

Unbounded Second-Order State-Dependent Moreau's Sweeping Processes in Hilbert Spaces

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Abstract In this paper, an existence and uniqueness result of a class of second-order sweeping processes, with velocity in the moving set under perturbation in infinite-dimensional Hilbert spaces, is studied by using an implicit discretization scheme. It is assumed that the moving set depends on the time, the state and is possibly unbounded. The assumptions on the Lipschitz continuity and the compactness of the moving set, and the linear growth boundedness of the perturbation force are weaker than the ones used in previous papers.

Keywords Moreau's sweeping process · Quasi-variational inequalities · Differential inclusion

Mathematics Subject Classification 34A60 · 49J52 · 49J53

1 Introduction

In 1971, the sweeping process was introduced and deeply studied by J. J. Moreau in a series of papers (see, e.g., [1–4]). This kind of problems plays an important role in elasto-plasticity, quasi-statics and nonsmooth dynamics with unilateral con-

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straints. Roughly speaking, a point is swept by a moving closed and convex set, which depends on time in a Hilbert space and can be formulated in the form of first-order differential inclusion involving normal cone operators. Sweeping processes represent a nice and powerful mathematical framework for many nonsmooth dynamical systems, including Lagrangian systems. There are plenty of existence and uniqueness results (see, e.g., [5–10]) for variants of first-order sweeping processes in the literature. The second-order sweeping processes have been also considered by many authors (see, e.g., [7, 10–14]). In [11], Castaing studied for the first time the second-order sweeping processes, where the moving set depends on the state with convex, compact values. Let us note that the boundedness assumption on the moving set for the second-order case is essential in most previous works: see, for example, some recent papers [7, 10, 13, 14]. In [15], Castaing et al. considered the possibly unbounded moving set satisfying the classical Lipschitz continuity assumption with respect to Hausdorff distance. However, it is difficult for unbounded set to hold this assumption since the Hausdorff distance of two unbounded sets may equal the infinity, for example, the case of rotating hyperplane. In this paper, we propose an implicit discretization scheme based on the Moreau's catching-up algorithm [3] with different techniques to analyze the second-order sweeping processes under perturbation in Hilbert spaces. The moving set depends on the time, the state and is possibly unbounded. The set is supposed to be closed, convex and to have a Lipschitz variation of intersection with some particular ball (with a Lipschitz constant depending on the radius of the ball). It is obvious that this kind of Lipschitz continuity assumption is more feasible than the classical one for the unbounded moving set. The perturbation force is supposed to be upper semicontinuous with convex and weakly compact values and only need to satisfy the weak linear growth condition (i.e., the intersection between the perturbation force and the ball with linear growth is nonempty). In addition, the compactness assumption on the moving set is weaker than the one used in previous works [7, 10, 13–15], since it only requires to check the Kuratowski measure of noncompactness for a fixed ball. We also consider the case when the moving set is anti-monotone (which replaces the compactness assumption) as in [14] under the current settings. Our methodology is based on convex and variational analysis [16, 17].

The paper is organized as follows. In Sect. 2, we recall some basic notations, definitions and useful results which are used throughout the paper. The existence and uniqueness of solutions are thoroughly analyzed in Sect. 3. Some conclusions end up the paper in Sect. 4.

2 Notation and Preliminaries

We begin with some notations used in the paper. Let H be a real Hilbert space. Denote by $\langle \cdot, \cdot \rangle$, $\| \cdot \|$ the scalar product and the corresponding norm in H . Denote by I the identity operator, by \mathbb{B} the unit ball in H . The distance from a point x to a set K is denoted by $d(x, K)$. If K is closed and convex, then for each $x \in H$, there exists uniquely a point $y \in K$ which is nearest to x and set $y := \text{proj}(K; x)$. The normal cone of K is given by

$$N_K(x) := \{p \in H : \langle p, y - x \rangle \leq 0, \text{ for all } y \in K\}.$$

The support function of K is defined as follows

$$\sigma(K; z) := \sup_{x \in K} \langle x, z \rangle, z \in H.$$

It is not difficult to see that

$$z \in N_K(x) \text{ if and only if } \sigma(K; z) = \langle z, x \rangle \text{ and } x \in K.$$

The Hausdorff distance between the sets A and B is given by

$$d_H(A; B) := \max\{e(A, B); e(B, A)\}, \tag{1}$$

where $e(A; B) := \sup_{x \in A} d(x, B)$ is the excess of A over B .

A sequence $(x_n) \subset H$ converges weakly to $x \in H$, provided that $\langle x_n, z \rangle \rightarrow \langle x, z \rangle$, as $n \rightarrow +\infty$ for all z in H . Let us recall a known property of an integral functionals with respect to the weak convergence of functions with values in H (see [18, Corollary, p. 227] or [10, Lemma 3]).

Lemma 2.1 *Suppose that for all $t \in [0, T]$, the set $C(t) \subset H$ is nonempty, closed, convex and satisfies*

$$d_H(C(t), C(s)) \leq L_C |t - s| \quad \forall s, t \in [0, T],$$

for some $L_C > 0$. Set

$$\Phi(v) := \int_0^T \sigma(C(s); v(s)) ds \text{ for } v \in L^\infty([0, T]; H). \tag{2}$$

Then, Φ is weakly lower semicontinuous, i.e.,

$$\Phi(v) \leq \liminf_{n \rightarrow +\infty} \Phi(v_n),$$

where $v_n \rightarrow v$ in weak-star topology of $L^\infty([0, T]; H)$.

The following lemma is a discrete version of Gronwall’s inequality.

Lemma 2.2 *Let $\alpha > 0$ and $(u_n), (\beta_n)$ be nonnegative sequences satisfying*

$$u_n \leq \alpha + \sum_{k=0}^{n-1} \beta_k u_k \quad \forall n = 0, 1, 2, \dots \text{ (with } \beta_{-1} := 0). \tag{3}$$

Then, for all n , we have

$$u_n \leq \alpha \exp\left(\sum_{k=0}^{n-1} \beta_k\right).$$

Finally, we recall the Kuratowski measure of noncompactness for a bounded set B in H , which is defined as follows

$$\gamma(B) := \inf \left\{ r > 0 : B = \bigcup_{i=1}^n B_i \text{ for some } n \text{ and } B_i \text{ with } \text{diam}(B_i) \leq r \right\}.$$

One has the following lemma (see, e.g., [19, Proposition 9.1]).

Lemma 2.3 *Let B_1 and B_2 be bounded sets of the infinite-dimensional Hilbert space H . Then,*

- (i) $\gamma(B_1) = 0 \Leftrightarrow B_1$ is relative compact.
- (ii) If $B_1 \subset B_2$, then $\gamma(B_1) \leq \gamma(B_2)$.
- (iii) $\gamma(B_1 + B_2) \leq \gamma(B_1) + \gamma(B_2)$.
- (iv) $\gamma(x_0 + r\mathbb{B}) = 2r$ for some $x_0 \in H$ and $r > 0$.

3 Main Result

In this section, the existence and uniqueness of solutions of the following second-order sweeping processes

$$(S) \begin{cases} \ddot{u}(t) \in -N_{C(t,u(t))}(\dot{u}(t)) - F(t, u(t), \dot{u}(t)) \quad \text{a.e. } t \in [0, T], \\ u(0) = u_0, \dot{u}(0) = v_0 \in C(0, u_0), \end{cases}$$

are analyzed thoroughly under weaker assumptions, by using an implicit discretization scheme and techniques different from previous works. The moving set C is supposed to be nonempty, closed, convex and to have a Lipschitz variation of intersection with some particular ball. The perturbation force F is upper semicontinuous with convex, weakly compact values and satisfies the weak linear growth condition. For details, let us make the assumptions below. Let H be a real Hilbert space and let be given $u_0 \in H, v_0 \in C(0, u_0)$.

Remark 1 Let us consider the simple case when $C(\cdot)$ depends only on t and is expressed in the form of unilateral inequality constraints, i.e.,

$$C(t) = \{x \in \mathbb{R}^n : g_1(t, x) \leq 0, \dots, g_m(t, x) \leq 0\}, \tag{4}$$

where function $g_k : [0, T] \times \mathbb{R}^n \rightarrow \mathbb{R}$ is supposed to be of class C^1 for each $k = 1, 2, \dots, m$. Clearly, the convexity of the functions g_k implies the convexity of the set $C(t)$. In order to go beyond the convexity assumption of the set $C(\cdot)$, the

class of prox-regular sets is more appropriate. However, the sublevels of prox-regular functions and levels of differentiable mappings with Lipschitz derivatives may fail to be prox-regular. We need some qualification conditions on the functions g_k to ensure the prox-regularity of the set $C(t)$ (see [20] for more details). In the present paper, we will content ourselves with the convexity assumption.

The following assumptions will be useful.

Assumption 1 (i) For all $t \in [0, T]$ and $x \in H$, $C(t, x) \subset H$ is nonempty, closed, convex and there exists $L_C > 0$ such that

$$\Gamma(t, x, s, y) \leq L_C(|t - s| + \|x - y\|), \tag{5}$$

for all $s, t \in [0, T]$ and $x, y \in M_1\mathbb{B}$ where

$$\Gamma(t, x, s, y) := \begin{cases} d_H(C(t, x) \cap M_1\mathbb{B}; C(s, y) \cap M_1\mathbb{B}), & \text{if } C(t, x) \cap M_1\mathbb{B} \neq \emptyset, C(s, y) \cap M_1\mathbb{B} \neq \emptyset, \\ e(C(t, x) \cap M_1\mathbb{B}; C(s, y)), & \text{if } C(t, x) \cap M_1\mathbb{B} \neq \emptyset, C(s, y) \cap M_1\mathbb{B} = \emptyset, \\ 0, & \text{if } C(t, x) \cap M_1\mathbb{B} = \emptyset, C(s, y) \cap M_1\mathbb{B} = \emptyset, \end{cases}$$

and

$$M_1 := 1 + \|u_0\| + \|v_0\| + (L_C + 2L_F)T + e^{(L_C+2L_F+1)T}. \tag{6}$$

(ii) For all $t \in [0, T]$, $C(t, M_1\mathbb{B}) \cap 2M_1\mathbb{B}$ is relatively compact in H , or equivalently

$$\gamma(C(t, M_1\mathbb{B}) \cap 2M_1\mathbb{B}) = 0, \tag{7}$$

where γ is the Kuratowski measure of noncompactness.

Assumption 2 The set-valued mapping $F : \text{gph}(C) \rightrightarrows H$ is upper semicontinuous with convex, weakly compact values in H and satisfies the weak linear growth condition, i.e., there exists $L_F > 0$ such that, for all $t \in [0, T]$, $x \in H$ and $y \in C(t, x)$, then

$$F(t, x, y) \cap L_F(1 + \|x\| + \|y\|)\mathbb{B} \neq \emptyset. \tag{8}$$

Here $\text{gph}(C)$ denotes the graph of C .

Now we are ready for the main result.

Theorem 3.1 (Existence) *Let H be a Hilbert space and let Assumptions 1, 2 hold. Then, for given initial condition $u_0 \in H$, $v_0 \in C(0, u_0)$, there exists a solution u in the following sense*

1. (S) is satisfied for a.e. $t \in [0, T]$;

2. $u(0) = u_0, \dot{u}(0) = v_0;$
3. $u \in C^1([0, T]; H)$ and $\ddot{u} \in L^\infty([0, T]; H).$

Proof We choose some positive integer n such that $M_1 T/n < 1$ and set $h_n := T/n, t_i^n := ih$ for $0 \leq i \leq n$. For $0 \leq i \leq n - 1$, given u_i^n and v_i^n , we want to find u_{i+1}^n, v_{i+1}^n satisfying

$$\frac{v_{i+1}^n - v_i^n}{h_n} + f_i^n \in -N_{C(t_{i+1}^n, u_{i+1}^n)}(v_{i+1}^n), \quad u_{i+1}^n = u_i^n + h_n v_i^n, \tag{9}$$

where $f_i^n \in F(t_i^n, u_i^n, v_i^n)$. Clearly u_{i+1}^n is defined uniquely in terms of u_i^n and v_i^n . The first line of (9) can be rewritten as

$$v_{i+1}^n - v_i^n + h_n f_i^n \in -N_{C(t_{i+1}^n, u_{i+1}^n)}(v_{i+1}^n), \tag{10}$$

which is equivalent to

$$v_{i+1}^n = \text{proj}(C(t_{i+1}^n, u_{i+1}^n); v_i - h_n f_i^n).$$

We have the algorithm to construct the sequences $(u_i^n)_{i=0}^n, (v_i^n)_{i=0}^n, (f_i^n)_{i=0}^n$ as follows.

Algorithm

Initialization. Let $u_0^n := u_0, v_0^n := v_0 \in C(0, u_0)$, choose $f_0^n \in F(0, u_0, v_0) \cap L_F(1 + \|u_0\| + \|v_0\|)\mathbb{B}$.

Iteration. One has current points u_i^n, v_i^n, f_i^n . Compute $u_{i+1}^n := u_i^n + h_n v_i^n$ and

$$v_{i+1}^n := \text{proj}(C(t_{i+1}^n, u_{i+1}^n); v_i^n - h_n f_i^n). \tag{11}$$

Then, choose $f_{i+1}^n \in F(t_{i+1}^n, u_{i+1}^n, v_{i+1}^n) \cap L_F(1 + \|u_{i+1}^n\| + \|v_{i+1}^n\|)\mathbb{B}$ and set $i := i + 1$.

The algorithm is well defined thanks to (i) of Assumption 1. Now we prove that the sequences $(u_i^n)_{i=0}^n, (v_i^n)_{i=0}^n, (\frac{v_{i+1}^n - v_i^n}{h_n})_{i=0}^n$ and $(f_i^n)_{i=0}^n$, generated by the algorithm above, are uniformly bounded. Particularly, we show that

$$\|u_i^n\| + \|v_i^n\| \leq M_1 - 1. \tag{12}$$

It is obviously true for $i = 0$. Suppose that (12) holds for up to some $i \in \{0, 1, \dots, n - 1\}$, we will prove that (12) also holds for $i + 1$. Indeed, one has $\max\{\|u_i^n\|, \|u_{i+1}^n\|\} \leq M_1$ and

$$\begin{aligned} \|v_{i+1}^n - v_i^n + h_n f_i^n\| &\leq \|v_{i+1}^n - v_i^n\| + h_n \|f_i^n\| \\ &= d(C(t_{i+1}^n, u_{i+1}^n); v_i^n) + h_n f_i^n \leq e(C(t_i^n, u_i^n) \cap M_1\mathbb{B}; C(t_{i+1}^n, u_{i+1}^n)) + h_n \|f_i^n\| \\ &\leq \Gamma(t_i^n, u_i^n, t_{i+1}^n, u_{i+1}^n) + h_n \|f_i^n\| \\ &\leq h_n L_C(1 + \|v_i\|) + h_n \|f_i^n\| \quad (\text{by using (i) of Assumption 1}). \end{aligned} \tag{13}$$

It implies that

$$\begin{aligned} \|v_{i+1}^n\| &\leq \|v_i^n\| + h_n L_C(1 + \|v_i\|) + 2h_n \|f_i^n\| \\ &\leq \|v_i^n\| + h_n L_C(1 + \|v_i\|) + 2h_n L_F(1 + \|u_i^n\| + \|v_i^n\|) \\ &\leq \|v_i^n\| + h_n \left(L_C + 2L_F + 2L_F \|u_i\| + (L_C + 2L_F) \|v_i\| \right). \end{aligned} \tag{14}$$

Consequently

$$\begin{aligned} \|v_{i+1}^n\| &\leq \|v_0\| + (i + 1)h_n(L_C + 2L_F) \\ &\quad + h_n \left(2L_F \sum_{j=0}^i \|u_j\| + (L_C + 2L_F) \sum_{j=0}^i \|v_j\| \right). \end{aligned} \tag{15}$$

On the other hand

$$\|u_{i+1}^n\| \leq \|u_i^n\| + h_n \|v_i^n\| \leq \dots \leq \|u_0\| + h_n \sum_{j=0}^i \|v_j\|. \tag{16}$$

From (15) and (16), one has

$$\|u_{i+1}^n\| + \|v_{i+1}^n\| \leq \alpha + \beta h_n \sum_{j=0}^i (\|u_j\| + \|v_j\|),$$

where $\alpha = \|u_0\| + \|v_0\| + (L_C + 2L_F)T$ and $\beta = L_C + 2L_F + 1$. Using Lemma 2.2, we obtain

$$\|u_{i+1}^n\| + \|v_{i+1}^n\| \leq \alpha + e^{\beta i h_n} \leq \alpha + e^{\beta T} = M_1 - 1. \tag{17}$$

Consequently, by induction we have

$$\|u_i^n\| + \|v_i^n\| \leq M_1 - 1, \quad i = 1, 2, \dots, n. \tag{18}$$

On the other hand, one has

$$\|f_i^n\| \leq L_F(1 + \|u_i^n\| + \|v_i^n\|) \leq L_F M_1, \quad i = 1, 2, \dots, n. \tag{19}$$

Furthermore, from (13) one draws that

$$\begin{aligned} \left\| \frac{v_{i+1}^n - v_i^n}{h_n} \right\| &\leq L_C(1 + \|v_i\|) + 2\|f_i^n\| \leq L_C M_1 + 2L_F M_1 \\ &= (L_C + 2L_F)M_1 =: M_2, \quad i = 1, 2, \dots, n. \end{aligned} \tag{20}$$

In conclusion, the sequences $(u_i^n)_{i=0}^n, (v_i^n)_{i=0}^n$ are uniformly bounded by M_1 (more precisely by $M_1 - 1$) and $(\frac{v_{i+1}^n - v_i^n}{h_n})_{i=0}^n, (f_i^n)_{i=0}^n$ are uniformly bounded by M_2 . We

construct the sequences of functions $(u_n(\cdot))_n, (v_n(\cdot))_n, (f_n(\cdot))_n, (\theta_n(\cdot))_n, (\eta_n(\cdot))_n$ from $[0, T]$ to H as follows: on $[t_i^n, t_{i+1}^n[$ for $0 \leq i \leq n - 1$, we set

$$u_n(t) := u_i^n + \frac{u_{i+1}^n - u_i^n}{h_n}(t - t_i^n), \quad v_n(t) := v_i^n + \frac{v_{i+1}^n - v_i^n}{h_n}(t - t_i^n),$$

and

$$f_n(t) := f_i^n, \quad \theta_n(t) := t_i^n, \quad \eta_n(t) := t_{i+1}^n. \tag{21}$$

Then, for all $t \in]t_i^n, t_{i+1}^n[$

$$\dot{u}_n(t) = \frac{u_{i+1}^n - u_i^n}{h_n} = v_i^n \in C(t_i^n, u_i^n), \quad \dot{v}_n(t) = \frac{v_{i+1}^n - v_i^n}{h_n},$$

and

$$\max\{ \sup_{t \in [0, T]} |\theta_n(t) - t|, \sup_{t \in [0, T]} |\eta_n(t) - t| \} \leq h_n \rightarrow 0 \text{ as } n \rightarrow +\infty. \tag{22}$$

The sequence $(v_n(\cdot))_n$ is equi-Lipschitz with ratio M_2 since

$$\|\dot{v}_n(t)\| = \left\| \frac{v_{i+1}^n - v_i^n}{h_n} \right\| \leq M_2.$$

Next we prove that the set $\Omega(t) = \{v_n(t)\}$ is relatively compact for all $t \in [0, T]$. Suppose to the contrary that there exists $t_0 \in [0, T]$ such that $\Omega(t_0)$ is not relative compact. Then, let $3\sigma := \gamma(\Omega(t_0)) > 0$. Note that $\Omega(t_0) \subset M_1\mathbb{B}$, hence $3\sigma = \gamma(\Omega(t_0)) \leq \gamma(M_1\mathbb{B}) = 2M_1$, which particularly implies that $\sigma \leq M_1$. For each n , we can find i such that $t_0 \in [t_i^n, t_{i+1}^n[$. Then,

$$\|v_n(t_0) - v_i^n\| = \left\| \frac{v_{i+1}^n - v_i^n}{h_n} \right\| \|t_0 - t_i^n\| \leq M_2 h_n. \tag{23}$$

On the other hand, $v_i^n \in C(t_i^n, u_i^n) \cap M_1\mathbb{B} \subset C(t_0, u_i^n) + L_C h_n \mathbb{B} \subset C(t_0, M_1\mathbb{B}) + L_C h_n \mathbb{B}$. Thus

$$v_n(t_0) \in C(t_0, M_1\mathbb{B}) + (L_C + M_2)h_n \mathbb{B}.$$

We can find n_0 large enough such that, for all $n \geq n_0$, we have $(L_C + M_2)h_n = (L_C + M_2)T/n \leq \sigma$. Furthermore for all n , $\|v_n(t_0)\| \leq M_1$, hence

$$v_n(t_0) \in (C(t_0, M_1\mathbb{B}) \cap (M_1 + \sigma)\mathbb{B}) + \sigma\mathbb{B} \subset (C(t_0, M_1\mathbb{B}) \cap 2M_1\mathbb{B}) + \sigma\mathbb{B} \text{ for all } n \geq n_0.$$

Note that the set $C(t_0, M_1\mathbb{B}) \cap 2M_1\mathbb{B}$ is relative compact (Assumption 1), hence $\gamma(C(t_0, M_1\mathbb{B}) \cap 2M_1\mathbb{B}) = 0$. Then, by using Lemma 2.3, one has

$$\begin{aligned} 3\sigma &= \gamma(\Omega(t_0)) = \gamma(\{v_n(t_0) : n \geq n_0\}) \leq \gamma((C(t_0, M_1\mathbb{B}) \cap 2M_1\mathbb{B}) + \sigma\mathbb{B}) \\ &\leq \gamma((C(t_0, M_1\mathbb{B}) \cap 2M_1\mathbb{B})) + \gamma(\sigma\mathbb{B}) = 2\sigma, \end{aligned}$$

which is a contradiction. Thus the set $\Omega(t) = \{v_n(t), n \geq 1\}$ is relatively compact for all $t \in [0, T]$. By applying the Arzelà–Ascoli theorem (see, e.g., [10]), there exists a Lipschitz function $v(\cdot) : [0, T] \rightarrow H$ with Lipschitz constant M_2 and

- (v_n) converges strongly to $v(\cdot)$ in $\mathcal{C}([0, T]; H)$;
- (\dot{v}_n) converges weakly to $\dot{v}(\cdot)$ in $L^\infty([0, T]; H)$.

In particular, $v(0) = v_0$. Let $u : [0, T] \rightarrow H, t \mapsto u(t) = u_0 + \int_0^t v(s)ds$. Then, $u(0) = u_0, \dot{u} = v$ and $\ddot{u} \in L^\infty([0, T]; H)$. Let us show that $u_n(\cdot)$ converges strongly in $\mathcal{C}([0, T]; H)$ to $u(\cdot)$. Indeed, we have

$$\begin{aligned} \max_{t \in [0, T]} \|u_n(t) - u(t)\| &= \max_{t \in [0, T]} \|u_n(0) + \int_0^t v_n(\theta_n(s))ds - u(0) - \int_0^t v(s)ds\| \\ &= \max_{t \in [0, T]} \left\| \int_0^t (v_n(\theta_n(s)) - v_n(s) + v_n(s) - v(s))ds \right\| \\ &\leq \max_{t \in [0, T]} \int_0^t (M_2|\theta_n(s) - s| + \|v_n(s) - v(s)\|)ds \\ &\leq \int_0^T (M_2|\theta_n(s) - s| + \|v_n(s) - v(s)\|)ds \rightarrow 0, \end{aligned}$$

as $n \rightarrow +\infty$ since $v_n(\cdot)$ converges strongly to $v(\cdot)$ in $\mathcal{C}([0, T]; H)$ and (22).

In next step, we prove that for every $t \in [0, T], \dot{u}(t) \in C(t, u(t))$. From the fact that $v_i^n \in C(t_i^n, u_i^n)$ for all i , we deduce for every $t \in [0, T]$ that

$$\begin{aligned} v_n(\theta_n(t)) &\in C(\theta_n(t), u_n(\theta_n(t))) \cap M_1\mathbb{B} \subset C(t, u(t)) \\ &+ L_C\{|\theta_n(t) - t| + \|u_n(\theta_n(t)) - u(t)\|\}\mathbb{B}. \end{aligned}$$

It is easy to see that for every $t \in [0, T], v_n(\theta_n(t)) \rightarrow v(t) = \dot{u}(t)$ and $|\theta_n(t) - t| + \|u_n(\theta_n(t)) - u(t)\| \rightarrow 0$ as $n \rightarrow +\infty$ because of (22) and the strongly convergence of $v_n(\cdot)$ to $v(t), u_n(\cdot)$ to $u(\cdot)$ in $\mathcal{C}([0, T]; H)$. Since $C(t, u(t))$ is closed, we obtain that $\dot{u}(t) \in C(t, u(t))$ for every $t \in [0, T]$. It remains to prove that

$$\ddot{u}(t) \in -N_{C(t, u(t))}(\dot{u}(t)) - F(t, u(t), \dot{u}(t)) \quad a.e. \quad t \in [0, T]. \tag{24}$$

Let us define

$$D(t) := C(t, u(t)) \cap M_1\mathbb{B}, \quad \forall t \in [0, T], \tag{25}$$

then D is nonempty, closed and convex and for all $t, s \in [0, T]$, one has

$$d_H(D(t), D(s)) \leq L_C(|t - s| + \|u(t) - u(s)\|) \leq L_C(1 + M_1)|t - s|. \tag{26}$$

From (9) we have, for almost every $t \in [0, T]$, that

$$\begin{aligned} \dot{v}_n(t) + f_n(t) &\in -N_{C(\eta_n(t), u_n(\eta_n(t)))}(v_n(\eta_n(t))) \\ &= -N_{D(\eta_n(t))}(v_n(\eta_n(t))) \text{ (since } \|v_n(\eta_n(t))\| \leq M_1 - 1). \end{aligned} \tag{27}$$

Let

$$\gamma_n(t) := -[\dot{v}_n(t) + f_n(t)] \text{ for all } t \in [0, T]. \tag{28}$$

Then, for all $c \in D(\eta_n(t))$, we get

$$\langle \gamma_n(t), c - v_n(\eta_n(t)) \rangle \leq 0.$$

Hence

$$\sigma(D(\eta_n(t)); \gamma_n(t)) + \langle -\gamma_n(t), v_n(\eta_n(t)) \rangle \leq 0.$$

Then, integrating on $[0, T]$, one obtains

$$\int_0^T \sigma(D(\eta_n(t)); \gamma_n(t)) dt + \int_0^T \langle -\gamma_n(t), v_n(\eta_n(t)) \rangle dt \leq 0. \tag{29}$$

Let us begin by estimating the second term in (29). First we prove that

$$\int_0^T \langle \ddot{u}(t), \dot{u}(t) \rangle dt = \lim_{n \rightarrow +\infty} \int_0^T \langle \dot{v}_n(t), v_n(\eta_n(t)) \rangle dt. \tag{30}$$

Indeed

$$\begin{aligned} \int_0^T \langle \ddot{u}(t), \dot{u}(t) \rangle dt &= \frac{1}{2} \int_0^T \frac{d}{dt} \dot{u}^2(t) dt = \frac{1}{2} (v^2(T) - v^2(0)) \\ &= \frac{1}{2} \lim_{n \rightarrow +\infty} (v_n^2(T) - v_n^2(0)) = \frac{1}{2} \lim_{n \rightarrow +\infty} \int_0^T \frac{d}{dt} v_n^2(t) dt \\ &= \lim_{n \rightarrow +\infty} \int_0^T \langle \dot{v}_n(t), v_n(t) \rangle dt. \end{aligned}$$

Note that we also have

$$\lim_{n \rightarrow +\infty} \int_0^T \langle \dot{v}_n(t), v_n(t) \rangle dt = \lim_{n \rightarrow +\infty} \int_0^T \langle \dot{v}_n(t), v_n(\eta_n(t)) \rangle dt,$$

since

$$\int_0^T |\langle \dot{v}_n(t), v_n(t) - v_n(\eta_n(t)) \rangle| dt \leq M_2^2 \int_0^T |t - \eta_n(t)| dt \rightarrow 0,$$

as $n \rightarrow +\infty$. So we get (30).

Since $\|f_n(t)\| \leq M_2$ for all $t \in [0, T]$, the sequence (f_n) is bounded in $L^\infty([0, T]; H)$. Therefore we can extract a subsequence, without relabeling for simplicity, converging weakly to some mapping $f(\cdot)$ in $L^\infty([0, T]; H)$. On the other hand, $v_n(\eta_n(\cdot))$ converges strongly to $\dot{u}(\cdot)$ in $L^1([0, T]; H)$, so one has

$$\int_0^T \langle f(t), \dot{u}(t) \rangle dt = \lim_{n \rightarrow +\infty} \int_0^T \langle f_n(t), v_n(\eta_n(t)) \rangle dt. \tag{31}$$

From (30) and (31), one deduces that

$$\int_0^T \langle \dot{v}(t) + f(t), \dot{u}(t) \rangle dt = \lim_{n \rightarrow +\infty} \int_0^T \langle -\gamma_n(t), v_n(\eta_n(t)) \rangle dt. \tag{32}$$

For the first term in (29), we will show that

$$\int_0^T \sigma(D(t); -\dot{v}(t) - f(t)) dt \leq \liminf_{n \rightarrow +\infty} \int_0^T \sigma(D(\eta_n(t)); \gamma_n(t)) dt. \tag{33}$$

Let us recall that (Lemma 2.1) the convex function $x(\cdot) \mapsto \int_0^T \sigma(D(t); x(t)) dt$ is weakly lower semicontinuous in $L^\infty([0, T]; H)$ and $\gamma_n(t) = -[\dot{v}_n(t) + f_n(t)]$ for all $t \in [0, T]$. In addition, $\dot{v}_n(\cdot), f_n(\cdot)$ weakly converges to $\dot{v}(\cdot), f(\cdot)$ in $L^\infty([0, T]; H)$, respectively. Consequently, one implies that

$$\gamma_n(\cdot) \rightarrow -\dot{v}(\cdot) - f(\cdot) \text{ weakly in } L^\infty([0, T]; H).$$

Therefore

$$\int_0^T \sigma(D(t); -\dot{v}(t) - f(t)) dt \leq \liminf_{n \rightarrow +\infty} \int_0^T \sigma(D(t); \gamma_n(t)) dt. \tag{34}$$

On the other hand

$$D(t) \subset D(\eta_n(t)) + L_C(1 + M_1)|t - \eta_n(t)|\mathbb{B} \subset D(\eta_n(t)) + L_C(1 + M_1)h_n\mathbb{B}.$$

Hence

$$\begin{aligned} \int_0^T \sigma(D(t); \gamma_n(t)) dt &\leq \int_0^T \sigma(D(\eta_n(t)); \gamma_n(t)) dt + L_C(1 + M_1)h_n \int_0^T \|\gamma_n(t)\| dt \\ &\leq \int_0^T \sigma(D(\eta_n(t)); \gamma_n(t)) dt + 2L_C(1 + M_1)TM_2h_n. \end{aligned}$$

It leads to the following inequality

$$\liminf_{n \rightarrow +\infty} \int_0^T \sigma(D(t); \gamma_n(t)) dt \leq \liminf_{n \rightarrow +\infty} \int_0^T \sigma(D(\eta_n(t)); \gamma_n(t)) dt. \tag{35}$$

From (34) and (35), we get the desired result (33). From (29), (32) and (33), we deduce that

$$\int_0^T \sigma(D(t); -\dot{v}(t) - f(t))dt + \int_0^T \langle \dot{v}(t) + f(t), \dot{u}(t) \rangle dt \leq 0. \tag{36}$$

Note that $\dot{u}(t) \in D(t)$ for every $t \in [0, T]$, we have

$$\sigma(D(t); -\dot{v}(t) - f(t)) + \langle \dot{v}(t) + f(t), \dot{u}(t) \rangle \geq 0 \text{ a.e. } t \in [0, T]. \tag{37}$$

From (36) and (37), one infers that

$$\sigma(D(t); -\dot{v}(t) - f(t)) + \langle \dot{v}(t) + f(t), \dot{u}(t) \rangle = 0 \text{ a.e. } t \in [0, T], \tag{38}$$

or equivalently,

$$\ddot{u}(t) + f(t) \in -N_{D(t)}(\dot{u}(t)) = -N_{C(t,u(t))}(\dot{u}(t)) \text{ a.e. } t \in [0, T]. \tag{39}$$

On the other hand, one has $f_n(t) \in F(\theta_n(t), u_n(\theta_n(t)), v_n(\theta_n(t)))$ for all $t \in [0, T]$ and F is upper semicontinuous with convex and weakly compact values in H . Classically, we obtain that $f(t) \in F(t, u(t), \dot{u}(t))$ for almost all $t \in [0, T]$ (see, e.g., [21, Theorem V-14]). Thus

$$\ddot{u}(t) \in -N_{C(t,u(t))}(\dot{u}(t)) - F(t, u(t), \dot{u}(t)) \text{ a.e. } t \in [0, T]. \tag{40}$$

The result has been proved. □

Remark 2 (i) It is obvious that the result is still true if we replace Assumption 1-(i) by the classical Lipschitz continuity assumption (see, e.g., [15]):

$$\begin{aligned} \|d_H(C(t, x); C(s, y))\| &\leq L_C(|t - s| + \|x - y\|), \forall 0 \leq t, s \leq T \\ &\text{and } x, y \in H \text{ for some constant } L_C > 0. \end{aligned} \tag{41}$$

However, it is difficult for unbounded set to hold this kind of assumption, since the Hausdorff distance of two unbounded sets may equal the infinity. For example, the rotating hyperplane never satisfies (41), but satisfies Assumption 1-(i) with suitable parameters. This observation was also stated in [22], when the author studied the first-order sweeping processes with the convex moving set depending on the time. Note that in our paper, the local Lipschitz variation of the moving set is assumed in a fixed ball, while in [22], it is necessary to consider in any ball. Particularly, if $F \equiv 0$ and $0 \in C(t, x)$ for all $t \in [0, T]$ and $x \in H$, then (5) can be replaced by

$$d_H(C(t, x) \cap M_1\mathbb{B}; C(s, y) \cap M_1\mathbb{B}) \leq L_C(|t - s| + \|x - y\|), \tag{42}$$

where $M_1 := \|u_0\| + \|v_0\|T$. Indeed, from (11) and $0 \in C(t_{i+1}, v_{i+1}^n)$ one has

$$\langle v_i^n - v_{i+1}^n, 0 - v_{i+1}^n \rangle \leq 0 \Rightarrow \|v_{i+1}^n\| \leq \|v_i^n\| \leq \dots \leq \|v_0\|.$$

Thus

$$\|u_i^n\| \leq \|u_{i-1}^n\| + h_n \|v_{i-1}^n\| \leq \dots \leq \|u_0\| + \|v_0\|T.$$

- (ii) The compactness assumption on the moving set C is also weaker than the one used in previous works [7, 10, 13–15] since it only requires to check the Kuratowski measure of noncompactness for a fixed ball. Furthermore, the perturbation force F only needs to satisfy the weak linear growth condition.
- (iii) In many applications, in practice, the set $C(\cdot)$ could be unbounded. This is the case, e.g., when $C(\cdot)$ coincides with a moving convex and closed cone. Such systems are called nonlinear complementarity systems and are of great interest in the modeling of nonregular electrical systems (see, e.g., Section 3.4 in [5]).
- (iv) The compactness assumption can be replaced by the anti-monotonicity of C as in [14]. Again, one does not need the boundedness and the classical Lipschitz continuity of C .

Theorem 3.2 *Let Assumptions 1-(i), 2 hold and suppose that $-C(t, \cdot)$ is monotone for each $t \in [0, T]$. Furthermore, assume that F is monotone with respect to the third variable on $\text{gph}(C)$, i.e., for all $(t_i, x_i, y_i) \in \text{gph}(C)$ and $z_i \in F(t_i, x_i, y_i)$ ($i = 1, 2$), one has*

$$\langle z_1 - z_2, y_1 - y_2 \rangle \geq 0.$$

Then, for each initial condition, there exists a solution in the sense of Theorem 3.1.

Proof We construct the sequences $(u_i^n)_{i=0}^n, (v_i^n)_{i=0}^n, (f_i^n)_{i=0}^n$ and the sequences of functions $(u_n(\cdot))_n, (v_n(\cdot))_n, (f_n(\cdot))_n, (\theta_n(\cdot))_n, (\eta_n(\cdot))_n$ as in Theorem 3.1. From the proof of Theorem 3.1, it is sufficient to prove the strong convergence of sequence $v_n(\cdot)$ in $C([0, T]; H)$. First we prove the convergence of $u_n(\cdot)$. For all positive integers $m \geq n$, let

$$\varphi_{m,n}(t) := \frac{1}{2} \|u_m(t) - u_n(t)\|^2.$$

Then, $\varphi_{m,n}$ is differentiable almost every $t \in [0, T]$. Let $t \in [0, T]$, at which $\varphi_{m,n}$ is differentiable. Then, there exist i, j such that $t \in [t_i^m, t_{i+1}^m] \cap [t_j^n, t_{j+1}^n]$ and hence

$$\frac{d}{dt} \varphi_{m,n}(t) = \langle u_m(t) - u_n(t), \dot{u}_m(t) - \dot{u}_n(t) \rangle = \langle u_m(t) - u_n(t), v_i^m - v_j^n \rangle.$$

We have $v_i^m \in C(t_i^m, u_i^m) \cap M_1 \mathbb{B} \subset C(t, u_i^m) + h_m L_C \mathbb{B}, v_j^n \in C(t_j^n, u_j^n) \subset C(t, u_j^n) + h_n L_C \mathbb{B}$. From the monotonicity of $-C(t, \cdot)$ and the boundedness of u_i^m, u_j^n by M_1 , one has

$$\langle v_i^m - v_j^n, u_i^m - u_j^n \rangle \leq 2M_1 L_C (h_n + h_m) \leq 4M_1 L_C h_n.$$

Hence

$$\begin{aligned} \frac{d}{dt} \varphi_{m,n}(t) &= \langle u_m(t) - u_n(t), v_i^m - v_j^n \rangle \\ &\leq \langle u_m(t) - u_i^m, v_i^m - v_j^n \rangle + \langle u_i^m - u_j^n, v_i^m - v_j^n \rangle + \langle u_j^n - u_n(t), v_i^m - v_j^n \rangle \\ &\leq 2M_1^2 h_m + 4M_1 L_C h_n + 2M_1^2 h_n \\ &\leq 4M_1 (M_1 + L_C) h_n, \end{aligned}$$

due to the M_1 -Lipschitz continuity of $u_m(\cdot), u_n(\cdot)$ and the boundedness by M_1 of u_i^m, u_j^n . Consequently,

$$\frac{1}{2} \|u_m(t) - u_n(t)\|^2 = \varphi_{m,n}(t) \leq 4M_1 T (M_1 + L_C) h_n \quad \text{for all } t \in [0, T], \quad (43)$$

which implies that $(u_n(\cdot))_n$ is a Cauchy sequence in $C([0, T]; H)$. Thus, there exists a M_1 -Lipschitz function $u(\cdot)$ such that $u_n(\cdot)$ converges to $u(\cdot)$ uniformly and

$$\|u_n(t) - u(t)\| \leq 2\sqrt{2M_1 T (M_1 + L_C) h_n}.$$

Next we show the uniform convergence of $(v_n(\cdot))_n$. By using (22), (43) and the Lipschitz continuity of $C, u_n(\cdot), v_m(\cdot)$, one has the following estimation

$$\begin{aligned} d_{C(\eta_n(t), u_n(\eta_n(t)))}(v_m(t)) &\leq e\left(C(\eta_m(t), u_m(\eta_m(t))) \cap M_1 \mathbb{B}; C(\eta_n(t), u_n(\eta_n(t)))\right) \\ &\quad + \|v_m(\eta_m(t)) - v_m(t)\| \\ &\leq L_C (h_m + h_n + \|u_n(\eta_n(t)) - u_m(\eta_m(t))\|) + M_2 h_m \\ &\leq (2L_C + M_2) h_n + L_C (\|u_n(\eta_n(t)) - u_n(\eta_m(t))\| \\ &\quad + \|u_n(\eta_m(t)) - u_m(\eta_m(t))\|) \\ &\leq (2L_C + M_2) h_n + 2L_C M_1 h_n + 2\sqrt{2M_1 T (M_1 + L_C) h_n}. \end{aligned}$$

In particular, we imply that $d_{C(\eta_n(t), u_n(\eta_n(t)))}(v_m(t)) \rightarrow 0$ as $m, n \rightarrow +\infty$. From (27) and the fact that $\|\dot{v}_n(t) + f_n(t)\| \leq 2M_2$, one has

$$\begin{aligned} -\dot{v}_n(t) - f_n(t) &\in N_{C(\eta_n(t), u_n(\eta_n(t)))}(v_n(\eta_n(t))) \\ &= 2M_2 \partial d_{C(\eta_n(t), u_n(\eta_n(t)))}(v_n(\eta_n(t))). \end{aligned} \quad (44)$$

Thus

$$\langle \dot{v}_n(t) + f_n(t), v_n(\eta_n(t)) - v_m(t) \rangle \leq 2M_2 d_{C(\eta_n(t), u_n(\eta_n(t)))}(v_m(t)).$$

It implies that

$$\begin{aligned} & \langle \dot{v}_n(t), v_n(t) - v_m(t) \rangle \\ & \leq \langle \dot{v}_n(t), v_n(t) - v_n(\eta_n(t)) \rangle - \langle f_n(t), v_n(\eta_n(t)) - v_m(t) \rangle \\ & \quad + 2M_2 d_C(\eta_n(t), u_n(\eta_n(t))) (v_m(t)) \\ & \leq 2M_2^2 h_n - \langle f_n(t), v_n(t) - v_m(t) \rangle + 2M_2 d_C(\eta_n(t), u_n(\eta_n(t))) (v_m(t)) \\ & = -\langle f_n(t), v_n(t) - v_m(t) \rangle + \beta_{n,m}(t), \end{aligned}$$

where

$$\beta_{n,m}(t) := 2M_2^2 h_n + 2M_2 d_C(\eta_n(t), u_n(\eta_n(t))) (v_m(t)),$$

and

$$\|\beta_{n,m}\|_\infty \rightarrow 0 \text{ as } m, n \rightarrow +\infty.$$

Similarly, one has

$$\langle \dot{v}_m(t), v_m(t) - v_n(t) \rangle \leq -\langle f_m(t), v_m(t) - v_n(t) \rangle + \beta_{m,n}(t).$$

As a consequence, we have, for almost every $t \in [0, T]$, that

$$\begin{aligned} & \langle \dot{v}_m(t) - \dot{v}_n(t), v_m(t) - v_n(t) \rangle \\ & \leq -\langle f_m(t) - f_n(t), v_m(t) - v_n(t) \rangle + \beta_{m,n}(t) + \beta_{n,m}(t) \\ & \leq -\langle f_m(t) - f_n(t), v_m(\theta_m(t)) - v_n(\theta_n(t)) \rangle + \alpha_{m,n}(t) \leq \alpha_{m,n}(t), \end{aligned} \tag{45}$$

where

$$\begin{aligned} \alpha_{m,n}(t) & := \beta_{m,n}(t) + \beta_{n,m}(t) - \langle f_m(t) - f_n(t), v_m(t) - v_m(\theta_m(t)) \rangle \\ & \quad - \langle f_m(t) - f_n(t), v_n(\theta_n(t)) - v_n(t) \rangle. \end{aligned}$$

The last inequality holds since

$$\begin{aligned} f_m(t) & \in F(\theta_m(t), u_m(\theta_m(t)), v_m(\theta_m(t))), f_n(t) \in F(\theta_n(t), \\ & u_n(\theta_n(t)), v_n(\theta_n(t))), \end{aligned}$$

and F is monotone with respect to the third variable. Note that

$$\begin{aligned} & \| \langle f_m(t) - f_n(t), v_m(t) - v_m(\theta_m(t)) \rangle + \langle f_m(t) - f_n(t), v_n(\theta_n(t)) \\ & \quad - v_n(t) \rangle \| \leq 2M_2^2 (h_m + h_n). \end{aligned}$$

Hence

$$\|\alpha_{n,m}\|_\infty \rightarrow 0 \text{ as } m, n \rightarrow +\infty.$$

From (45), one has

$$\frac{d}{dt} \|v_m(t) - v_n(t)\|^2 \leq 2\alpha_{m,n}(t) \leq 2\|\alpha_{n,m}\|_\infty.$$

Since $v_m(0) = v_n(0) = v_0$, one obtains, for all $t \in [0, T]$, that

$$\|v_m(t) - v_n(t)\|^2 \leq 2T \|\alpha_{n,m}\|_\infty \rightarrow 0 \text{ as } m, n \rightarrow +\infty.$$

It deduces that $(v_n(\cdot))_n$ is a Cauchy sequence in $\mathcal{C}([0, T]; H)$, which leads to the uniform convergence of $(v_n(\cdot))_n$. Thus, the result has followed. \square

Theorem 3.3 (Uniqueness) *Suppose that F satisfies the one-sided Lipschitz-like condition:*

for all $t \in [0, T]; x_1, x_2 \in H; y_1 \in C(t, x_1), y_2 \in C(t, x_2)$ and $z_1 \in F(t, x_1, y_1), z_2 \in F(t, x_2, y_2)$, one has

$$\langle z_1 - z_2, y_1 - y_2 \rangle \geq -k(t)(\|x_1 - x_2\|^2 + \|y_1 - y_2\|^2), \tag{46}$$

for some function $k(\cdot) \in L^1([0, T]; \mathbb{R})$. Then, for given initial condition, the sweeping process (\mathcal{S}) has at most a solution.

Proof Suppose that there are two solutions $u_1(\cdot), u_2(\cdot)$ of (\mathcal{S}) such that $u_1(0) = u_2(0) = u_0$ and $\dot{u}_1(0) = \dot{u}_2(0) = v_0$. Then, there exist $f_i(t) \in F(t, u_i(t), \dot{u}_i(t))$, $i = 1, 2$ such that

$$\ddot{u}_i(t) + f_i(t) \in -N_{C(t, u_i(t))}(\dot{u}_i(t)) \text{ a.e. } t \in [0, T]. \tag{47}$$

Using the monotonicity property of the normal cone and the condition (46), we have for almost every $t \in [0, T]$ that

$$\langle \ddot{u}_1(t) - \ddot{u}_2(t), \dot{u}_1(t) - \dot{u}_2(t) \rangle \leq k(t)(\|u_1(t) - u_2(t)\|^2 + \|\dot{u}_1(t) - \dot{u}_2(t)\|^2).$$

Thus

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} \{ \|\dot{u}_1(t) - \dot{u}_2(t)\|^2 + \|u_1(t) - u_2(t)\|^2 \} \\ &= \langle \ddot{u}_1(t) - \ddot{u}_2(t), \dot{u}_1(t) - \dot{u}_2(t) \rangle + \langle u_1(t) - u_2(t), \dot{u}_1(t) - \dot{u}_2(t) \rangle \\ &\leq (k(t) + 1/2)(\|u_1(t) - u_2(t)\|^2 + \|\dot{u}_1(t) - \dot{u}_2(t)\|^2). \end{aligned}$$

Then, the result follows by using Gronwall’s inequality. \square

4 Conclusions

In this paper, by using tools from convex and variational analysis, the existence and uniqueness result of a class of second-order state-dependent sweeping processes in

Hilbert space, has been studied carefully. It is remarkable that the moving set is possibly unbounded and all main assumptions (the Lipschitz continuity and the compactness of the moving set, the linear growth boundedness of the perturbation force) are weaker than the ones used in previous works, which allows more applications in practice.

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