which depends on  $\Theta_l^2$ , is suppressed by a factor:

$$C_l \rightarrow C_l \exp(-2\tau_{\text{ri}}). \quad (3.132)$$

Therefore reionization causes a suppression of all the high multipoles. This makes sense: the additional scattering wipes out small-scale structure. The second effect of reionization is on the CMB polarization. For modes that were outside the horizon at recombination, we found in the temperature anisotropy section that

$$\Theta_l(\eta) = -\frac{1}{5}\zeta j_l(k\eta), \quad (3.133)$$

and in particular

$$\Theta_2(\eta_{\text{ri}}) = -\frac{1}{5}\zeta j_2(k\eta_{\text{ri}}), \quad (3.134)$$

If the scattering from reionization were instantaneous (it is not) then this immediately generates  $E$ -mode polarization:

$$E_2(\eta_{\text{ri}}) = \frac{\sqrt{6}}{50}\tau_{\text{ri}}\zeta j_2(k\eta_{\text{ri}}). \quad (3.135)$$

The free-streaming converts this into a polarization today at  $l \sim k(\eta_0 - \eta_{\text{ri}})$ . Since the spherical Bessel function is dominated by arguments near  $\sim 3$ , we thus expect  $E$ -mode polarization to peak at

$$l \sim 3 \frac{\eta_0 - \eta_{\text{ri}}}{\eta_{\text{ri}}} \quad (3.136)$$

and have an amplitude proportional to  $\tau_{\text{ri}}$ . In the power spectrum one expects:

$$C_l^{EE} \propto \tau_{\text{ri}}^2 \quad \text{and} \quad C_l^{\Theta E} \propto \tau_{\text{ri}}. \quad (3.137)$$

The expected polarization per  $\ln l$ ,  $\sqrt{l(l+1)}C_l^{EE}/2\pi$  is order  $\tau_{\text{ri}}\sqrt{\mathcal{P}} \sim 10^{-6}$ , i.e. at  $\mu\text{K}$  level; that factor  $\sqrt{6}/50$  makes this even lower. Nevertheless, this polarization feature at low  $l$  it was detected by Planck, which finds  $\tau_{\text{ri}} = 0.087 \pm$  and  $z_{\text{ri}} = 11.0 \pm 1.4$ .

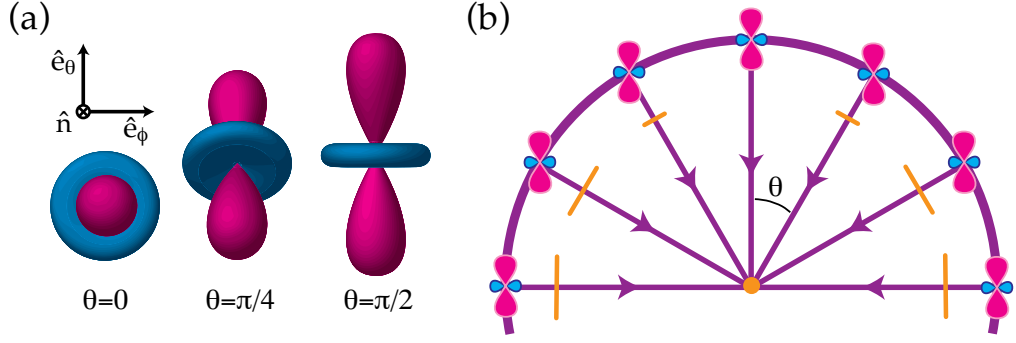


Figure 3.6: The transformation of quadrupole anisotropies into linear polarization. In different regions the plane wave modulation of the quadrupole can change its sign but not its polarization sense. (a) The orientation of the quadrupole moment with respect to the scattering direction  $\hat{n}$  determines the sense and magnitude of the polarization. It is aligned with the cold(red, long) lobe in the  $e_\theta \otimes e_\phi$  tangent plane. (b) In spherical coordinates where  $n \cdot k = \cos \theta$ , the polarization points north-south  $Q$  with magnitude varying as  $\sin 2\theta$  for scalar fluctuations. Credit: <http://background.uchicago.edu/~whu/polar/webversion/polar.html>

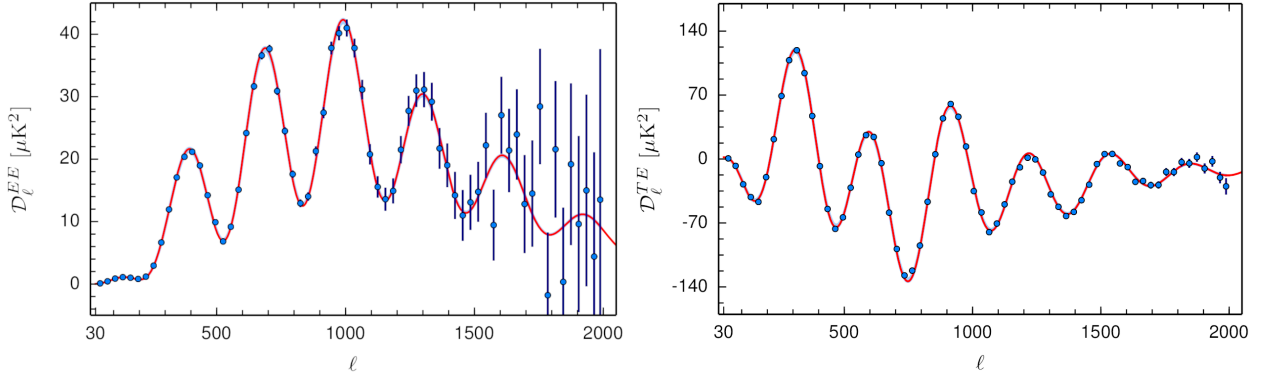


Figure 3.7:  $EE$  and  $TE$  angular power spectrum. Remarkably the red curves are not a fit, they are the model using the parameters inferred from the  $TT$ -angular power spectrum. Therefore these plot show the consistency of the theoretical framework [19].

## Gravitational waves

Primordial gravitational waves are expected to be very weak, and their imprint on the CMB temperature fluctuations would be very hard to disentangle from that of the density fluctuations. In polarization, however, they have a unique signature: the  $B$ -type polarization, which is not generated by density perturbations is given by

$$C_l^{BB} = 8\pi \int d \ln k \mathcal{P}_h(k) \left| \frac{B_l(k)}{h} \right|^2. \quad (3.138)$$

However, we may make an educated estimate as follows. The rate of generation of photon quadrupole at the recombination surface is:

$$\dot{\Theta}_2 \sim -\frac{\dot{E}}{5} \equiv \frac{2}{5\sqrt{3}} \dot{h}, \quad (3.139)$$

where we have written the gravitational wave amplitude in terms of  $h = (h_+ \mp ih_x)/\sqrt{2}$  instead of  $E$  to avoid confusion with the polarization. This occurs throughout the time of the last scattering, so during this surface  $\Theta_2$  is of order

$$\Theta_2 \sim \frac{2}{5\sqrt{3}} \dot{h} \Delta\eta_{\text{ls}}. \quad (3.140)$$

Thomson scattering then generates  $E$ -type polarization:

$$\dot{E}_2 \sim -\frac{\sqrt{6}}{10} |\dot{\tau}| \Theta \sim -\frac{\sqrt{2}}{25} \dot{h} \Delta\eta_{\text{ls}}. \quad (3.141)$$

The dominant gravitational wave modes will be those that enter the horizon at recombination  $k \sim 1/\eta_{\text{rec}}$ : modes that enter earlier adiabatically decayed away, and those that enter later have  $h \neq 0$  but  $\dot{h} \approx 0$ . For these waves,  $\dot{h} \sim h/\eta_{\text{rec}}$ , and the typical polarization is:

$$\frac{\sqrt{2}}{25} \frac{\Delta\eta_{\text{ls}}}{\eta_{\text{rec}}} h_{\text{rms}} \sim 10^{-7} r^{1/2}. \quad (3.142)$$

This is at scale of  $l \sim k\eta_0 \sim \eta_0/\eta_{\text{rec}} \sim 50$ , i.e. a few degrees. However for typical models with  $r \sim 0.1$  the amplitude is down in the range of 100nK, and a more careful calculation gives a somewhat lower number. This makes gravitational waves one of the most difficult problems in observational cosmology. Nevertheless, there is an enormous prize: measuring  $r$  and hence setting the energy scale of the inflationary epoch. Gravitational waves also generate polarization at reionization, however, this is on the largest scales ( $l$  of a few) where foregrounds are most severe.

### Gravitational wave phenomenology

A few results follow easily from the above equations:

- Thomson scattering of the local quadrupole  $\Theta_{2m}$  of the temperature field is the only source for polarization.
- Generation of polarization can only happen in regions where the optical depth is high enough to have Thomson scattering but not so high as to wash out the quadrupole. The two such possibilities are the recombination surface and reionization.
- Thomson scattering can only generate  $l = 2$   $E$ -type polarization; the free-streaming terms are needed to generate everything else.
- The mixing of  $E$  into  $B$ -type polarization occurs via a single term in the  $\dot{B}_{lm}$  equation that has a factor of  $m$ . Therefore for scalar perturbations ( $m = 0$ ), there is no way to generate  $B$ -type polarization. On the other hand, tensors can generate it.

## 3.7 CMB systematics

No discussion of the CMB would be complete without a brief mention of the problems facing experimentalist who measure such tiny signals. Here we give an incomplete list:

- **The ground.** The CMB polarization fluctuations are a few  $\mu\text{K}$ , but the ground is at  $\sim 300\text{K}$ . Therefore if even a small amount of ground radiation diffracts into the

telescope it is a serious problem. Ground-based experiments must take care to minimize diffraction, and also take advantage of the fact that the sky rotates relative to the ground so that the two effects can be separated. Going to space also helps but is expensive.

- **The atmosphere.** The Earth's atmosphere contains H<sub>2</sub>O and O<sub>2</sub> molecules that radiate in the microwave bands. Humidity variations can masquerade as CMB anisotropies. These move relative to the sky and do not repeat from day to day, so once again there are ways to separate them, nevertheless, they are so large that they must be very carefully removed. Balloon or space experiments have an advantage as they rise above most of the water vapor.
- **Beams.** Precise measurement of the CMB fluctuations requires that one understand the beam (i.e. how the response to a source varies depending on how far it is off the boresight) very well. These are usually determined by diffraction: the resolution of an experiment is no better than  $\theta = \lambda/D$ . But to measure CMB power spectrum to 1%, we need to know the Fourier transform of the beam to 0.5%. Often one uses a bright microwave source such as a planet for this purpose.
- **Intensity  $\rightarrow$  polarization leakage.** Since the CMB temperature fluctuations are much brighter than polarization one must make sure that the two polarizations measured by the instrument have the same relative calibration and that features such as polarized diffraction spikes are well understood. The CMB temperature fluctuations are much fainter than the ground, so one might think they are less of a problem, but they are fixed to the sky which may make them more pernicious than the ground pick-up.
- **Response to magnetic flux.** The Earth's magnetic field can affect some types of microwave detectors, especially those using SQUIDS to measure current. These must be carefully shielded using superconducting cages.

There are also foregrounds: objects that emit microwaves that are not the CMB.

- **Active galactic nuclei.** These emit synchrotron radiation that is often time dependent. They have a different spectrum than the CMB, tilted to lower frequencies than a blackbody. The brighter ones can be recognized easily in CMB maps and are usually pointlike but the fainter ones may not. Some experiments, must do a statistical subtraction of AGN.
- **Star-forming galaxies.** These emit synchrotron, free-free radiation, and also thermal radiation from dust grains that have been heated by absorption of starlight. They are much fainter than AGN but with several emission mechanisms may have complex spectra. To date, they have not been a problem but the next generation of higher-frequency CMB experiments ( $\geq 150$ GHz) could face significant difficulties, especially at small angular scales.
- **Galactic synchrotron.** Our own Milky Way emits synchrotron radiation, which fills the entire sky and at low frequencies (422 GHz) contributes tens of  $\mu$ K even at high Galactic latitude. The synchrotron is highly polarized which makes it a special problem for CMB experiments. It is steeply frequency-dependent, being much brighter at low frequency, so maps at e.g. 400 MHz are often used to assess contamination.
- **Galactic free-free radiation.** This is present but not the dominant foreground at any frequency. It has a well-understood spectral dependence,  $I_\nu \propto \nu^{-0.15}$ , so it is most important at low frequency. It is intrinsically unpolarized in the optically thin regime,



and its source, warm ionized gas, is also traced at optical wavelengths by diffuse H $\alpha$  emission.

- **Galactic dust.** Interstellar dust absorbs starlight and can re-radiate it at infrared wavelengths; a small fraction of the energy emerges in the microwave via the Rayleigh-Jeans tail. The emission is weakly ( $\sim 5\%$ ) polarized due to the alignment of the dust grains with the magnetic field. There is also evidence for an additional dust emission process, possibly electric dipole radiation from spinning dust grains, or thermal fluctuations of the magnetic moment of iron-bearing grains. Thermal dust emission dominates the Galactic foreground above  $\sim 80\text{GHz}$ .

The foregrounds are a serious problem, but by rejecting data from the Galactic plane (where they are worst), using their frequency dependence, and incorporating data from other wavelengths, they have so far been overcome. They will, however, represent a major challenge, especially for gravitational wave detection.

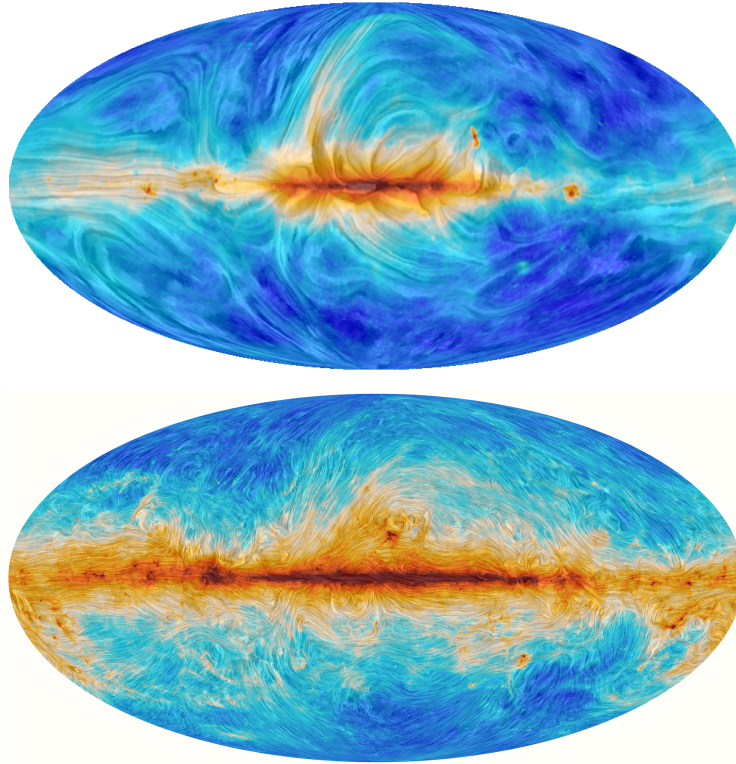


Figure 3.8: LFI at 30GHz Commander map: The top figure shows the magnetic fields and the intensity of polarized radiation synchrotron radiation measured from LFI at 30GHz. The bottom figure shows the magnetic fields and the intensity of polarized radiation synchrotron radiation measured from HFI at 353GHz. These two figures are important to understand the status of the current observation of B modes from CMB. They correspond to the main spurious sources on the galactic plane that must be characterized and eliminated. Credit: <https://www.cosmos.esa.int/web/planck/planck-collaboration>.



# Chapter 4

## Features

The simplest models of cosmic inflation [7, 8, 39, 40] predict both scalar and tensor primordial fluctuations, characterized by a set of nearly scale invariant power spectra. While cosmic microwave background (CMB) observations have enabled us to tightly constrain the power spectrum of scalar perturbations, a detection of primordial gravity waves (in the form of B-modes) remains a pending challenge. Current efforts to observe the CMB polarization will reach the limits of cosmic variance, allowing us to either measure or constrain the tensor-to-scalar ratio  $r$  down to  $r \sim 0.01 - 0.002$  [23, 41–43]. The observation of B-modes in the CMB would give us access to the value of the Hubble expansion rate  $H$  during inflation, reinforcing the idea that the Hot Big Bang era was preceded by a stage of dramatic accelerated expansion.

Although current CMB observations are compatible with a nearly scale invariant power spectrum for curvature perturbations [19], there are some hints of scale dependent features present in the spectrum at certain multipoles [44–46]. The shape and size of such features could in principle allow us to discriminate the type of physics that played a role during inflation, since their appearance in the primordial spectra would invalidate the simplest models of inflation, forcing us to consider models in which non-trivial degrees of freedom interacted with primordial curvature fluctuations around horizon crossing [19, 47–63] (see also [64–66] for early work on features of the tensor spectrum and [67] for an up-to-date review). The prospects of unveiling physics beyond the single-field slow-roll paradigm has also propelled new ideas to analyze the presence of such features in 21 cm and Large Scale Structure observations [68–71].

The effective field theory (EFT) approach to inflation [72, 73] is particularly useful to understand the appearance of features in the primordial spectra. This formalism allows one to study models of inflation beyond the canonical single field paradigm by incorporating the sound speed at which curvature fluctuations propagate, as a parameter in the Lagrangian for perturbations. Within this framework, features are the consequence of time variations of background quantities appearing in the Lagrangian describing the dynamics of the lowest energy fluctuations. These time variations break – in a controlled way – the standard behavior required in single field slow-roll inflation, producing localized features in the spectra, though without invalidating inflation as a mechanism to explain the origin of primordial fluctuations in a way compatible with observations. Given that the source of features may be traced back

to background parameters that affect the evolution of all perturbations, features appearing in different  $n$ -point correlation functions would be necessarily correlated [6, 74–84]. In the case of scalar perturbations, a powerful way to study such time-dependent departures from slow-roll is the joint estimator analysis of two- and three-point correlation functions [82], since a detection of correlated signals in the power spectrum and bispectrum would increase the statistical significance of these features.

In this article we explore the possibility of establishing a novel class of cross correlation between spectra. Specifically, the questions we wish to address are the following: *If features in the primordial scalar power spectrum are confirmed, would they also show up in the tensor power spectrum? In addition, if the scale suppression of the angular power spectrum in the multipole range  $4 \leq \ell \leq 50$  is found to be of primordial origin, what type of signal should we expect in the angular power spectrum of B-modes?* To that end, we study the effect of time dependent backgrounds on the dynamics of fluctuations in order to correlate features in the power spectra of scalar and tensor modes. Our main result is that features  $\Delta\mathcal{P}_T/\mathcal{P}_T$ , appearing in the tensor power spectrum  $\mathcal{P}_T$ , are correlated to features  $\Delta\mathcal{P}_S/\mathcal{P}_S$ , appearing in the scalar spectrum  $\mathcal{P}_S$  in Fourier space, in the following way

$$\frac{d^2}{d \ln k^2} \left( \frac{\Delta\mathcal{P}_T}{\mathcal{P}_T} \right) = 6\varepsilon_0 \frac{\Delta\mathcal{P}_S}{\mathcal{P}_S}, \quad (4.1)$$

where  $\varepsilon_0$  is the (constant) average value of the slow-roll parameter  $\varepsilon = -\dot{H}/H^2$ . This expression tells us that any feature appearing in the tensor spectrum is in general suppressed with respect to those appearing in the scalar spectrum [85]. This suppression is two-fold: On the one hand,  $\varepsilon_0$  must be small in order to keep inflation valid as a mechanism to produce fluctuations over a large range of scales. On the other hand, the  $\ln k$ -derivatives must be large in order for features to be observable in the scalar power spectrum.\* Note that this approach is model independent since it takes the scalar power spectrum data as an input without reference to the mechanism that produces the features.

Our results show that any strong departure of scale invariance in the scalar spectrum must come together with a consequential departure in the tensor spectrum, but at a level that is too small to be observed. As a corollary, any future observation of scale invariance departures in the tensor spectrum cannot be of primordial origin, unless some exotic mechanism underlies their origin. For example, models where the only background quantity experiencing rapid variations is the tensor sound speed will have features only in the tensor spectrum [86]. On the other hand, non Bunch-Davies initial conditions may lead to features in the two spectra with the same amplitude [87]. In this work, however, we are interested in predicting the scale dependence of the tensor spectrum from the scalar power spectrum, highlighting the perspective of a joint analysis of the two spectra. Having this in mind, in the particular case of the observed deficit of the angular power spectrum around  $\ell \sim 20$ , we conclude that coming CMB polarization experiments should not encounter any scale dependence of the spectrum around that region.

The article is organized as follows: In Section 4.1 we present the method used and derive

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\*As we shall see in the next section, observable features in the spectra must have an identifiable structure over a range of scales smaller than  $\ln k$ . This implies that  $\ln k$ -derivatives acting on either  $\Delta\mathcal{P}_T$  or  $\Delta\mathcal{P}_S$  must be large.

the correlation of the two power spectra for the cases where *i*) features appear due to sudden variations of the Hubble scale, and *ii*) variations in both the Hubble scale and the sound speed are responsible for features. In Section 4.2, we present results for the tensor power spectrum in the low  $\ell$  region, modeling the features in the scalar signal with a Gaussian and a cosine function. Finally, we conclude in Section ??.

## 4.1 Correlation of power spectra

In this section we apply the methods elaborated in [6, 76] to correlate features appearing in the tensor and scalar power spectra. Our method is based on the *in-in* formalism to study the evolution of quantum fluctuations on a time dependent quasi-de Sitter background [13, 28]. Another widely used method to study features is the so called generalized slow-roll formalism [88–91].

### 4.1.1 Preliminaries

Let us set the ground for the computation by first writing down the quadratic actions for the scalar and tensor perturbations in Fourier space. For the scalar part we will consider the primordial curvature perturbation  $\mathcal{R}$  in comoving gauge. On the other hand, for the tensor part we will work with the traceless and transverse perturbation  $\gamma_{ij}$  as:

$$\gamma_{ij}(\mathbf{k}, \tau) \equiv h_+(\mathbf{k}, \tau)e_{ij}^+(\mathbf{k}) + h_\times(\mathbf{k}, \tau)e_{ij}^\times(\mathbf{k}), \quad (4.2)$$

where  $\mathbf{k}$  is the wave vector (or momenta), and  $e_{ij}^+(\mathbf{k})$  and  $e_{ij}^\times(\mathbf{k})$  are the elements of a time independent basis for tensors satisfying  $\delta^{ij}e_{ij} = 0$  and  $k^i e_{ij} = 0$ . We may further define canonically normalized fields  $u$  and  $f_{+, \times}$  as

$$u = z\mathcal{R}, \quad f_{+, \times} = a(t)h_{+, \times}, \quad z \equiv \sqrt{2\varepsilon} \frac{a}{c_s}, \quad (4.3)$$

where  $a(t)$  is the scale factor,  $c_s$  is the sound speed of the curvature perturbations and  $\varepsilon = -\dot{H}/H^2$  the first Hubble slow-roll parameter. In these variables, the quadratic actions for scalar and tensor modes in conformal time  $\tau$  are found to be

$$S_S^{(2)} = \frac{1}{2} \int d\tau d^3k \left[ (u')^2 + c_s^2 k^2 u^2 + \frac{z''}{z} u^2 \right], \quad (4.4)$$

$$S_T^{(2)} = \frac{1}{2} \int d\tau d^3k \left[ (f')^2 + k^2 f^2 + \frac{a''}{a} f^2 \right], \quad (4.5)$$

where we have chosen units such that  $m_{\text{Pl}} = 1$ , while keeping only one polarization mode for simplicity. Notice that primes ( $'$ ) represent derivatives with respect to  $\tau$ . The background quantities  $z''/z$  and  $a''/a$  may be written as

$$\frac{z''}{z} = (aH)^2 \left( 2 - \varepsilon + \frac{1}{2}\eta - s \right) \left( 1 + \frac{1}{2}\eta - s \right) + aH \left( \frac{\eta'}{2} - s' \right), \quad (4.6)$$

$$\frac{a''}{a} = (aH)^2 (2 - \varepsilon), \quad (4.7)$$

where  $\eta = \varepsilon'/\varepsilon aH$  and  $s = c'_s/c_s aH$ .

### 4.1.2 Rapidly time varying backgrounds

To describe the origin of features, we may split each action into a zeroth order term, that describes the evolution of fluctuations in a quasi-de Sitter spacetime, and an interaction term, that contains the rapidly varying contributions of the background. To do so, we will assume that the background is such that  $\varepsilon$  remains small ( $\varepsilon \ll 1$ ) throughout the whole relevant period where features are sourced. To model this behavior we will take  $\varepsilon$  to be of the form:

$$\varepsilon = \varepsilon_0 + \Delta\varepsilon, \quad |\Delta\varepsilon| \ll \varepsilon_0, \quad (4.8)$$

where  $\varepsilon_0$  is (for any practical purpose) a constant, and  $\Delta\varepsilon(\tau)$  contains information about the sudden variations of the background. One could consider that  $\varepsilon_0 = -\dot{H}_0/H_0^2$ , where  $H_0$  is the slowly varying part of the Hubble expansion rate. In the same manner,  $\eta$  will have two contributions:

$$\eta = \eta_0 + \Delta\eta, \quad \Delta\eta = -\frac{1}{\varepsilon_0}\tau\Delta\varepsilon', \quad (4.9)$$

where  $\eta_0 = -\dot{\varepsilon}_0/H_0\varepsilon_0$ . Given that we are taking  $\varepsilon_0$  as a slowly varying function, we may neglect  $\eta_0$  against  $\Delta\eta$  and simply take

$$\eta = -\frac{1}{\varepsilon_0}\tau\Delta\varepsilon'. \quad (4.10)$$

We will additionally assume that  $\eta$  remains small at all times:

$$|\eta| \ll 1. \quad (4.11)$$

However, given that we are interested into understanding the effects of rapidly varying backgrounds, further derivatives of  $\eta$  could be large, and the following hierarchy may be satisfied:

$$|\eta| \ll |\tau\eta'| \ll |\tau^2\eta''|. \quad (4.12)$$

On the other hand, we may also consider rapid variations of the sound speed  $c_s$  admitting departures from the slowly varying value  $c_0 = 1$ :

$$\theta \equiv 1 - c_s^2 \ll 1, \quad |\theta| \ll |\tau\theta'| \ll |\tau^2\theta''|. \quad (4.13)$$

The hierarchies (4.12) and (4.13), together with eqs. (4.8) and (4.11), reflect what we mean by having a rapid varying background near a quasi-de Sitter state.

The previous assumptions allow us to rewrite  $z''/z$  and  $a''/a$  in the following way

$$\frac{z''}{z} = \frac{2}{\tau^2} \left( 1 + \frac{1}{2}\delta_S(\tau) \right), \quad \frac{a''}{a} = \frac{2}{\tau^2} \left( 1 + \frac{1}{2}\delta_T(\tau) \right), \quad (4.14)$$

where we have used  $\tau \simeq -(aH)^{-1}(1 + \varepsilon)$ , and introduced the quantities  $\delta_S(\tau)$  and  $\delta_T(\tau)$  to parametrize the rapid variations of the background:

$$\delta_S(\tau) = 3\varepsilon + \frac{1}{2}\eta - \frac{\tau}{2}\eta' - 3s + \tau s', \quad \delta_T(\tau) = 3\varepsilon. \quad (4.15)$$

By plugging these expressions back into the actions of eqs. (4.4) and (4.5) and treating the rapidly varying parts as interaction terms, we may split the theory as:

$$S_S^0 = \frac{1}{2} \int d\tau d^3k \left[ (u')^2 + k^2 u^2 + \frac{2}{\tau^2} u^2 \right], \quad S_S^{\text{int}} = \frac{1}{2} \int d\tau d^3k \left[ \frac{\delta_S(\tau)}{\tau^2} u^2 \right], \quad (4.16)$$

$$S_T^0 = \frac{1}{2} \int d\tau d^3k \left[ (f')^2 + k^2 f^2 + \frac{2}{\tau^2} f^2 \right], \quad S_T^{\text{int}} = \frac{1}{2} \int d\tau d^3k \left[ \frac{\delta_T(\tau)}{\tau^2} f^2 \right]. \quad (4.17)$$

Notice that eq. (4.12) implies a further hierarchy of the form

$$|\delta| \ll |\tau\delta'| \ll |\tau^2\delta''|, \quad (4.18)$$

where  $\delta$  stands for both  $\delta_S$  and  $\delta_T$ . Given that a change in  $e$ -folds  $dN$  is related to a change in conformal time by  $dN = -d\tau/\tau$ , the previous hierarchies simply tell us that  $\delta_S$  and  $\delta_T$  vary rapidly over an  $e$ -fold:

$$|\delta| \ll \left| \frac{d\delta}{dN} \right| \ll \left| \frac{d^2\delta}{dN^2} \right|. \quad (4.19)$$

As we shall see, these are the rapidly varying functions that source the appearance of features in the spectra.

### 4.1.3 In-in formalism

We may now use the standard *in-in* formalism (see [92] for a review), which provides a way to compute the effects of the rapid time varying background on  $n$ -point correlation functions. To simplify the discussion, let us focus our attention on the scalar sector of the theory (*i.e.* the  $u$  fluctuations), and then come back to the case of tensor modes. Firstly, the complete solution  $u(\mathbf{k}, \tau)$  can be written in terms of interaction picture fields  $u_I(\mathbf{k}, \tau)$  as

$$u(\mathbf{k}, \tau) = U^\dagger(\tau) u_I(\mathbf{k}, \tau) U(\tau), \quad (4.20)$$

where  $U(\tau)$  is the propagator, given by

$$U(\tau) = \mathcal{T} \exp \left[ -i \int_{-\infty_+}^{\tau} d\tau' H_I(\tau') \right].$$

Here  $\mathcal{T}$  is the time ordering symbol, and  $\infty_+ = (1 + i\varepsilon)\infty$  is the usual prescription to choose the right vacuum in the infinite past. In addition,  $H_I(\tau)$  is the interaction Hamiltonian, given by

$$H_I = -\frac{\delta_S(\tau)}{\tau^2} \frac{1}{2} \int d^3k u_I^2. \quad (4.21)$$

The interaction picture fields  $u_I(\mathbf{k}, \tau)$  are given by free field solutions of the zeroth order action (*i.e.* with  $\delta_S = 0$ ), written in terms of creation and annihilation operators  $a_{\mathbf{k}}^\dagger$  and  $a_{\mathbf{k}}$  as:

$$u_I(\mathbf{k}, \tau) \equiv a_{\mathbf{k}} u_k(\tau) + a_{-\mathbf{k}}^\dagger u_k^*(\tau). \quad (4.22)$$

The creation and annihilation operators satisfy the standard commutation relation  $[a_{\mathbf{k}}, a_{\mathbf{k}'}^\dagger] = (2\pi)^3 \delta^{(3)}(\mathbf{k} - \mathbf{k}')$ , whereas the mode functions  $u_k(\tau)$  are given by mode solutions respecting Bunch-Davies initial conditions:

$$u_k(\tau) = \frac{1}{\sqrt{2k}} \left( 1 - \frac{i}{k\tau} \right) e^{-ik\tau}. \quad (4.23)$$

Furthermore, the vacuum state  $|0\rangle$  is defined to satisfy  $a_{\mathbf{k}}|0\rangle = 0$ . By expanding the propagator  $U(\tau)$ , we may compute corrections to the two point function as The power spectrum  $\mathcal{P}_{\mathcal{R}}(k, \tau)$  of the primordial curvature perturbation  $\mathcal{R}$  (evaluated at a given time  $\tau$ ) is related to the two point function  $\langle u(\mathbf{k}, \tau)u(\mathbf{k}', \tau) \rangle$  as follows:

$$\frac{1}{z^2} \langle u(\mathbf{k}, \tau)u(\mathbf{k}', \tau) \rangle \equiv \frac{2\pi^2}{k^3} \delta^{(3)}(\mathbf{k} - \mathbf{k}') \mathcal{P}_{\mathcal{R}}(k, \tau). \quad (4.24)$$

We are interested in the power spectrum of super horizon modes at the end of inflation  $\mathcal{P}_{\mathcal{R}}(k)$ , which corresponds to the  $\tau \rightarrow 0$  limit of  $\mathcal{P}_{\mathcal{R}}(k, \tau)$ . By taking into account the splitting of the theory into the zeroth order quasi-de Sitter part and the interaction part, we finally obtain

$$\mathcal{P}_{\mathcal{R}}(k) = \mathcal{P}_S^0 + \Delta\mathcal{P}_S(k), \quad \mathcal{P}_S^0(k) = \frac{H_0^2}{8\pi^2\varepsilon_0}, \quad (4.25)$$

where  $\mathcal{P}_S^0$  corresponds to the standard power spectrum for curvature perturbations in a quasi-de Sitter space-time, and  $\Delta\mathcal{P}_S(k)$  contains the deviations from scale invariance induced by the rapidly varying background<sup>†</sup> [6]

$$\Delta_S(k) \equiv \frac{\Delta\mathcal{P}_S}{\mathcal{P}_S^0} = \frac{i}{4k^3} \int_{-\infty}^{\infty} d\tau \left[ \frac{\theta''''}{8} + \frac{\delta_H''}{2\tau^2} - \frac{\delta_H}{\tau^4} \right] e^{2ik\tau}, \quad (4.26)$$

where  $\theta$  is defined in (4.13) and  $\delta_H$  is given by

$$\delta_H(\tau) = 3\varepsilon + \frac{1}{2}\eta - \frac{\tau}{2}\eta'. \quad (4.27)$$

Notice that the integration in eq. (4.26) is performed over the whole real line  $(-\infty, +\infty)$ , which from now on will be omitted. To derive eq. (4.26) we did the following trick [76]: We extended the  $\tau$ -integration domain from  $(-\infty, 0)$  to  $(-\infty, +\infty)$  by imposing that both  $\theta$  and  $\delta_H$  are antisymmetric functions with respect to the interchange  $\tau \rightarrow -\tau$ .

We may now repeat all of the previous steps to compute the way that features appear in the tensor power spectrum. We find

$$\mathcal{P}_T(k) = \mathcal{P}_T^0 + \Delta\mathcal{P}_T(k), \quad \mathcal{P}_T^0(k) = \frac{H_0^2}{2\pi^2},$$

where  $\Delta\mathcal{P}_T(k)$  is given by

$$\Delta_T(k) \equiv \frac{\Delta\mathcal{P}_T}{\mathcal{P}_T^0} = \frac{i}{4k^3} \int d\tau \left[ \frac{\delta_T''}{2\tau^2} - \frac{\delta_T}{\tau^4} \right] e^{2ik\tau}. \quad (4.28)$$

Equations (4.26) and (4.28) are the basic equations that we will exploit to obtain the desired correlation between the two sectors of the theory. Before deducing such a relation, let us notice that the hierarchy of eq. (4.18) necessarily implies a hierarchy in Fourier space affecting the spectra, that reads

$$|\Delta(k)| \ll \left| \frac{d\Delta(k)}{d \ln k} \right| \ll \left| \frac{d^2\Delta(k)}{d \ln k^2} \right|, \quad (4.29)$$

where  $\Delta(k)$  stands for both  $\Delta_S(k)$  and  $\Delta_T(k)$ .

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<sup>†</sup>Notice that in eq. (4.26) time derivatives may be interchanged by factors of  $-2ik$ . Therefore, the appearance of four derivatives in  $\theta$  might be deceiving, as the original expression [6] leading to eq. (4.26) had no time derivatives acting on  $\theta$ . Having time derivatives acting on both  $\theta$  and  $\delta_H$  in eq. (4.26) allows one to have a single function of time being Fourier-transformed at the right hand side of the equation.



#### 4.1.4 Features from varying Hubble parameters

In this subsection we consider the case where  $c_s = 1$  for all times, so that  $\delta_S = \delta_H$ , and any observable feature is the outcome of sudden variations of  $H(t)$ . Firstly, because of the hierarchy (4.18) satisfied by  $\delta_T$ , eq. (4.28) may be simplified as:

$$\Delta_T(k) = \frac{i}{8k^3} \int d\tau \frac{\delta_T''}{\tau^2} e^{2ik\tau}. \quad (4.30)$$

Furthermore, because of eq. (4.15), we see that eq. (4.30) may be rewritten in terms of  $\eta$  as:

$$\Delta_T(k) = -\frac{3i\varepsilon_0}{8k^3} \int d\tau \frac{\eta'}{\tau^3} e^{2ik\tau}. \quad (4.31)$$

This expression may now be Fourier inverted, leading to a formal expression for  $\eta'$  in terms of  $\Delta_T(k)$  as

$$\eta' = \frac{1}{3\varepsilon_0} \int dk \left[ \frac{d^3}{d \ln k^3} \Delta_T(k) \right] e^{-2ik\tau}. \quad (4.32)$$

Next, we may use the hierarchy of eq. (4.18) satisfied by  $\delta_S$  to rewrite eq. (4.26) as

$$\Delta_S(k) = -\frac{i}{16k^3} \int d\tau \frac{1}{\tau} \eta''' e^{2ik\tau}, \quad (4.33)$$

where we used the fact that  $\delta_H \simeq -\tau\eta'/2$ . As a last step, we may insert the expression for  $\eta'$  in eq. (4.32) back into eq. (4.33), to obtain the main result of this work:

$$\frac{d^2}{d \ln k^2} \Delta_T = 6\varepsilon_0 \Delta_S. \quad (4.34)$$

This equation offers the desired link between features in the tensor and scalar spectra. Notice from eq. (4.31) that even though we have assumed that  $\varepsilon \ll 1$ , the piece  $\Delta_T(k)$  could in principle be large. However, from eq. (4.34), we see that features in the tensor power spectrum are highly suppressed with respect to those in the scalar spectrum. This is not only due to the presence of  $\varepsilon_0$  [85], but also due to the double  $\ln k$ -derivative acting on  $\Delta_T(k)$ , on account of the hierarchy (4.29).

In the next subsection we extend this result to the more general case in which rapid variations of the sound speed are also allowed. As we shall see, in this case too, tensor features remain generically suppressed.

#### 4.1.5 Including the effects of a varying sound speed

In the EFT of inflation [72, 73], the quadratic part of the action may exhibit a non-trivial sound speed for the perturbations, which could also lead to the presence of features in the scalar power spectrum [93, 94]. In general the evolution of  $c_s(t)$  is independent of the evolution of  $H$ . That means that if features are generated by the simultaneous rapid variation of both  $c_s$  and  $H$ , then the scalar and tensor power spectra would exhibit uncorrelated oscillatory features. This is because  $\mathcal{P}_S$  would have features sourced by both  $c_s$  and  $H$  while  $\mathcal{P}_T$  would have features sourced by  $H$  alone. We would then have a relation of the form

$$\Delta_S = \frac{1}{6\varepsilon_0} \frac{d^2}{d \ln k^2} \Delta_T + \Delta_c, \quad (4.35)$$

where  $\Delta_c$  represents the features sourced by variations of the sound speed  $c_s$ .

There are however intuitive reasons to expect that, at least in certain classes of models, variations of  $c_s$  and  $H$  happen in synchrony. An example of such a situation is the case where the inflationary valley admits turns, which is typical in multifield inflation [93]. In these scenarios, as the inflaton traverses a curve in the field space, there are instant deviations from slow-roll produced by “centrifugal” effects. Furthermore, the existence of such turns is responsible for a non-trivial sound speed [95]. The two quantities should thus be related since they stem from the same source. Another situation where  $c_s$  and  $H$  vary simultaneously is in  $P(X, \varphi)$  models, where the kinetic term of the inflaton has a non-trivial structure. In these cases a reduction of the rapidity of the vacuum expectation value of the inflaton would inevitably induce a change in both  $c_s$  and  $H$ .

To capture the aforementioned situations, in [79], a one parameter relation between the Hubble slow-roll parameter  $\eta$  and the sound speed was proposed. This had the form

$$\eta = \eta_0 - \frac{\alpha}{2} \tau \theta', \quad (4.36)$$

with  $\alpha \in \mathbb{R}$  and  $\theta = 1 - c_s^2$ . It was also shown to hold within several classes of models including  $P(X, \varphi)$  and multifield models, with  $\alpha$  admitting specific values for each case.

Using this fact, one may now relate  $\theta$  to  $\eta$  in eq. (4.26) and follow the exact same steps to obtain a generic relation between the scalar and tensor power spectra in the case where both the sound speed and the Hubble radius experience sudden variations:

$$\frac{d^2}{d \ln k^2} \Delta_T = 6\varepsilon_0 \frac{\alpha}{1 + \alpha} \Delta_S, \quad \alpha \neq -1, \quad (4.37)$$

and for the special case of  $\alpha \simeq -1$ :

$$\frac{d}{d \ln k} \Delta_T = -\frac{6}{5} \varepsilon_0 \Delta_S. \quad (4.38)$$

We see that in these set-up’s too, deviations of the tensor power spectrum from scale invariance are suppressed by the slow-roll parameter  $\varepsilon$  as well as a double and a single momentum integral which smoothes out any acute variation of the scalar spectrum.

Before discussing quantitative features of these results, let us stress once more that the simple forms of eqs. (4.34), (4.37) and (4.38) are leading order expressions based on the assumption that any observable feature satisfy the following: *i*) it is sharp, in the sense that any departure from scale invariance should take place within few e-folds, and *ii*) it doesn’t disrupt inflation, that is,  $\varepsilon$  remains small through out the whole dynamics.

## 4.2 A quantitative discussion

We now discuss the results of the previous section in two interesting situations. First, we consider the case in which resonant features are present throughout the whole spectra, and second, the case of the low  $\ell$  power deficit observed in the scalar power spectrum. For this discussion, it will be useful to write concrete expressions relating features in the spectra

and the rapidly varying contributions to the slow-roll parameters  $\Delta\varepsilon$  and  $\Delta\eta$ . By Fourier inverting eq. (4.33) for the general case where the sound speed also contributes to features, these are found to be given by [6]

$$\Delta\eta(\tau) = \frac{i}{\pi} \frac{\alpha}{1+\alpha} \int dk \left[ \frac{d}{dk} \frac{\Delta\mathcal{P}_S}{\mathcal{P}_S^0} \right] e^{2ik\tau}, \quad \Delta\varepsilon(\tau) = \frac{i\varepsilon_0}{\pi} \frac{\alpha}{1+\alpha} \int dk \left[ \frac{1}{k} \frac{\Delta\mathcal{P}_S}{\mathcal{P}_S^0} \right] e^{2ik\tau}, \quad (4.39)$$

with  $\Delta\varepsilon$  following from the relation  $\Delta\eta = -\tau\Delta\varepsilon'/\varepsilon_0$ . Note that the coefficient  $\frac{\alpha}{1+\alpha}$  in eq. (4.39) is an  $\mathcal{O}(1)$  number for any  $\alpha$  so its specific value has no impact on the results. We thus set it to one in what follows and work with eq. (4.34). The only case where it plays a role is when  $\alpha \simeq -1$ , in which the next to leading time derivative dominates in the RHS of eq. (4.26) leading to the following expressions:

$$\Delta\eta(\tau) = -\frac{i}{5\pi} \int dk k \left[ \frac{d^2}{dk^2} \frac{\Delta\mathcal{P}_S}{\mathcal{P}_S^0} \right] e^{2ik\tau}, \quad \Delta\varepsilon(\tau) = -\frac{i\varepsilon_0}{5\pi} \int dk \left[ \frac{d}{dk} \frac{\Delta\mathcal{P}_S}{\mathcal{P}_S^0} \right] e^{2ik\tau}. \quad (4.40)$$

### 4.2.1 Resonant features

This type of scale dependence is relevant in models of inflation where the potential is periodic or semi-periodic, such as axion monodromy inflation [96], or models like Natural Inflation [97]. Inflationary scenarios involving axions usually require super-Planckian field range, and hence, they are good candidates for the production of primordial gravitational waves [98, 99].

To acquire an idea of the possible impact of resonant features on the tensor power spectrum, we model the resonant part of the scalar power spectrum as

$$\Delta_S(k) = A \cos(\Omega \log(k/k_*) + \phi), \quad (4.41)$$

where  $A$  parametrizes the amplitude of the feature, while  $\Omega$  and  $\phi$  denote the frequency and the phase of the oscillation, respectively. To be concrete, we will consider the following values  $A = 0.028$ ,  $\Omega = 30$  and  $\phi/2\pi = 0.634$ , which were found to constitute the best fit in the analysis of resonant features by Planck [9]. In addition, we set  $k_* = 0.05 \text{ [Mpc]}^{-1}$  as a reference scale.

#### Case for $\alpha \neq -1$

Using the parametrization (4.41) as a input, we numerically obtain the shape of the tensor spectrum feature via eq. (4.34), while the slow-roll parameters are reconstructed from eq. (4.39). The results are shown in the plots of figure 4.1. There we see that features in the tensor power spectrum are present, albeit with an amplitude of  $\Delta_T \sim 10^{-6}$  making them observationally irrelevant. This is a complementary argument in support of the claim that tensor features stemming from axionic potentials should be suppressed due to the smallness of the decay constant of the axion [100].

#### Case for $\alpha \simeq -1$

Next, we consider the special case of  $\alpha \simeq -1$  for the resonance features. We numerically solve eqs. (4.38) and (4.40) and plot the results in figure 4.2. As can be seen, even though there is an order of magnitude enhancement with respect to the general case, the amplitude

of the deviation from a scale invariant spectrum still remains extremely small. Furthermore, in this case  $\eta$  can reach values up to  $\eta \sim 0.8$ . This does not invalidate the hierarchy (4.12), as to go from eq. (4.6) to eq. (4.14) one really requires  $\eta/2$  to be much smaller than 1.

## 4.2.2 Predictions for the low $\ell$ tensor power spectrum

The low  $\ell$  multipole region is the main observational window into CMB polarization since it is not contaminated by lensing effects. In addition, it is where the low  $\ell$  deficit takes place in the scalar power spectrum [19, 47–53]. We focus in the  $\ell < 50$  region, roughly corresponding to  $0.0002 \lesssim k \lesssim 0.004 \text{ [Mpc]}^{-1}$ , which is the band that CMB polarization observatories focus on.

In order to get a quantitative look into the tensor power spectrum we model the  $\ell \sim 20$  dip in the angular power spectrum as a sharp Gaussian:

$$\Delta_S(k) = -Ae^{-\lambda(\ln(k/k_*))^2}, \quad (4.42)$$

where  $k_*$  determines the location of the feature. We set  $A = 0.15$ ,  $\lambda = 15$  and  $k_* = 0.002 \text{ [Mpc]}^{-1}$ , which are chosen to have a rough fit with the observed power deficit. In addition, we choose  $\varepsilon_0 = 0.0068$  [9].

### Case with $\alpha \neq -1$

We solve eqs. (4.34) and (4.39) with the parametrization (4.42) as an input, with the results shown in the plots of figure 4.3. We see that for a realistic amplitude  $A$  the tensor power spectrum exhibits a feature of amplitude  $\Delta_T \sim 10^{-9}$ .

### Case with $\alpha \simeq -1$

In the special case of  $\alpha \simeq -1$ , we see that the tensor spectrum and the slow-roll parameters, now given by eqs. (4.38) and (4.40) respectively, exhibit a feature which is enhanced by an order of magnitude compared to the previous case. However, as seen in figure 4.4, the amplitude still remains extremely small.

### 4.3 Figures

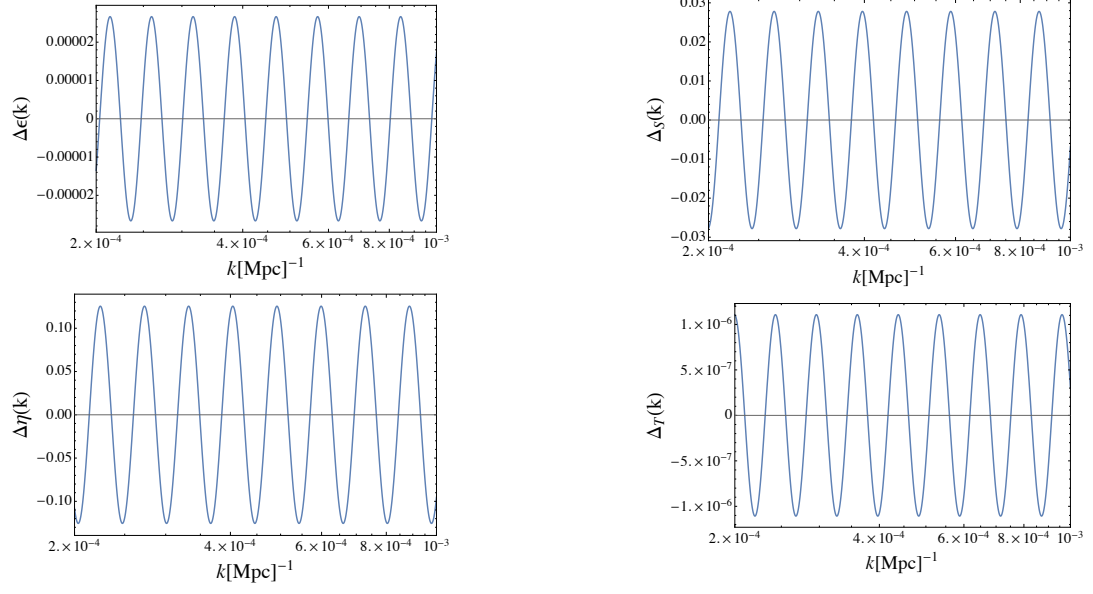


Figure 4.1: The first two slow-roll parameters  $\Delta\eta$  and  $\Delta\varepsilon$  (left panels) using eq. (4.39) and  $\frac{\Delta P_S}{P_S^0}(k)$ ,  $\frac{\Delta P_T}{P_T^0}(k)$  (right panels) related by eq. (4.34), in the case of the resonant feature (4.41). We have used  $A = 0.028$ ,  $\Omega = 30$ ,  $\phi/2\pi = 0.634$ ,  $k_* = 0.05[\text{Mpc}]^{-1}$  and  $\varepsilon_0 = 0.0068$ .

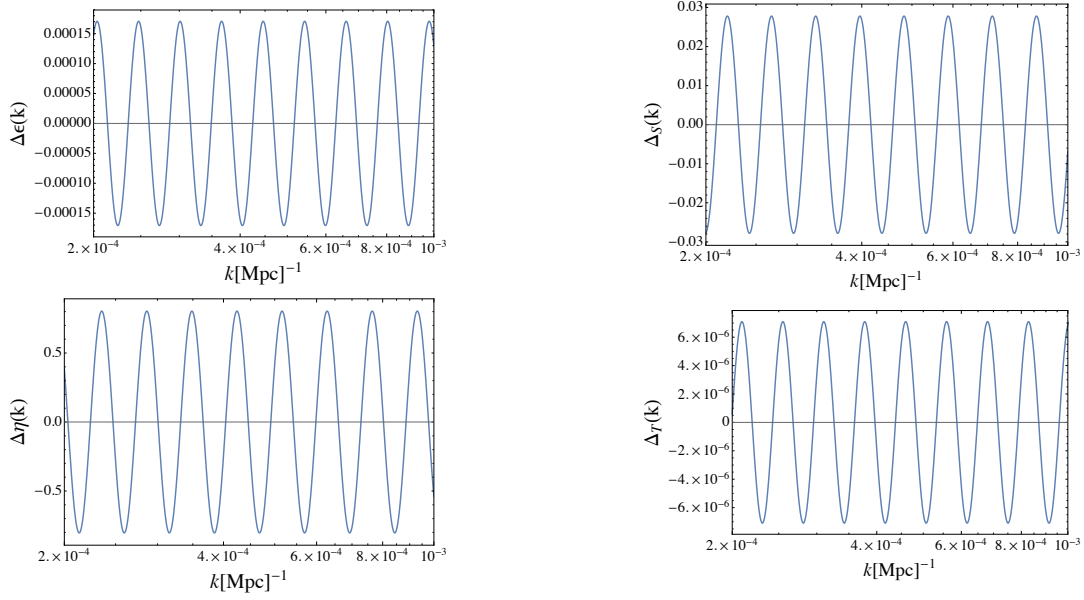


Figure 4.2: The first two slow-roll parameters  $\Delta\eta$  and  $\Delta\varepsilon$  (left panels) using eq. (4.40) and  $\frac{\Delta P_S}{P_S^0}(k)$ ,  $\frac{\Delta P_T}{P_T^0}(k)$  (right panels) related by eq. (4.38), in the case of the resonant feature (4.41). We have used  $A = 0.028$ ,  $\Omega = 30$ ,  $\phi/2\pi = 0.634$ ,  $k_* = 0.05[\text{Mpc}]^{-1}$  and  $\varepsilon_0 = 0.0068$ .

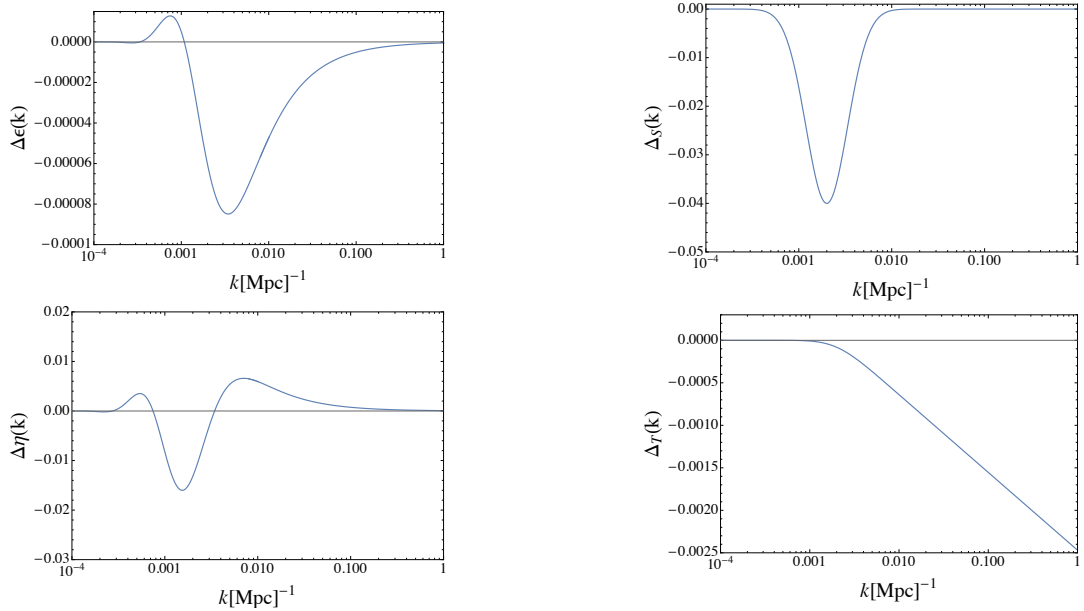


Figure 4.3: The first two slow-roll parameters  $\Delta\eta$  and  $\Delta\varepsilon$  (left panels) using eq. (4.39) and  $\frac{\Delta P_S}{P_S^0}(k)$ ,  $\frac{\Delta P_T}{P_T^0}(k)$  (right panels) related by eq. (4.34), in the case of the Gaussian feature (4.42). We have used  $A = -0.15$ ,  $\lambda = 15$ ,  $k^* = 0.002[\text{Mpc}]^{-1}$  and  $\varepsilon_0 = 0.0068$ .

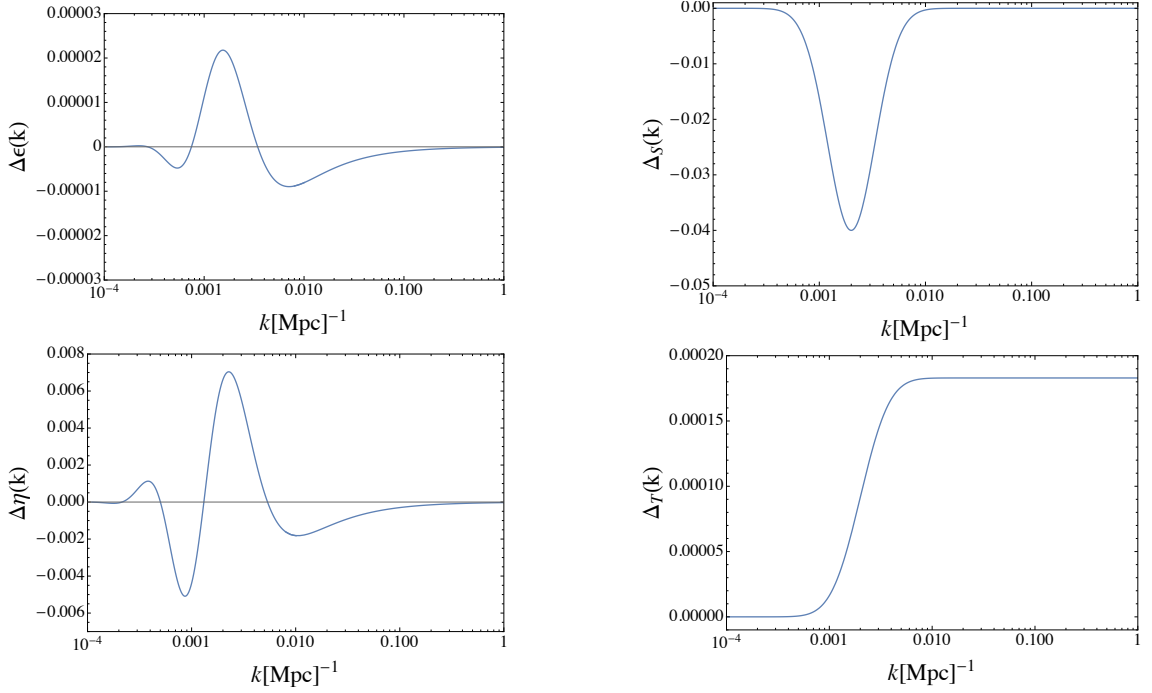


Figure 4.4: Plot of the first two slow-roll parameters  $\Delta\eta$  and  $\Delta\epsilon$  (left panels) using eq. (4.40) and  $\frac{\Delta P_S}{P_S^0}(k)$ ,  $\frac{\Delta P_T}{P_T^0}(k)$  (right panels) related by eq. (4.38), in the case of the Gaussian feature (4.42). We have used  $A = -0.15$ ,  $\lambda = 15$ ,  $k^* = 0.002[\text{Mpc}]^{-1}$  and  $\epsilon_0 = 0.0068$ .





# Chapter 5

## Consistency Relations

In the previous chapter, it was addressed how features on the B-modes power spectrum are related to features scalar power spectrum, and it was discussed how departures from these relations might indicate other mechanisms or other degrees of freedom. However, their realization is subordinated to the detection of the B-modes signal, and it is well known that there is no guarantee of being detected since it depends on the energy scale of inflation, our experimental sensitivity to polarization and our knowledge on galactic foregrounds. Therefore, it is natural to conceive other alternative tests of primordial physics. One of them is the study of the non-linear regime of perturbations, exciting diagnostics of inflationary physics can be constructed from it, and they might shed new light about different and degrees of freedom during inflation; these ideas are not idle theorizing but are predictive and subject to meaningful experimental test. Cosmological observations are providing several surprising pieces from the cosmological puzzles and new challenges.

It is by now well understood that Maldacena’s consistency relation  $f_{\text{NL}} = 5(1 - n_s)/12$  [28], linking together the amount of local (squeezed) non-Gaussianity  $f_{\text{NL}}$  with the spectral index  $n_s - 1$ , and valid for attractor models of single field inflation [101–108], cannot be directly observed. A correct account of the observable amount of primordial local non-Gaussianity yields [4, 5, 109–111]

$$f_{\text{NL}}^{\text{obs}} = 0 + \mathcal{O}(k_L^2/k_S^2), \quad (5.1)$$

where  $\mathcal{O}(k_L^2/k_S^2)$  stands for non-Gaussianity produced by non-primordial phenomena such as gravitational lensing and redshift perturbations (the so called projection effects [112, 113]). This result may be understood as coming from a cancellation between the primordial value predicted in co-moving gauge  $5(1 - n_s)/12$ , and a correction  $-5(1 - n_s)/12 + \mathcal{O}(k_L/k_S)$  that arises after considering a change of coordinates rendering gauge invariant observables. This coordinate change corresponds to a transformation from co-moving coordinates to the so called conformal Fermi coordinates [3, 5].

It appears to be entirely reasonable that the cancellation leading to (5.1) is only effective when the prediction of primordial non-Gaussianity corresponds to  $f_{\text{NL}} = 5(1 - n_s)/12$ . This is because Maldacena’s consistency relation itself may be thought of as the consequence of a space-time reparametrization linking short- and long-wavelength curvature perturbations

realized with the help of a symmetry of the system under a simultaneous spatial dilation and a field reparametrization [101]. Thus, any measurement of local non-Gaussianity would directly rule out single field models of slow-roll inflation [7, 8, 39, 40, 114] (attractor models of inflation), but it would not rule out other classes of inflation. In particular, one would be seriously motivated to consider more exotic models of inflation such as curvaton scenarios [115], multi-field models [116], or non-attractor models of inflation (that is, models for which the background depends on the initial conditions [117–121]). For instance, in the case of ultra slow-roll inflation [117, 122], one finds  $f_{\text{NL}} = 5/2$ , from where it seems unlikely that a cancellation could happen.

In this chapter, we show that there is a slightly more general class of non-Gaussian consistency relations, of which Maldacena’s relation is an example. This generalization emerges from a space-time reparametrization (linking short- and long-wavelength curvature perturbations) that is realized with the help of a more general symmetry. This time, the symmetry transformation involves both a time dilation and a spatial dilation. We will show that this symmetry is approximate in the case of  $\varepsilon \ll 1$  (where  $\varepsilon$  is the standard first slow-roll parameter), but exact in the case of ultra slow-roll (independently of the value of  $\varepsilon$ ). In a previous work [121] was already investigated the derivation of non-Gaussian consistency relations valid for non-attractor models using symmetry arguments. The difference between the present work and ref. [121] is that the symmetry used here involves a space-time reparametrization affecting the action of curvature perturbations, whereas the symmetry explored in [121] corresponds to a symmetry of the full action driving inflation.\*

The existence of a more general consistency relation (coming from space-time reparametrizations) suggests that the vanishing of eq. (5.1) may be effective under more general conditions, valid beyond the attractor single field models of inflation. In particular, one could expect (5.1) to be valid in the extreme case of ultra slow-roll inflation. We will argue that this is indeed the case in a companion article [123], where the use of conformal Fermi coordinates is considered for the case of non-attractor models.

We have organized this chapter as follows: In Section 5.1 we offer a review of the derivation of the standard consistency relation for single field slow-roll inflation (attractor inflation). In Section 5.2 we derive the generalized version of the consistency relation. We do this first for the simple case  $\varepsilon \rightarrow 0$ , and then extend this result to the more subtle case  $\varepsilon \neq 0$ , where we pay some attention to the particular case of ultra slow-roll inflation. Then, in Section 5.3 we briefly discuss our results, and ask how they could be modified by deviations from the canonical models of inflation for which our results are strictly valid. Finally, in Section ?? we present our conclusions.

## 5.1 Review of the Consistency Relation Derivation

Let us start by reviewing the derivation of the standard consistency relation for single field slow-roll attractor inflation, in which the curvature perturbation freezes on superhorizon scales. We will closely follow the discussion of ref. [104], (see also the derivations in refs.

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\*While completing this work we have become aware that Finelli et al. [35] are finishing an article on the same subject, possibly arriving to similar conclusions.

[101, 105]), but with a perspective that will show to be useful for generalizing the relation later on.

The metric line element describing a perturbed FRW spacetime, in co-moving gauge may be written as:

$$ds^2 = a^2(\tau) \left[ -N^2 d\tau^2 + 2N_i d\tau dx^i + e^{2\zeta} dx^2 \right], \quad (5.1)$$

where  $a$  is the usual scale factor. We have adopted conformal time  $\tau$ , which is related to cosmological time  $t$  via  $d\tau = dt/a$ . The lapse  $\delta N = N - 1$  and shift  $N_i$  functions respect constraint equations that are found by varying the action of the perturbations. The linear solutions are given by:<sup>†</sup>

$$\delta N = \frac{1}{\mathcal{H}} \partial_0 \zeta, \quad N_i = -\partial_i \frac{\zeta}{\mathcal{H}} + \varepsilon \frac{\partial_i}{\partial^2} \partial_0 \zeta. \quad (5.2)$$

After replacing these solutions back into the action, one obtains a cubic action describing a single scalar degree of freedom  $\zeta$ . Now, let us consider the following transformations of coordinates and fields:

$$x = e^g x', \quad (5.3)$$

$$\tau = \tau', \quad (5.4)$$

$$\zeta = \zeta' + \Delta\zeta, \quad (5.5)$$

where  $g$  and  $\Delta\zeta$  are functions of  $\tau'$  only. We would like to know how these relations affect the form of the  $\zeta$ -action for a certain choice of  $g$  and  $\Delta\zeta$ . Given that  $g$  and  $\Delta\zeta$  are taken as perturbations, this would require us to consider the full initial action, Einstein-Hilbert plus scalar field, including the background contributions (this is because (5.3) implies that some background terms will be promoted to perturbations). Instead of examining this change by inserting (5.3)-(5.5) in the full action explicitly, we may analyze the way in which the metric (5.1) is affected. This will allow us to infer how the action itself is affected by the transformation. To proceed, first notice that (5.3) and (5.4) imply

$$dx^i = e^g dx'^i + e^g \partial_0 g x'^i d\tau', \quad (5.6)$$

$$d\tau = d\tau'. \quad (5.7)$$

In second place, recall that  $N$  and  $N_i$  were already fixed in terms of  $\zeta$ , and so they must change according to (5.5). This is because we are examining how the transformations alter the form of the  $\zeta$ -action after  $N$  and  $N_i$  were solved. One finds:

$$\delta N = \delta N' + \frac{1}{\mathcal{H}} \partial_0 \Delta\zeta, \quad (5.8)$$

$$N_i = N'_i + \partial_i \Delta\psi, \quad (5.9)$$

where  $\Delta\psi$  is such that

$$\partial^2 \Delta\psi = -\partial^2 \frac{\Delta\zeta}{\mathcal{H}} + \varepsilon \partial_0 \Delta\zeta. \quad (5.10)$$

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<sup>†</sup>In this work we assume regular Bunch-Davies initial conditions. For a discussion on the effect of considering different initial states, see [124].

Given that we are choosing  $\Delta\zeta$  to be  $x'$ -independent,  $\Delta\psi$  satisfies the simpler equation  $\partial^2\Delta\psi = \varepsilon\partial_0\Delta\zeta$ . This equation is solved by  $\Delta\psi = \frac{1}{6}x^i x_i \varepsilon\partial_0\Delta\zeta$ , and so we may write:

$$\partial^i\Delta\psi = \frac{1}{3}x'^i\varepsilon\partial_0\Delta\zeta. \quad (5.11)$$

Then, replacing all of these results back into the metric (5.1), we obtain:

$$ds^2 = a^2(\tau') \left[ -e^{2\delta N' + \frac{2}{\hbar}\partial_0\Delta\zeta} d\tau'^2 + 2\left(N'_i + \partial_0 g x'_i + \frac{1}{3}x'_i \varepsilon\partial_0\Delta\zeta\right) d\tau' dx'^i + e^{2\zeta' + 2\Delta\zeta + 2g} dx'^2 \right]. \quad (5.12)$$

It is important to keep the perturbations appearing in the term proportional to  $dx'^2$  up to third order at least. In this case, we have kept  $\Delta\zeta$  and  $g$  exactly as they appear from the definition of the transformations (5.3)-(5.5). On the other hand, in those terms proportional to  $d\tau'^2$  and  $d\tau' dx'^i$  we must keep the perturbations up to first order at least. The reason for doing this is that we want to understand how (5.3)-(5.5) change the form of the  $\zeta$ -action up to third order. Given that the cubic action depends on the linear contributions to  $\delta N$  and  $N_i$ , we do not need to worry about contributions coming from  $\Delta\zeta$  and  $g$  beyond linear order in terms proportional to  $d\tau'^2$  and  $d\tau' dx'^i$ .

Next, notice that if we choose both  $g$  and  $\Delta\zeta$  constant, and demand them to satisfy  $\Delta\zeta = -g$  we end up with

$$ds^2 = a^2(\tau') \left[ -N'^2 d\tau'^2 + 2N'_i d\tau' dx'^i + e^{2\zeta'} dx'^2 \right]. \quad (5.13)$$

This metric has exactly the same form of (5.1), and therefore the action for  $\zeta'$ , obtained by using this metric, has the same form as the one for  $\zeta$ . This in turn, implies that both  $\zeta$  and  $\zeta'$  are solutions of the same system of equations of motion. Moreover, these solutions are connected through the relation:

$$\zeta(\tau, x) = \zeta'(\tau', x') - g. \quad (5.14)$$

Since  $\tau = \tau'$  and  $x = e^g x'$ , we may write instead:

$$\zeta(\tau, x) = \zeta'(\tau, e^{-g}x) - g. \quad (5.15)$$

This relation may be used to derive the squeezed limit of the bispectrum in terms of the power spectrum of the perturbations. First, let us consider a splitting of  $\zeta$  into short- and long-wavelength contributions of the form:

$$\zeta = \zeta_S + \zeta_L. \quad (5.16)$$

Here,  $\zeta_L$  is such that it contains modes that have exited the horizon. For all purposes,  $\zeta_L$  is  $x$ -independent. In addition, if we are interested in attractor models of single field inflation,  $\zeta_L$  is also  $\tau$ -independent. Then, if in eq. (5.15) we choose  $g = -\zeta_L$  (or, equivalently  $\Delta\zeta = \zeta_L$ ), we end up with

$$\zeta_S(\tau, x) = \zeta'(\tau, e^{\zeta_L}x). \quad (5.17)$$

In other words, the long wavelength mode of  $\zeta$  has been absorbed via a coordinate transformation.<sup>‡</sup> Relation (5.17) tells us that  $\zeta_S(\tau, x)$  may be expressed in terms of a fluctuation  $\zeta'$  that is a solution of the same system of equations satisfied by  $\zeta$ , but with  $e^{\zeta_L}x$  instead of  $x$  in the spatial argument. In other words, we have non-linear information about how the long-wavelength mode  $\zeta_L$  modulates the short wavelength mode  $\zeta_S$ . Next, let us consider the 2-point correlation function  $\langle \zeta_S(\tau, \mathbf{x})\zeta_S(\tau, \mathbf{y}) \rangle \equiv \langle \zeta_S\zeta_S \rangle(\tau, |\mathbf{x} - \mathbf{y}|)$ . Equation (5.17) tells us that

$$\langle \zeta_S\zeta_S \rangle(\tau, |\mathbf{x} - \mathbf{y}|) = \langle \zeta'\zeta' \rangle(\tau, e^{\zeta_L}|\mathbf{x} - \mathbf{y}|). \quad (5.18)$$

Notice that  $\langle \zeta'\zeta' \rangle(\tau, |\mathbf{x} - \mathbf{y}|)$  is nothing but the usual 2-point correlation function of the curvature perturbation in co-moving gauge (because  $\zeta'$  is a solution of the full system). Expanding the previous relation in powers of  $\zeta_L$ , we obtain

$$\langle \zeta_S\zeta_S \rangle(\tau, |\mathbf{x} - \mathbf{y}|) = \langle \zeta'\zeta' \rangle(\tau, |\mathbf{x} - \mathbf{y}|) + \zeta_L \frac{d}{d \ln |\mathbf{x} - \mathbf{y}|} \langle \zeta'\zeta' \rangle(\tau, |\mathbf{x} - \mathbf{y}|) + \dots \quad (5.19)$$

Then, by writing the fields in Fourier space as

$$\zeta(\mathbf{x}) = \frac{1}{(2\pi)^3} \int d^3k \zeta(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}}, \quad (5.20)$$

we end up with

$$\langle \zeta_S\zeta_S \rangle(\mathbf{k}_1, \mathbf{k}_2) = \langle \zeta'\zeta' \rangle(\mathbf{k}_1, \mathbf{k}_2) - \zeta_L(\mathbf{k}_L) [n_s(k_S, \tau) - 1] P_\zeta(\tau, k_S), \quad (5.21)$$

where we have defined  $\mathbf{k}_L = \mathbf{k}_1 + \mathbf{k}_2$  and  $\mathbf{k}_S = (\mathbf{k}_1 - \mathbf{k}_2)/2$ . In the previous expressions, the power spectrum  $P_\zeta(\tau, k)$  and its spectral index  $n_s(k) - 1$  are defined as

$$P_\zeta(\tau, k) = \int d^3r e^{-i\mathbf{k}\cdot\mathbf{r}} \langle \zeta\zeta \rangle(\tau, r), \quad (5.22)$$

$$n_s(k, \tau) - 1 = \frac{\partial}{\partial \ln k} \ln(k^3 P_\zeta(\tau, k)), \quad (5.23)$$

with  $\mathbf{r} \equiv |\mathbf{x} - \mathbf{y}|$ .

The first term at the rhs of eq. (5.21) is independent of  $\zeta_L$ , so by correlating eq. (5.21) with  $\zeta_L(\mathbf{k}_3)$ , we obtain

$$\langle \zeta_L(\mathbf{k}_3) \langle \zeta_S\zeta_S \rangle(\mathbf{k}_1, \mathbf{k}_2) \rangle = -\langle \zeta_L(\mathbf{k}_3) \zeta_L(\mathbf{k}_L) \rangle [n_s(k_S, \tau) - 1] P_\zeta(\tau, k_S). \quad (5.24)$$

The squeezed limit of the bispectrum appears as the formal limit:

$$\lim_{k_3 \rightarrow 0} (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = \langle \zeta_L(\mathbf{k}_3) \langle \zeta_S\zeta_S \rangle(\mathbf{k}_1, \mathbf{k}_2) \rangle. \quad (5.25)$$

Thus, putting together eqs. (5.24) and (5.25) we see that the squeezed limit acquires the form:

$$B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = -[n_s(k_S, \tau) - 1] P_\zeta(k_S) P_\zeta(k_L). \quad (5.26)$$

---

<sup>‡</sup>This reveals that  $\zeta$  corresponds to an adiabatic mode [10, 32], and that the evolution of the short wavelength contribution  $\zeta_S(\tau, x)$  may be thought of as that of a perturbation  $\zeta'$  on a new redefined background (obtained by the absorption of  $\zeta_L$ ).

This corresponds to Maldacena’s well known consistency relation. It was obtained with the help of transformation (5.17) linking short- and long-wavelength co-moving curvature perturbations  $\zeta_S$  and  $\zeta_L$  through a “complete” curvature perturbation  $\zeta'$  (that is, a curvature perturbation for which there has been no separation of scales). In other words, (5.26) gives us information on how the long wavelength mode  $\zeta_L$  modulates the short wavelength mode  $\zeta_S$ .

## 5.2 A Generalized Consistency Relation

We would like to count with a consistency relation valid for cases in which the long mode  $\zeta_L$  is time dependent, that is, when the curvature perturbation evolves on super-horizon scales. For simplicity, let us first attempt this in the formal limit  $\varepsilon \rightarrow 0$ . We will consider the case  $\varepsilon \neq 0$  in Section 5.2.3.

### 5.2.1 Case with $\varepsilon \rightarrow 0$

If  $\varepsilon = 0$ , the Hubble parameter  $H = \dot{a}/a$  is a constant, and the scale factor  $a$  is given by

$$a(\tau) = -\frac{1}{H\tau}, \quad (5.1)$$

Then, let us consider the following transformations:

$$x = e^g x', \quad (5.2)$$

$$\tau = e^f \tau', \quad (5.3)$$

$$\zeta = \zeta' + \Delta\zeta. \quad (5.4)$$

Here the quantities  $g$ ,  $f$  and  $\Delta\zeta$  are all functions of  $\tau'$ . For concreteness, let us assume that  $\tau = \tau'$  at a given reference time  $\tau_*$ . This implies that  $f = 0$  at  $\tau' = \tau_*$ . To make this explicit, one could write  $f$  as  $f(\tau') = \int_{\tau_*}^{\tau'} d\tau h$  (this will not be important though). This choice is completely arbitrary, and one could certainly fix initial conditions for  $f$  and  $g$  in other ways, without modifying the main conclusions of this section. The change of coordinates implies:

$$dx^i = e^g dx'^i + e^g \partial_0 g x'^i d\tau', \quad (5.5)$$

$$d\tau = e^f d\tau' (1 + \tau' \partial_0 f). \quad (5.6)$$

Note that now  $\partial_0 \equiv \partial_{\tau'}$ . Replacing these relations back into the metric (5.1), we find:

$$ds^2 = a^2(\tau') \left[ -e^{2\delta N' - 2\tau' \partial_0 \Delta\zeta + 2\tau' \partial_0 f} d\tau'^2 + 2 \left( N'_i + \partial_0 g x'_i \right) d\tau' dx'^i + e^{2\zeta' + 2\Delta\zeta + 2g - 2f} dx'^2 \right]. \quad (5.7)$$

As before, let us recall that the perturbations appearing together with  $\delta N$  and  $N_i$  may be treated up to linear order. On the other hand, those appearing together with  $\zeta'$  must be treated up to cubic order. In this case, we are treating them exactly. Now, notice that if we demand that  $g$  is constant, and that

$$\Delta\zeta + g - f = 0, \quad (5.8)$$

the metric reduces back to (5.13). Then, we conclude that the  $\zeta$ -action is invariant under the transformations (5.2)-(5.4). Therefore, we have two solutions  $\zeta$  and  $\zeta'$  related through the following relation

$$\zeta(\tau, x) = \zeta'(e^{-f}\tau, e^{-g}x) - g + f. \quad (5.9)$$

In order to deduce the squeezed limit of the bispectrum in this class of models, let us now again consider the splitting

$$\zeta = \zeta_S + \zeta_L. \quad (5.10)$$

Recall that this time we are assuming that  $\zeta_L$  depends on time. As we did with (5.15), let us choose  $f$  and  $g$  in such a way that  $\Delta\zeta = \zeta_L$ :

$$-g + f = \zeta_L(\tau). \quad (5.11)$$

Given that  $f = 0$  for  $\tau = \tau_*$ , the previous relation sets the constant  $g$  as  $g = -\zeta_L(\tau_*)$ . Then we find that  $f$  is given by

$$f = \zeta_L(\tau) - \zeta_L(\tau_*). \quad (5.12)$$

This leads to a relation between  $\zeta_S$  and  $\zeta'$  given by:

$$\zeta_S(\tau, x) = \zeta'(e^{-[\zeta_L(\tau) - \zeta_L(\tau_*)]}\tau, e^{\zeta_L(\tau_*)}x). \quad (5.13)$$

If  $\zeta_L(\tau)$  does not evolve, then  $\zeta_L(\tau) = \zeta_L(\tau_*)$ , and we recover eq. (5.17). We may now compute the power spectrum of  $\zeta_S$ . Up to first order in  $\zeta_L$ , it is direct to find in Fourier space

$$\begin{aligned} \langle \zeta_S \zeta_S \rangle(\mathbf{k}_1, \mathbf{k}_2) &= \langle \zeta' \zeta' \rangle(\mathbf{k}_1, \mathbf{k}_2) - [\zeta_L(\mathbf{k}_L) - \zeta_L^*(\mathbf{k}_L)] \frac{d}{d \ln \tau} P_\zeta(\tau, k_S) \\ &\quad - \zeta_L^*(\mathbf{k}_L) [n_s(k_S, \tau) - 1] P_\zeta(\tau, k_S). \end{aligned} \quad (5.14)$$

Correlating this expression with  $\zeta_L(\mathbf{k}_3)$ , we end up with

$$\begin{aligned} \langle \zeta_L(\mathbf{k}_3) \langle \zeta_S \zeta_S \rangle(\mathbf{k}_1, \mathbf{k}_2) \rangle &= -\langle \zeta_L(\mathbf{k}_3) [\zeta_L(\mathbf{k}_L) - \zeta_L^*(\mathbf{k}_L)] \rangle \frac{d}{d \ln \tau} P_\zeta(\tau, k_S) \\ &\quad - \langle \zeta_L(\mathbf{k}_3) \zeta_L^*(\mathbf{k}_L) \rangle [n_s(k_S, \tau) - 1] P_\zeta(\tau, k_S). \end{aligned} \quad (5.15)$$

This expression involves the correlation of  $\zeta_L(\mathbf{k}_3)$  evaluated at a given time  $\tau$ , with  $\zeta_L^*(\mathbf{k}_3)$  which is evaluated at the reference time  $\tau = \tau_*$ . When superhorizon modes freeze, the first line cancels and there is no difference between  $\zeta_L^*(\mathbf{k}_3)$  and  $\zeta_L(\mathbf{k}_3)$ , so we end up with Maldacena's standard attractor result. However, if  $\zeta_L$  grows on superhorizon scales fast enough for  $\zeta_L^*$  to become subdominant, and for the first line to dominate the second one, we end up with

$$B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = -P_\zeta(k_L) \frac{d}{d \ln \tau} P_\zeta(k_S). \quad (5.16)$$

This is one of our main results. Equation (5.16) tells us that under a substantial super-horizon growth, the squeezed limit is dominated by a time derivative of the power spectrum.

## 5.2.2 Non-Gaussianity in Ultra Slow-roll Inflation

Before considering the more general case in which  $\varepsilon \neq 0$ , let us briefly analyze (5.16) in the context of ultra slow-roll inflation, where the inflaton field moves over a constant potential

and, as a consequence, the curvature perturbation evolves exponentially after horizon crossing. The salient feature of this model is the rapid decay of  $\varepsilon$ , which is found to be given by

$$\varepsilon \propto \frac{1}{H^2 a^6}. \quad (5.17)$$

Although  $\varepsilon \rightarrow 0$  very fast, the value of  $\eta$  is found to be large:

$$\eta = -6 \left(1 - \frac{\varepsilon}{3}\right). \quad (5.18)$$

The linear equation of motion respected by  $\zeta$  on super-horizon scales is given by

$$\frac{d}{dt} \left( \varepsilon a^3 \dot{\zeta} \right) = 0. \quad (5.19)$$

Then, neglecting terms subleading in  $\varepsilon$ , one finds that  $\zeta \propto \tau^{-3}$ . In other words, the power spectrum on superhorizon scales behaves as:

$$P_\zeta(k) \propto \tau^{-6}. \quad (5.20)$$

Using this result back into (5.16), we find that the bispectrum in ultra slow-roll is given by

$$B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = 6P_\zeta(k_L)P_\zeta(k_S), \quad (5.21)$$

which coincides with the well known expression previously found in the literature [118, 121].

One should be careful with the result (5.21), even though it coincides with the known squeezed limit for ultra slow-roll inflation. Recall that we are judging the effect of the transformations (5.2)-(5.4) on the  $\zeta$ -action from their effect on the metric. This implies that we are neglecting terms proportional to  $\varepsilon$  in the metric that could, according to eq. (5.18), have a sizable impact on the action due to time derivatives of  $\varepsilon$ . Strictly speaking, at this point in our derivation the result of eq. (5.16) is valid as long as  $\varepsilon \ll 1$  together with  $\eta \ll 1$ . But under these conditions it is hard (or impossible) to have a sizable super-horizon growth of  $\zeta$  that could lead to an interesting situation where eq. (5.16) could be used. To understand this issue more closely, let us analyze the case  $\varepsilon \neq 0$  in what follows.

### 5.2.3 Case with $\varepsilon \neq 0$

Let us now analyze the more general case in which  $\varepsilon \neq 0$ . Here, we may consider the following transformation of coordinates and fields:

$$x = e^g x', \quad (5.22)$$

$$a(\tau) = e^{-f} a(\tau'), \quad (5.23)$$

$$\zeta = \zeta' + \Delta\zeta. \quad (5.24)$$

Notice that we are defining the time reparametrization in terms of the scale factor  $a$  in order to keep the transformation in the spatial part of the metric (which involves  $a(\tau)$ ) valid to all orders in the perturbation  $f$ . The effect of this transformation on the rest of the metric may be computed up to linear order. With this in mind, it is possible to derive that the time



reparametrization to linear order is given by  $\tau = \tau' - \frac{1}{\mathcal{H}}f$ , where  $\mathcal{H} = a^{-1}\partial_0 a$ . Then, the transformations lead to:

$$dx^i = e^g dx'^i + e^g \partial_0 g x'^i d\tau', \quad (5.25)$$

$$d\tau = d\tau' + \left( (1 - \varepsilon)f - \frac{1}{\mathcal{H}}\partial_0 f \right) d\tau', \quad (5.26)$$

where we used  $\partial_0 \mathcal{H} = (1 - \varepsilon)\mathcal{H}^2$ . Plugging these transformations back into the action (5.1), one finds:

$$ds^2 = a^2(\tau') \left[ - e^{2\delta N' + 2\frac{1}{\mathcal{H}}\partial_0 \Delta\zeta - 2\varepsilon f - 2\frac{1}{\mathcal{H}}\partial_0 f} d\tau'^2 + 2(N'_i + \partial_0 g x'_i + \frac{1}{3}x'_i \varepsilon \partial_0 \Delta\zeta) d\tau' dx'^i + e^{2\zeta' + 2\Delta\zeta + 2g - 2f} dx'^2 \right]. \quad (5.27)$$

Now, consider the following conditions on  $g$  and  $f$ :

$$\partial_0 \Delta\zeta - \varepsilon \mathcal{H} f - \partial_0 f = 0, \quad (5.28)$$

$$\Delta\zeta + g - f = 0. \quad (5.29)$$

It is direct to see that these two equations imply:

$$\partial_0 g = -\varepsilon \mathcal{H} f. \quad (5.30)$$

Then, the metric becomes

$$ds^2 = a^2(\tau') \left[ - e^{2\delta N'} d\tau'^2 + 2(N'_i + \Delta N_i) d\tau' dx'^i + e^{2\zeta'} dx'^2 \right], \quad (5.31)$$

where we have defined  $\Delta N_i$  as

$$\Delta N_i = -\varepsilon \mathcal{H} f x'_i + \frac{1}{3} x'_i \varepsilon (\varepsilon \mathcal{H} f + \partial_0 f), \quad (5.32)$$

and where  $f$  is such that it is a solution of eq. (5.28). Now, it is clear from this result that the  $\zeta$ -action will not be invariant under the present transformation unless either  $\Delta N_i = 0$ , or  $\Delta N_i$  leads to the appearance of a total derivative. This second option will not be true in general, and  $\Delta N_i$  will imply terms in the action that are proportional to  $\varepsilon$  and  $\eta$ .

At this point, the metric of eq. (5.31) differs from the original metric of eq. (5.1) by the fact that  $\Delta N_i$  does not vanish. The difference is of order  $\varepsilon$ , as expected from the analysis of Section 5.2.1. In what follows, let us explore what would be required to satisfy the condition  $\Delta N_i = 0$ , independently of the size of  $\varepsilon$  (that is, we will not assume that  $\varepsilon$  is small). First, it is direct to see that  $\Delta N_i = 0$  is equivalent to

$$\partial_0(a^{-2}\mathcal{H}^{-1}f) = 0. \quad (5.33)$$

This implies that  $f$  must have the following dependence on time:

$$f = C a^2 \mathcal{H}, \quad (5.34)$$

where  $C$  is an integration constant that may be chosen conveniently. Note that here we cannot adopt the condition  $f = 0$  at a given time  $\tau = \tau_*$ . This is because of the way in which  $f$  is introduced in eq. (5.23). Now, according to eq. (5.28), the solution for  $f$  given by eq. (5.34) must be compatible with  $\Delta\zeta$ . In other words, it must be possible to choose  $C$  in such a way that

$$\partial_0\Delta\zeta = 3CH^2a^4, \quad (5.35)$$

(where we have used  $\mathcal{H} = Ha$ ). This corresponds to a strong restriction on  $\Delta\zeta$ , which has not been chosen yet. As in the previous subsections, we are interested in identifying  $\Delta\zeta$  as:

$$\Delta\zeta = \zeta_L. \quad (5.36)$$

Inserting this back into (5.35), we see that  $\Delta N_i = 0$  is only possible if (remember that in eq. (5.35)  $\partial_0 \equiv \partial_\tau$ )

$$\dot{\zeta}_L = 3CH^2a^3. \quad (5.37)$$

Of course, this behavior is not guaranteed in general. However, in the particular case of ultra slow-roll inflation one has  $\varepsilon \propto 1/H^2a^6$ , and so we may rewrite (5.37) as

$$\dot{\zeta}_L \propto \frac{1}{\varepsilon a^3}, \quad (5.38)$$

which is nothing but (5.19). As a consequence, we see that in ultra slow-roll inflation one has  $\Delta N_i = 0$  independently of the value of  $\varepsilon$ . Therefore, we have shown that the transformations (5.22)-(5.24) with  $f$ ,  $g$  and  $\Delta\zeta$  chosen as in (5.34), (5.30), and (5.36) respectively, correspond to an exact symmetry of the action for curvature perturbations in ultra slow-roll inflation (independent of the size of  $\varepsilon$ ). This should not come as a surprise. Similar to exponential inflation, ultra slow-roll inflation never ends, and so the size of  $\varepsilon$  (which dilutes as  $\sim a^{-6}$ ) cannot be regarded as a fundamental quantity describing the state of inflation.

The final step is to deduce an expression for  $\zeta_S$ . This is found to be

$$\zeta_S(\tau, x) = \zeta'(e^{-\zeta_L - g\tau}, e^{-g}x), \quad (5.39)$$

with  $g$  the solution of eq. (5.30). It is straightforward to see that  $g$  will contribute terms that are subleading in  $\varepsilon$ , and so we recover the expression (5.16) found in Section 5.2.1. This in turn, leads to the well known result (5.21).

### 5.3 Discussion

Now that we know that (5.16) is valid for ultra slow-roll inflation, but not for general situations with  $\varepsilon \neq 0$ , we would like to anticipate how this result could change once we consider models that depart from the exact ultra slow-roll behavior. First, if the action describing single field inflation is canonical, then all of the couplings appearing in the  $\zeta$ -action will consist of time derivatives of  $H$ , such as  $\varepsilon$  and  $\eta$ . Given that the action remains invariant under the set of transformations (5.22)-(5.24) in the case of ultra slow-roll, then models with a background close to ultra slow-roll have departures at most proportional to

$$6 + \eta.$$

However, in order to have a small spectral index in models close to ultra slow-roll it is necessary to have  $|6 + \eta| \ll 1$ , and so it would not be possible to have large departures from (5.16) unless the spectral index becomes incompatible with observations. Another possibility is to consider non-canonical models of inflation. In this class of models one has an additional parameter, the sound speed  $c_s$ , which is not directly related to variations of  $H$ . This time, the action for  $\zeta$  could have terms (parametrizing departures from the ultra slow-roll case) proportional to:

$$\left(1 - \frac{1}{c_s^2}\right)\eta.$$

This type of departure would not be suppressed for small values of  $c_s$ , and one could expect sizable modifications to the result shown in (5.21). In fact, a direct computation shows that the modification to (5.21) due to  $c_s$  is given by [120]

$$B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \simeq \frac{6}{c_s^2} P_\zeta(k_L) P_\zeta(k_S). \quad (5.1)$$

This result has also been obtained through symmetry arguments [121] pertaining the structure of the Lagrangian of  $P(X)$ -theories of inflation [125], but not through symmetry arguments related to space-time parametrizations, as considered here. Given that  $c_s$  appears as a consequence of non-gravitational interactions, it seems reasonable to assume that a space-time reparametrization leading to (5.1) does not exist.



# Chapter 6

## Conformal Fermi Coordinates and vanishing $f_{\text{NL}}$

The theory of cosmological perturbations and the current treatment for observations assumes an external observer with unlimited access to all places and at all times with complete knowledge of the entire ensemble of modes of different fields and their properties; under this treatment, this type of observers are outside the experiment, or outside of our universe. Nevertheless, that is not our case. Instead, there is a subtle difference; we are observers confined in a 4-dimensional spacetime influenced and subordinated by its dynamics. Under this perspective, we are observers inside the experiment, which at the same time is merely one realization of other similar alternatives, therefore, with limited access to the entire ensemble of fields modes.

As a matter of fact, we are unable to have full access to the entire universe, restricting our knowledge and information available to just a causally connected small region of space from which we extract local cosmological data. The way in which we formally should deal with the influence of spacetime dynamics on us is by using the Conformal Fermi Coordinates(CFC). First introduced [3], in the context of an observer trajectory near strong gravitational fields(Fermi coordinates), but ultimately incorporated and revived in a cosmological context [4, 5] adapted to a conformal scenario for the study of the power spectrum and bispectrum for primordial curvature fluctuations of our universe.

This section is organized as follows, first, we will introduce the theoretical framework this chapter relies on, such as, defining some tools such as the notion of **Fermi coordinates** for a generic manifold, and the **Fermi normal coordinates(FNC)**, **geodesic congruence**, and the **flatness theorem**. Then we will jump in an intermediate step which are the Fermi coordinates applied to an FRW universe and finally, dive into the conformal Fermi coordinates and their predictions explored prediction so far.

Finally, directed the readers, this is the most technical technical chapter of this thesis. I decided keeping all the content related to the CFC on this chapter and not sending to an appendix, because at the bottom of these tools concepts and their mathematical development

are taken by the hands, therefore, under my judgment they are inseparable in the expository frame of this thesis.

## 6.1 Fermi Normal Coordinates

In the mathematical frame of Riemannian geometry, the Fermi coordinates are local coordinates that are adapted to a geodesic timelike. Formally, suppose  $\mathcal{M}$  is an 4-dimensional Riemannian manifold,  $\gamma$  a geodesic that lives in  $\mathcal{M}$ , and  $P$  any point along  $\gamma$ , then there exist local coordinates  $(t, x_1, x_2, x_3)$  around  $P$  such that:

- For small  $t$ ,  $(t, 0, 0, 0)$  represents a geodesics near  $P$ .
- On  $\gamma$  the 3-metric tensor is the Euclidean metric  $\delta_{ij}$ .
- On  $\gamma$  all the Christoffel symbols vanish.

Such coordinates are called Fermi coordinates after their introduction and use in work related to particle collision made by the Italian physicist Enrico Fermi. The above properties are only valid on the geodesic. For example, if all Christoffel symbols vanish near  $P$ , then the manifold is flat near  $P$ . It is said that we can introduce local inertial coordinates/Fermi normal coordinates for any timelike geodesic. Physically, Fermi normal coordinates represent the frame of reference of an inertial observer(as observer in our universe) whose metric (along its worldline) looks like a diagonal matrix  $\eta_{\mu\nu} = \text{diag}(-1, +1, +1, +1)$ . This requires that three coordinates be spacelike and one timelike. Also, this coordinates can be extended for lightlike geodesics, but with other treatments.

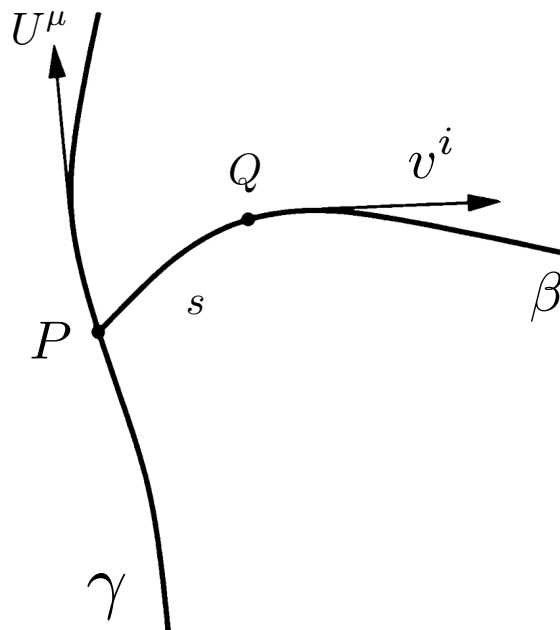


Figure 6.1: Geometrical construction of Fermi coordinates

For a given point in spacetime, it is always possible to find a coordinate system  $x^{\alpha'}$  such that:

$$g_{\alpha'\beta'}(P) = \eta_{\alpha'\beta'}(P), \quad \Gamma_{\beta'\gamma'}^{\alpha'}(P) = 0 \quad (6.1)$$

where  $\eta_{\mu\nu} = \text{diag}(-1, 1, 1, 1)$  is the Minkowski metric. Such a coordinate system will be called Lorentzian inertial frame at  $P$ . Also, we note that is not possible to set all the derivatives of the connection to zero when the spacetime is curved, the physical interpretation of the **local flatness theorem** is that a free-falling observer see *no effect of gravity* in their immediate vicinity, as required by the Einstein equivalence's principle. For concreteness we will take the geodesic to be timelike and we will adopt a geometric proof of the theorem We will show that we can introduce coordinates  $x^\mu = (t_P, x^i)$  such that near  $\gamma$ , the metric can be expressed as

$$g_{00}(x) = -1 - R_{0i0j}(t_P, \mathbf{x}_p(t_P))x^i x^j, \quad (6.2)$$

$$g_{0i}(x) = -\frac{2}{3}R_{0jik}(t_P, \mathbf{x}_p(t_P))x^j x^k, \quad (6.3)$$

$$g_{ij}(x) = \delta_{ij} - \frac{1}{3}R_{ikjl}(t_P, \mathbf{x}_p(t_P))x^k x^l. \quad (6.4)$$

These coordinates are known as **Fermi Normal Coordinates**, and  $t_P$  is the proper time along the geodesic  $\gamma$ , on which the spatial coordiante  $x^i$  are all zero. The components of Riemann tensor are evaluated on  $\gamma$ , and *they depend on  $t_P$  only* . It is obvious that Eq. (6.2) enforces  $g_{\mu\nu} = \eta_{\mu\nu}$  and  $\Gamma_{\alpha\beta}^\mu|_\gamma = 0$ . Then the local-flatness theorem holds everywhere on the geodesic.

### 6.1.1 FNC Geometric Construction

We will use  $x^\alpha = (t, x^a)$  to denote the Fermi normal coordinates, and  $x^{\alpha'}$  will refer to an arbitrary coordinate system. We imagine we are given a spacetime with a metric  $g_{\alpha'\beta'}$  expressed in these coordinates. We will consider a geodesic  $\gamma$  in this spacetime. Its tangent vector  $U^{\alpha'}$  and we let  $t$  be the proper time along  $\gamma$ . On this geodesic we select a point  $\mathcal{O}$  at which we set  $t = 0$  . At this point we construct an orthonormal basis  $(e_\mu)^{\alpha'}$  (the subscript  $\mu$  serves to label the 4-basis vectors), and we identify  $(e_t)^{\alpha'}$  with the tangent vector  $U^{\alpha'}$  at  $\mathcal{O}$ . From this we construct a basis everywhere on  $\gamma$  by parallel transporting  $(e_\mu)^{\alpha'}$  away from  $\mathcal{O}$ . Our basis therefore satisfies

$$(e_\mu)_{;\beta'}^{\alpha'} U^{\beta'} = 0 \quad (e_t)^{\alpha'} = U^{\alpha'}, \quad (6.5)$$

as well as

$$g_{\alpha'\beta'}(e_\mu)^{\alpha'}(e_\nu)^{\beta'} = \eta_{\mu\nu}, \quad (6.6)$$

everywhere on  $\gamma$ . Here,  $\eta_{\mu\nu} = \text{diag}(-1, 1, 1, 1)$  is the Minkowski metric. Consider now a spatial-like geodesic  $\beta$  originating from a point  $P$  on  $\gamma$ , at which  $t = t_P$ . This geodesic has a tangent vector  $v^{\alpha'}$ , and we let  $s$  denote the proper distance along  $\beta$ ; we set  $s = 0$  at  $P$ . We assume that  $v^{\alpha'}$  is orthogonal to  $U^{\alpha'}$  so that it admits a decomposition

$$v^{\alpha'}|_\gamma = \Omega^a (e_a)^{\alpha'}. \quad (6.7)$$

To ensure that  $v^{\alpha'}$  is properly normalized, the expansion coefficient must be properly normalized  $\delta_{ab}\Omega^a\Omega^b = 1$ . By choosing a different coefficient  $\Omega^a$  we can construct a new geodesic  $\beta$

that are also orthogonal to  $\gamma$  at  $P$ . We shall denote this entire family of spatial-like geodesics as  $\beta(t, \Omega^a)$ . The Fermi normal coordinates of a point  $Q$  located away of the geodesic  $\gamma$  is constructed as follows. First we find a unique geodesic that passes through  $Q$  and intersects  $\gamma$  orthogonally. We label the intersection as  $P$  and we name the geodesic  $\beta(t_Q, \Omega_Q^a)$  with  $t_Q = t_P$  and  $\Omega_Q^a$  the expansion coefficients of  $v^{\alpha'}$  at that point. We then assign to  $Q$  new coordinates:

$$x^0 = t_P, \quad x^a = \Omega_Q^a s_Q \quad (6.8)$$

where the  $s_Q$  is the proper distance from  $P$  to  $Q$ . These are the *Fermi normal coordinates of the point  $Q$* , therefore,  $x^\alpha = (t, \Omega^a s)$ , and we must now figure out how these coordinates are related to  $x^{\alpha'}$ , the original system.

### 6.1.2 Coordinate Transformation

We note first that we can describe a family of geodesics  $\beta(t, \Omega^a)$  by the relations of the form  $x^{\alpha'}(t, \Omega^a, s)$ . In this parameter  $t$  and  $\Omega^a$  serve to specify which geodesic, and  $s$  the proper distance to along the geodesic. If we substitute  $s = 0$  in these relations, we recover the description of the timelike geodesic  $\gamma$  in terms of its proper time; the parameters  $\Omega^a$  are the irrelevant. The tangent to the geodesics  $\beta(t, \Omega^a)$  is

$$v^{\alpha'} = \left( \frac{\partial x^{\alpha'}}{\partial s} \right) \Big|_{t, \Omega^a}. \quad (6.9)$$

This vector is a solution to the geodesic equation subjected to the initial condition  $v^{\alpha'}|_{s=0} = \Omega^a (e_a)^{\alpha'}$ . But the geodesic is invariant under a rescaling of the affine parameter,  $s \rightarrow s/c$ , in which  $c$  is a constant. Under this rescaling  $v^{\alpha'} \rightarrow cv^{\alpha'}$  and as a consequence we have that  $\Omega^{\alpha'} \rightarrow c\Omega^{\alpha'}$ . We have therefore established the identity  $x^{\alpha'}(t, \Omega^a, s) = x^{\alpha'}(t, c\Omega^a, s/c)$ , and a special case we find

$$x^{\alpha'}(t, \Omega^a, s) = x^{\alpha'}(t, s\Omega^a, 1) = x^{\alpha'}(x^\alpha) \quad (6.10)$$

by virtue of the equation (6.8), this relation is the desired transformation between  $x^{\alpha'}$  and  $x^\alpha$  (FNC). In fact we have:

$$\Omega^a (e_a)^{\alpha'} = v^{\alpha'} \Big|_\gamma = \frac{\partial x^{\alpha'}}{\partial s} \Big|_{s=0} = \frac{\partial x^{\alpha'}}{\partial x^a} \Big|_{s=0} \Omega^a \quad (6.11)$$

which shows that

$$\frac{\partial x^{\alpha'}}{\partial x^a} = (e_a)^{\alpha'} \quad (6.12)$$

from previous equations observations we also have :

$$\frac{\partial x^{\alpha'}}{\partial t} \Big|_\gamma = U^{\alpha'} = (e_t)^{\alpha'} \quad (6.13)$$

finally Eqs. (6.12) (6.13) tell us that on  $\gamma$ ,  $\frac{\partial x^{\alpha'}}{\partial x^\mu} = (e_\mu)^{\alpha'}$ .



## Deviation vectors $\xi^\alpha$

Suppose that in the relations  $x^{\alpha'}(t, \Omega^a, s)$ , the parameters  $\Omega^a$  are varied while keeping  $t$  and  $s$  fixed. This defines new curves that connects different geodesic  $\beta$  at the same proper distance  $s$  from their common intersection point  $P$  on  $\gamma$ . This is very similar to the construction described in section 6.1.1, then the vectors

$$\xi_a^\alpha = \left. \frac{\partial x^\alpha}{\partial \Omega^a} \right|_{t,s}, \quad (6.14)$$

are deviation vectors relating geodesics  $\beta(t, \Omega^a)$  with different coefficient  $\Omega^a$ . Similarly,

$$\xi_t^{\alpha'} = \left. \frac{\partial x^{\alpha'}}{\partial t} \right|_{s, \Omega^a} \quad (6.15)$$

are deviation vectors relating different geodesics  $\beta(t, \Omega^a)$  that start at different points on  $\gamma$ , but share the same coefficient  $\Omega^a$ . The four vectors defined in equation (6.14) and (6.15) satisfies the geodesic equation (6.35); it must be kept in mind that in this equation, the tangent vector is  $v^{\alpha'}$ , not  $U^{\alpha'}$ , and the affine parameter is  $s$  not  $t$ .

## Metric on $\gamma$

The components of the metric in Fermi Normal coordinates are related to the old components by general transformation rule

$$g_{\mu\nu}(x) = \frac{\partial x^{\mu'}}{\partial x^\mu} \frac{\partial x^{\nu'}}{\partial x^\nu} g_{\mu'\nu'}(x'(x)). \quad (6.16)$$

Evaluating this on  $\gamma$  yields  $g_{\mu\nu}(x)|_\gamma = (e_\mu)^{\mu'} (e_\nu)^{\nu'} g_{\mu'\nu'}(x'(x))$ , after using (6.13), (6.12). This states that in the Fermi normal coordinates, the metric is Minkowski everywhere on the geodesic  $\gamma$ .

## First derivatives of the metric on $\gamma$

To evaluate the Christoffel symbols in the Fermi normal coordinates, we recall from the curves (6.10) that  $x^0 = t$ ,  $x^a = \Omega^a s$  are geodesics so that these relations must be solutions to the geodesics equation,

$$\frac{d^2 x^\alpha}{ds^2} + \Gamma_{\beta\gamma}^\alpha \frac{dx^\beta}{ds} \frac{dx^\gamma}{ds} = 0. \quad (6.17)$$

This gives  $\Gamma_{ab}^\alpha(t) \Omega^a \Omega^b = 0$ . On  $\gamma$  the Christoffel symbols are functions of  $t$  only, and are therefore independent of  $\Omega^a$ . Since these coefficients are arbitrary, we conclude that  $\Gamma_{ab}^\alpha = 0$ . To obtain the remaining components we recall the basis vectors  $(e_\mu)^\alpha$  are parallel transported along  $\gamma$ , so that

$$\frac{d(e_\mu)^\alpha}{dt} + \Gamma_{\beta\gamma}^\alpha (e_\mu)^\beta (e_t)^\gamma = 0, \quad (6.18)$$

since  $(e_\mu)^\gamma = U^\alpha$ . By virtue of (6.12), (6.13) we have  $(e_\mu)^\alpha = \delta_\mu^\alpha$  in the Fermi coordinates, and the parallel-transport equation implies  $\Gamma_{\beta t}^\gamma|_\gamma = 0$ . The Christoffel symbols are therefore all zero on  $\gamma$ . We shall write this as

$$g_{\alpha\beta,\gamma}|_\gamma = 0 \quad (6.19)$$

This proves that the Fermi normal coordinates enforce the local- flatness theorem everywhere on the central geodesic  $\gamma$ .

## Second derivatives of the metric $\gamma$

We next turn to the second derivatives of the metric or the first derivatives of the connection. From the fact that  $\Gamma_{\beta\gamma}^\alpha$  is constant everywhere on  $\gamma$ , we obtain immediately

$$\Gamma_{\beta\gamma,t}^\alpha|_\gamma = 0. \quad (6.20)$$

From the definition of the Riemann tensor, we also get

$$\Gamma_{\beta t,\gamma}^\alpha|_\gamma = R_{\beta\gamma t}^\alpha|_\gamma = 0. \quad (6.21)$$

The other components are harder to come by. For these we must involve the deviation vectors  $\xi_a^\alpha$  introduced in Section 6.1.2. These vectors satisfy the geodesic equation, (6.35), which we will fully write as

$$\frac{d^2\xi^\mu}{ds^2} + 2\Gamma_{\beta\gamma}^\alpha v^\beta \frac{d\xi^\gamma}{ds} + (R_{\beta\gamma\delta}^\alpha + \Gamma_{\beta\gamma,\delta}^\alpha - \Gamma_{\gamma\mu}^\alpha \Gamma_{\beta\delta}^\mu + \Gamma_{\delta\mu}^\alpha \Gamma_{\beta\gamma}^\mu) v^\beta \xi^\gamma v^\delta = 0. \quad (6.22)$$

According to Eq. (6.9), (6.10), (6.14) and (6.15), we have that  $v^\alpha = \Omega^a \delta_a^\alpha$ ,  $\xi_t^\alpha = \delta_t^\alpha$ , and  $\xi_a^\alpha = s\delta_a^\alpha$  in the Fermi coordinates. If we substitute  $\xi^\alpha = \xi_t^\alpha$  in the geodesic deviation equation and evaluate it at  $s = 0$ , we find  $\Gamma_{bt,c}^\alpha|_\gamma = R_{bct}^\alpha|_\gamma$ , which is just a special case of (6.35).

To learn something new, let us substitute  $\xi^\alpha = \xi_a^\alpha$  instead. In this case we find

$$2\gamma_{ab}^\alpha \Omega^b + s(R_{bad}^\alpha + \Gamma_{ab,d}^\alpha - \Gamma_{a\mu}^\alpha \Gamma_{bd}^\mu + \Gamma_{d\mu}^\alpha \Gamma_{ab}^\mu) \Omega^a \Omega^b = 0 \quad (6.23)$$

Before evaluating this on  $\gamma$ , we expand the first term in power of  $s$ :

$$\Gamma_{ab}^\alpha = \Gamma_{ab}^\alpha|_\gamma + s\Gamma_{ab,\mu}^\alpha|_\gamma v^\mu + \mathcal{O}(s^2) = s\Gamma_{ab,d}^\alpha|_\gamma \Omega^d + \mathcal{O}(s^2), \quad (6.24)$$

dividing through by  $s$  and the evaluating on  $\gamma$ , we arrive at

$$R_{bad}^\alpha + 3\Gamma_{ab,d}^\alpha|_\gamma \Omega^b \Omega^d = 0. \quad (6.25)$$

Because the coefficients  $\Omega^a$  are arbitrary, we conclude that the quantity within the brackets, properly symmetrized in the indices  $b$  and  $d$ , must vanish a little algebra finally reveals that:

$$\Gamma_{ab,c}^\alpha|_\gamma = -\frac{1}{3}(R_{abc}^\alpha + R_{bac}^\alpha)|_\gamma. \quad (6.26)$$

Equations (6.20), (6.21), (6.26) give the complete set of derivatives of the Christoffel symbols on  $\gamma$ . It is now a simple matter to turn these equations into statements regarding the second derivatives of the metric at  $\gamma$ . Because the metric is Minkowski everywhere on the geodesics, only the spatial derivatives are non-zero. These are given by

$$g_{tt,ab} = -2R_{tatb}|_\gamma, g_{ta,bd} = -\frac{2}{3}(R_{tbac} + R_{tcab})|_\gamma, g_{ab,cd} = -\frac{1}{3}(R_{acbd} + R_{adbd})|_\gamma. \quad (6.27)$$

From the local flatness theorem and (6.27) we recover (6.2), the expansion of the metric around  $\gamma$ , to the second in spatial displacement  $x^a$ .

## 6.2 Congruence of timelike geodesics

Let  $\mathcal{O}$  be an open region in spacetime. A congruence in  $\mathcal{O}$  is a family of curves such that though each point in  $\mathcal{O}$  there passes one and only one curve from this family, the tensor  $B$  can be expressed as:

$$B_{ij} = \frac{1}{3}\theta\delta_{ij} + \sigma_{ij} + \omega_{ij}, \quad (6.28)$$

where  $\theta = B_i^i$  is the **expansion scalar** as the trace part of  $B_{ij}$ ,  $\sigma_{ij} = B_{(ij)} - \frac{1}{3}\theta\delta_{ij}$  is the **shear tensor** as the symmetric-trace free part of  $B_{ij}$ , and  $\omega_{ij} = B_{[i,j]}$  is the **rotation tensor** as the antisymmetric part of  $B_{ij}$ . Theta is the fractional change of volume per unit time. Volume changes are not affected by the shear and rotations tensors. (And the curves do not intersect; picture this as a tight bundle of copper wires). In this section, we will be interested in congruences of timelike geodesics, which means that each curve in the family is a time-like geodesic. We wish to determine how such congruence evolves with time. More precisely stated, we want to determine the behavior of the deviations vector  $\xi^\mu$  between two neighboring geodesics in the geodesic congruence, as a function of proper time  $\tau$  along the reference geodesic(central geodesic). The geometric setup is the same as the previous section with the following relations:

$$U^\mu U_\mu = -1, \quad U_{;\nu}^\mu U^\nu = 0, \quad U_{;\nu}^\mu \xi^\nu = \xi_{;\nu}^\mu U^\nu, \quad U^\mu \xi_\mu = 0, \quad (6.29)$$

where  $U^\mu$  is tangent to geodesics. Notice in particular that  $\xi^\mu$  is orthogonal to  $U^\mu$ . In other words, the deviation vector points in the directions transverse to the flow of the congruence.

## 6.3 Transverse metric

Given the geodesic congruence and associated timelike vector field  $U^\mu$ , the spacetime metric  $g_{\mu\nu}$  can be decomposed into a longitudinal part  $-U_\mu U_\nu$  and transverse part  $h_{\mu\nu}$  given by

$$h_{\mu\nu} = g_{\mu\nu} + U_\mu U_\nu. \quad (6.30)$$

The transverse metric is purely "spatial", in the sense that it is orthogonal to  $U^\mu$ , that is to say,  $U^\mu h_{\mu\nu} = h_{\mu\nu} U^\nu$ . It is effectively three dimensional: in a comoving Lorentz frame at some point  $P$  within the congruence,  $U_\alpha = (-1, 0, 0, 0)$ ,  $g_{\mu\nu} = \text{diag}(-1, 1, 1, 1)$ , and  $h_{\mu\nu} = \text{diag}(0, 1, 1, 1)$ . We may also note relations  $h_\mu^\mu = 3$  and  $h_\rho^\mu h_\nu^\rho = h_\nu^\mu$ .

### 6.3.1 Kinematics

We now introduce the tensor field  $B_{\mu\nu}$  defined as:

$$B_{\mu\nu} = U_{\mu;\nu} \quad (6.31)$$

Like  $h_{\mu\nu}$ , this tensor is purely *transverse*, which implies that is constant along the geodesic ( $U^\mu B_{\mu\nu} = U^\mu U_{\mu;\nu} = \frac{1}{2}(U_\mu U^\mu)_{;\nu} = 0$ ) and self transported ( $B_{\mu\nu} U^\nu = U_{\mu;\nu} U^\nu = 0$ ). Moreover, it determines the evolution of the deviation vector. By applying the Lie derivatives we know that  $\xi_{;\nu}^\mu U^\nu = U_{;\nu}^\mu \xi^\nu$  and we immediately obtain:

$$\frac{d}{dt} \xi^\mu = \xi_{;\nu}^\mu U^\nu = B_\nu^\mu \xi^\nu. \quad (6.32)$$

We see that  $B_\nu^\mu$  measures the failure of  $\xi^\mu$  to be parallel transported along the congruence.

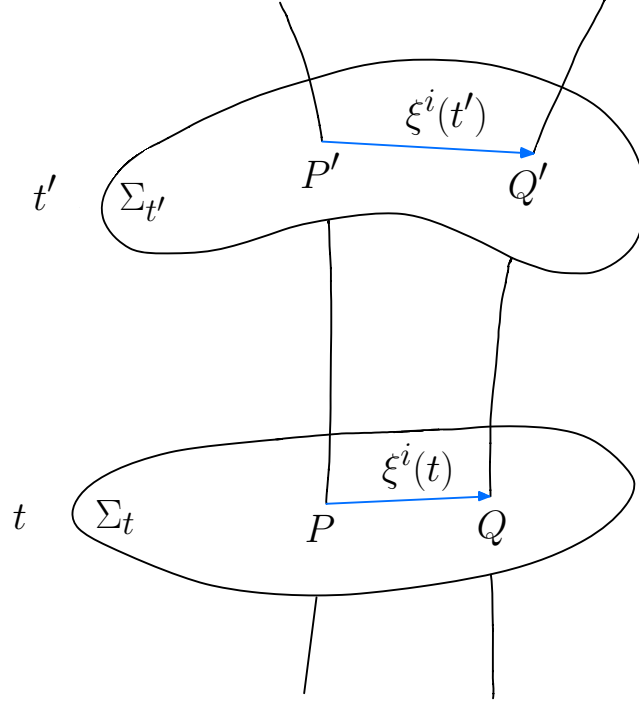


Figure 6.2: Geodesic congruence

## 6.4 Geodesic deviation

The geometrical meaning of the Riemann tensor is best illustrated by examining the behavior of neighboring geodesics. Consider two such geodesics,  $\gamma_0$  and  $\gamma_1$ , each described by relations  $x^\alpha(t)$  in which  $t$  is the affine parameter; the geodesics can be either spacelike, timelike, or null. We want to develop the notion of a *deviation vector* between these two geodesics, and derive an evolution equation for this vector.

For this purpose we introduce, in the space between  $\gamma_0$  and  $\gamma_1$ , an entire family of interpolating geodesics fig. 6.2. To each geodesics we assign a label  $s \in [0, 1]$ , such that  $\gamma_0$  comes with label  $s = 0$  and  $\gamma_1$  with  $s = 1$ . We collectively describe these geodesics with relations  $x^\alpha(s, t)$ , in which  $s$  serves to specify which geodesic at  $t$  is the affine parameter along the specified geodesic. The vector field  $U^\alpha = \partial x^\alpha / \partial t$  is tangent to the geodesics, and it satisfies the equation  $U^\alpha_{;\beta} U^\beta = 0$ . If we keep  $t$  fixed in the relations  $x^\alpha(s, t)$  and vary  $s$  instead, we obtain another family of curves, labelled by  $t$  and parameterized by  $s$ ; in general these curves will not be geodesics. The family has  $\xi^\alpha = \partial x^\alpha / \partial s$  as its tangent vector field, and the restriction for this vector to  $\gamma_0$ ,  $\xi^\alpha|_{s=0}$ , gives a meaningful notion of a deviation vector between  $\gamma_0$  and  $\gamma_1$ . We wish to derive an expression for its acceleration,

$$\frac{D^2 \xi^\mu}{dt^2} = (\xi^\alpha_{;\beta} U^\beta)_{;\gamma} U^\gamma, \quad (6.33)$$

in which it is understood that all quantities are evaluated on  $\gamma_0$ . In flat spacetime the geodesic  $\gamma_0$  and  $\gamma_1$  are straight, and although their separation may change with  $t$ , this change is necessary linear:  $D^2 \xi^\alpha / dt^2 = 0$  in flat spacetime. A non-zero result for  $D^2 \xi^\alpha / dt^2 = 0$  will, therefore, reveal the presence of curvature, and indeed, this vector will be found to be

proportional to the Riemann tensor. It follows at once from the relations  $U^\alpha = \partial x^\alpha / \partial t$  and  $\xi^\alpha = \partial x^\alpha / \partial s$  that  $\partial U^\alpha / \partial s = \partial \xi^\alpha / \partial t$ , which can be written in covariant form as

$$\mathcal{L}_u \xi^\alpha = \mathcal{L}_\xi U^\alpha = 0 \rightarrow \xi^\alpha_{;\beta} U^\beta = U^\alpha_{;\beta} \xi^\beta. \quad (6.34)$$

We also have at our disposal the geodesic equation,  $U^\alpha_{;\beta} U^\beta = 0$ . These equations can be combined to prove that  $\xi^\alpha U_\alpha$  is constant along  $\gamma_0$  so we can set  $\xi^\alpha U_\alpha = 0$ . This means that the curves  $t = \text{constant}$  cross  $\gamma_0$  orthogonally, and adds weight to interpretation of  $\xi^\alpha$  as a deviation vector. We may now calculate the acceleration that both geodesics  $\gamma_0$  and  $\gamma_1$  experience, starting from the equation 6.33, as a result:

$$\frac{D^2 \xi^\mu}{dt^2} = -R^\mu_{\nu\rho\lambda} U^\nu \xi^\rho U^\lambda. \quad (6.35)$$

This is the *geodesic deviation equation*. It shows that curvature produces a relative acceleration between two neighboring geodesics; even if they start parallel, curvature prevents the geodesics from remaining parallel.

### 6.4.1 Raychaudhuri's equation

We now want to derive an evolution equation for  $\theta$ , expansion scalar. We begin by developing an equation for  $B_{\mu\nu}$  itself:

$$B_{\mu\nu;\rho} U^\rho = U_{\mu;\nu\rho} U^\rho = -B_{\mu\rho} B^\rho_\nu - R_{\mu\rho\nu\lambda} U^\rho U^\lambda. \quad (6.36)$$

The equation for  $\theta$  is obtained by taking the trace:

$$\frac{d\theta}{dt} = -B^{\mu\nu} B_{\nu\mu} - R_{\mu\nu} U^\mu U^\nu \quad (6.37)$$

it easy to check that  $B^{\mu\nu} B_{\nu\mu} = \frac{1}{3}\theta^2 + \sigma^{\mu\nu} \sigma_{\mu\nu} - \omega^{\mu\nu} \omega_{\mu\nu}$ . Making the substitution, we arrive at

$$\frac{d\theta}{dt} = -\frac{1}{3}\theta^2 - \sigma^{\mu\nu} \sigma_{\mu\nu} + \omega^{\mu\nu} \omega_{\mu\nu} - R_{\mu\nu} U^\mu U^\nu. \quad (6.38)$$

This is the *Raychaudhuri's equation* for the congruence of timelike geodesics. We note that since the shear and rotation tensor are purely spatial,  $\sigma^{\mu\nu} \sigma_{\mu\nu} \geq 0$  and  $\omega^{\mu\nu} \omega_{\mu\nu} \geq 0$ , with the equality sign holding if and only if the tensor is identically zero. As an illustrative example let us consider the congruence of comoving world lines in an expanding universe with metric:

$$ds^2 = -dt^2 + a^2(t) d\mathbf{x}^2 \quad (6.39)$$

where  $a(t)$  is the scale factor. The tangent vector field  $-\partial_\alpha t$ , and a quick calculation reveals that

$$B_{\mu\nu} = U_{\mu;\nu} = \frac{\dot{a}}{a} h_{\mu\nu}, \quad (6.40)$$

where an overdot indicates a differentiation with respect to  $t$ . This shows the shear and rotation tensor are both zero for this congruence. The expansion, on the other hand, is given by:

$$\theta = 3 \frac{\dot{a}}{a} = \frac{1}{a^3} \frac{da^3}{dt}. \quad (6.41)$$

This illustrates rather well the general statement that the expansion  $H$  is the **fractional rate of change** of the congruence's cross-sectional volume(which is here proportional to  $a^3$ ). The interpretation of  $\theta$  is the fractional rate of change of  $\Delta V$ , the congruence's cross-sectional volume:

$$\theta = \frac{1}{\Delta V} \frac{d\Delta V}{dt} \quad (6.42)$$

cross-section and cross-sectional volume  $\gamma$  from the congruence, and on the geodesic pick up a point  $P$  at which  $t = t_P$ . Construct, in a small neighbourhood around  $P$ , a small set  $\delta\Sigma(t_P)$  of points  $P'$  such that through each of these point pass another geodesic from this congruence, and (ii) at each point  $P'$ ,  $t$  is equal to  $t_P$ . This set form a three dimensional region, a small segment of the hypersurface  $t = t_P$ . We assume the parametrization has been adjusted so that  $\gamma$  intersects  $\delta\Sigma(t_P)$  orthogonally(there is no requirement that other geodesics do, so as the congruence may not be hypersurface orthogonal). We shall call  $\delta\Sigma(t_P)$  the congruence's cross section around the geodesic  $\gamma$ , at proper time  $t = t_P$ . We want to calculate the change of this volume in this hypersurface and compare it with the volume  $\delta\Sigma(t_Q)$ , where  $Q$  is a neighbouring point on  $\gamma$ .

We introduce coordinate  $\delta\Sigma(t_P)$  by assigning a label  $y^i (i = 1, 2, 3)$  to each point  $P'$  in the set. Recalling that through each of these points there passes a geodesic from the congruence, we see that we may use  $y^i$  to label the geodesics themselves. By demanding that each geodesic keep its label as it moves away from  $\delta\Sigma(t_P)$ , we simultaneously obtain a coordinate system  $x^i$  in  $\delta\Sigma(t_Q)$  or any other cross section. Therefore, this construction defines a coordinate system  $(t, x^i)$  in a neighbourhood of the geodesics  $\gamma$ , and there exists a transformation between this system and the originally in use:  $x^\mu = x^\mu(t, y^i)$ . Because  $x^i$  is constant along the geodesics, we have

$$U^\mu = \left. \frac{\partial x^\mu}{\partial t} \right|_{y^a}. \quad (6.43)$$

On the other hand, the vectors:

$$(e_i)^\mu = \left( \frac{\partial x^\mu}{\partial x^i} \right)_t, \quad (6.44)$$

are tangent to the cross sections. These relations implies that  $\mathcal{L}_U(e_i)^\mu = 0$ , and we also have  $U_\mu(e_i)^\mu = 0$  holding on  $\gamma$  (and only on  $\gamma$ ). We now introduce the three-tensor  $h_{ij}$  defined by

$$h_{ij} = g_{\mu\nu}(e_i)^\mu(e_j)^\nu. \quad (6.45)$$

This act as a metric tensor on  $\delta\Sigma(t)$ : For displacement confined to the cross-section (so that  $dt = 0$ ),  $x^\mu = x^\mu(t, y^i)$  and

$$ds^2 = g_{\mu\nu}dx^\mu dx^\nu = g_{\mu\nu} \left( \frac{\partial x^\mu}{\partial y^i} dy^i \right) \left( \frac{\partial x^\nu}{\partial y^j} dy^j \right) = g_{\mu\nu}(e_i)^\mu(e_j)^\nu dy^i dy^j = h_{ij} dy^i dy^j. \quad (6.46)$$

Thus,  $h_{\mu\nu}$  is the three-dimensional metric on the congruence's cross section. Because  $\gamma$  is orthogonal to its cross-section( $U_\mu(e_i)^\mu = 0$ ), we have that  $h_{ij} = h_{\mu\nu}(e_i)^\mu(e_j)^\nu$  on  $\gamma$ , where  $h_{\mu\nu} = g_{\mu\nu} + U_\mu U_\nu$  is the transverse metric, if we define  $h^{ij}$  to be the inverse  $h_{ij}$ , then it is easy to check that

$$h^{\mu\nu} = h^{ij}(e_i)^\mu(e_j)^\nu. \quad (6.47)$$

On  $\gamma$  the three dimensional volume element on the cross-section, or cross-sectional volume, is  $\Delta V = \sqrt{h}d^3y$ , where  $h = \det[h_{ij}]$  because the coordinate  $y^i$  are comoving (since the geodesic

since the geodesic moves with a constant value of its coordinates) and  $d^3y$  does not change as the cross section  $\delta\Sigma(t)$  evolves from  $t = t_P$  to  $t = t_Q$ . A change in  $\Delta V$  comes entirely from a change in  $\sqrt{h}$ :

$$\frac{1}{\Delta V} \frac{d\Delta V}{dt} = \frac{1}{\sqrt{h}} \frac{d\sqrt{h}}{dt} = \frac{1}{2} h^{ij} \frac{dh_{ij}}{dt}. \quad (6.48)$$

The rate of change of a three-metric:

$$\frac{dh_{ij}}{dt} = (g_{\mu\nu}(e_i)^\mu(e_j)^\nu)_{;\rho} U^\rho \quad (6.49)$$

$$= g_{\mu\nu}(e_i)^\mu_{;\rho}(e_j)^\nu U^\rho + g_{\mu\nu}(e_i)^\mu(e_j)^\nu_{;\rho} U^\rho \quad (6.50)$$

$$= g_{\mu\nu}(U_{;\rho}^\mu(e_i)^\rho)(e_j)^\nu + g_{\mu\nu}(e_i)^\mu(U_{;\rho}^\nu(e_j)^\rho) \quad (6.51)$$

$$= U_{\nu;\mu}(e_i)^\mu(e_j)^\nu + U_{\mu;\nu}(e_i)^\mu(e_j)^\nu \quad (6.52)$$

$$= (B_{\mu\nu} + B_{\nu\mu})(e_i)^\mu(e_j)^\nu \quad (6.53)$$

Multiplying by  $h^{ij}$  and evaluating on  $\gamma$ , so that (6.47) may be used, we obtain

$$h^{ij} \frac{dh_{ij}}{dt} = (B_{\mu\nu} + B_{\nu\mu}) h^{ij} (e_i)^\mu (e_j)^\mu = 2B_{\mu\nu} h^{\mu\nu} = 2B_{\mu\nu} g^{\mu\nu} = 2\theta \quad (6.54)$$

this establishes that local expansion rate is:

$$\theta = \frac{1}{\sqrt{h}} \frac{d}{dt} \sqrt{h}. \quad (6.55)$$

## 6.5 Fermi Normal Coordinates (FNC) in FRW

First, we want to summarize the main results of the works [4, 5, 110, 126] about the construction of the so called Fermi coordinates (See also appendix A of [127]).

Consider a free falling observer along a timelike geodesic  $h(\gamma)$  (from now on, the central geodesic). Let be  $P$  an arbitrary point on the central geodesic such that  $P = h(\gamma_0)$ . At this point (as we have already seen) it is possible to construct an orthonormal tetrad or vierbein,  $\{(e_\gamma)^\mu, \gamma = 0, i\}$  which satisfies the condition  $\eta_{\alpha\beta} = (e_\alpha)^\mu (e_\beta)^\nu g_{\mu\nu}$  that is parallel transported along  $h(\gamma)$ . Here  $(e_0)^\mu$  is a tangent timelike 4-vector to  $h$  at  $P$ , and  $(e_j)^\mu$  will be orthogonal to  $h$  at  $P$  and then be a spacelike vector. The goal is to describe the spacetime in a neighbourhood of  $P$ . For that, let fix a point  $Q$  outside the central geodesic, and more importantly connected to  $P$  through the spacelike geodesic  $g(\lambda)$  as it is shown in Figure (6.3). The affine parameter  $\lambda$  of this spatial-like geodesic  $g(\lambda)$  is chosen in such a way that  $Q = g(\lambda = 1)$ , whose, generator vector, namely,  $\mathbf{v}$ , is normal to  $h$  at  $P$  Eq. (6.9) therefore, it can be decomposed as a linear combination of the spatial component of the vierbein  $(e_j)^\mu$ , moreover, the time component,  $t_F$ , of the Fermi coordinates is chosen to be the proper time  $\tau$  of  $P$  at  $h$ , that a free falling observer experience. Hereafter,  $x^\mu$  will denote the global coordinates, while  $x_F^\mu$  the Fermi normal coordinates (or the conformal Fermi coordinates). Now, we need to find a map between these two coordinates, this is achieved by solving the geodesic equation for  $g$  (6.17):

$$\frac{d^2 x^\mu}{d\lambda^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda} = 0, \quad (6.56)$$

as a power series on  $\lambda$ :

$$x^\mu(\lambda) = \sum_{n=0}^{\infty} \alpha_n^\mu \lambda^n, \quad (6.57)$$

with initial conditions:

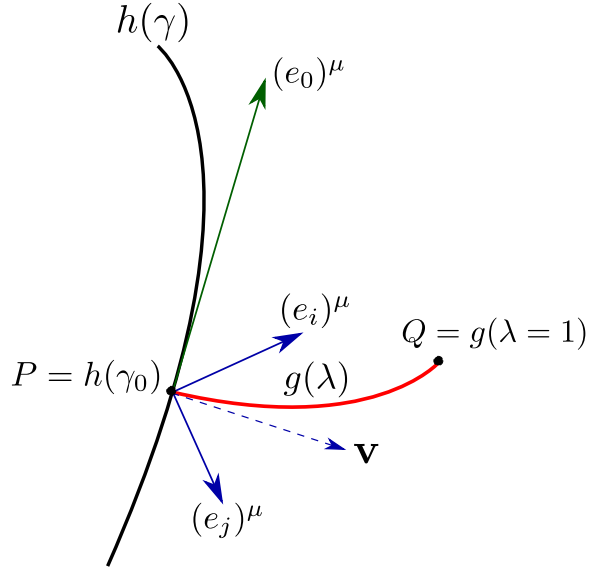


Figure 6.3: Geometrical construction of Fermi coordinates

$$\alpha_0^\mu = x^\mu(P) = (t_F, 0, 0, 0), \quad \alpha_1^\mu = \left. \frac{dx^\mu}{d\lambda} \right|_{\lambda=0} = x_F^i (e_i)^\mu. \quad (6.58)$$

The subsequent terms in this power series expansion are found recursively by derivating Eq. (6.57) with respect to  $\lambda$  and using Eq.(6.56), with this, we find

$$\alpha_2^\mu = -\frac{1}{2} \left. \frac{d^2 x^\mu}{d\lambda^2} \right|_{\lambda=0} = -\frac{1}{2} \Gamma_{\alpha\beta}^\mu \alpha_1^\alpha \alpha_1^\beta \quad (6.59)$$

$$\alpha_3^\mu = -\frac{1}{6} \left. \frac{d^3 x^\mu}{d\lambda^3} \right|_{\lambda=0} = -\frac{1}{6} [\partial_\eta \Gamma_{\alpha\beta}^\mu (\alpha_1^\alpha \alpha_1^\beta \alpha_1^\eta) + 4\Gamma_{\alpha\beta}^\mu \alpha_1^\alpha \alpha_2^\beta], \quad (6.60)$$

and so on. Now, it is possible to expand the global coordinates as a function of Fermi normal coordinates:

$$x^\mu(x_F) = \alpha_0^\mu + (e_\sigma)^\mu x_F^\sigma - \frac{1}{2} \Gamma_{\alpha\beta}^\mu (e_\sigma)^\alpha (e_\rho)^\beta x_F^\sigma x_F^\rho + \mathcal{O}(x_F^3), \quad (6.61)$$

with corrections starting at  $\mathcal{O}(x_F^3)$ . If we denote  $g_{\mu\nu}^F$  as the metric in the FNC and  $g_{\mu\nu}$  the metric in global coordinates, they are related by the usual transformation rule for any tensor.

$$g_{\mu\nu}^F(x_F) = \frac{\partial x^\alpha}{\partial x_F^\mu} \frac{\partial x^\beta}{\partial x_F^\nu} g_{\alpha\beta}(x(x_F)) \quad (6.62)$$

Where the Jacobian (6.62) has the form:

$$\begin{aligned} \frac{\partial x^\mu}{\partial x_F^\nu} &= (e_\nu)^\mu - \Gamma_{\alpha\beta}^\mu (e_\nu)^\alpha (e_j)^\beta x_F^j + \mathcal{O}(x_F^2) \\ &= (e_\nu)^\mu + A_{\nu j}^\mu x_F^j + \mathcal{O}(x_F^2). \end{aligned} \quad (6.63)$$



To be explicit the fact we will only consider linear order terms along all the computations, we also expand the metric

$$\begin{aligned} g_{\alpha\beta}(x(x_F)) &= g_{\alpha\beta}|_P + \frac{\partial g_{\alpha\beta}}{\partial x^\mu} \Big|_P x^\mu + \dots \\ &= g_{\alpha\beta}|_P + (e_j)^\mu (\partial_\mu g_{\alpha\beta}) \Big|_P x_F^j + \mathcal{O}(x_F^2). \end{aligned} \quad (6.64)$$

Now, expanding the metric al linear order (6.64), transforming the metric (6.62) using (6.63) and linearizing the metric:

$$g_{\mu\nu}^F(x_F) = \eta_{\mu\nu} + \nabla_\gamma g_{\alpha\beta} \Big|_P (e_\mu)^\alpha (e_\nu)^\beta (e_j)^\gamma x_F^j + \mathcal{O}(x_F^2),$$

when the covariant derivative is compatible with the metric (absence of torsion), one has  $\nabla_\gamma g_{\alpha\beta} = 0$ . Then, the metric tensor in Fermi normal coordinates just correspond to a Minkowski metric

$$g_{\mu\nu}^F(x_F) = \eta_{\mu\nu} + \mathcal{O}(x_F^2). \quad (6.65)$$

### 6.5.1 Unperturbed FLRW Universe

As an example of FNC construction, we will start with a concise and illustrative example; unperturbed FLRW. This example is enough to show explicitly the fact that the first corrections to Minkowski begin in quadratic order in FNC ( $|x_F|^2 H^2$ ), we will apply the recipe mentioned before and construct the line element for FLRW. To begin, consider the FLRW metric, written as

$$ds^2 = -dt^2 + a^2(t) \gamma_{ij} dx^i dx^j, \quad (6.66)$$

where  $a$  is the scale factor and  $t$  and  $x^i$  denotes the temporal and the spatial coordinate respectively. Furthermore, the above expression can be suitable written in conformal coordinates by using the conformal time  $d\tau = \frac{dt}{a(t)}$ , thus

$$ds^2 = a^2(\tau) (d\tau^2 + \gamma_{ij} dx^i dx^j), \quad (6.67)$$

where  $\gamma_{ij} = \delta_{ij} (1 + \frac{K}{4} \delta_{ab} x^a x^b)^{-2}$ . We will restrict to perform the computations considering the curvature constant  $K = 0$ . To compute the metric corrections for the FLRW universe, we need the Christoffel symbols for the metric Eq. (6.66), which are

$$\Gamma_{ij}^0 = a\dot{a}\delta_{ij}, \quad \Gamma_{i0}^k = \frac{\dot{a}}{a}\delta_i^k = H\delta_i^k. \quad (6.68)$$

To find the vierbein components, we impose the flatness condition Eq. (6.6)

$$\eta_{\alpha\beta} = (e_\alpha)^\mu (e_\beta)^\nu g_{\mu\nu}, \text{ then} \quad (e_0)^\mu (e_0)^\nu g_{\mu\nu} = -1, \quad (e_i)^\mu (e_j)^\nu g_{\mu\nu} = \delta_{ij}. \quad (6.69)$$

Using the following ansatz:

$$(e_0)^\mu = (\alpha, \mathbf{0}), \quad (e_i)^\mu = (0, \beta\delta_i^j), \quad (6.70)$$

is straightforward to find that  $\alpha = 1$  and  $\beta = a(t)^{-1}$ . Finally the vierbein becomes

$$(e_0)^\mu = (1, \mathbf{0}), \quad (e_i)^\mu = a(t)^{-1} (0, \delta_i^j). \quad (6.71)$$

Now we need to find the explicit form of Eq. (6.58), (6.59) and (6.60) once known the Christoffel symbols and the vierbein

$$\alpha_0^\mu = (t_F, \mathbf{0}), \quad \alpha_1^\mu = \frac{x_F^i}{a(t)}(0, \delta_i^j) \quad (6.72)$$

$$\alpha_2^0 = -\frac{1}{2}\Gamma_{ij}^0 \alpha_1^i \alpha_1^j = -\frac{H}{2} x_F^i x_F^j \delta_{ij} = -\frac{H}{2} \vec{x}_F^2, \quad \alpha_2^k = -\frac{1}{2}\Gamma_{i0}^k \alpha_1^i \underbrace{\alpha_1^0}_{=0} = 0 \quad (6.73)$$

to compute (6.60) one have to consider:

$$\partial_0 \Gamma_{i0}^k = \dot{H} \delta_i^k, \quad \partial_0 \Gamma_{ij}^0 = (\dot{a}^2 + a\ddot{a}) \delta_{ij} = a^2 (\dot{H} + 2H^2) \delta_{ij} \quad (6.74)$$

Then

$$\alpha_3^0 = -\frac{1}{6} \left[ \partial_0 \Gamma_{ij}^0 \alpha_1^i \alpha_1^j \underbrace{\alpha_1^0}_{=0} + 4\Gamma_{ij}^0 \alpha_1^i \underbrace{\alpha_2^j}_{=0} \right] = 0 \quad (6.75)$$

$$\alpha_3^k = -\frac{1}{6} \left[ \partial_0 \Gamma_{i0}^k \alpha_1^i \underbrace{\alpha_1^0}_{=0} \alpha_1^j + 4\Gamma_{i0}^k \alpha_1^i \alpha_2^0 \right] = \frac{H^2}{3a} x_F^k x_F^i x_F^j \delta_{ij} = \frac{H^2}{3a} x_F^k \vec{x}_F^2. \quad (6.76)$$

Replacing in Eq. (6.61) and separating by components, one finds at quadratic order in  $x_F$  the coordinates sees as

$$x^0 = t = t_F - \frac{H}{2} \vec{x}_F^2 \quad (6.77)$$

$$x^i = \frac{x_F^i}{a} \left( 1 + \frac{H^2}{3} \vec{x}_F^2 \right) \quad (6.78)$$

now, taking into account the transformation of the metric Eq. (6.62) one finds the following relations:

$$g_{00}^F = \left( \frac{\partial t}{\partial t_F} \right)^2 g_{00} + \left( \frac{\partial x^i}{\partial t_F} \right) \left( \frac{\partial x^j}{\partial t_F} \right) g_{ij}, \quad (6.79)$$

$$\frac{\partial t}{\partial t_F} = 1 - \frac{\dot{H}}{2} \vec{x}_F^2, \quad (6.80)$$

$$\frac{\partial x^i}{\partial t_F} = \frac{H}{2} \left[ 1 + \frac{(\dot{H} + 2H^2)}{3} \vec{x}_F^2 \right] x_F^i, \quad (6.81)$$

$$\frac{\partial x^k}{\partial x_F^i} = \frac{\delta_i^k}{a} \left( 1 + \frac{H^2}{3} \vec{x}_F^2 \right) + \frac{2H^2}{3a} (x_F^l \delta_{li}) x_F^k. \quad (6.82)$$

Preserving only the zeroth and quadratic order terms, one gets

$$g_{00}^F = -1 + (\dot{H} + H^2) \vec{x}_F^2, \quad g_{ij}^F = \delta_{ij} - \frac{H^2}{3} (\vec{x}_F^2 \delta_{ij} + x_{Fi} x_{Fj}). \quad (6.83)$$

It is possible to perform a change of coordinate in the above equation to eliminate the term  $x_{Fi} x_{Fj}$ , since, this coordinate construction only fixes the gauge linearly at the central geodesic coordinates [112]. Finally one finds the Fermi metric, including the second order corrections, is

$$ds^2 = - \left[ 1 - (\dot{H} + H^2) \vec{x}_F^2 \right] dt_F^2 + \left[ 1 - \frac{H^2}{2} \vec{x}_F^2 \right] d\vec{x}_F^2. \quad (6.84)$$

As an additional step, connecting with the results of [4], we may compute the geodesic expansion (this expression comes from solving the Raychaudhuri equation for a geodesic congruence), defined by

$$\theta = \frac{1}{3}\nabla_\mu U^\mu = \frac{1}{3}(\partial_\mu U^\mu + \Gamma_{\mu\lambda}^\mu U^\lambda) \quad (6.85)$$

Since  $U^\mu = (e_0)^\mu$  and using the Christoffel symbols Eq. (6.68) and (??), one finds

$$\theta = H(t), \quad (6.86)$$

that is to say, the scalar expansion factor is equal to the Hubble expansion rate, as our suspicion from the beginning. This remarkable result is important to understand future conceptual key steps in the following section of this chapter.

## 6.5.2 Perturbed FLRW Universe

In this section, we will follow the results presented in the appendix C.2 of [112]. If we work with the perturbed conformal metric defined by

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} = \begin{pmatrix} -1 + h_{00} & h_{0j} \\ h_{i0} & \delta_{ij} + h_{ij} \end{pmatrix}, \quad |h| \ll 1. \quad (6.87)$$

The construction of the Fermi coordinates now is respect to the metric (6.87). We will denote the Fermi coordinates respect to the perturbed metric like  $\bar{x}_F$ . To find the vierbein components along the central geodesic, first, for  $(e_0)^\mu$  we postulate the ansatz

$$(e_0)^\mu = (\alpha, v^i), \quad |v^i| \ll 1. \quad (6.88)$$

Here,  $v^i$  is the peculiar velocity of the observer. Using Eq. (6.69) with (6.88)

$$(\alpha \ v^i) \begin{pmatrix} -1 + h_{00} & h_{0j} \\ h_{i0} & \delta_{ij} + h_{ij} \end{pmatrix} \begin{pmatrix} \alpha \\ v^j \end{pmatrix} = -1 \quad (6.89)$$

$$\Rightarrow \alpha = \frac{1}{\sqrt{(1 - h_{00})}} \approx 1 + \frac{1}{2}h_{00}. \quad (6.90)$$

For the spatial tetrad components,  $(e_k)^\mu$ , we replace the ansatz  $(e_k)^\mu = (\alpha_k, \beta_k^i)$  and use the flatness condition to determine  $\alpha_k$  and  $\beta_k^i$ , that reads

$$(e_k)^\mu (e_0)^\nu g_{\mu\nu} = 0 \quad \text{and} \quad (e_k)^\mu (e_l)^\nu g_{\mu\nu} = \delta_{kl}. \quad (6.91)$$

Following the same steps performed in (6.89)-(6.90), for (6.91) at linear order in  $h_{\mu\nu}$  and  $v^i$  one finds that  $\alpha$  and  $\beta$  are related by

$$\alpha_k = \beta_k^i (h_{i0} + v_i) \quad \text{and} \quad \beta_k^i \beta_l^j (\delta_{ij} + h_{ij}) = \delta_{kl}. \quad (6.92)$$

Then one finds, at linear order in  $h_{\mu\nu}$ ,  $\alpha$  and  $\beta$  are

$$\beta_k^i = \delta_k^i - \frac{1}{2}h_j^i, \quad \text{and} \quad \alpha_k = (h_{k0} + v_k). \quad (6.93)$$

In consequence, the Vierbein associated with (6.87) is given by

$$(e_0)^\mu = \left(1 + \frac{1}{2}h_{00}, v^i\right), \quad (e_j)^\mu = \left(v_j + h_{0j}, \delta_j^i - \frac{1}{2}h_j^i\right). \quad (6.94)$$

Since  $h_{\mu\nu}$  is a small perturbation, we have only considered linear terms of  $h$  along the computation. Particularly, the coordinate expansion (6.61) reduces to

$$x^\mu(\bar{x}_F) = P^\mu + (e_i)^\mu \bar{x}_F^i - \frac{1}{2}\Gamma_{ij}^\mu \bar{x}_F^i \bar{x}_F^j. \quad (6.95)$$

Where  $P^\mu = x^\mu(P)$  are the global coordinates of the central geodesic, so that  $v^i = \partial P^i / \partial x^0$ . As stated before, the Fermi time component is defined by the proper time of an observer on the central geodesic, namely the proper time of  $P$

$$\bar{x}_F^0 = \tau_P = \int \sqrt{g_{\mu\nu}|_P} dx^\mu dx^\nu \quad (6.96)$$

keeping only the linear contributions, then the conformal proper time is related to the global time by

$$x^0(P) = \bar{x}_F^0 + \frac{1}{2} \int_0^{\bar{x}_F^0} h_{00}(\mathbf{0}, \tau) d\tau. \quad (6.97)$$

On the other hand, the Christoffel symbols for Eq. (6.87) are given by

$$\Gamma_{ij}^\mu = \frac{1}{2} (h^\mu{}_{i,j} + h^\mu{}_{j,i} + h_{ij}{}^\mu). \quad (6.98)$$

Finally, the coordinate expansion of the global coordinates in terms of Fermi normal coordinates is

$$x^0(\bar{x}_F) = \bar{x}_F^0 + \frac{1}{2} \int_0^{\bar{x}_F^0} h_{00}(\mathbf{0}, \tau) d\tau + (v_j + h_{0j}) \bar{x}_F^j + \frac{1}{4} (h_{0j,i} + h_{0i,j} + h'_{ij}) \bar{x}_F^i \bar{x}_F^j, \quad (6.99)$$

for the temporal, and

$$x^k(\bar{x}_F) = P^k + \bar{x}_F^k - \frac{1}{2} h_i^k \bar{x}_F^i - \frac{1}{4} (h^k{}_{i,j} + h^k{}_{j,i} - h_{ij}{}^{,k}) \bar{x}_F^i \bar{x}_F^j, \quad (6.100)$$

for the spatial coordinates.

## 6.6 Conformal Fermi Coordinates (CFC)

In this section, provided with the nurtured intuition on the FNC, we will generalize the construction of Fermi Normal Coordinates(FNC) to local conformal coordinates that a free falling observer experiences. This set of coordinates are suitable constructed for an homogeneously and isotropically expanding space-time such a FLRW universe, moreover, they are required to make predictions for any cosmological purpose, since, these set of coordinates account the fact that any local observer is unable to capture any gravitation effect(curvature) much wider than the sound horizon in a description of metric perturbations. So, such ignorance is parametrized by absorbing them into a new local effective metric, the Conformal

Fermi Metric(CFC). Finally, is worth mentioning that this section has been influenced for [4], [5], [110],[126] as a result, we generalize and extend part of these work already started by incorporating a non-attractor scenario for the evolution of metric perturbations, deducing more relations and simply reducing their expressed relations.

As we know from the beginning of this chapter,as a consequence of the **Einstein's equivalence principle**, an inertial observers do not experience any gravitational effects on them. Therefore, their local spacetime description is equivalent to a flat spacetime. As requirement for its description, the mentioned effect naturally induces a diffeomorphism between global coordinates and the inertial frame of the form:

$$g_{\mu\nu}^F(\bar{x}) = \frac{\partial x^\alpha}{\partial \bar{x}^\mu} \frac{\partial x^\beta}{\partial \bar{x}^\nu} g_{\alpha\beta}(x(\bar{x})), \quad (6.101)$$

where bars denotes the CFC's. These coordinates are the physical set of coordinates used for measurements. Due to the perturbative description of the metric fluctuations, these two set of coordinates slightly differs, thus a generic coordinate transformation has the form:

$$\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau} + \xi^0(\bar{\tau}, \bar{\mathbf{x}}), \quad \mathbf{x}^i(\bar{\tau}, \bar{\mathbf{x}}) = \bar{x}^i + \xi^i(\bar{\tau}, \bar{\mathbf{x}}), \quad (6.102)$$

where  $\xi^\mu$  is a shift 4-vector, that is expansible perturbatively order by order such that:

$$\xi^\mu(\bar{\tau}, \bar{x}) = \xi^{\mu(0)}(\bar{\tau}, \bar{x}) + \xi^{\mu(1)}(\bar{\tau}, \bar{x}) + \xi^{\mu(2)}(\bar{\tau}, \bar{x}) + \dots \quad (6.103)$$

The induced FLRW metric now has the form of:

$$g_{\mu\nu}^F(\bar{x}) = a_F^2(\bar{\tau})\bar{g}_{\mu\nu} = a_F^2(\bar{\tau})[\eta_{\mu\nu} + h_{\mu\nu}^F(\bar{x})] = a_F^2(\bar{\tau})\bar{g}_{\mu\nu}^F(\bar{x}), \quad h_{\mu\nu}^F(\bar{x}) = \mathcal{O}(\bar{x}^2), \quad (6.104)$$

where the "F"-super/subscripts denotes the 'CFC's' objects such as the spacetime scale factor  $a_F(x)$  and the metric tensor  $g_{\mu\nu}^F$ , additionally, the conformal proper time is defined as

$$d\bar{\tau} = a_F^{-1}(P(\bar{t}))d\bar{t}, \quad (6.105)$$

where  $\bar{\tau}$  is the conformal time coordinate for the conformal geodesic or simple the proper time, this allows us to construct surfaces of constant  $\bar{\tau}$ , spanned by space-like conformal geodesics with respect to the conformal metric  $\bar{g}_{\mu\nu}^F = a_F^{-2}(x)g_{\mu\nu}^F$ . We must emphasize that this set of coordinates physically fixes the gauge up gradients only, because the flatness requirement:  $\bar{g}_{\mu\nu}^F|_P = \eta_{\mu\nu}$  and  $\partial_\rho \bar{g}_{\mu\nu}^F|_P = 0$ , just imposes restriction on the metric and their first derivatives along the central geodesics. Additionally, metric perturbations can be expressed in terms of the Riemann tensor Eq.(6.2):

$$h_{00}^F(\bar{x}) = -\bar{R}_{0k0l}^F|_P \bar{x}^k \bar{x}^l, \quad h_{0i}^F(\bar{x}) = -\frac{2}{3}\bar{R}_{0kil}^F|_P \bar{x}^k \bar{x}^l, \quad h_{ij}^F(\bar{x}) = -\frac{1}{3}\bar{R}_{ikjl}^F|_P \bar{x}^k \bar{x}^l, \quad (6.106)$$

where  $\bar{R}_{\mu\rho\nu\sigma}^F$  is the Riemann tensor of the conformal metric in CFC coordinates, and indices have been lowered with the conformal metric. Explicitly, in terms of global coordinates,  $\bar{R}_{\mu\rho\nu\sigma}^F|_P$  is,

$$\bar{R}_{\mu\rho\nu\sigma}^F|_P = \bar{R}_{\alpha\beta\gamma\delta}|_P (\bar{e}_\mu)_P^\alpha (\bar{e}_\rho)_P^\beta (\bar{e}_\nu)_P^\gamma (\bar{e}_\sigma)_P^\delta, \quad (6.107)$$

where the basis vectors at the central geodesic are given by  $(\bar{e}_\nu)_P^\beta = a_F(P)(e_\nu)_P^\beta$ . Furthermore, we need to determine the induced local scale factor  $a_F(\bar{x})$  that contributes with gradients to the Riemann tensor of the conformal Fermi metric  $\bar{g}_{\mu\nu}^F$ , this function can be determine through a local expansion rate by taking the divergence of the time-like vector  $\nabla_\mu U^\mu \propto H_F(\bar{\tau}, \bar{x}_c)$ ,

$$\frac{d}{d\bar{t}} \log a_F(P) = \frac{1}{a_F(\bar{\tau})} \frac{d}{d\bar{\tau}} \log a_F(P) = \frac{\nabla_\mu U^\mu}{3} \Big|_P. \quad (6.108)$$

### 6.6.1 CFC construction

The metric in the CFC coordinates  $(\bar{\tau}, \bar{\mathbf{x}})$  for an inertial observer with timelike vector  $U^\mu$  resembles a Minkowski space, whose first correction scales as  $\bar{x}^i \bar{x}^j \partial_i \partial_j \zeta_L$  instead of  $|\mathbf{x}|^2 H^2$ , as in the case of FNC. Particularly, its construction goes as follows:

- **Tetrad construction:** along the central geodesic at each point  $P(\bar{t})$  is constructed an orthonormal system  $\{(e_\nu)^\mu : \nu = 0, 1, 2, 3\}$  with respect to the timelike vector  $U^\mu \equiv (e_0)^\mu$ . These vectors are parallel transported along the central geodesic.
- **Conformal Fermi time:** given a Fermi scale factor,  $a_F$ , we define the conformal Fermi time,  $\bar{\tau}$ , as the time coordinate, in Eq. (6.105) this allows us to define the surface of constant  $\bar{\tau}$ , spanned by space-like conformal geodesics.
- **Spatial coordinates:** it is possible to construct a map from global conformal coordinates to the CFC Eq.(6.17). The coordinates of a point  $Q$  inside the geodesic congruence are defined as the director vector that generates the spatial-like conformal geodesic that joins  $P$  with  $Q$ , this geodesic satisfies:

$$\frac{d^2 x^\mu}{d\lambda^2} + \bar{\Gamma}_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda} = 0, \quad \text{with } \bar{\Gamma}_{\alpha\beta}^\mu = \Gamma_{\alpha\beta}^\mu - C_{\alpha\beta}^\mu, \quad (6.109)$$

where  $\bar{\Gamma}_{\alpha\beta}^\mu$  are the Christoffel symbols for the conformal metric  $\bar{g}_{\mu\nu}^F$  and  $C_{\alpha\beta}^\mu$  is defined by:

$$C_{\alpha\beta}^\mu = \delta_\alpha^\mu \nabla_\beta \ln a_F + \delta_\beta^\mu \nabla_\alpha \ln a_F - g_{\alpha\beta} g^{\mu\lambda} \nabla_\lambda \ln a_F. \quad (6.110)$$

Moreover, the first derivatives of  $a_F$  are constrained by the CFC metric and the gradient of  $a_F$  along the central geodesic must be along the time direction

$$\nabla_\mu \ln a_F|_{\bar{x}^i=0} = (\ln a_F)' a_F (e_0)_\mu, \quad (6.111)$$

where the prime denotes derivatives with respect to  $\bar{\tau}$ . Additionally, second derivatives are constrained by Eq.(6.104)  $\nabla_\mu \nabla_\nu \ln a_F|_{\bar{x}^i=0}$ . In particular, they exactly match the expression for an unperturbed FLRW. With this construction, we can now derive an explicit transformation law from global coordinate to CFCs. In particular, one can solve Eq. (6.109) as a power expansion with affine parameter  $\lambda$ :

$$x^\mu(\lambda) = \sum_{n=0}^{\infty} \alpha_n^\mu \lambda^n. \quad (6.112)$$

This set of curves connect points that are in the same spatial hypersurfaces, particularly, we are interested in connecting any point  $P$  along the central geodesic ( $x^\mu(P) = (\bar{\tau}, 0)$ ) with a point  $Q$ , in the same spatial hypersurface, which in CFC has the coordinates  $x^\mu(Q) = (\bar{\tau}, \bar{\mathbf{x}})$  in the same hypersurface. Since  $P$  is chosen as the spatial origin, we immediately have  $\alpha_0^\mu = x^\mu(P)$ . Additionally, rescaling  $\lambda$  such that  $\lambda = 0$  corresponds to  $P$  and  $\lambda = 1$  at  $Q$ . These spatial-like geodesics are generated by the perpendicular spatial-like vector at  $\lambda = 0$ ,  $(\bar{e}_i)_P^\mu$ , more explicitly:

$$\alpha_1^\mu = \left. \frac{dx^\mu}{d\lambda} \right|_{\lambda=0} = (\bar{e}_i)_P^\mu \bar{x}^i = a_F(P) (e_i)_P^\mu \bar{x}^i, \quad (6.113)$$

furthermore, higher order contributions are computed recursively by taking successive derivatives to Eq.(6.109) :

$$x^\mu(\bar{\tau}, \bar{x}) = x^\mu(P) + a_F(P)(e_i)_P^\mu \bar{x}^i - \frac{a_F^2(P)}{2} \bar{\Gamma}_{\alpha\beta}^\mu|_P (e_i)_P^\alpha (e_j)_P^\beta \bar{x}^i \bar{x}^j \quad (6.114)$$

$$- \frac{a_F^3(P)}{6} (\partial_\gamma \bar{\Gamma}_{\alpha\beta}^\mu - 2\bar{\Gamma}_{\sigma\alpha}^\mu \bar{\Gamma}_{\beta\gamma}^\sigma)|_P (e_i)_P^\alpha (e_j)_P^\beta (e_k)_P^\gamma \bar{x}^i \bar{x}^j \bar{x}^k + \dots, \quad (6.115)$$

and the basis vectors are given by:

$$(e_0)^\mu = a^{-1}(\tau) \left( 1 + \frac{1}{2} h_{00}, V^i \right), \quad (e_j)^\mu = a^{-1}(\tau) \left( V_j + h_{0j}, \delta_j^i - \frac{1}{2} h_j^i \right), \quad (6.116)$$

or equivalently in the comoving gauge, using the ADM decomposition.

$$(e_0)^\mu = a^{-1}(\tau) (1 - N_1, V^i), \quad (e_j)^\mu = a^{-1}(\tau) (V_j + N_j, [1 - \zeta] \delta_j^i), \quad (6.117)$$

where all quantities are evaluated at the central geodesic. As a remark, we emphasize that just for brevity,  $\bar{x}^i$  was used instead of the distance to the central geodesic  $\Delta \bar{x}^i = \bar{x}^i - \bar{x}_c^i$ .

## 6.6.2 Mapping to CFC

In this section, we are going to find an explicit map between global conformal coordinates,  $x^\mu$ , and the CFC,  $\bar{x}^\mu$ , to do that we are going to solve perturbatively, recursively and independently of the underlying inflationary background some of the expressions stated in the previous section. Let us start with the definition of the CFC basis vector:

$$\frac{\partial x^\mu(\bar{\tau}, \bar{\mathbf{x}}_c)}{\partial \bar{\tau}} = a_F(P)(e_0)_P^\mu, \quad (6.118)$$

considering the spatial coordinates  $\mu = i$ :

$$(\bar{e}_0)_P^i = a_F(\bar{\tau})(e_0)_P^i = \frac{a_F(\bar{\tau})}{a(\tau(\bar{\tau}, \bar{\mathbf{x}}_c))} V^i(x(\bar{\tau}, \bar{\mathbf{x}}_c)), \quad (6.119)$$

but we know that  $(e_0)_P^i$  is a first order in perturbation, so the zeroth order  $\frac{a_F(P)}{a(P)}$  suffices, which is just 1. Therefore, from Eq.(6.118) we deduce:

$$x^i(\bar{\tau}, \bar{\mathbf{x}}_c) = \bar{x}_c^i + \int_{\bar{\tau}_*}^{\bar{\tau}} d\bar{s} V^i(\tau(\bar{\tau}, \bar{\mathbf{x}}_c), \bar{\mathbf{x}}_c), \quad (6.120)$$

where we have used  $\mathbf{x} = \bar{\mathbf{x}}_c$ . In the same manner from Eq. (6.118) if  $\mu = 0$ , the time shift is:

$$\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau} + \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau} + C_{\Delta\tau}(\bar{\mathbf{x}}_c) + \int_{\bar{\tau}_*}^{\bar{\tau}} d\bar{s} (\Delta a(\bar{s}, \bar{\mathbf{x}}_c) - N_1(\bar{s}, \bar{\mathbf{x}}_c)). \quad (6.121)$$

Now we already have the first terms of Eq. (6.112) associated to the spatial component (6.120) and the temporal component (6.121), we are able to extend the map recursively to neighboring points around the central geodesic. It is worth noting that due to the constraint Eq.(6.104) the conformal Christoffel symbols  $\tilde{\Gamma}$  must be first order in perturbations and

must contain gradients of  $\zeta_L$ , thus we can neglect second order contributions coming from  $a_F(P)/a(P)$ , so the equation Eq. (6.114) simplifies to:

$$x^\mu(\bar{\tau}, \bar{\mathbf{x}}) = x^\mu(P) + a_F(P)(e_i)_P^\mu \bar{x}^i - \frac{1}{2} \bar{\Gamma}_{\alpha\beta}^\mu|_P (e_i)_P^\alpha (e_j)_P^\beta \bar{x}^i \bar{x}^j, \quad (6.122)$$

where the conformal Christoffel symbols are determined by:

$$\bar{\Gamma}_{\mu\nu}^\rho = \Gamma_{\mu\nu}^\rho - \delta_\mu^\rho \nabla_\nu \ln a_F - \delta_\nu^\rho \nabla_\mu \ln a_F + g_{\mu\nu} g^{\rho\sigma} \nabla_\sigma \ln a_F \quad (6.123)$$

and the scale factor gradient along the central geodesic

$$\nabla_\mu \ln a_F|_P = -\frac{\mathcal{H}(P)}{a_F(P)} (e_0)_{\mu,P}, \quad (e_0)_{\mu,P} = g_{\mu\nu} (e_0)^\nu_P, \quad (6.124)$$

with the local comoving Hubble expansion rate  $\mathcal{H}_F$ ,

$$\frac{\mathcal{H}(P)}{a_F(P)} = \frac{1}{a(\tau)} \left( \mathcal{H}(\tau) - \mathcal{H}(\tau) N_1(\tau, \mathbf{x}_c(\tau)) + \partial_0 \zeta(\tau, \mathbf{x}_c(\tau)) + \frac{1}{3} \partial_i V^i(\tau, \mathbf{x}_c(\tau)) \right). \quad (6.125)$$

Replacing Eq.(6.120), (6.121) into (6.122) we find a coordinate transformation, up to third order in gradient expansion, that looks like:

$$\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau} + \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}_c) + F_i(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i - \frac{1}{2} \bar{\Gamma}_{ij}^0(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j + \dots \quad (6.126)$$

$$x^l(\bar{\tau}, \bar{\mathbf{x}}) = \bar{x}^l + \int_{\bar{\tau}_*}^{\bar{\tau}} d\bar{s} V^l(\bar{s}, \bar{\mathbf{x}}_c) + [\Delta a(\bar{\tau}, \bar{\mathbf{x}}_c) - \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)] \bar{x}^l - \frac{1}{2} \bar{\Gamma}_{ij}^l(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j + \dots, \quad (6.127)$$

where we omitted the  $\bar{\mathbf{x}}_c$  and it has been defined  $F_i = N_i + V_i$ . Finally, the above expression suggest the following parametrization for Eq. (6.102):

$$x^\mu(\bar{\tau}, \bar{\mathbf{x}}) = \bar{x}^\mu + \xi^{\mu(0)}(\bar{\tau}, \bar{\mathbf{x}}_c) + \xi^{\mu(1)}(\bar{\tau}, \bar{\mathbf{x}}) + \xi^{\mu(2)}(\bar{\tau}, \bar{\mathbf{x}}) + \dots \quad (6.128)$$

$$= \bar{x}^\mu + \xi^\mu(\bar{\tau}, \bar{\mathbf{x}}_c) + A_i^\mu(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i + B_{ij}^\mu(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j + \dots \quad (6.129)$$

Notice that all coefficients for this expansion have been evaluated at the central geodesic.

## Peculiar velocity potential

Despite having the full construction of the map so far, we have not yet provided an analytical expressions for the peculiar velocity potential,  $\mathcal{F}$  in terms of the metric perturbations. In an unperturbed universe, we know that it must be zero because one can always set a reference system in which is exactly zero. However, in a perturbed universe, we know that it must depend on spatial gradients of curvature modes. In principle,  $V^i$  is unconstrained, but if we impose that the vierbein is parallel transported, particularly, by using the self parallel transportation of  $U^\mu$ :

$$U^\lambda \partial_\lambda U^\mu + \Gamma_{\alpha\beta}^\mu U^\alpha U^\beta = 0, \quad (6.130)$$

we find a relation between  $V^j$ , and the Lagrangian constraints  $N^j$ ,  $N_1$ (time shift and the time lapse, respectively). Thus,

$$\partial_0 V^i + \mathcal{H} V^i = -\partial^i N_1 - \partial_0 N^i - \mathcal{H} N^i. \quad (6.131)$$



If we define the velocity potential  $\mathcal{F}$ , such as,  $F^i = V^i + N^i = \partial^i \mathcal{F}$ , substituting in the above equation, this reduces to:

$$\partial_0 F^i + \mathcal{H} F^i + \partial^i N_1 = 0 \rightarrow \partial_0 \mathcal{F} + \mathcal{H} \mathcal{F} + N_1 = C(\tau), \quad (6.132)$$

whose general solution is

$$\mathcal{F}(\tau, \mathbf{x}) = e^{-\int_{\tau_*}^{\tau} ds \mathcal{H}(s)} \left[ \tau_* C_{\mathcal{F}}(\tau_*, \mathbf{x}) - \int_{\tau_*}^{\tau} ds e^{\int_{\tau_*}^s d\omega \mathcal{H}(\omega)} N_1(s, \mathbf{x}) \right], \quad (6.133)$$

where  $C(\tau)$  was set to zero because only spatial gradients contributes. In particular, it is possible reduce (6.133) for a quasi-de Sitter limit by using the conformal Hubble parameter definition,

$$\mathcal{H}(\tau) = aH = \dot{a} = \frac{a'}{a} = \frac{d \ln a(\tau)}{d\tau} = \partial_{\tau} N, \quad (6.134)$$

that is to say, the conformal Hubble parameter is just the conformal time derivatives of the number of e-folds,  $N(\tau)$ , so the integral in the exponential reduces to:

$$\exp\left(-\int_{\tau_*}^{\tau} ds \mathcal{H}(s)\right) = \exp(-N(\tau) + N(\tau_*)) = a(\tau_*)/a(\tau), \quad (6.135)$$

where we are using that the scale factor can be parametrized as  $a(\tau) = a(\tau_*)e^{N(\tau)}$ . Moreover, if we choose  $\tau_* = -\infty$  as  $N = 0$ , the last expression simplifies to:

$$\exp\left(-\int_{\tau_*}^{\tau} ds \mathcal{H}(s)\right) = e^{-N(\tau)}. \quad (6.136)$$

For the remaining terms in Eq. (6.133), we know from ADM formalism that  $N_1(\tau) = \partial_0 \zeta / \mathcal{H}$ , so:

$$\int_{\tau_*}^{\tau} ds e^{\int_{\tau_*}^s d\omega \mathcal{H}(\omega)} N_1(s, \mathbf{x}) = \int_{\tau_*}^{\tau} ds e^{N(s)} \frac{\partial_0 \zeta}{\partial_{\tau} N}. \quad (6.137)$$

Additionally, if we take  $\tau = -1/\mathcal{H} = -1/\partial_{\tau} N$  at first order. Using  $N$  is a monotonic function of  $\tau$ , we have  $\tau = -\frac{d\tau}{dN}$ , and by direct integration gives  $N(\tau) = -\ln(\tau/\tau_*)$ , so  $\tau = \tau_* e^{-N}$ . Replacing in Eq. (6.137) we find:

$$\begin{aligned} \int_{\tau_*}^{\tau} ds e^{\int_{\tau_*}^s d\omega \mathcal{H}(\omega)} N_1(s, \mathbf{x}) &= \int_{N_*}^N dN \frac{ds}{dN} e^{N(s)} \frac{\partial \zeta}{\partial N} = \int_{N_*}^N dN (-\tau_* e^{-N}) e^{N(s)} \frac{\partial \zeta}{\partial N} \\ &= -\tau_* \int_{N(\tau_*)}^{N(\tau)} dN \frac{\partial \zeta}{\partial N} = -\tau_* (\zeta(\tau, \mathbf{x}) - \zeta(\tau_*, \mathbf{x})). \end{aligned} \quad (6.138)$$

Thus, the peculiar velocity potential Eq.(6.133) reduces remarkably to:

$$\mathcal{F}(\tau, \mathbf{x}) = \tau(C_{\mathcal{F}}(\mathbf{x}) + \zeta(\tau, \mathbf{x}) - \zeta(\tau_*, \mathbf{x})). \quad (6.139)$$

Depending on the background behavior, the curvatures perturbations will evolve differently after horizon crossing; if the modes are adiabatic, for attractor models they will freeze and therefore *conserved* [10]. This fact allow us to make robust prediction connecting inflationary models and observations. Thus for this scenario we know  $\partial_0 \zeta_L(\tau, \mathbf{x}) \approx 0$ , then  $\zeta_L(\tau, \mathbf{x}) \approx \zeta_L(\tau_*, \mathbf{x})$  so the peculiar velocity potential becomes:

$$\mathcal{F}(\tau, \mathbf{x}) = \tau C_{\mathcal{F}}(\mathbf{x}), \quad (6.140)$$

and therefore the peculiar velocity,

$$V^i(\tau, \mathbf{x}) = \tau \partial^i (C_{\mathcal{F}}(\mathbf{x}) - \zeta_L(\tau, \mathbf{x})) \quad (6.141)$$

where we have ignored  $\mathcal{O}(\varepsilon)$  terms. Since by construction we know that  $C_{\mathcal{F}}$  is composed only by long modes, we at least know that it will be suppressed  $k_L$ , in particular its divergence (that influences  $\mathcal{H}_F$ ):

$$\partial_i V^i(\tau, \mathbf{x}) = -\tau \partial^2 \zeta_L(\tau, \mathbf{x}) \propto k_L^2 \zeta_L(\tau, \mathbf{k}_L) \quad (6.142)$$

is  $k_L^2$  suppressed, then terms that involve the divergence of the peculiar velocity can be safely ignored for single field attractor inflation.

On the other hand, for non-attractor inflation the peculiar velocity  $V^i$  becomes:

$$\begin{aligned} V^i(\tau, \mathbf{x}) &= \partial^i \mathcal{F} - N^i \\ &= \tau \partial^i (-\zeta(\tau_*, \mathbf{x}) + C_{\mathcal{F}}(\mathbf{x})) - \partial^i (\varepsilon \partial^{-2} \partial_0 \zeta(\tau, \mathbf{x})), \end{aligned} \quad (6.143)$$

whose divergence is:

$$\partial_i V^i(\tau, \mathbf{x}) = \tau \partial^2 (-\zeta(\tau_*, \mathbf{x}) + C_{\mathcal{F}}(\mathbf{x})) - \varepsilon \partial_0 \zeta(\tau, \mathbf{x}). \quad (6.144)$$

thus, the first term is  $k_L^2$  suppressed, whereas the second  $\varepsilon \propto a^{-6}$  and  $\partial_0 \zeta \propto a^2$ . So the divergence of the peculiar velocity potential is suppressed for this scenario too.

## Conformal Scale Factor

In this section, we will derive a first order expression for the ratio between the local scale factor and the global scale factor along the geodesic, this ratio is order  $\zeta$  and can be easily be extended to points outside the central geodesic inside the local patch. We can find the local expansion rate as the fractional volume change that an inertial observer experiences along its world-line in a perturbed expanding spacetime. Physically, they cannot distinguish between long modes,  $\zeta_L$ , of a wavelength longer than the sound horizon and the background, therefore, these observers infer a different and effective Hubble ratio given by (6.28):

$$H_F(\bar{\tau}) = \frac{1}{3} \nabla_\mu U^\mu. \quad (6.145)$$

Expanding the divergence of the 4-velocity:

$$\nabla_\mu U^\mu = \frac{1}{3} (\partial_\mu U^\mu + \Gamma_{\mu\lambda}^\mu U^\lambda) = \frac{3}{a} \mathcal{H} + \frac{3}{2} \frac{\mathcal{H}}{a} h_{00} + \frac{h'}{2a} + \frac{1}{a} \partial_j V^j, \quad (6.146)$$

using  $h = \delta^{ij} h_{ij} = 2\zeta(\tau, x) \delta^{ij} \delta_{ij} = 6\zeta(\tau, x)$  and  $h_{00} = -2\partial_0 \zeta / \mathcal{H}$ , the above expression reduces to:

$$\nabla_\mu U^\mu = \frac{1}{a} (3\mathcal{H} + \partial_j V^j). \quad (6.147)$$

As we have seen in the previous subsection the peculiar velocity contribution is strongly suppressed, this can be viewed physically as long wavelength modes (which are almost constant) can not induce substantial deviation on an inertial observer, because their spatial gradients are very small, so safely we can ignore them. Nevertheless, in [128], the authors considered a local influence of long modes on short ones as an effective curved universe with  $k \neq 0$ , to

derive corrections to Maldacena's consistency relation at 'order  $q^2$ '. It is interesting to keep tracking those terms since they potentially could induce a complete  $f_{NL}$  vanishing, beyond the linear order. We left the impact of these terms for future work.

Coming back to work, we need an expression for the modified scale factor. Let us consider the time derivative of the ratio  $a_F(\bar{\tau})/a(\tau)$ :

$$\frac{d}{d\tau} \left( \frac{a_F(\bar{\tau})}{a(\tau)} \right) = \frac{1}{a(\tau)} \frac{d a_F(\bar{\tau})}{d\tau} + a_F(\bar{\tau}) \frac{d a^{-1}(\tau)}{d\tau} = \frac{1}{a(\tau)} \frac{d a_F(\bar{\tau})}{d\bar{\tau}} \frac{d\bar{\tau}}{d\tau} - \frac{a_F(\bar{\tau})}{a^2(\tau)} \frac{da(\tau)}{d\tau},$$

thus this slightly deviation becomes,

$$\frac{d \ln a_F(\bar{\tau})/a(\tau)}{d\tau} = \left( -\mathcal{H}(\tau) + \mathcal{H}_F(\bar{\tau}) \frac{d\bar{\tau}}{d\tau} \right). \quad (6.148)$$

It is easy to see that in the unperturbed universe limit, one recovers zero as expected. Finally, we must find  $\frac{d\bar{\tau}}{d\tau}$ , this can be achieved from the invariance of the line element between global and CFC coordinates along the central geodesic:

$$ds^2 = a^2(\tau)(\eta_{\mu\nu} + h_{\mu\nu})dx^\mu dx^\nu = a_F^2(\bar{\tau})\eta_{\mu\nu}d\bar{x}^\mu d\bar{x}^\nu. \quad (6.149)$$

By construction, an observer inertial only experience a temporal displacement in the CFC frame, thus  $U^\mu d\bar{\tau} = (d\bar{\tau}, 0, 0, 0)$ . Therefore the line element:

$$a^2(\tau)(\eta_{00} + h_{00})d\tau^2 = a_F^2(\bar{\tau})\eta_{00}d\bar{\tau}^2, \quad (6.150)$$

where  $h_{00}$  receives a contribution from long modes only, and extra contribution on l.h.s. have been neglected because they are second-order contributions. This implies

$$\frac{d\bar{\tau}}{d\tau} = \frac{a(\tau)}{a_F(\bar{\tau})} \left( 1 - \frac{1}{2}h_{00}(\tau, \mathbf{x}_c) \right), \quad (6.151)$$

replacing in (6.148)

$$\frac{d \ln a_F(\bar{\tau})/a(\tau)}{d\tau} = \left[ -\mathcal{H}(\tau) + \mathcal{H}_F(\bar{\tau}) \frac{a(\tau)}{a_F(\bar{\tau})} \left( 1 - \frac{1}{2}h_{00}(\tau, \mathbf{x}_c) \right) \right] \quad (6.152)$$

using (6.146) in the above expression we find:

$$\frac{d \ln a_F(\bar{\tau})/a(\tau)}{d\tau} = \left( -\mathcal{H} \frac{h_{00}(\tau, \mathbf{x}_c)}{2} + \frac{1}{3}\partial_j V^j(\tau, \mathbf{x}_c) \right). \quad (6.153)$$

Which is a reduced expression for Eq. (2.15) of [4]. Integrating the above equation:

$$\frac{a_F(\bar{\tau})}{a(\tau)} = \exp \left( C_{\Delta a}(\mathbf{x}_c) + \int_{\tau_*}^{\tau} ds \left( -\frac{1}{2}\mathcal{H}(s)h_{00}(s, \mathbf{x}_c) + \frac{1}{3}\partial_j V^j(s, \mathbf{x}_c) \right) \right), \quad (6.154)$$

where  $C_{\Delta a}(\mathbf{x}_c)$  is a time independent field. To reduce the above expression, in the comoving gauge we have  $h_{00} = -2\partial_0\zeta/\mathcal{H}$  while the second term is  $k_L^2$  suppressed, so the above expression becomes:

$$a_F(\bar{\tau}) = a(\tau) \exp \left( C_{\Delta a}(\mathbf{x}_c) + \int_{\tau_*}^{\tau} ds \partial_0\zeta(s, \mathbf{x}_c) \right) \quad (6.155)$$

$$= a(\tau) (1 + \zeta(\tau, \mathbf{x}_c) - \zeta(\tau_*, \mathbf{x}_c) + C_{\Delta a}(\mathbf{x}_c) + \dots). \quad (6.156)$$

We realize that the scale factor shift is:

$$\Delta \left( \frac{a_F(\bar{\tau})}{a(\tau)} \right) = \frac{a_F(\bar{\tau})}{a(\tau)} - 1 = (\zeta(\tau, \mathbf{x}_c) - \zeta(\tau_*, \mathbf{x}_c) + C_{\Delta a}(\mathbf{x}_c)). \quad (6.157)$$

As we will see, the behaviour of the inflationary background determines the value of  $C_{\Delta a}(\mathbf{x}_c)$ .

## Time shift $\xi^0$

Finally, one needs an equation to determine the time shift  $\xi^0$  induced by the map from the comoving global coordinates to the observer coordinates. This time shift not only affects the proper time that the inertial observer describes its neighborhood, but also the scale factor in a determined way. To compute this quantity one start with the global time dependence in terms of the Fermi conformal time, from (6.121) we know that the time shift is defined as:

$$\Delta\tau(\bar{\tau}, \bar{\mathbf{x}}_c) = C_{\Delta\tau}(\bar{\mathbf{x}}_c) + \int_{\bar{\tau}_*}^{\bar{\tau}} d\bar{s} \left[ \frac{a_F}{a}(\bar{s}, \bar{\mathbf{x}}_c) - 1 - N_1(\bar{s}, \bar{\mathbf{x}}_c) \right].$$

Integrating this expression:

$$\begin{aligned} \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}_c) &= C_{\Delta\tau}(\bar{\mathbf{x}}_c) + \int_{\bar{\tau}_*}^{\bar{\tau}} d\bar{s} \left( \zeta_L(\bar{s}, \bar{\mathbf{x}}_c) - \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}}_c) + C_{\Delta a}(\bar{\mathbf{x}}_c) - \frac{\partial_0 \zeta_L(\bar{s}, \bar{\mathbf{x}}_c)}{\mathcal{H}} \right) \\ &= C_{\Delta\tau}(\bar{\mathbf{x}}_c) + \bar{\tau} C_{\Delta a}(\bar{\mathbf{x}}_c) \Big|_{\bar{\tau}_*}^{\bar{\tau}} + \bar{\tau} \zeta(\bar{\tau}, \bar{\mathbf{x}}_c) \Big|_{\bar{\tau}_*}^{\bar{\tau}} - \bar{\tau} \zeta(\bar{\tau}_*, \bar{\mathbf{x}}_c) \Big|_{\bar{\tau}_*}^{\bar{\tau}} \end{aligned} \quad (6.158)$$

Finally at the central geodesic  $\bar{\mathbf{x}}_c$  we find

$$\Delta\tau(\bar{\tau}, \bar{\mathbf{x}}_c) = \bar{\tau}(\zeta(\bar{\tau}, \bar{\mathbf{x}}_c) - \zeta(\bar{\tau}_*, \bar{\mathbf{x}}_c)) + C_{\Delta\tau}(\bar{\mathbf{x}}_c) + C_{\Delta a}(\bar{\mathbf{x}}_c)(\bar{\tau} - \bar{\tau}_*). \quad (6.159)$$

This time shift is completely generic under the inflationary assumptions(weather SR or USR), but just valid along the central geodesic points  $\bar{\mathbf{x}}_c$ , however, it can easily be extended to its local neighbourhood around it by incorporating the next order time shift contributions,  $\xi_L^{0(1)}(\bar{\tau}, \bar{\mathbf{x}})$ , as gradients long wavelength modes, by doing so, we are able to recover the full-time shift map up to  $k_L^2$  corrections. Adding its leading order corrections,

$$\begin{aligned} \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}) &= \xi_L^0(\bar{\tau}, \bar{\mathbf{x}}) = \xi_L^{0(0)}(\bar{\tau}, \bar{\mathbf{x}}) + \xi_L^{0(1)}(\bar{\tau}, \bar{\mathbf{x}}) \\ &= \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}_c) + \bar{\mathbf{x}}^i \partial_i \mathcal{F}(\bar{\tau}, \bar{\mathbf{x}}_c) \end{aligned} \quad (6.160)$$

From (6.139) we know  $\partial_i \mathcal{F} = \bar{\tau} [\partial_i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) - \partial_i \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}}_c) + \partial_i C_{\mathcal{F}}(\bar{\mathbf{x}}_c)]$ , then the time shift becomes

$$\begin{aligned} \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}) &= C_{\Delta\tau}(\bar{\mathbf{x}}_c) + C_{\Delta a}(\bar{\mathbf{x}}_c)(\bar{\tau} - \bar{\tau}_*) + \bar{\tau}(\zeta(\bar{\tau}, \bar{\mathbf{x}}_c) - \zeta(\bar{\tau}_*, \bar{\mathbf{x}}_c)) + \\ &\quad \bar{\mathbf{x}}^i \bar{\tau} [\partial_i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) - \partial_i \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}}_c) + \partial_i C_{\mathcal{F}}(\bar{\mathbf{x}}_c)]. \end{aligned} \quad (6.161)$$

Given this structure, one notices that the gradients can be resumed into the curvature perturbations at first order:

$$\Delta\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau}(\zeta_L(\bar{\tau}, \bar{\mathbf{x}}) - \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}})) + C_{\Delta\tau}(\bar{\mathbf{x}}_c) + C_{\Delta a}(\bar{\mathbf{x}}_c)(\bar{\tau} - \bar{\tau}_*) + \bar{\tau} \bar{\mathbf{x}}^i \partial_i C_{\mathcal{F}}(\bar{\mathbf{x}}_c), \quad (6.162)$$

notice that at this point we have not assumed anything about the 3 remnant fields that aroused from the integrations of equations (6.139), (6.155), (6.162), their fixing depends on the inflationary conditions weather is SR, USR or a combination of both(since the later can be understood as a transient phase until SR takes over) and the physical flatness requirement that an inertial observer experience along the central geodesic.

## Curvature Modes Transformation

Until now we have kept the discussion quite general: just a small diffeomorphism from the comoving coordinates to the observer coordinates. Nevertheless, we have not concerned yet in quantities that are projected into observations, such as curvature perturbations. In this subsection, we start from the transformation of curvature modes  $\zeta$ . We consider a coordinate transformation from  $\mathbf{x} \rightarrow \bar{\mathbf{x}}$  (that in the case of attractor inflation does not change the hypersurfaces of constant  $\tau$ ). However, when the time coordinate is involved it is better to start from the definition of  $\zeta$  in slices of spacetime on the surfaces  $\Sigma_\tau$  [129], that by definition are related to the local number of e-folds  $\delta N(x)$ :

$$\delta N = \zeta = \frac{\log \det(g_{ij}/a^2)}{6}, \quad (6.163)$$

where  $g_{ij}$  is the induced metric on  $\Sigma_\tau$ . We can use this formal definition to see how  $\zeta$  transform under a small coordinate change,  $x^\mu \rightarrow \bar{x}^\mu = x^\mu - \xi^\mu$ . Denoting with a bar the transformed metric at leading order in  $\xi$  we have:

$$g_{\mu\nu} \rightarrow \bar{g}_{\mu\nu} = g_{\mu\nu} + 2\nabla_{(\mu}\xi_{\nu)} = g_{\mu\nu} + g_{\nu\rho}\nabla_\mu\xi^\rho + g_{\mu\rho}\nabla_\nu\xi^\rho, \quad (6.164)$$

so that:

$$\bar{g}_{ij}/a^2 = \delta_{ij} + \underbrace{(e^{2\zeta} - 1)}_{=\Delta g} \delta_{ij} + 2\nabla_{(i}\xi_{j)}/a^2. \quad (6.165)$$

Using the relation  $\log \det = \text{Tr} \log$ , and working at quadratic order in perturbations,

$$\log(\bar{g}_{ij}/a^2) = 2\zeta\delta_{ij} + 2\nabla_{(i}\xi_{j)}/a^2 - 2\zeta(\partial_i\xi_j + \partial_j\xi_i + 2\mathcal{H}\xi^0\delta_{ij}). \quad (6.166)$$

Now, what we need is an expression for  $\nabla_{(i}\xi_{j)}/a^2$ . First of all, we know that:

$$\nabla_i\xi_j/a^2 = \partial_i\xi_j + 2\zeta\partial_i\xi_j + N_j\partial_i\xi^0 + g_{j\rho}\Gamma_{i\sigma}^\rho\xi^\sigma/a^2, \quad (6.167)$$

with the following relevant contractions:

$$g_{jk}\Gamma_{il}^k\xi^l/a^2 = \delta_{jk}\Gamma_{il}^k\xi^l = -\mathcal{H}N_j\xi_i + \delta_{ij}\xi^l\partial_l\zeta - 2\xi_{[i}\partial_{j]}\zeta, \quad (6.168)$$

$$g_{jk}\Gamma_{i0}^k\xi^0/a^2 = e^{2\zeta}\delta_{jk}\Gamma_{i0}^k\xi^0 = \mathcal{H}\delta_{ij}\xi^0 + 2\mathcal{H}\zeta\xi^0\delta_{ij} + \xi^0\partial_0\zeta\delta_{ij} - \partial_{[i}N_{j]}\xi^0, \quad (6.169)$$

$$g_{j0}\Gamma_{i\sigma}^0\xi^\sigma/a^2 = N_j\Gamma_{i\sigma}^0\xi^\sigma = N_j\Gamma_{ik}^0\xi^k = N_j\mathcal{H}\delta_{ik}\xi^k = \mathcal{H}\xi_i N_j. \quad (6.170)$$

Replacing in Eq.(6.166)

$$\log(\bar{g}_{ij}/a^2) = 2\zeta\delta_{ij} + 2\partial_{(i}\xi_{j)} + 2\mathcal{H}\xi^0\delta_{ij} + 2N_{(i}\partial_{j)}\xi^0 + 2\xi^\mu\partial_\mu\zeta\delta_{ij} + \dots, \quad (6.171)$$

finally, taking the trace, we obtain:

$$\bar{\zeta} = \frac{\text{Tr} \log(\bar{g}_{ij}/a^2)}{6} = \zeta + \frac{\partial_i\xi^i}{3} + \mathcal{H}\xi^0 + \frac{N^i\partial_i\xi^0}{3} + \xi^\mu\partial_\mu\zeta. \quad (6.172)$$

Splitting both  $\zeta$  and  $\bar{\zeta}$  into its long and short contribution, and assigning the long wavelength prescription  $\xi^\mu = \xi_L^\mu$ , we obtain the effective transformation for each modes

$$\bar{\zeta}_L = \zeta_L + \frac{\partial_i\xi_L^i}{3} + \mathcal{H}\xi_L^0, \quad \bar{\zeta}_s = \zeta_s + \frac{N_s^i\partial_i\xi_L^0}{3} + \xi_L^\mu\partial_\mu\zeta_s, \quad (6.173)$$

where  $N_i = N_i(\zeta)$  is the linear shift constraint. The above expression illustrates that the short modes transform effectively like a scalar field, plus small correction that vanishes for attractor inflation. As we will see in the forthcoming sections, this is due to the long modes gradients, they go to zero on super-Hubble scales, additionally, this term does not play any role in both the power spectrum(it cancels by symmetry) and the bispectrum(low order corrections).

## Short Modes Transformation

As we have seen in the previous subsection, short modes of curvature in CFC are represented by (6.173):

$$\bar{\zeta}_s(\bar{x}) = \zeta_s(\bar{x}) + \xi_L^\mu(\bar{x})\partial_\mu\zeta_s(\bar{x}) + \frac{1}{3}N_s^i(\bar{x})\partial_i\xi_L^0(\bar{x}) \quad (6.174)$$

$$= \zeta_s(x(\bar{x})) + \frac{1}{3}N_s^i(\bar{x})\partial_i\xi_L^0(\bar{x}). \quad (6.175)$$

So they transform effectively as a scalar field, plus a small correction generated by the time coordinate shift  $\xi^0$  that couples to the time shift function  $N^i$  associated to the short modes. Notice that this small correction is  $k_L$  suppressed and as we will see does not contribute to the two-point correlation function since it cancels by spatial symmetry. Explicitly the second term reads,

$$\partial_k\xi_L^0(\bar{\tau}, \bar{\mathbf{x}}) = \partial_k(\Delta\tau(\bar{\tau}, \bar{\mathbf{x}}_c) + \partial_i\mathcal{F}(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{x}^i) = \partial_i\mathcal{F}(\bar{\tau}, \bar{\mathbf{x}}_c). \quad (6.176)$$

Which at first order, it is just the peculiar velocity gradient at  $\bar{\mathbf{x}}_c$ .

## Long Modes Transformation

We start transforming the long wavelength curvature modes according to Eq. (6.173):

$$\bar{\zeta}_L(\bar{x}) = \zeta_L(\bar{x}) + \frac{1}{3}\partial_i\xi_L^i(\bar{x}) + \mathcal{H}\xi_L^0(\bar{x}). \quad (6.177)$$

From Eq. (6.126) we are able to identify the small temporal and spatial coordinate shift induced by the long wavelength modes as

$$\xi_L^0(\bar{\mathbf{x}}) = \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}_c) + \partial_i\mathcal{F}(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{x}^i, \quad (6.178)$$

$$\xi_L^i(\bar{\mathbf{x}}) = \int_{\bar{\tau}_*}^{\bar{\tau}} ds V^i(s, \bar{\mathbf{x}}_c) + \left[ \frac{a_F}{a}(\bar{\tau}, \bar{\mathbf{x}}_c) - 1 - \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \right] \bar{x}^i - \frac{1}{2}\bar{\Gamma}_{jk}^i(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{x}^j\bar{x}^k, \quad (6.179)$$

taking the spatial divergence and ignoring the peculiar velocity contribution,  $V^i$ , because is  $k_L^2$  suppressed. The divergence of the spatial shift becomes,

$$\partial_i\xi_L^i(\bar{\mathbf{x}}) = 3 \left[ \frac{a_F}{a}(\bar{\tau}, \bar{\mathbf{x}}_c) - 1 - \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \right] - 3(\bar{x}^k\partial_k\zeta_L(\bar{\mathbf{x}}) + \mathcal{H}\partial_k\mathcal{F}(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{x}^k), \quad (6.180)$$

expanding long modes around the central geodesic  $\zeta_L(\bar{\tau}, \bar{\mathbf{x}}) = \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) + \bar{x}^i\partial_i\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)$ , and replacing it into Eq. (6.177),

$$\begin{aligned} \bar{\zeta}_L(\bar{x}) &= \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) - \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}}_c) + C_{\Delta a}(\bar{\mathbf{x}}_c) + \mathcal{H}(C_{\Delta\tau}(\bar{\mathbf{x}}_c) + C_{\Delta a}(\bar{\mathbf{x}}_c)(\bar{\tau} - \bar{\tau}_*) + \\ &\quad \bar{\tau}(\zeta(\bar{\tau}, \bar{\mathbf{x}}_c) - \zeta(\bar{\tau}_*, \bar{\mathbf{x}}_c))) + \mathcal{O}(\partial_i\partial_j\zeta_L(\bar{x})) \\ \bar{\zeta}_L(\bar{x}) &= \mathcal{H}(C_{\Delta\tau}(\bar{\mathbf{x}}_c) - \bar{\tau}_*C_{\Delta a}(\bar{\mathbf{x}}_c)) + \mathcal{O}(\partial_i\partial_j\zeta_L(\bar{x})). \end{aligned} \quad (6.181)$$

The above expressions correspond to the curvature of long modes in the CFC around the central geodesic. It is worth noting the consistency of this result since the physical  $\zeta_L$  has been entirely removed up to second order in  $\zeta$  via coordinate transformation modulo fields that depend on USR or SR condition. Remarkably, this result generalizes the expression (6)

in the early work [5], though a full and explicit demonstration, in which not only a spatial diffeomorphism is considered, but also generic one that is extended to the time coordinate.

Despite having analytical expressions for both short and long modes, we have not imposed any condition on the residual fields  $C_{\Delta\tau}(\bar{\mathbf{x}}_c), C_{\Delta a}(\bar{\mathbf{x}}_c)$ , which are just a combination of time-independent fields that came from integrating the equations for the scale factor  $a_F$  and the time shift  $\xi^0$ , these quantities are determined by the condition that an inertial observer can not notice the gravitational background from long wavelengths  $\zeta_L$ , that is to say, in the CFC frame the metric must be Minkowski, with their conformal Christoffel symbols (and their gradients) equals to zero, which is the mathematically equivalent to the statement that metric corrections start at quadratic order in conformal Riemann tensor. Given this condition, long modes vanish along the central geodesic  $\bar{\zeta}_L(\bar{\tau}, \bar{\mathbf{x}}) = 0$ , this implies that in order to remove them completely up to second order inside the patch we require:

$$C_{\Delta\tau}(\bar{\mathbf{x}}_c) - \bar{\tau}_* C_{\Delta a}(\bar{\mathbf{x}}_c) = 0, \quad (6.182)$$

thus,

$$\bar{\zeta}_L(\bar{\mathbf{x}}) = \mathcal{O}(\bar{\mathbf{x}}^i \bar{\mathbf{x}}^j \partial_i \partial_j \zeta_L(\bar{\mathbf{x}}_c)) = \text{'curvature and tidal effects'}. \quad (6.183)$$

In effect, the long wavelength modes are subtracted completely up to second order in derivatives, inside the patch, which is one of the results of [5]. Additionally, the above physical requirement imposes constraints on the time shift and the local scale factor (6.186) that acquire the simple form:

$$\Delta\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau}(\zeta_L(\bar{\tau}, \bar{\mathbf{x}}) - \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}})) + \bar{\tau}(C_{\Delta a}(\bar{\mathbf{x}}_c) + \bar{\mathbf{x}}^i \partial_i C_{\mathcal{F}}(\bar{\mathbf{x}}_c)) \quad (6.184)$$

$$a_F(\bar{\tau}) = a(\bar{\tau})(1 + \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) - \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}}_c) + C_{\Delta a}(\bar{\mathbf{x}}_c)), \quad (6.185)$$

where we used that the argument function change,  $\mathbf{x} \rightarrow \bar{\mathbf{x}}$ , induces an irrelevant higher order correction. The above expression is a reduction of Eq. (6.162) and (6.155) after the induced flatness condition for the CFC frame and the remaining residual field  $C_{\Delta a}(\bar{\mathbf{x}})$  inside in both of them, depends on the single field background, specifically on the property whether its is attractor or not. For attractor inflation it is known that the superhorizon modes 'freezes' or they do not experience a considerable growth, then  $\zeta_L(\bar{\tau}, \bar{\mathbf{x}}) \approx \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}})$ , therefore for fixing the CFC completely only  $C_{\Delta a}(\bar{\mathbf{x}}_c)$  needs to be set. We observe the remaining term for attractor inflation just correspond to a constant shift in the magnitude of the scale factor, therefore, it does not play any role, so it can be chosen such that,  $C_{\Delta a}(\bar{\mathbf{x}}) = C_{\mathcal{F}}(\bar{\mathbf{x}}) = 0$ , in consequence:

$$a_F(\bar{\tau}) = a(\tau), \quad \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau} \mathcal{O}(\partial_i \partial_j \zeta_L(\bar{\mathbf{x}}_c)), \quad (6.186)$$

for single field inflationary attractor models. This result have been obtained in [4] [5] [123]. On the other hand, for non-attractor inflation one can set  $C_{\Delta a}(\bar{\mathbf{x}}) = \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}})$  in order to eliminate gauge mode  $\zeta_L(\bar{\tau}_*, \bar{\mathbf{x}})$  (or any arbitrariness in the choice of  $\tau_*$ ), then

$$a_F(\bar{\tau}) = a(\bar{\tau})(1 + \zeta_L(\bar{\tau}, \bar{\mathbf{x}})), \quad \Delta\tau(\bar{\tau}, \bar{\mathbf{x}}) = \bar{\tau} \zeta_L(\bar{\tau}, \bar{\mathbf{x}}) + \bar{\tau} \mathcal{O}(\partial_i \partial_j \zeta_L(\bar{\mathbf{x}}_c)), \quad (6.187)$$

as a consequence, it is obtained  $C_{\Delta a}(\bar{\mathbf{x}}) = C_{\mathcal{F}}(\bar{\mathbf{x}}) = C_{\Delta\tau}(\bar{\mathbf{x}})/\bar{\tau}_* = \zeta_L(\bar{\tau}_*, \bar{\mathbf{x}})$ , for attractor and non-attractor inflation due to  $\zeta_L$  removal constraint. This was the last choice for residual field setting associated to the time integration of the three differential equations along this computations. This result resembles Eq. (17) in [5] as a suggestion for "non-trivial backgrounds".

## Second order map reconstruction

Until now we have computed the CFC expansion map recursively up to first order; nevertheless, it is also worth compute this expansion up to second order to incorporate higher order corrections in correlations function, but also the potential underlying non-linear symmetries behind this coordinate transformation. These corrective terms are reached by solving recursively the spatial-like geodesic equations which are contained in the geodesic congruence at a fixed global time in a locality around the central geodesic. Let start with the infinitesimal coordinate transformation (6.128):

$$\tau = \bar{\tau} + \xi_L^0(\bar{\tau}, \bar{\mathbf{x}}), \quad x^i = \bar{x}^i + \xi_L^i(\bar{\tau}, \bar{\mathbf{x}}), \quad (6.188)$$

the time and spatial shift can be expanded according to their spatial coordinate order around  $\bar{\mathbf{x}}_c = 0$

$$\xi_L^\mu(\bar{\tau}, \bar{\mathbf{x}}) = \xi_L^{\mu(0)}(\bar{\tau}, \bar{\mathbf{x}}_c) + \xi_L^{\mu(1)}(\bar{\tau}, \bar{\mathbf{x}}) + \xi_L^{\mu(2)}(\bar{\tau}, \bar{\mathbf{x}}) + \dots \quad (6.189)$$

For attractor inflation, both scale factors are the same (6.186), therefore, the conformal

	$\Gamma(\eta_{\mu\nu} + h_{\mu\nu})$	$C(a_F^{-1}) + C(a)$
$\bar{\Gamma}_{ij}^k$	$-\partial^k \zeta_L \delta_{ij} + \partial_i \zeta_L \delta_j^k + \partial_j \zeta_L \delta_i^k$	$\mathcal{H}(-F^k \delta_{ij} + F_i \delta_j^k + F_j \delta_i^k)$
$\bar{\Gamma}_{ij}^0$	$\partial_0 \zeta_L \delta_{ij} - \frac{1}{2}(\partial_i N_j + \partial_j N_i)$	$-(\partial_0 \zeta_L + \partial_m V^m / 3) \delta_{ij}$

Table 6.1: In this table we collect the relevant CFC conformal metric Christoffel coefficients from [4]. We separate them into contributions from  $\eta_{\mu\nu} + h_{\mu\nu}$  and those from the scale factor  $a^2/a_F^2$ . The terms of the left(right) are useful for (non-)attractor models.

ratio in (6.157) is 1, as a consequence, the peculiar velocity potential  $\partial^k \mathcal{F} = 0$ , thus in this scenario, terms in the second column of table (6.1) do not contribute, so their contracted Christoffel symbols  $\bar{\Gamma}_{ij}^k(\bar{\tau}, \bar{\mathbf{x}}_c), \bar{\Gamma}_{ij}^0(\bar{\tau}, \bar{\mathbf{x}}_c)$  are:

$$\bar{\Gamma}_{ij}^k(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j = -\partial^k \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{\mathbf{x}}^2 + 2\bar{x}^i \partial_i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^k, \quad (6.190)$$

$$\bar{\Gamma}_{ij}^0(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j = -\bar{\tau} \partial_i \partial_j \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j. \quad (6.191)$$

Replacing in (6.128):

$$x^k(\bar{x}) = (1 - \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) - \bar{x}^i \partial_i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)) \bar{x}^k + \frac{1}{2} \partial^k \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{\mathbf{x}}^2, \quad (6.192)$$

resumming,

$$x^k(\bar{x}) = (1 - \zeta_L(\bar{\tau}, \bar{\mathbf{x}})) \bar{x}^k + \frac{1}{2} \partial^k \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{\mathbf{x}}^2, \quad (6.193)$$

where in the second line the long mode gradient was re-summed. Notice that the above expression evidently displays the local absorption of long wavelength modes in the spatial CFC. Moreover, and remarkably, the shape of the second order expansion of (6.193) (6.194) terms are compatible with terms generated by dilation and SCT transformations [32], [29], [30] in the comoving gauge when the dilation parameter is taken as  $\lambda = \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)$  and the symmetry generator vector  $b^i = \partial^i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)$ . Finally, resumming the time shift terms, we get

$$\tau(\bar{x}) = \bar{\tau} \left( 1 + \frac{1}{2} \partial_i \partial_j \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j \right). \quad (6.194)$$



In this case, the inertial observer's clock is *synchronized only at the central geodesics* and increases quadratically as the Hessian of  $\zeta_L$  for nearby points, which can be completely be ignored ( $k_L^2$  suppressed).

In contrast, for a non-attractor single field scenario, the scale factor defined in the CFC is different from the defined in global coordinates, thus its ratio,  $a_F/a$ , is different from the unity, therefore  $\partial^k \mathcal{F}$  has to be considered. Using the second column of table (6.1), one sees that the Christoffel symbols related to the spatial coordinate vanishes whereas time do not,

$$\bar{\Gamma}_{ij}^k(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j = (-\partial^k \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{\mathbf{x}}^2 + 2\bar{\mathbf{x}}^i \partial_i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{\mathbf{x}}^k) \quad (6.195)$$

$$- (-\partial^k \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{\mathbf{x}}^2 + 2\bar{\mathbf{x}}^i \partial_i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{\mathbf{x}}^k) = 0$$

$$\bar{\Gamma}_{ij}^0(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j = -\bar{\tau} \partial_i \partial_j \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j, \quad (6.196)$$

gathering time shift terms altogether, one notices that a resummation is possible again:

$$\tau(\bar{x}) = \bar{\tau}(1 + \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) + \bar{x}^i \partial_i \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) + \frac{1}{2} \partial_i \partial_j \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \bar{x}^j), \quad (6.197)$$

therefore, global coordinates projected onto CFC reads,

$$\tau(\bar{x}) = \bar{\tau}(1 + \zeta_L(\bar{\tau}, \bar{\mathbf{x}})), \quad x^i(\bar{x}) = \bar{x}^i. \quad (6.198)$$

The above terms are analog to the expressions (6.193) (6.194), but long modes have been absorbed in the time coordinate instead. In consequence, one can obtain a new scale factor for this inflationary scenario as a first order mode expansion induced by the new time foliation,

$$a(\tau) = a(\tau(\bar{x})) = a(\bar{\tau} + \bar{\tau} \zeta_L(\bar{\tau}, \bar{\mathbf{x}})) = a(\bar{\tau})(1 + \mathcal{H} \bar{\tau} \zeta_L(\bar{\tau}, \bar{\mathbf{x}})) = a(\bar{\tau})(1 - \zeta_L(\bar{\tau}, \bar{\mathbf{x}})). \quad (6.199)$$

Comparing with (6.187) we recognize:

$$a_F(\bar{\tau}) = a(\bar{\tau}) = a(\tau)(1 + \zeta_L(x)). \quad (6.200)$$

This corresponds to the same scale factor as a function of the proper time, and one can see that it can be bigger or smaller depending on the sign of the induced time shift. Finally, it is worth mentioning that due to the smallness coordinate shift, maps (6.193) (6.194) (6.198) are easily invertible by just changing the function argument  $\bar{x} \rightarrow x$ , but most importantly, these type diffeomorphisms are useful to deduce correlation functions through shift symmetries [35] [130]. In previous sections, we explicitly showed the mapping for both attractor and non-attractor scenarios. The physical interpretation of the induced CFC is different for each type of inflationary model. For non-attractor inflation, it is better redefine the comoving time slice for one that a inertial observed experiences, since long modes keep evolving in time, so they effectively can be absorbed in another time varying function such as the scale factor as a suitable option leaving the spatial map intact. Schematically, if we start with a perturbed universe:  $ds^2 = a^2(\tau) [-(d\tau)^2 + (1 + 2\zeta_L(x))(d\mathbf{x})^2]$ . This can be arranged to  $ds^2 = a^2(\tau)(1 + 2\zeta_L(x)) [-(1 - 2\zeta_L(x))(d\tau)^2 + (d\mathbf{x})^2]$  as the same manner for attractor case, moreover, one can locally absorb long wavelength modes around  $\mathbf{x}_c$  by defining a new time foliation,  $d\bar{\tau} = (1 - \zeta_L(x))d\tau$ , implying, the scale factor transforms under this time shift as,  $a(\tau + \Delta\tau) = a(\tau)(1 + \mathcal{H}\Delta\tau)$ , this implies that  $a(\tau(x)) = a(\bar{\tau})(1 - \zeta_L(\bar{x}))$ . Therefore, if

	Attractor	Non-attractor
$\xi_L^{0(0)}(\bar{\tau}, \bar{\mathbf{x}})$	0	$\bar{\tau}\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)$
$\xi_L^{0(1)}(\bar{\tau}, \bar{\mathbf{x}})$	0	$\bar{\tau}\partial_i\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{x}^i$
$\xi_L^{0(2)}(\bar{\tau}, \bar{\mathbf{x}})$	$\bar{\tau}\frac{1}{2}(\partial_i\partial_j\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c))\bar{x}^i\bar{x}^j$	$\bar{\tau}\frac{1}{2}(\partial_i\partial_j\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c))\bar{x}^i\bar{x}^j$
$\xi_L^{i(0)}(\bar{\tau}, \bar{\mathbf{x}})$	0	0
$\xi_L^{i(1)}(\bar{\tau}, \bar{\mathbf{x}})$	$-\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{x}^i$	0
$\xi_L^{i(2)}(\bar{\tau}, \bar{\mathbf{x}})$	$-\partial_j\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{x}^i\bar{x}^j + \frac{1}{2}\partial^i\zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c)\bar{\mathbf{x}}^2$	0
$a_F(\bar{\tau})$	$a(\tau)$	$a(\bar{\tau})$
$(\tilde{e}_0)^\mu$	$(1 - \partial_0\zeta_L/\mathcal{H}, V^i)$	$(1 + \zeta_L - \partial_0\zeta_L/\mathcal{H}, V^i)$
$(\tilde{e}_j)^\mu$	$(-\partial_j\zeta_L/\mathcal{H}, (1 - \zeta_L)\delta_j^i)$	$(-\partial_j\zeta_L/\mathcal{H}, \delta_j^i)$

Table 6.2: Results: this is a summary of the derived perturbative quantities for the CFC construction evaluated at the central geodesic. All the geometrical objects of interest are displayed here; in particular, notice the tetrad vectors for both types of scenarios in which is the unobservable long modes have been absorbed either in the spatial components or the temporal components. In the last two lines the coordinates  $(\bar{\tau}, \bar{\mathbf{x}}_c)$  was omitted for brevity.

we ignore  $\mathcal{O}(\zeta_L^2)$  corrections the line element in this new set of coordinates becomes  $ds^2 = a^2(\bar{\tau})[-(d\bar{\tau})^2 + (d\bar{\mathbf{x}})^2]$ , or in other words conformally flat.

On the other hand, when inflation has reached an attractor phase(or simply attractor scenario) the modes superhorizon modes change their time behaviour and finally freeze thus *conserved*. Hence, the suitable alternative is a spatial diffeomorphism keeping the time component intact,  $d\tau = d\bar{\tau}$ . Qualitatively, if we start with a perturbed metric:  $ds^2 = a^2(\tau)[-(d\tau)^2 + (1 + 2\zeta_L(x))(d\mathbf{x})^2]$  then the long wavelength perturbations can be absorbed by defining a new spatial coordinate, such as  $d\bar{\mathbf{x}} = (1 + \zeta_L(x))d\mathbf{x}$ . In consequence, the metric becomes conformally flat,  $ds^2 = a^2(\bar{\tau})[-(d\bar{\tau})^2 + (d\bar{\mathbf{x}})^2]$ .

## 6.7 Short Modes Power Spectrum

Given the last expression for the coordinate time shift, now we know an analytic expression for short modes in CFC, using this, we proceed to compute the correlation function short modes.

$$\begin{aligned}
\langle \bar{\zeta}_s(\bar{x}_2)\bar{\zeta}_s(\bar{x}_1) \rangle &= \left\langle \left[ \zeta_s(\bar{x}_1) + \frac{1}{3}N_s^i(x(\bar{x}_1))\partial_i\xi_L^0(\bar{x}_1) \right] \left[ \zeta_s(\bar{x}_2) + \frac{1}{3}N_s^i(x(\bar{x}_2))\partial_i\xi_L^0(\bar{x}_2) \right] \right\rangle \\
&= \langle \zeta_s(x_2)\zeta_s(x_1) \rangle + \frac{1}{3} \langle \zeta_s(x_1)N_s^i(x(\bar{x}_2)) \rangle \partial_i\xi_L^0(\bar{x}_2) + \frac{1}{3} \langle \zeta_s(x_2)N_s^i(x(\bar{x}_1)) \rangle \partial_i\xi_L^0(\bar{x}_1) + \dots \\
&= \langle \zeta_s(x_2)\zeta_s(x_1) \rangle + \frac{1}{3} \langle \zeta_s(x_1)N_s^i(x(\bar{x}_2)) \rangle F_i(\bar{\tau}, \bar{x}_c) + \frac{1}{3} \langle \zeta_s(x_2)N_s^i(x(\bar{x}_1)) \rangle F_i(\bar{\tau}, \bar{x}_c) + \dots \\
&= \langle \zeta_s\zeta_s \rangle(\tau, \mathbf{r}) + \frac{1}{3}F_i(\bar{\tau}, \bar{\mathbf{x}}_c) \langle \zeta_s N_s^i \rangle(\tau, -\mathbf{r}) + \frac{1}{3}F_i(\bar{\tau}, \bar{\mathbf{x}}_c) \langle \zeta_s N_s^i \rangle(\tau, \mathbf{r}) + \dots
\end{aligned} \tag{6.201}$$

The time shift in the comoving gauge has the form:  $N^i = \partial^i \psi = \partial^i \left( -\frac{\zeta}{\mathcal{H}} + \varepsilon \partial^{-2} \partial_0 \zeta \right)$ , then, its correlator with the short modes is

$$\begin{aligned} \langle \zeta_s(\mathbf{x}_1) N_s^i(\mathbf{x}_2) \rangle &= \frac{1}{(2\pi)^3} \int d^3 k e^{i\mathbf{k}(\mathbf{x}_1 - \mathbf{x}_2)} i k^i \zeta(\mathbf{k}) \psi(\mathbf{k}) \\ &= -\frac{1}{(2\pi)^3} \int d^3 k e^{i\mathbf{k}(\mathbf{x}_2 - \mathbf{x}_1)} i k^i \zeta(\mathbf{k}) \psi(\mathbf{k}) \\ &= -\langle \zeta_s(\mathbf{x}_2) N_s^i(\mathbf{x}_1) \rangle, \end{aligned} \quad (6.202)$$

which implies the parity property  $\langle \zeta_s N_s^i \rangle(-\mathbf{r}) = -\langle \zeta_s N_s^i \rangle(\mathbf{r})$ . Therefore, the two last term of (6.201) cancel each other exactly, as a consequence, the correlation function for short modes transform effectively as a scalar field for both SR and USR inflationary models under a small induced diffeomorphism:

$$\langle \bar{\zeta}_s(\bar{x}_2) \bar{\zeta}_s(\bar{x}_1) \rangle = \langle \zeta_s(x_2) \zeta_s(x_1) \rangle = \langle \zeta_s \zeta_s \rangle(\tau, \mathbf{r}), \quad (6.203)$$

more explicitly, by expanding the r.h.s. of (6.203):

$$\langle \zeta_s \zeta_s \rangle(\tau, \mathbf{r}) = \langle \zeta_s \zeta_s \rangle(\bar{\tau}, \bar{\mathbf{r}}) + \xi^\mu(\bar{\tau}, \bar{\mathbf{r}}) \partial_\mu \langle \zeta_s \zeta_s \rangle(\bar{\tau}, \bar{\mathbf{r}}) \quad (6.204)$$

where the global coordinates were expanded in terms of CFC  $r^i = \bar{r}^i + \xi^i(\bar{\tau}, \bar{\mathbf{r}})$ ,  $\tau = \bar{\tau} + \xi^0(\bar{\tau}, \bar{\mathbf{r}})$ . Moreover, it is useful expand the coordinate shifts order by order, this separation allows us visualize each contribution of CFC coordinate expansion in a clear manner,

$$\begin{aligned} \langle \bar{\zeta}_s \bar{\zeta}_s \rangle(\bar{\mathbf{r}}) &= \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}) + \xi_L^0(\bar{\tau}, \bar{\mathbf{r}}) \partial_0 \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}) + \xi_L^i(\bar{\tau}, \bar{\mathbf{r}}) \partial_i \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}) \\ &= \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{x}}) + (\xi_L^{0(0)}(\bar{\tau}, \bar{\mathbf{r}}) + \xi_L^{0(1)}(\bar{\tau}, \bar{\mathbf{r}})) \partial_0 \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}) \\ &\quad + (\xi_L^{i(0)}(\bar{\tau}, \bar{\mathbf{r}}) + \xi_L^{i(1)}(\bar{\tau}, \bar{\mathbf{r}})) \partial_i \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}). \end{aligned}$$

With this expression on hand, let's look at attractor inflation, as we have seen  $\xi^0 = 0$ , and keeping the first order terms, the 2-pt correlation function reads,

$$\langle \bar{\zeta}_s \bar{\zeta}_s \rangle(\bar{\mathbf{r}}) = \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}) + \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \bar{x}^i \partial_i \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}), \quad (6.205)$$

Fourier transforming this expression, we get

$$\bar{P}(\bar{\tau}, \bar{k}_s) = \left( 1 + \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \frac{\partial \ln(k_s^3 P(\bar{\tau}, \bar{k}_s))}{\partial \ln k_s} \right) P(\bar{\tau}, \bar{k}_s). \quad (6.206)$$

On the other hand, for non-attractor inflation, it has been found that the spatial shift is  $\xi^i = 0$ , thus for (6.203) the time shift accounts. Replacing the first order contribution from (6.197) into (6.203)

$$\langle \bar{\zeta}_s \bar{\zeta}_s \rangle(\bar{\mathbf{r}}) = \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}) + \bar{\tau} \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \partial_0 \langle \zeta_s \zeta_s \rangle(\bar{\mathbf{r}}), \quad (6.207)$$

finally, Fourier transforming the short modes:

$$\bar{P}(\bar{\tau}, \bar{k}_s) = \left( 1 + \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \frac{\partial \ln P(\bar{\tau}, \bar{k}_s)}{\partial \ln \bar{\tau}} \right) P(\bar{\tau}, \bar{k}_s). \quad (6.208)$$

Equations 6.203 and 6.208 are the power spectrum for the aforementioned scenarios in CFC; and it can be observed they contain first order **one realization effects** from IR physics. We will discuss their origin in the conclusions.

## 6.8 Bispectrum Squeezed Limit

### 6.8.1 Observed $f_{NL}$ in single field Attractor models

It is known that the squeezed limit of the three-point function is understood as the correlation between a long mode that modulates 2-point correlation function in Fourier space, the so called *background wave argument*. In the absence of modulation, the 2-point function does not experience any contribution from longer modes and thus remains the same. It is worth mentioning that in this limit for single field inflation only gravitational interactions matters, therefore, this limit is model independent; moreover, their quadratic corrections start at the second order as  $k_L^2/k_s^2$  and they are a model dependent [101] [103]. Thus in the limit  $k_3 \rightarrow 0$ , we know:

$$\lim_{k_3 \rightarrow 0} (2\pi)^3 \delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B(k_1, k_2, k_3) = \langle \zeta(\mathbf{k}_3) \langle \zeta(\mathbf{k}_1) \zeta(\mathbf{k}_2) \rangle \rangle \quad (6.209)$$

and this result is valid at any time during inflation. Particularly, for single field slow roll inflation, it is well known they satisfy the well know Maldacena's consistency relation [28]:

$$\lim_{k_3 \rightarrow 0} B_{\zeta\zeta\zeta}(k_1, k_2, k_3) = -(n_s - 1)P(k_3)P(k_1) \quad (6.210)$$

where the  $P(k)$  correspond to the power spectrum of a determined model, that could depend for example, the speed of sound, and  $n_s$  correspond to the spectral index of the scalar power spectrum. From CFC construction we know that the power spectrum receives long mode contributions, which first order correction correspond to a dilatation by a factor  $\zeta_L$ :

$$\bar{P}(\bar{\tau}, \bar{k}_s) = P(\bar{k}_s) + \zeta_L(\bar{\tau}, \bar{\mathbf{x}}_c) \frac{\partial \ln(k_s^3 P(\bar{k}_s))}{\partial \ln \bar{k}_s} P(\bar{k}_s), \quad (6.211)$$

by using (6.206), the squeezed limit of the bispectrum in the CFC:

$$\lim_{k_L \rightarrow 0} B_{\zeta\zeta\zeta}(\bar{k}_L, \bar{k}_1, \bar{k}_2) = \lim_{k_L \rightarrow 0} B_{\zeta\zeta\zeta}(\bar{k}_L, \bar{k}_1, \bar{k}_2) + \frac{\partial \ln(\bar{k}_s^3 P(\bar{k}_s))}{\partial \ln k_s} P(\bar{k}_L) P(\bar{k}_s) \quad (6.212)$$

$$= -(n_s - 1)P(\bar{k}_L)P(\bar{k}_s) + (n_s - 1)P(\bar{k}_L)P(\bar{k}_s) \quad (6.213)$$

thus,

$$\lim_{k_L \rightarrow 0} B_{\zeta\zeta\zeta}(\bar{k}_L, \bar{k}_1, \bar{k}_2) = 0. \quad (6.214)$$

As a result, in the CFC frame the superhorizon long modes do not couple with short modes, or in simple words they do not interact gravitationally, as a consequence, a free-falling observer measures a vanishing  $f_{NL}$ , as dictate the **principle of equivalence**.

### 6.8.2 Observed $f_{NL}$ in Single Field Non-attractor Models

For single field non-attractor models, the situation is quite similar to attractor models; a longer mode modulates the short scale physics changing the effective background. In this scenario, the squeezed limit of the three-point function is radically different; it violates the Maldacena's consistency relation for canonical single field inflation, producing a local non-gaussianity with magnitude  $f_{NL} = 5/2$  [35, 120, 121, 130]. However, recent works have shown a suppression of the latest for a smooth transition by a factor  $e^{-\Delta N}$ , where  $\Delta N$  means the

duration of the transition between the non-attractor to the attractor phase in terms of the e-folding [131]. Our interest is a non-attractor phase, so we consider the former. For this scenario, the squeezed limit for canonical single field reads:

$$\lim_{k_L \rightarrow 0} B_{\bar{\zeta}\bar{\zeta}\bar{\zeta}}(\bar{k}_L, \bar{k}_1, \bar{k}_2) = 6P(\bar{k}_L)P(\bar{k}_s) \quad (6.215)$$

Now considering (6.208), and the fact that  $\zeta$ , the squeezed limit of the bispectrum in the CFC frame:

$$\lim_{k_L \rightarrow 0} B_{\bar{\zeta}\bar{\zeta}\bar{\zeta}}(\bar{k}_L, \bar{k}_1, \bar{k}_2) = B_{\zeta\zeta\zeta}(\bar{k}_L, \bar{k}_1, \bar{k}_2) + \frac{\partial \ln P(\bar{\tau}, \bar{k}_s)}{\partial \ln \bar{\tau}} P(\bar{k}_L)P(\bar{k}_s) \quad (6.216)$$

$$= 6P(\bar{k}_L)P(\bar{k}_s) - 6P(\bar{k}_L)P(\bar{k}_s) \quad (6.217)$$

thus,

$$\lim_{k_L \rightarrow 0} B_{\bar{\zeta}\bar{\zeta}\bar{\zeta}}(\bar{k}_L, \bar{k}_1, \bar{k}_2) = 0. \quad (6.218)$$

Thus for both scenarios, the result is the same: superhorizon physics do not affect shorter scales for an inertial observer, independently of the underlying background behavior. The interpretation of this result is elucidated by remembering the meaning of Conformal Fermi Coordinates, that is to say, **equivalence principle** guarantees that always exists sufficient small vicinity in which an inertial observer describe the spacetime as it were flat, that is to say, Minkowski, erasing in this way any small global curvature, in this footing these results are protected by the **local flatness theorem**.



# Chapter 7

## Window Functions and Deconvolution Method for The Cosmology Large Angular Scale Surveyor(CLASS) Telescope

Precision cosmology not only is gestated in constructing more telescope with thousands of detectors with high responsivity but also, two additional endeavors that are equally important: First, answering questions of what does it mean a measurement in cosmology. Second, how systematic errors are understood and modeled. In this chapter, we will address the later by understanding one of the sources of systematic errors, the beams.

### 7.1 Beams and Window Functions

Knowledge of the beam profiles is of critical importance for interpreting data from CMB experiments. In algorithms for recovering the CMB angular power spectrum from a map, the output angular power spectrum is divided by the window function to reveal the intrinsic angular power spectrum of the sky. Thus, the main beam and its transform (response function) directly affect cosmological analyses. Typically, the beam must be mapped to less than 30 dB of the peak to achieve 1% accuracy on the angular power spectrum. The CLASS 40GHz calibration is done entirely with the Moon profile, which fills the main lobes and side-lobes. For most other CMB experiments, insufficient knowledge of the beams affects both the calibration and window function.

Although it is traditional, and often acceptable, to parameterize beams with a single one or two-dimensional Gaussian form, such an approximation is not useful for CLASS. This is because at the level to which the beams must be characterized, they are intrinsically non-Gaussian. Moreover, the CLASS beams can be treated as azimuthally symmetric because each pixel is observed with multiple orientations. The symmetric beam approximation allows us avoid many of complications associated with asymmetric beams.

Figure 7.1 shows the beams in profile after symmetrization. In the Moon analysis, the data is binned into pixels generating a map of approximately 20 x 20 degrees and then is azimuthally averaged in rings of width  $\sim 0.1$ (degrees). Due to noise, the maximum value in a map is often not on the best symmetry axis, though it is generally within 1 pixel of it. The symmetrized beam has the same solid angle as the raw beam to  $< 0.3\%$ . The symmetrized beam normalized with respect to its solid angle is often denoted  $b(\theta)$ . In the following we discuss how  $b(\theta)$  is parameterized by using the Hermite functions, how the window functions are computed, and how the uncertainties in the window functions are propagated through to the CMB angular power spectrum. The notation is summarized in Table (7.1).

Table 7.1: Notation

Symbol	Description
$\Omega_B$ .....	Beam solid angle
$\sigma_B$ .....	Width of a Gaussian beam
FWHM .....	Full width at half maximum
$B(\theta)$ .....	Beam profile normalized with $\int B(\theta)d\Omega = 1$
$b(\theta)$ .....	Beam normalized to unity at $\theta = 0$
$B_l$ .....	Beam response function of $B(\theta)$
$b_l$ .....	Beam response function normalized to unity at $l = 0$
$w_l^{TT}$ .....	Temperature Window function normalized to unity at $l = 0$
$\Sigma_{B,l'}$ .....	Beam covariance matrix for $B_l$
$\Sigma_{b,l'}$ .....	Beam covariance matrix for $b_l$
$\Sigma_{w,l'}$ .....	Temperature Window function covariance matrix

Mathematically the beam is a kernel used to convolved the sky. In other words the measured temperature anisotropy is different from the true sky. Qualitatively the beam function acts as a low pass filter for the angular multipoles. Usually the multipoles  $l = 0$  or  $l = 1$  receives the true value because larger scales are unaffected. Particularly if an infinite resolution experiment were constructed the beam would be nothing but the delta function defined on the 2-sphere and the respective window function would be the unity. For intermediate resolution it is known that the wider the beam, narrower the window function. This behavior can be captured by the simplest model: an azimuthally symmetric Gaussian beam

$$B(\theta) = \exp\left(-\frac{1}{2}\frac{\theta^2}{\sigma^2}\right). \quad (7.1)$$

The polar angle  $\theta$  is defined relative to the line of sight and  $\sigma$  the gaussian width it is common in the literature to define an equivalent quantity for the beam width called the full width at half maximum (FWHM), which is the angular separation at which Eq. 7.1 reaches 0.5 value

$$\theta_{\text{FWHM}} = \sqrt{8 \ln 2} \sigma. \quad (7.2)$$

Moreover, we can define the gaussian beam normalized by its solid angle,  $\Omega_B = \int d\Omega B(\theta)$ :

$$b(\theta) = \frac{1}{\Omega_B} \exp\left(-\frac{1}{2}\frac{\theta^2}{\sigma^2}\right). \quad (7.3)$$



This beam can be expanded in terms of spherical harmonics,

$$b(\theta) = \sum_{l=0}^{l_{max}} \sum_m \sqrt{\frac{(2l+1)}{4\pi}} b_{lm} Y_{lm}(\theta, \phi), \quad (7.4)$$

with the expansion coefficient given by the projection:

$$b_{lm} = \sqrt{\frac{4\pi}{(2l+1)}} \int d\Omega b(\theta, \phi) Y_{lm}^*(\theta, \phi). \quad (7.5)$$

Since  $b(\theta)$  does not depend on  $\phi$ , the unique modes with non vanishing contribution are the modes  $m = 0$ ,  $b_{lm} = b_l \delta_{0m}$ :

$$b(\theta) = \sum_{l=0} b_l Y_{l0}(\theta, \phi), \quad \text{with } b_l = \int d\Omega b(\theta) P_l(\cos \theta) \quad (7.6)$$

the  $b_l$ 's approximately are,

$$b_l = \exp\left(-\frac{l(l+1)}{2} \sigma_b^2\right). \quad (7.7)$$

The above expression defines the beam response function. Additionally, we can define similar quantities for polarization. For perfectly co-polar beam and assuming fully polarized detectors, with no sensitivity to circular polarization. The beam  $Q$  and  $U$  Stokes parameter can be obtained from the azimuthally symmetric beam as

$$Q_b \pm iU_b = -b(\theta) e^{\pm 2i\phi}. \quad (7.8)$$

This can be expanded:

$$Q_b \pm iU_b = - \sum_{lm} \sqrt{\frac{2l+1}{4\pi}} (b_{lm}^E \mp i b_{lm}^B) {}_{\mp 2} Y_{lm}(\theta, \phi) \quad (7.9)$$

as a spin-2 field, which can be represented in spherical harmonic of spin-2,  ${}_{\pm 2} Y_{lm}$ . In the case of a fully azimuthally symmetric beam [132], its harmonic spin-2 representation reduces to

$$b_l^P = -(8\pi) \frac{(l-2)!}{(l+2)!} \int d\theta \sin \theta b(\theta) {}_{-2} P_l^2(\cos \theta) \quad (7.10)$$

where the supercripts label  $P = E, B$ , and  ${}_{-2} P_l^2$  is a Legendre polynomial of spin-2. As a main feature, its harmonic representation starts from  $l = 2$ , since the  $l = 0, 1$  are erased when the rising and lowering operators of spin  $\pm 2$  acts over  $Y_l^m$  [132]. For an azimuthally symmetric beam:

$$b_l^P = \exp\left(-\frac{(l(l+1)-4)}{2} \sigma_b^2\right). \quad (7.11)$$

Beams in CMB analysis mathematically correspond to a kernel defined on the 2-sphere, acting on the true sky as

$$\tilde{T}(\hat{n}_2) = \int d\Omega_1 b(\hat{n}_2, \hat{n}_1) T(\hat{n}_1) \quad (7.12)$$

where  $\tilde{T}$  is the measured temperature in the direction  $\hat{n}_2$ . Receiving temperature weighted contributions from  $\hat{n}_1$  and noise has not been included. The importance of the beam in the analysis is that it weights the true power spectrum on the sky. Let us suppose we have a generic scalar field defined on the 2-sphere as the CMB temperature fluctuations, this field can be decomposed into the spherical harmonic basis:

$$T(\hat{n}_1) = \sum_{lm} T_{lm} Y_{lm}(\hat{n}_1), \quad (7.13)$$

or compactly, in a coordinate free way:

$$|T\rangle = \sum_{lm} T_{lm} |lm\rangle \quad (7.14)$$

In the same manner the beam as a kernel in Eq.(7.12) is decomposed as

$$b(\hat{n}_2, \hat{n}_1) = \sum_{l_1 l_2} \sum_{m_1 m_2} b_{l_2 m_2 l_1 m_1} Y_{l_2 m_2}^*(\hat{n}_2) Y_{l_1 m_1}(\hat{n}_1) \quad (7.15)$$

where  $\hat{n}_1, \hat{n}_2$  are two sky direction and  $b_{l_2 m_2 l_1 m_1}$  are the components of the beam matrix that reduces to  $b_{l_1} \delta_{l_1 l_2} \delta_{m_1 m_2}$  for symmetric beams. It is useful to define the beam operator as:

$$\hat{b} = \sum_{l_1 l_2} \sum_{m_1 m_2} b_{l_2 m_2 l_1 m_1} |l_2 m_2\rangle \langle l_1 m_1| \quad (7.16)$$

since it reduces an analytic expression to an algebraic one. The convolution Eq.(7.12) is clearer

$$|\tilde{T}\rangle = \hat{b}|T\rangle = \sum_{l_1 l_2} \sum_{m_1 m_2} b_{l_2 m_2 l_1 m_1} T_{l_1 m_1} |l_2 m_2\rangle$$

where  $\tilde{T}$  is the convolved temperature vector. The coordinate free representation is simpler to manipulate than the real space convolution (7.12), but the real space convolution is more intuitive. For example a convolution is. Projecting in the real basis the Eq.(7.17) becomes

$$\tilde{T}(\hat{n}_2) = \sum_{l_1 l_2} \sum_{m_1 m_2} b_{l_2 m_2 l_1 m_1} T_{l_1 m_1} Y_{l_2 m_2}(\hat{n}_2). \quad (7.17)$$

In effect, we can identify easily the measured map coefficient  $\tilde{T}_{l_2 m_2}$  as:

$$\tilde{T}_{l_2 m_2} = \sum_{l_1 m_1} b_{l_2 m_2 l_1 m_1} T_{l_1 m_1}. \quad (7.18)$$

With this definition it easy to compute the power spectrum for temperature  $\langle \tilde{T} | \tilde{T} \rangle$ :

$$\langle \tilde{T} | \tilde{T} \rangle = \sum_{l'_1 l'_2} \sum_{m'_1 m'_2} \tilde{T}_{l'_2 m'_2} \tilde{T}_{l_2 m_2} \langle l'_2 m'_2 | l_2 m_2 \rangle = \sum_{l'_2 m'_2} \left( \tilde{T}_{l'_2 m'_2} \tilde{T}_{l_2 m_2} \right) \quad (7.19)$$

$$= \sum_{l'_2 m'_2} \left( \sum_{l'_1 l_1} \sum_{m'_1 m_1} b_{l'_2 m'_2 l'_1 m'_1}^* b_{l_2 m_2 l_1 m_1} T_{l'_1 m'_1} T_{l_1 m_1} \right), \quad (7.20)$$

identifying the coefficients as:

$$|\tilde{T}_{l_2 m_2'}|^2 = \sum_{l_1' l_1} \sum_{m_1' m_1} b_{l_2 m_2' l_1' m_1'}^* b_{l_2 m_2' l_1 m_1} T_{l_1' m_1'} T_{l_1 m_1} \quad (7.21)$$

Defining the ensemble average  $\tilde{C}_{l_2 m_2'} = \langle |\tilde{T}_{l_2 m_2'}|^2 \rangle$ :

$$\tilde{C}_{l_2 m_2'} = \sum_{l_1' l_1} \sum_{m_1' m_1} b_{l_2 m_2' l_1' m_1'}^* b_{l_2 m_2' l_1 m_1} C_{l_1'} \delta_{l_1' l_1} \delta_{m_1' m_1} = \sum_{l_1'} \sum_{m_1'} |b_{l_2 m_2' l_1' m_1'}|^2 C_{l_1'} \quad (7.22)$$

Notice that despite the fact that  $T_{lm}$ 's do not have any correlation in  $m$  and  $l$  the measured  $\tilde{T}_{lm}$ 's are not absent of correlation. In general, beam asymmetries induce  $m$ -dependence for the measured angular power spectrum  $\tilde{C}_{l m'}$ . Additionally, for estimating the primordial power spectrum we can take average over  $m$  and relabel  $l$  and  $m$ , therefore

$$\langle \tilde{C}_{l'} \rangle = \sum_l \frac{1}{(2l+1)} \sum_{mm'} |b_{l' m' l m}|^2 \langle C_l \rangle, \quad (7.23)$$

or compactly as

$$\langle \tilde{C}_{l'} \rangle = \sum_l M_{l'l} \langle C_l \rangle. \quad (7.24)$$

For a particular case in which matrix  $M_{l'l}$  is nothing but the window function for a symmetric beam,  $w_l \delta_{l'l}$ , ones gets:

$$\langle \tilde{C}_{l'} \rangle = w_{l'} \langle C_{l'} \rangle. \quad (7.25)$$

The above expression constitutes the relationship between the measured power spectra  $\tilde{C}_l$  and the true value  $C_l$ . The window function  $w_l$  in this case for temperature corresponds to the weights for different multipoles, therefore its understanding its critical for any CMB analysis.

## 7.2 Symmetric Moon Temperature Model

A measured moon map,  $\tilde{T}$ , can be modeled as the convolution between the moon as uniform disc  $T$  of an angular radius  $a$ , and a symmetric beam,  $B$ :

$$\tilde{T} = T * B + N, \quad (7.26)$$

where a small noise component  $N$  was added. This convolution can be represented in  $k$ -domain by applying the Fourier projector  $\mathcal{F}^{-1}(\mathcal{F}(\cdot))$  and using the Fourier representation of the uniform disc,  $2\pi a^2 J_1(ka)/ka$  with  $J_1(x)$  the Bessel function of the first kind; thus,

$$\tilde{T}(\theta, \phi) = \frac{a}{(2\pi)} \int_{\mathbb{R}^2} d\mathbf{k} e^{i\mathbf{k}\cdot\mathbf{x}} \frac{J_1(ka)}{k} B(k) + N(\theta, \phi). \quad (7.27)$$

It is suitable to use the rotational symmetry of the convolved signal by doing the following substitution:  $x = \theta \cos \phi$ ,  $y = \theta \sin \phi$ ; the identity  $\cos \xi \cos \phi + \sin \xi \sin \phi = \cos(\xi - \phi)$ ; and making a change of variables  $\xi - \phi = \psi$ . So,

$$\tilde{T}(\theta) = \frac{a}{(2\pi)} \int_0^\infty \int_0^{2\pi} dk d\psi e^{ik\theta \cos \psi} J_1(ka) B(k) + N(\theta, \phi). \quad (7.28)$$

Additionally, by using the integral representation of the zeroth order Bessel function of the first kind,  $2\pi J_0(z) = \int_0^{2\pi} d\psi e^{\pm iz \cos \psi}$  and taking the angular average of the noise  $\langle N(\mathbf{x}) \rangle_\phi = N(\theta)$ , then the observed temperature map is only a function of  $\theta$ :

$$\tilde{T}(\theta) = 2\pi a \int_0^\infty dk J_0(k\theta) J_1(ka) B_0(k) + N(\theta), \quad (7.29)$$

where  $B_0(k)$  is the 0-th Hankel transform of the beam defined by  $B_0(k) = \int_0^\infty d\theta \theta B(\theta) J_0(k\theta)$ . The above analytical expression is the model of the moon-beam convolution. Notice that it has been reduced from 2-dimensional convolution (two integrals) to a single 1-dimensional integral expression by using the rotational symmetry of both functions. This reduces the fitting computation process.

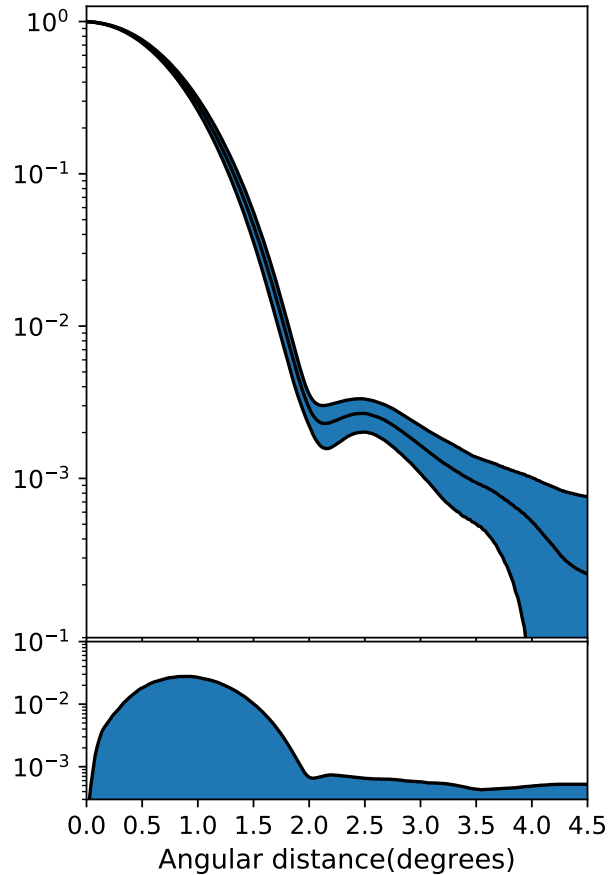


Figure 7.1: Average beam profile. The shaded region denotes a  $1-\sigma$  of uncertainty envelope around the beam average along the season.

### 7.2.1 Beam Fitting with Convolution

Since the contribution from non-Gaussian components of the symmetrized beam modify significantly the CMB analysis, it is necessary to quantify and parametrize these deviations with some complete basis. The natural way to capture these effect is by projecting the symmetrized beam into the Hermite basis (as the quantum harmonic oscillator) since they

parametrize these deviations from gaussianity and form an orthonormal basis. Thus the Hermite expansion is given by

$$B(\theta) = \sum_{j=0}^{N_{\max}} a_{2j} B_{2j} \left( \frac{\theta}{\sigma_b} \right), \quad (7.30)$$

where  $\theta$  is the angular distance from the beam center,  $\sigma_b$  corresponds to the standard deviation of the gaussian component and  $B_j$  to their corrections. Explicitly the above expression is

$$B(\theta) = \sum_{j=0}^{N_{\max}} a_{2j} \frac{1}{\sqrt{2^{2j} (2j)! \sqrt{\pi}}} H_{2j} \left( \frac{\theta}{\sigma_b} \right) \exp \left( -\frac{1}{2} \frac{\theta^2}{\sigma_b^2} \right), \quad (7.31)$$

where  $2j$  is an even number and  $N_{\max}$  correspond to maximum number of Hermite functions implemented. This expansion allows us to capture and parametrize the small features that deviates from the Gaussianity. This basis has already been implemented in [133]. Thus in order to obtain the beam shape from moon scans data, we need to fit a certain number of Hermite functions to the beam, this number can be estimated if we note that the  $n$ -Hermite polynomials have a maximum at  $\theta_{n,\max} = \pm \sigma_b \sqrt{2n}$ , and then they decay as a Gaussian. Since our effective beam has its last relevant feature at  $\sim 7.0$  deg. and  $\sigma_b \sim 0.65$  Fig.(7.1), this implies  $\lfloor 2N_{\max} \rfloor = 44$  imposing an upper limit,  $N_{\max} \leq 22$ , for the beam expansion.

Replacing Eq.(7.31) into Eq.(7.29) each of these modes contributes as:

$$\tilde{T}_{2j}(\theta) = 2\pi a \int_0^\infty dk J_0(k\theta) J_1(ka) B_{0,2j}(k) \quad (7.32)$$

to the moon temperature field. The  $\tilde{T}_{2j}(\theta)$  corresponds to the temperature contribution of  $B_{0,2j}(k)$ , which is the 2D-Hankel transformation of  $B_{2j}(\theta)$ . Then the temperature map Eq.(7.29) can be expanded linearly as,

$$\tilde{T}(\theta) = \sum_j a_{2j} \tilde{T}_{2j}(\theta) + N(\theta). \quad (7.33)$$

Therefore, if the coefficients set  $a_{2j}$  are found, the beam shape Eq.(7.31) is determined. Since we are interested only in the beam shape it is suitable to normalize the composed map at  $\theta = 0$  to the unity. If  $N(0) \ll \tilde{T}(0)$ , then:

$$\hat{t}(\theta) = \frac{\int_0^\infty dk J_0(k\theta) J_1(ak) B_0(k)}{\int_0^\infty dk J_1(ak) B_0(k)} + n(\theta). \quad (7.34)$$

with  $n(\theta) = N(\theta)/\tilde{T}(0)$  and  $B_0(k)$  the 2D-Hankel transform of the beam. Additionally, to compute the Hankel transform of the  $B_{2j}$  functions and convolve analytically the basis Eq.(7.32) to get Eq.(7.33) and (7.34), we can use the fact that the even Hermite polynomials are composed of even monomials as:

$$\left( \frac{\theta}{\sigma_b} \right)^{2n} \exp \left( -\frac{\theta^2}{2\sigma_b^2} \right) \subseteq B_{2n}(\theta) = H_{2j} \left( \frac{\theta}{\sigma_b} \right) \exp \left( -\frac{1}{2} \frac{\theta^2}{\sigma_b^2} \right), \text{ with} \quad (7.35)$$

with  $n \leq j$ , whose Hankel transform are:

$$\mathcal{H}_0 \left[ \left( \frac{\theta}{\sigma_b} \right)^{2n} \exp \left( -\frac{\theta^2}{2\sigma_b^2} \right) \right] (k) = 2^n \sigma_b^2 \Gamma(n+1) \cdot {}_1F_1 \left( n+1; 1; \frac{-\sigma_b^2 k^2}{2} \right). \quad (7.36)$$

Where  ${}_1F_1(a, b, z)$  are the *confluent hypergeometric functions of the first kind*. This allows us to obtain an analytical expression in Fourier representation for different Hermite modes, as a consequence, each component of the convolved basis,  $\tilde{T}_{2j}(\theta)$ , is determined exactly as an integral representation of known functions.

Returning to Eq.(7.34) and using the convolved Hermite basis Eq.(7.36), the normalized moon-beam model Eq.(7.32) can be expanded in terms of the components of this basis.

$$\hat{t}(\theta) = \frac{\sum_{j=0}^{N_{max}} a_{2j} \tilde{T}_{2j}(\theta)}{\sum_{j=0}^{N_{max}} a_{2j} \tilde{T}_{2j}(0)} + n(\theta) \quad (7.37)$$

The above expression is symmetric under a rescaling transformation  $\tilde{T} \rightarrow a\tilde{T}$ . Therefore to avoid a scale degeneracy in the set coefficient  $\{a_{2n}\}$ , it is suitable to choose one of them to be unity, for instance  $a_0$ , and proceed with the fitting procedure, thus

$$\hat{t}(\theta) = \frac{\tilde{T}_0(\theta) + \sum_{j=1}^{N_{max}} a_{2j} \tilde{T}_{2j}(\theta)}{\tilde{T}_0(0) + \sum_{j=1}^{N_{max}} a_{2j} \tilde{T}_{2j}(0)} + n(\theta). \quad (7.38)$$

The above expression gives the fitting coefficients for the set  $a_{2j}$  and its respective covariance matrix  $\Sigma_{a,jj'}$ . The figure 7.2 displays the symmetrized convolved moon signal, the fit to this signal and the deconvolved beam.

After deconvolving the beam from the moon contributions, we can construct its window function using Eq.(7.6). The figure (7.3) shows the temperature window function with its fractional uncertainty included.

Figure(7.4) shows the uncertainties of the convolved beam compared with the beam. The red line represent their fractional deviation from the true value, showing that a deconvolution procedure is important for higher  $l$ .

Table 7.2: Window function parameters

Parameter	Value
$\sigma_b(\text{deg})$ .....	$0.665 \pm 0.002$
FWHM(deg) .....	$1.552 \pm 0.005$
$\Omega_b \cdot 10^{-4}(\text{sr}^2)$ .....	$7.938 \pm 0.008$
$l^{w=0.5}$ .....	$\sim 110$
$l^{w=0.2}$ .....	$\sim 165$
$l_{\max} \left[ \frac{\Delta w_l}{w_l} \% < 0.01 \right] \dots$	$\sim 225$
$N_{\max}$ .....	22

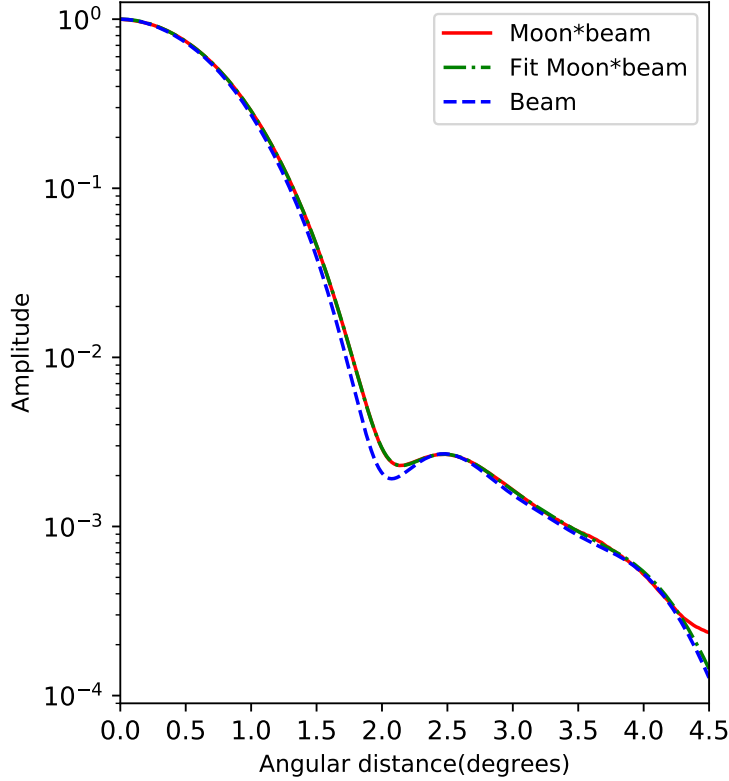


Figure 7.2: Beam profile: the red line represents the symmetrized convolved signal between the moon and the beam ( $T * B$ ) with its respective fit using Eq.(7.38) (green line), whereas the blue dashed line represent the deconvolved beam.

## 7.2.2 Uncertainty Propagation

The procedure of combining power spectra requires the full covariance matrix of the individual cross-power spectra. The full covariance matrix for CMB analysis receives contribution from well know canonical principal sources: cosmic variance, instrument noise, mode coupling due to foreground mask, point source subtraction errors, uncertainty in the window function and the overall calibration uncertainty. This covariance matrix can be expanded as

$$\Sigma_{\text{full}} = \Sigma_{\text{c. variance}} + \Sigma_{\text{masking}} + \Sigma_{\text{point sources}} + \Sigma_{\text{beams}} + \Sigma_{\text{instrument noise}} \quad (7.39)$$

In this subsection we are concerned in the last term. These errors correspond to the uncertainty in the beam window function,  $w_l$ . This errors arise from fluctuations in the window function which cause the measured power spectrum  $\tilde{C}_l$  to differ from our convolved spectrum  $w_l C_l$ , where  $w_l$  is the estimated window function. Cross window functions are computed as the product of beam response functions:

$$w_l^{ij} = b_l^i b_l^j \quad (7.40)$$

with  $i, j = T, E, B$ . When at least one component correspond to  $E$  or  $B$  the window function starts at  $l = 2$ , since the  $l = 0, 1$  are erased when the rising and lowering operators of spin  $\pm 2$  acts over  $Y_l^m$  [132] for uncertainty propagation.

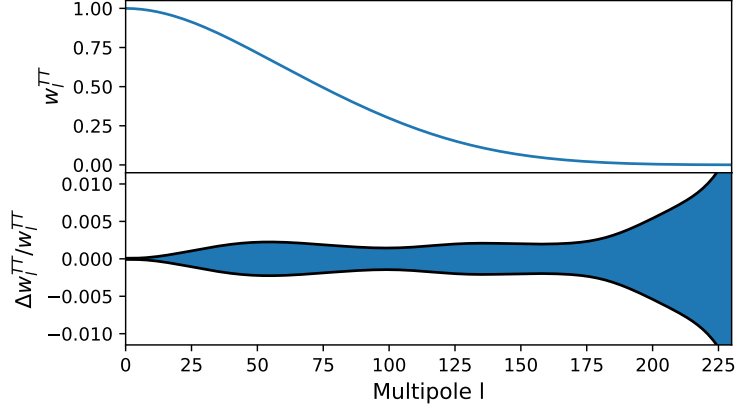


Figure 7.3: Temperature-temperature window function: The upper panel show the  $l$  dependence of the window function acting as low pass filter. The bottom panel shows its fractional uncertainty.

The expansion Eq.(7.31) systematically provides a straightforward way to derive the covariance matrix associated with the window function; from this expansion the errors on the beam transform propagates from the basis components

$$\Delta b_l^i = b_l^i(\vec{a}) - \langle b_l^i \rangle = \sum_{n=0}^N \frac{\partial b_l^i}{\partial a_n} (a_n - \langle a_n \rangle) = \sum_{n=0}^N \frac{\partial b_l^i}{\partial a_n} \Delta a_n. \quad (7.41)$$

Therefore the beam covariance matrix between  $b_l^i$  and  $b_{l'}^j$  is defined as:

$$\Sigma_{b, ll'}^{ij} = \langle \Delta b_l^i \Delta b_{l'}^j \rangle = \sum_{n, n'} \frac{\partial b_l^i}{\partial a_n} \frac{\partial b_{l'}^j}{\partial a_{n'}} \langle \Delta a_n \Delta a_{n'} \rangle = \sum_{n, n'} \frac{\partial b_l^i}{\partial a_n} \frac{\partial b_{l'}^j}{\partial a_{n'}} \Sigma_{a, nn'}. \quad (7.42)$$

This matrix has units of  $\text{sr}^2$ , and is independent of the Moon's temperature, and as expected its magnitude is large at low  $l$  and at high  $l$  for calibration and sensitivity loss, respectively. Moreover, if we note that the beam is normalized by its solid angle,  $b_l^i = B_l^i / B_{l=0}^T$  at  $l = 0$ , then the uncertainty at  $l = 0$  is fixed to 0, but it increases at high  $l$ .

$$\Sigma_{b, ll'}^{ij} = \frac{1}{(B_{l=0}^T)^2} \left[ \Sigma_{B, ll'}^{ij} + b_l^i b_{l'}^j \Sigma_{B, 00}^{TT} - b_l^i \Sigma_{B, 0l'}^{Tj} - b_{l'}^j \Sigma_{B, l0}^{iT} \right] \quad (7.43)$$

the diagonal above expression Eq.(7.43) gives us the standard deviation of the beam response function Fig.7.2 and its off-diagonal element have the information of modes coupling. In the same manner for the window function,  $w^I$ .

$$\Sigma_{w, ll'}^{IJ} = \langle \Delta w_l^I \Delta w_{l'}^J \rangle = w_l^I w_{l'}^J \left( \frac{\Sigma_{b, ll'}^{ij}}{b_l^i b_{l'}^j} + \frac{\Sigma_{b, ll'}^{i'j'}}{b_{l'}^{i'} b_l^{j'}} + \frac{\Sigma_{b, ll'}^{i'j}}{b_l^{i'} b_{l'}^j} + \frac{\Sigma_{b, ll'}^{ij'}}{b_l^i b_{l'}^{j'}} \right) \quad (7.44)$$

where  $I = ii'$ ,  $J = jj'$  corresponding to  $T, E, B$ . This covariance matrix enters directly to the likelihood function to constrain cosmological parameter and therefore is used for any CMB analysis. Moreover, to characterize it completely it is sufficient have knowledge about the beam covariance.



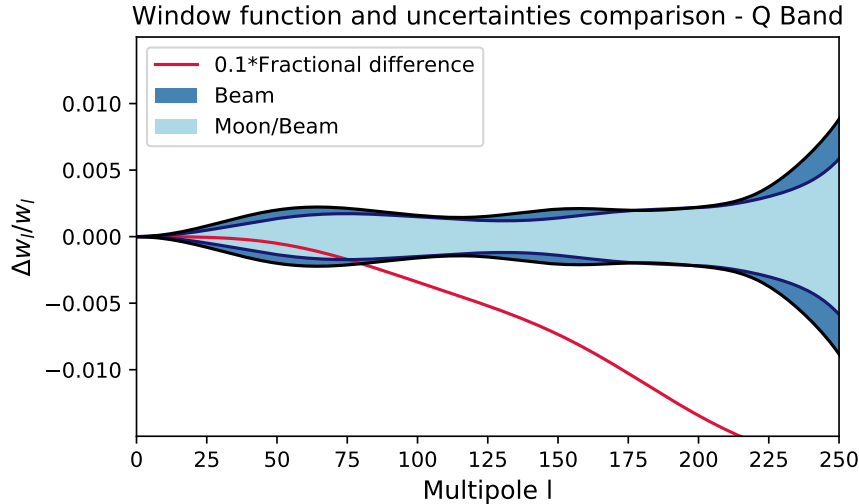


Figure 7.4: Fractional uncertainty comparison: The blue and the light blue contours represent the fractional uncertainty of beam and the convolved beam, respectively. The red line correspond to the fractional deviation between the convolved beam and the deconvolved beam, this effect is significant for higher  $l$ . The negative tendency can be interpreted as a lack of power for higher  $l$  since the moon convolution softens the small beam features that adds extra amplitude.

### 7.3 Conclusions

The main features of the beams in the 40 GHz band (Q-band) for CLASS experiment have been presented in real and harmonic representation. The beam solid angle, which is necessary for instrument calibration is given with 1% of precision. The uncertainties in the window function are typically  $< 1.0\%$  at the range 0-225, multipoles of the CLASS experiment that constrain the reionization optical depth and a potential large angular scale B-mode signal. These values do not include all systematic effects.

We have also showed how the moon deconvolution formalism improved the window function analysis. This construction is generic, systematic, and easy to implement. Moreover, this semi-analytic method is computationally low cost, In addition to this, We have not incorporated second order effects corresponding to the yearly variation of the moon angular diameter due to its orbit. We suspect that this constitutes a negligible effect.

In addition to this, we have provided a formalism to propagate the beams errors into the cosmological likelihood, encapsulated in the beam covariance matrix. The components of covariance matrix are small. This can be attributed to the high signal-to-noise moon measurement. As a consequence, the small uncertainties implies that the solid angle is determined with high accuracy. This high-precision estimate of the window function and associated errors will be important when comparing CLASS to other data for calibration. Moreover, the low- $l$  off-diagonal components show a small and basis-dependent correlation that is worth mentioning, since it can induced small mode couplings. Furthermore, the magnitude of its off-diagonal components are strictly smaller than its diagonal components. Therefore, approximated matrix inversion procedures are a suitable fit for this covariance

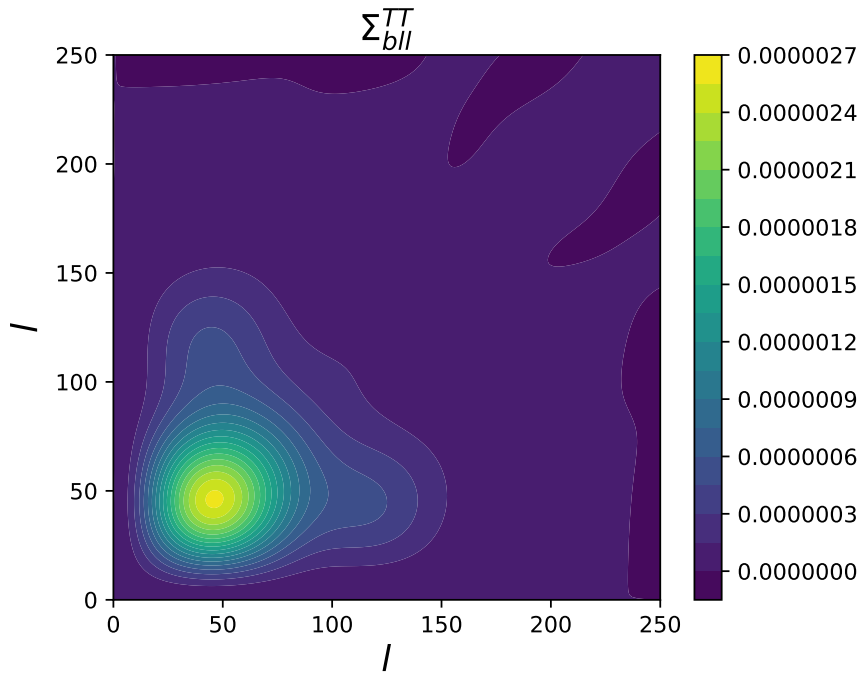


Figure 7.5: Temperature-temperature beam covariance matrix in harmonic representation.

matrix, reducing the computational cost of its inversion. For all these reasons, the Hermite functions are an appropriate basis to capture the beam deviation from Gaussianity.

## 7.4 Chapter Appendix

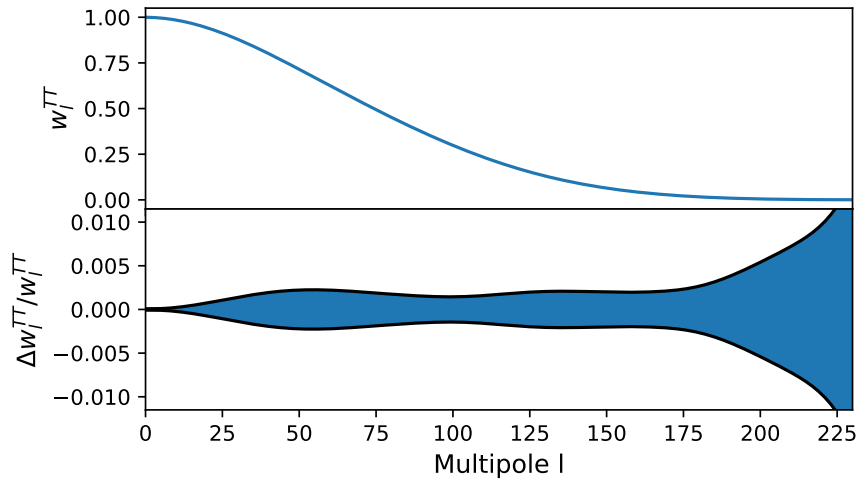


Figure 7.6: Temperature-Temperature window function: The upper panel show the  $l$  dependence of the window function acting as low pass filter. The bottom panel shows its fractional uncertainty.

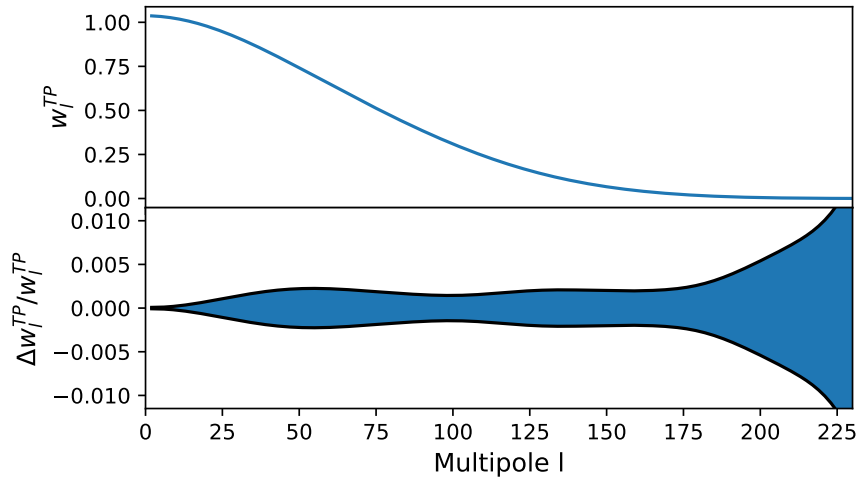


Figure 7.7: Temperature-Polarization window function.  $P = E, B$ . The upper panel show the  $l$  dependence of the window function acting as low pass filter. The bottom panel shows its fractional uncertainty.

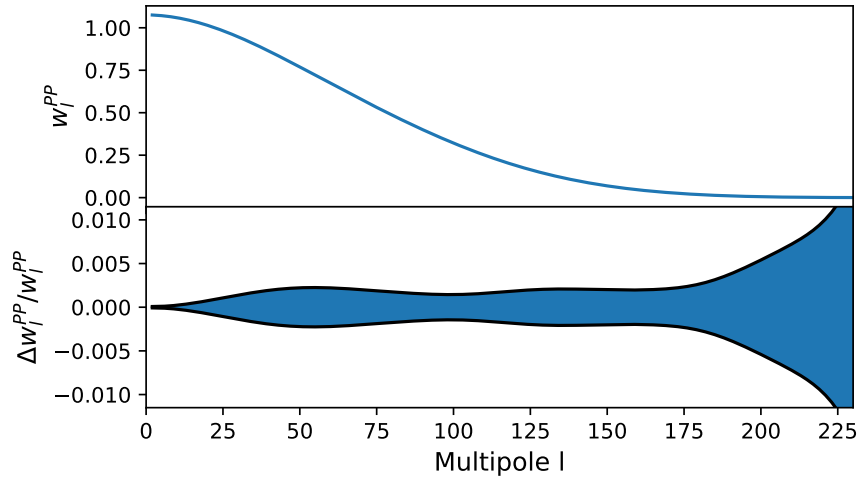


Figure 7.8: Polarization-Polarization window function.  $P = E, B$ . The upper panel show the  $l$  dependence of the window function acting as low pass filter. The bottom panel shows its fractional uncertainty.

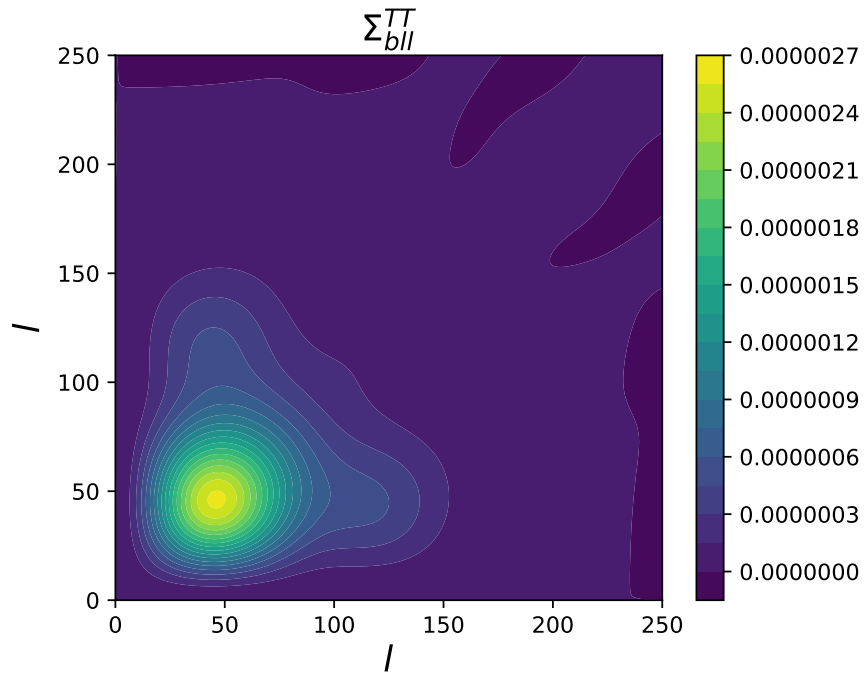


Figure 7.9: Temperature-Temperature beam covariance matrix in harmonic representation.

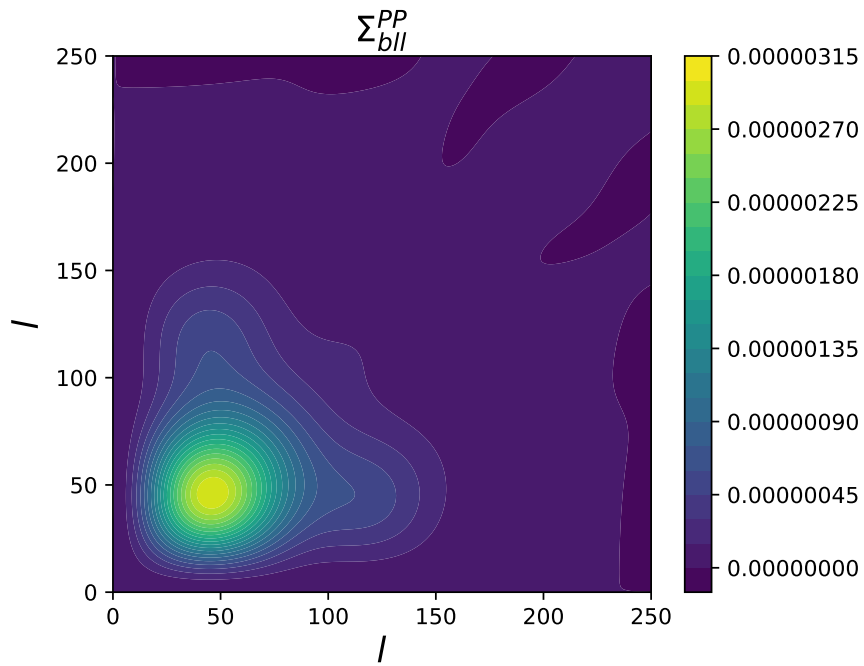


Figure 7.10: Polarization-Polarization beam covariance matrix in harmonic representation.

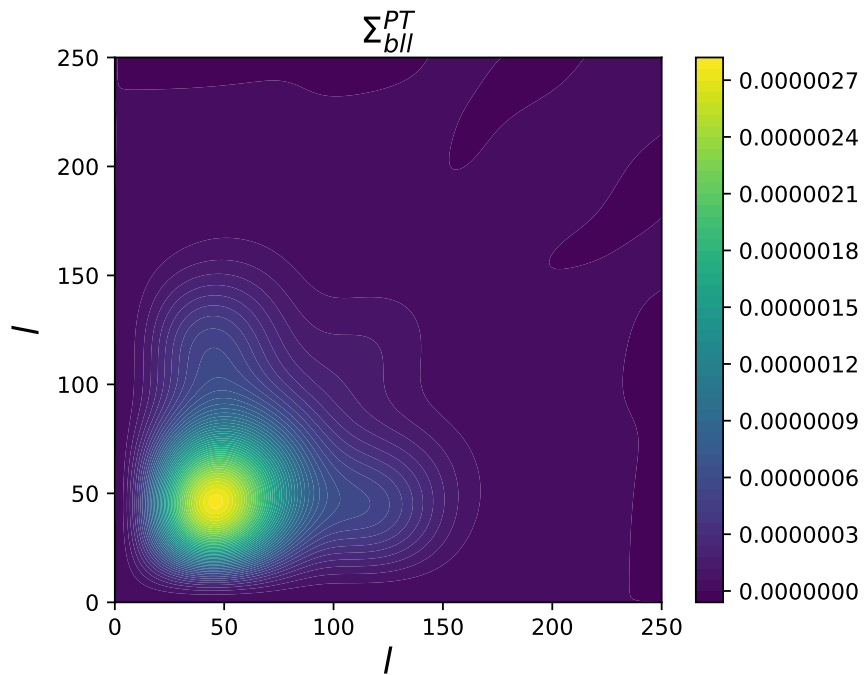


Figure 7.11: Polarization-Temperature beam covariance matrix in harmonic representation.



# Chapter 8

## Conclusions, Discussions, and Overview

In the first three chapters, we reviewed all the *everybody-must-know* completely both conceptually and technically for *early time cosmology*. I did not keep it short since the aim of those chapters is that the people who could read this thesis could experience a transition from rookie to amateur passing through the essential material. Shortly, more than a jargon directed to the thesis committee was a student-to-student style.

In the fourth chapter, we studied the possible appearance of scale dependent features in the power spectrum of primordial tensor perturbations due to non-trivial inflationary dynamics in a model independent way. Our main result is Eq.(4.34) – or Eqs.(4.37), (4.38) in the more general case of EFT's with a sound speed – which consist of relations linking features in the tensor power spectrum to those appearing in the scalar power spectrum, allowing us to estimate the amplitude and shape of the former given the latter. In general, we find that the tensor spectrum is expected to be featureless: Indeed, Eq. (4.34) shows that any feature appearing in the tensor spectrum is generically suppressed with respect to those appearing in the scalar one for two reasons: firstly due to slow-roll [85], and more importantly, due to the fact that features should in general be sharp enough in order to leave an imprint in the CMB.

One may wonder about other mechanisms producing features in the tensor sector of the theory. For instance, in principle, we could consider a Lagrangian describing the dynamics of tensor modes with a sound speed  $c_t$  experiencing rapid variations producing features in the tensor spectrum. However, in [134] it was shown that under a disformal transformation, models with a non-trivial tensor sound speed (and canonical scalar sector) map into models with a non-trivial scalar sound speed (and canonical tensor sector). Since the spectra are invariant under such a transformation, our formalism to relate features in the tensor spectrum to those appearing in the scalar spectrum would continue to be valid. Moreover, in the special case where only  $c_t$  varies, the disformal transformation would lead to an equivalent system where both  $c_s$  and  $H$  vary, but in such a way that the scalar spectrum remains featureless [86]. Given that we are interested in understanding the consequences of features in the scalar spectrum on the tensor one, this class of situations is out of our scope.

Current CMB observations show the existence of departures from scale invariance in the power spectrum of primordial curvature perturbations in the multipole range  $\ell \sim 20$ . If we interpret this behavior as the result of the dynamics of inflation, we are led to conclude that the tensor power spectrum will not show any consequential departure from scale invariance in this region. The importance of this conclusion may be appreciated more clearly by inverting the statement: If tensor modes are observed to have strong departures from scale invariance in the aforementioned multipole range, then we will have good reasons to suspect that the departures appearing in the scalar spectrum are not of primordial origin.

In chapter fifth, we have generalized the well known non-Gaussian consistency relation (5.24) to a broader class of relations that is able to cope with those classes of models where the curvature perturbation  $\zeta$  evolves on super-horizon scales. This relation is given by eq. (5.15), and in the case where the super-horizon growth dominates, it leads to:

$$B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = -P_\zeta(k_L) \frac{d}{d \ln \tau} P_\zeta(k_S). \quad (8.1)$$

The standard non-Gaussian consistency relation (5.24) can be understood a symmetry involving a simultaneous spatial dilation and a reparametrization of the curvature perturbation. In the case of (8.1), the symmetry involves a time dilation together with a reparametrization of the curvature perturbation. In both cases, the reparametrization is induced by super-horizon evolution of the long-wavelength contributions of the curvature perturbation. While this symmetry is approximate in general when  $\varepsilon \ll 1$ , it becomes exact in the case of ultra slow-roll, independently of the value of  $\varepsilon$ . (It is also exact when  $\varepsilon = 0$ .)

Our result complements previous studies on consistency relations derived from symmetries of quasi-de Sitter spacetimes [29, 30, 32, 128, 135–137] applied to the context in which curvature perturbations freeze at horizon crossing. In addition, our result substantiates one more time the well known violation to the standard consistency relation found by the authors of ref. [118]. However, our result raises the question how the non-Gaussianity expressed in (8.1) would survive the transition from a non-attractor phase—in which ultra slow-roll is dominant—to an attractor phase where standard slow-roll inflation is dominant (before inflation ends).

Given that the expression leading to (8.1) involves a time derivative of the power spectrum, one may suspect that once the non-attractor phase concludes, and the modes stop evolving on super-horizon scales, this contribution would become suppressed. In this case, the transition to the new phase would imply a leading contribution to the bispectrum dictated by the scale dependence of the power spectrum (through  $n_s - 1$ ). Strictly speaking, our expression cannot describe this transition. This is because during such a transition the system is no longer invariant under the set of transformations (5.22)-(5.24).

One could speculate that in such a transition (from non-attractor to attractor, see also [138]) the amount of non-Gaussianity in the form of (8.1) could be transferred to a form of non-Gaussianity that is described by (5.24). But this would necessarily imply an unacceptably large value of the spectral index  $n_s - 1$ . Another possibility is that, instead of (8.1), the bispectrum produced during ultra slow-roll has to be read as

$$B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \simeq 6P_\zeta(k_L)P_\zeta(k_S), \quad (8.2)$$



without taking into consideration the time derivative appearing in the expression preceding it. In other words, the factor 6 implied by the  $\tau$ -derivative becomes engraved on the distribution of superhorizon modes, and survives until the modes re-enter the horizon much after inflation. Given that ultra slow-roll inflation has gained some prominence as a transient period of inflation that could explain certain phenomena associated to primordial physics [139, 140], this seems to be a relevant issue to clarify. In [123] we will study this issue more closely, by introducing the use of conformal Fermi coordinates [4, 5, 110]. There, we will argue that the non-Gaussianity produced in non-attractor models such as ultra slow-roll is non-observable ( $f_{NL}^{\text{obs}} = 0$ ).

In chapter sixth, we have studied the computation of local non-Gaussianity accessible to inertial observers in canonical models of single field inflation. It was already known [4, 5, 109, 111] that observable local non-Gaussianity vanishes in the case of single field attractor models ( $f_{NL}^{\text{obs}} = 0$ ) modulo projection effects. In this work, we have extended this result to the case of non-attractor models (ultra slow-roll) in which the standard derivation gives a sizable value  $f_{NL} = 5/2$ . This result (the standard result) was thought to represent a gross violation of Maldacena’s consistency relation. We have instead shown that for both classes of models, the consistency relation is simply:

$$f_{NL}^{\text{obs}} = 0. \tag{8.3}$$

This result is noteworthy: In ultra slow-roll, comoving curvature perturbations experience an exponential superhorizon growth, and this growth was taken to be the natural explanation underlying large local non-Gaussianity. However, this is indeed not the case.

Our results shed new light on our understanding of the role of the bispectrum squeezed limit in inflation to test primordial cosmology. We now know that non-Gaussianity cannot discriminate between two drastically different regimes of inflation. Instead, we are forced to think of new ways of testing the evolution of curvature perturbations in non-attractor backgrounds. This is particularly important once we face the possibility that ultra slow-roll could be representative of a passing phase within a conventional slow-roll regime [139, 140].

To derive (8.3), we have re-examined the use of conformal Fermi coordinates introduced in ref. [5] and perfected in refs. [4, 110]. Our results complement these works. For instance, the vanishing of  $f_{NL}^{\text{obs}}$  in the case of non-attractor models required us to consider in detail the contribution of time-displacement of the CFC map that is irrelevant in the case of attractor models.

The previous remark offers a way to understand the vanishing of local  $f_{NL}^{\text{obs}}$  for the case of non-attractor models. To appreciate this, let us first focus on the case of attractor models. Notice that in the case of attractor models the freezing of the curvature perturbation can be absorbed at superhorizon scales through a re-scaling of the coordinates, which, to linear order in the perturbations, looks like  $\mathbf{x} \rightarrow \mathbf{x}' = \mathbf{x} + \zeta(\mathbf{x}_c)\mathbf{x}$ , where  $\zeta$  is the value of the mode at horizon crossing. It is precisely this scaling that gives rise to the modulation of small scale perturbations by long scale perturbations in comoving gauge. The map coefficients of eq. (6.193) show that in attractor inflation the local transformation corresponds to  $\mathbf{x} \rightarrow \mathbf{x} = \bar{\mathbf{x}} - \zeta(\bar{\mathbf{x}}_c)\bar{\mathbf{x}}$ . This transformation is opposite to the previous re-scaling, and therefore it cancels the effect of the modulation in comoving coordinates.

Now, something similar happens in the case of non-attractor models. Here, the curvature perturbation does not freeze on superhorizon scales. Instead, on superhorizon scales the mode acquires a time dependence that may be absorbed by a re-scaling of time  $\tau \rightarrow \tau' = \tau - \zeta(\tau)\tau$  in the argument of the scale factor (in comoving coordinates). Similar to the case of attractor models, the map coefficients of eq. (6.198) show that in the non-attractor regime the local CFC transformation corresponds to  $\tau(\bar{\tau}) = \bar{\tau} + \zeta(\bar{\tau})\bar{\tau}$ , which is opposite to the previous re-scaling, and so it cancels the whole modulation effect.

More generally, and independently of whether we are looking into the attractor regime or the non-attractor regime, the cancellation may be understood as follows: The squeezed limit of the 3-point correlation function of canonical models of inflation is the consequence of a symmetry of the action for  $\zeta$  under the special class of space-time reparametrization shown in Eqs. (5.2)-(5.3). This symmetry is exact in the two regimes that we have studied, but approximate in intermediate regimes. In addition, this symmetry dictates the way in which long-wavelength  $\zeta$ -modes modulate their short wavelength counterparts. The CFC transformation is exactly the inverse of the symmetry transformation, and so the modulation deduced with the help of the symmetry is canceled by moving into the CFC frame.

At this point, it is important to emphasize that our computation was performed during inflation. That is, we have performed the CFC transformation while inflation takes place, and the result  $B + \Delta B = 0$  found in Section 6.8 is strictly valid during inflation. The claim that the primordial contribution to  $f_{\text{NL}}^{\text{obs}}$  vanishes for a late time observer must be a consequence of the CFC transformation, taking into account the entire cosmic history. This would require studying the transition from the non-attractor phase to the next phase, which presumably could be of the attractor class, a study began in [131, 138]. Given that both  $B$  and  $\Delta B$  found in Section 6.8 are exactly the same (but of opposite signs) and determined by  $\tau$ -derivatives and  $k$ -derivatives of the power spectrum, we expect that the transition will affect equally  $B$  and  $\Delta B$ , in such a way that the net result would continue to be  $B + \Delta B = 0$ . Verifying this claim, which seems reasonable, is out of the scope of the present article.\*

The vanishing of local non-Gaussianity in both attractor and non-attractor models of single field inflation might not necessarily be surprising after all. In both cases, after the inflaton scalar degree of freedom is swallowed by the gravitational field, the only dynamical scalar degree of freedom corresponds to the curvature perturbation. As a consequence, the interaction coupling together long and short wavelength modes is purely gravitational, and therefore the equivalence principle dictates that long wavelength physics cannot dictate the evolution of short wavelength dynamics, implying that any observable effect must be suppressed by a ratio of scales  $\mathcal{O}(k_L/k_S)^2$ . All of this calls for a better examination of the relation between the local ansatz and the squeezed limit of the bispectrum [141].

Our work leaves several open challenges ahead. First, we have focussed our interest in canonical models of inflation, namely, those in which the inflaton field is parametrized by a Lagrangian containing a canonical kinetic term. In this category, the ultra slow-roll regime

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\*In a recent article [131] (written simultaneously to this work), Cai *et al.* studied the effects on the bispectrum  $B$  of a transition from a non-attractor phase to an attractor phase. They discovered that the transition can drastically change the value of  $f_{\text{NL}}$ , suppressing its value if the transition is smooth. Then, the question would be: What happens with  $\Delta B$  during such transitions?

is not fully realistic, and at best should be considered as a toy model allowing the study of perturbations under the extreme conditions of a non-attractor background. However, it has been shown that attractor regimes may appear more realistically within non-canonical models of inflation such as  $P(X)$  models. In these models, one has non-gravitational interactions inducing a sound speed  $c_s \neq 1$ , and so we suspect that our result (8.3) will not hold in those cases. Nevertheless, this work (together with ref. [130]) calls for a better understanding of the non-Gaussianity predicted by non-attractor models in general.

Second, given that observable local non-Gaussianity vanishes in ultra slow-roll, in which curvature perturbations grow exponentially on superhorizon scales, one should revisit the status of other classes of inflation, such as multi-field inflation, where local non-Gaussianity may be significant. It is entirely feasible that in some models of multi-field inflation the amount of local non-Gaussianity may be understood as the consequence of a space-time symmetry dictating the way in which long-wavelength modes module short modes.

Third, a deeper understanding of our present result is in order. In the case of attractor models, Maldacena’s consistency relation (and its vanishing) may be understood as a consequence of soft limit identities linking the non-linear interaction of long wavelength perturbations with shorter ones [29, 30, 32, 128, 135–137]. However, there were good reasons to suspect that these relations would not hold anymore in the case of non-attractor models [5]. Our results suggest that, regardless of the background, these identities continue to be valid, and in an inertial frame the gravitational interaction cannot be responsible for making long wavelength modes affect the local behavior of short wavelength modes.

Finally, to sum up, interactions between long-wavelength and short-wavelength perturbations is an exciting diagnostic of inflationary physics, as it can distinguish between one or more light degrees of freedom during inflation; these ideas are not idle theorizing but are predictive and subject to meaningful experimental test. Cosmological observations are providing several surprising pieces from the cosmological puzzles and new challenges. We showed that if gravity is a local theory, superhorizon non-linear gravitational interactions do not generate observable contributions of the local type. Specifically, long-wavelength modes (multipoles lower than 100 in the scalar power spectrum) that outside the horizon at recombination does not interact with modes short modes inside the horizon, so that any effect produced on the dynamics of short modes during recombination is zero or suppressed (tidal effects). As a consequence, in our observed local universe, non-linearities do not contribute to a scale-dependent galaxy bias ( $\Delta b \propto k^{-2}$ ). We conclude, that primordial local-type of non-linearities do not generate any imprint modulo tidal effects  $k_L^i k_L^j / k_S^2$  and one realization effects, that are to say, fluctuations with insufficient statistical data inside the observable universe (so the ergodic theorem does not hold); therefore, any like local type non-linearity should arise through projection effects from photon propagation, which depend on the specific large-scale structure tracer, such as lensing and redshift space distortions, that could in principle be distinguishable from local coupling induced by gravity. The cancelation of the bispectrum squeezed limit (6.214) and (6.215) is the result of two arguments: i) Gravity is understood as a local theory, therefore, linear gravity self-interactions are local too. ii) The flat local geometry demanded by the equivalence principle at the vicinity of the observer. These two arguments lead to the complete local cancelation of the bispectrum squeezed limit; in this regime the bispectrum always takes a simple expression, even for models with reduced

speed of sound, we do not expect large departures from results obtained in chapter seventh, since equivalence principle demands that the observed squeezed limit bispectrum starts as  $\sim (k_L/k_S)^2 P(k_S)P(k_L)$ . Considering the mentioned effects, if there is any departure beyond projection effects, it could immediately be understood as a deviation from the standard single field inflation or local gravity theories.

In the seventh chapter, we wore overall and modeled the signal calibration measurements for the CLASS telescope. The signal consisted of a two-dimensional convolution between our Moon and the 40GHz beam in a small angular area containing the Moon. The purpose was to understand the properties of the beams across the focal plane, detector responsiveness, with a brighter object.

The fraction between the angular diameter of the Moon and the average Q-band beam is  $\sim 0.16$ , therefore, the angular size of the Moon regime, in which cannot be treated as a point source, in effect, more sophisticated tools were implemented for the signal modeling. In our task, we constructed the axis-symmetric non-Gaussian beam model using the Hermite functions and constructed an ad-hoc semi-analytical method of convolution for 2-dimensional axis-symmetric objects. This allowed us obtaining a clean beam signal from a smeared convoluted signal. This task is of critical importance since knowledge of the beam properties, such as, main lobes, side lobes, solid angle, contributes significantly to the order precision in which CLASS was designated; therefore, any spurious signal could contaminate the cosmological data by adding or removing fictitious signal at incorrect angular scales, as a consequence producing a distorted  $C_l$ 's weighting. Moreover, calibration and detector responsiveness for Q-band also depends on how well the beams are characterized; for example, temperature and degree of polarization of points sources are sensitive to the solid angle of beams. As a result of the procedures described in chapter seventh, we obtained clean beam signal parametrized with the Hermite function basis until uncertainties of less than 40dB, with 99.9% of its solid angle falling in a region less than  $2^\circ$  from its peak, also, we constructed its window function with more than 99% of precision between  $0 < l < 225$  with minimal mode couplings and a semi-diagonal covariance matrix in harmonic space, facilitating its inverting procedure when being used in the CLASS likelihood function for the 40GHz band. Precision cosmology not only is gestated in constructing more telescope with thousands of detectors with high responsivity but also, two endeavors are equally important: First, how systematic errors are understood and modeled. Second, answering questions of what does it mean a measurement in cosmology. Otherwise, parameters might be poorly understood, the potentially designed responsivity of telescopes could not be reached, or there are more missing aspects that have not been noticed because incorrect tools are being used. In this direction, the task entrusted in this thesis was three-fold. First, shortening the distance between the question, what does it mean a cosmological measurement? And its answer; what tools can be used or developed. Second, understand(and work!) the role of beams and window functions for the Cosmology Large Angular Scale Surveyor(CLASS) telescope; in principle, they look distant, but actually is the opposite, any deviation on the beams affects *directly* the CMB observables in the scales of interest throughout its window function. Third, make predictions on the potential B-modes signal about whether they might be featureless or not.

Answering all the aforementioned questions and queries in a period of a MSc thesis is a Spartanian task. Worrisome, was not our ally, but instead, we worked in shortening the gaps

by understanding them and producing new quality material. The task is not complete; there is still a wide range of unexplored high energies in which terrestrial accelerators cannot reach. Precisely, the closest way to approach this range of energies is the CMB, LSS, and future 21-cm line experiments. Any unexpected deviation could help to elucidate new degrees of freedom shortening the distance to the ultimate fundamental theory of everything. Testing primordial cosmology combines theoretical and experimental endeavors in unique ways. Inflation is one the window to understanding gravity. Despite being one of the first discovered law of physics and being formulated 300 years ago, gravity is still poorly understood; there is still no satisfying microscopic description that could explain gravity in strong regimes. Primordial cosmology is an available window to explore gravity and other particle interactions, it is remarkably, how well, we know the universe until second after being created, primordial origins of structures, elements formation, and their abundance, among others. However, we still are not sure about its first stage, such new degrees of freedom, primordials fields, gravity in strong regimes. For scientists, this degree of uncertainty is compelling for obvious reasons, is an abstract arena of ideas, speculations, skepticism, discussions, growing, and collaboration.

Stay tuned!





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