

# World Journal of *Gastroenterology*

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Editorial board member of *World Journal of Gastroenterology*, Shinji Tanaka, MD, PhD, Associate Professor, Department of Hepato-Biliary-Pancreatic Surgery, Tokyo Medical and Dental University, Tokyo 113-8519, Japan

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## Glucocorticosteroid therapy in inflammatory bowel diseases: From clinical practice to molecular biology

Karen Dubois-Camacho, Payton A Ottum, Daniel Franco-Muñoz, Marjorie De la Fuente, Alejandro Torres-Riquelme, David Díaz-Jiménez, Mauricio Olivares-Morales, Gonzalo Astudillo, Rodrigo Quera, Marcela A Hermoso

Karen Dubois-Camacho, Daniel Franco-Muñoz, Marjorie De la Fuente, Alejandro Torres-Riquelme, David Díaz-Jiménez, Mauricio Olivares-Morales, Gonzalo Astudillo, Marcela A Hermoso, Innate Immunity Laboratory, Immunology Program, Institute of Biomedical Sciences, Faculty of Medicine, Universidad de Chile, Santiago 8380453, Chile

Payton A Ottum, Neuroimmunology Laboratory, Immunology Program, Institute of Biomedical Sciences, Faculty of Medicine, Universidad de Chile, Santiago 8380453, Chile

Marjorie De la Fuente, Division of Research, Clínica Las Condes, Santiago 7591046, Chile

Rodrigo Quera, Gastroenterology Department, Inflammatory Bowel Disease Program, Clínica Las Condes, Santiago 7591046, Chile

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Correspondence to: Marcela A Hermoso, PhD, Full Professor, Innate Immunity Laboratory, Immunology Program, Institute of Biomedical Sciences, Faculty of Medicine, Universidad de Chile, Independencia 1027, Santiago 8380453, Chile. [mhermoso@med.uchile.cl](mailto:mhermoso@med.uchile.cl)  
Telephone: +56-2-29785672  
Fax: +56-2-29786979

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### Abstract

Inflammatory bowel diseases (IBDs), such as ulcerative colitis and Crohn's disease, are chronic pathologies associated with a deregulated immune response in the intestinal mucosa, and they are triggered by environmental factors in genetically susceptible individuals. Exogenous glucocorticoids (GCs) are widely used as anti-inflammatory therapy in IBDs. In the past, patients with moderate or severe states of inflammation received GCs as a first line therapy with an important effectiveness in terms of reduction of the disease activity and the induction of remission. However, this treatment often results in detrimental side effects. This downside drove the development of second generation GCs and more precise (non-systemic) drug-delivery methods. Recent clinical trials show that most of these new treatments have similar effectiveness to first generation GCs with fewer adverse effects. The remaining challenge in successful treatment of IBDs

concerns the refractoriness and dependency that some patients encounter during GCs treatment. A deeper understanding of the molecular mechanisms underlying GC response is key to personalizing drug choice for IBDs patients to optimize their response to treatment. In this review, we examine the clinical characteristics of treatment with GCs, followed by an in depth analysis of the proposed molecular mechanisms involved in its resistance and dependence associated with IBDs. This thorough analysis of current clinical and biomedical literature may help guide physicians in determining a course of treatment for IBDs patients and identifies important areas needing further study.

**Key words:** Inflammatory bowel diseases; Ulcerative colitis; Crohn's disease; Glucocorticoid dependence; Glucocorticoid resistance

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**Core tip:** Glucocorticoids (GCs) are widely used in patients with Inflammatory Bowel Diseases who have moderate or severe disease activity; however, some of them do not respond to treatment or become dependent. Knowledge of both the clinical approach of GCs treatment as well as the molecular basis underlying their effects will help physicians prescribe drugs that will lead to better outcomes for patients.

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## INTRODUCTION

Inflammatory bowel diseases (IBDs), including ulcerative colitis (UC) and Crohn's disease (CD), are multifactorial disorders comprised of both environmental and genetic factors. Even though they are different disease entities, UC and CD are grouped under the generic term of IBDs given their similar activity/remission stages, chronicity, immunological pathophysiology and uncertain etiology<sup>[1-3]</sup>. The prevalence of UC is 505 per 100000 people in Europe and 249 per 100000 people in North America, and CD is 322 per 100000 people in Europe and 319 per 100000 people in North America<sup>[4]</sup>. An increased incidence has been reported in some South American and mid-eastern Asian countries such as Chile<sup>[5]</sup>, Brazil<sup>[6]</sup>, Israel<sup>[7]</sup>, and Malaysia<sup>[8]</sup>. This tendency might be related to the industrialization phenomenon and the

influence of environmental risk factors<sup>[4]</sup>.

## IBDS: A MULTIFACTORIAL COMPLEX DISORDER

Various genetic and environmental factors have been associated with development of IBDs. Polymorphisms in a vast number of genes which impair functions such as lymphocyte activation, autophagy, pathogen sensing, stress response, antigen presentation, and chemotaxis, among others have been described<sup>[9]</sup>. The presence of such genetic variants may contribute to an imbalance in the immune response and increased predisposition to IBDs<sup>[10,11]</sup>. Moreover, environmental factors, such as diet, smoking, appendectomy, breast-feeding behavior in childhood, vitamin D deficiency and infections, play key roles in inflammatory manifestations, and have been described as risk factors for IBDs<sup>[12,13]</sup>. Furthermore, intestinal microbiome composition differs in IBDs patients compared to healthy subjects: IBD patients have a more predominant *Proteobacteria* population and decreased *Firmicutes* and *Bacteroidetes* populations<sup>[14,15]</sup>. Environmental factors might be involved in creating such imbalance in microbiome composition, and this may give rise to a constant inflammatory antigenic stimulus in the gut that causes chronic inflammation in genetically susceptible individuals<sup>[14]</sup>.

These multifactorial components involved in the origin and progression of IBDs, has prevented the development of a specific treatment applicable to all patients. Current treatment options seek to diminish inflammation in order to control symptoms and keep the patient in a state of remission or symptom improvement. For example, aminosalicylates (5-asa derivatives) are used to treat mild disease, while immunosuppressants such as azathioprine/6-mercaptopurine and methotrexate, and biological therapy such as anti-TNF antibodies or anti-integrin are prescribed for moderate to severe disease<sup>[16]</sup>. Finally, glucocorticoids (GCs) are used to achieve, but not to maintain, remission in patients with moderate to severe activity<sup>[17]</sup>.

## GC THERAPY IN IBDs: ADMINISTRATION AND EFFECTIVENESS

The first study that demonstrated the usefulness of GCs (cortisone) in controlling severe UC attacks was published in 1955<sup>[18]</sup>. Since the 70s, the first generation of GCs (prednisone, methylprednisolone, hydrocortisone) has been used to induce clinical remission in IBDs patients. However, significant adverse effects have led to the development of second generation GCs (budesonide, budesonide MMX, Bedclo-methasone dipropionate)<sup>[19-21]</sup>. A characteristic of GC treatments and their use is summarized in Table 1.

**Table 1** Glucocorticoids of first and second generation and his medical uses

Glucocorticoids	Component	Indications	Ref.
1 <sup>st</sup> generation	Prednisone	Moderate to Severe cases	[3,25,27]
	Methyl-Prednisolone	of IBDs	[26]
	Hydrocortisone	Short duration of treatment	[29]
2 <sup>nd</sup> generation	Budesonide	Moderate CD cases	[32-34]
	Budesonide MMX	Mild to moderate UC cases	[36,37]
	Beclomethasone dipropionate	Topical administration	[40,41]
	Erythrocyte - Mediated Delivery of Dexamethasone	In research for long term treatments	[42]

IBDs: Inflammatory bowel diseases; CD: Crohn's disease; UC: Ulcerative colitis.

### **First generation GCs: more effective than 5-asa and immunomodulatory treatment with significant secondary effects**

Several studies have compared the efficacy and safety of traditional GCs to other treatments by measuring the rates of remission and adverse side effects. Two independent placebo-controlled double-blind randomized trials showed that 47% of active CD patients treated with prednisone (1 mg/kg, ranging from 40-60 mg/d) achieved clinical remission, defined as CDAI score < 150 (for a review on clinical and endoscopy scoring see references<sup>[22-24]</sup>), compared to 26% of patients receiving placebo after 4 mo of treatment. Neither sulfasalazine (0.5-1 gr/15 kg) nor azathioprine (2-2.5 mg/kg per day) achieved significant effectiveness<sup>[25]</sup>. Later, a placebo-controlled study showed that high doses of methylprednisolone (12-48 mg/d), sulfasalazine (3 gr/d), or both in active CD patients, were significantly effective compared to the placebo, and patients treated with methylprednisolone (alone or in combination with sulfasalazine) showed faster improvement compared to sulfasalazine<sup>[26]</sup>.

Despite the effectiveness of GCs demonstrated in patients with severe CD, a prospective multicenter study comprised of patients with active CD on prednisone treatment (1 mg/kg per day) concluded that while 92% achieved clinical remission, only 29% of them reached endoscopic remission<sup>[27]</sup>. The presence of stenosis or fistula secondary to CD which occurs in patients who do not reach endoscopic remission, indicates a poor response to GC therapy and requires surgical intervention<sup>[27]</sup>. This suggests that GCs are efficient in improving the inflammatory response but other strategies are needed to increase mucosal healing.

Regarding UC, systemic GCs are the first choice therapy in cases of severe colitis<sup>[3]</sup>. In acute and critical UC, 76% of the patients treated with prednisolone (20 mg/d), plus a nightly rectal drip of hydrocortisone succinate sodium (100 mg in solution), presented clinical remission vs 52% of patients who were treated with sulfasalazine (8 gr/d the first week, 4 gr/d the second week)<sup>[28]</sup> demonstrating the clinical efficacy of this treatment. Likewise, two consecutive trials in UC patients with left side colitis determined that oral prednisone (1 mg/kg per day) was effective in severe stages of the disease compared with calcium lactate

(1-3 gr; placebo), and prednisone treatment led to clinical remission more quickly and more often than those treated with salazopyrin (4 gr/d; a sulfasalazine derivate) and topic hydrocortisone treatments (100 mg/150 mL of sterile water administered as an enema)<sup>[29]</sup>.

Despite the effectiveness of first generation GCs, they are characterized by several serious side effects that limit their long-term use. Among these, some of the most important are: metabolic (altered distribution of fat, Cushing's face or steroid-induced diabetes), eye-related (cataracts and glaucoma), dermatological (bruising and urticarial), gastrointestinal (gastric ulcer and gastrointestinal bleeding), and musculoskeletal side effects (osteopenia to osteoporosis). Additionally, adverse effects on the central nervous system have been described (insomnia, anxiety, hyperactivity, psychotic processes), along with hypertension, hypothalamic-pituitary-adrenal axis suppression and increased susceptibility to infections due to immunosuppression<sup>[19]</sup>. However, its use in IBDs patients has not be associated with atherosclerosis progression<sup>[30]</sup>. Finally, there is evidence that GC use increases the likelihood of sepsis of gastrointestinal origin; therefore it should be prescribed with caution in these patients<sup>[31]</sup>.

### **Second generation GCs: high anti-inflammatory activity and fewer side effects.**

The previously described serious side-effects related to first-generation GCs drove the development of second-generation steroids, which maximize the amount of corticosteroid locally available for distal ileum and proximal colon and minimize systemic bioavailability<sup>[3,26]</sup>. These new formulations of GCs with limited absorption include budesonide, budesonide MMX (multimatrix delivery system MMX) and beclomethasone dipropionate<sup>[3,19]</sup>.

**Budesonide, similar effectiveness with fewer adverse effects:** Budesonide undergoes hepatic inactivation before reaching the systemic circulation (first-pass metabolism) which reduces its bioavailability<sup>[3]</sup>. Various placebo-controlled clinical studies have provided evidence about the efficacy of budesonide in IBDs patients. A multicenter randomized, placebo-controlled, double-blind clinical

trial was conducted in CD patients who received three different budesonide doses (3, 9 and 15 mg/d)<sup>[32]</sup>. After 8 wk of treatment, 51% of those receiving 9 mg budesonide progressed to remission in CD patients with ileum and proximal colon disease activity. Thus, this dose was effective and safe but depended on disease location. A randomized, double-blind, double-dummy controlled trial conducted in patients with mild to moderately active CD demonstrated that budesonide (9 mg/d for 2 mo) was as effective as prednisone (40 mg/d for the first 2 wk, 30 mg/d the third week, and gradually tapered to 5 mg/wk at the end of the study lasting 2 mo) in patients with terminal ileum, cecum, and/or ascending colon inflammation<sup>[33]</sup>.

Subsequently, a multicenter double-blind, randomized trial was conducted in CD patients with moderate activity in the distal ileum and ascending colon. More patients that received budesonide (4.5 mg twice daily, or 9 mg once daily) achieved clinical remission compared to placebo 2 wk after beginning treatment, and this difference was statistically significant<sup>[34]</sup>. In addition, a pooled analysis of trials in CD patients found significantly fewer GC-related adverse events compared to patients treated with conventional corticosteroids (RR = 0.64, 95%CI: 0.54-0.76)<sup>[35]</sup>.

An improved oral formulation of budesonide uses the colonic delivery technology Multi-Matrix System (MMX) to extend drug release in the colon (Budesonide MMX). Due to this characteristic, it is indicated for the treatment of UC patients that do not respond to standard doses of salicylates. A placebo-controlled study demonstrated that a higher proportion of UC patients with mild to moderate activity treated with budesonide MMX (9 mg), reached clinical remission and symptom resolution compared to placebo. In contrast, a lower dose of budesonide MMX (6 mg) and mesalamine, another salicylate treatment (2.4 gr/d), did not significantly improve either parameter<sup>[36]</sup>. Furthermore, the higher dose of Budesonide MMX was efficient in inducing remission in patients with mild to moderate UC disease who did not achieve remission with 5-asa treatments such as mesalamine or sulfasalazine<sup>[36,37]</sup>. Currently, physicians prescribe Budesonide MMX in UC patients that do not respond to traditional maintenance therapy before treating with azathioprine/6-mercaptopurin.

In 2015, data from 5 clinical studies, including double-blind, randomized, and open label studies, showed that rates of adverse effects were similar between budesonide MMX 9 mg and 6 mg (54.5% and 60.6% respectively) and placebo (50.5%) in patients with mild to moderate UC<sup>[38]</sup>. Furthermore, the open label studies showed less frequency of adverse effects with budesonide MMX (3 mg or 9 mg) compared to placebo. The most common adverse effects in these studies were headache, nausea and urinary tract infection demonstrating that second generation GCs not only have fewer side effects, but those effects

are less severe compared to first generation GCs side effects.

### **Beclomethasone dipropionate, a second generation option with low systemic activity:**

Beclomethasone dipropionate (BDP) is a second-generation corticosteroid with topical effects and minimal systemic activity. It is administered as a pro-drug and is partially metabolized in the lower gastrointestinal tract<sup>[39]</sup>. The effectiveness and tolerability of BDP vs budesonide have been evaluated in CD patients whose clinical characteristics did not include complications such as stenosis or fistulas. A study showed that BDP (10 mg/d) was less effective than budesonide (9 mg/d) in CD patients treated for 2 mo. They concluded that this was due to the pharmacokinetic properties of budesonide<sup>[40]</sup>.

A study was conducted to evaluate the efficacy and safety of BDP enemas vs prednisone enemas in active distal UC after 1 mo of treatment. They determined that clinical and endoscopic remission occurred in 29% of patients who received BDP and in 25% of patients who had standard topical GC treatment, and both groups experienced few adverse effects<sup>[41]</sup>. This evidence leads to the conclusion that BDP has similar efficacy to traditional GCs.

### **GC delivery technology, the next step to avoid side effects**

Novel drug delivery systems capable of slowly releasing drugs into the bloodstream at a low concentration such as the erythrocyte-mediated drug delivery have been proposed. The membrane characteristics of autologous erythrocytes render them ideal drug carriers because their permeability allows for the diffusion of small molecules. This has been demonstrated using dexamethasone 21-phosphate (Dex 21-P), a biologically inactive compound<sup>[42]</sup>. Once encapsulated, an enzyme resident in the red blood cell dephosphorylates Dex 21-P to form the corresponding active metabolite, dexamethasone (Dex), which is then released into the bloodstream by passive diffusion through the cytoplasmic membrane of erythrocytes<sup>[42]</sup>.

A study recruited 40 patients with mild to moderate UC, who had not responded to mesalamine and randomly assigned them to one of the following three treatment groups: Dex 21-P encapsulated into erythrocytes (DEE) delivered *via* two infusions 14 d apart, oral prednisolone infusions (0.5 mg/kg for 2 wk by 6 mg/wk tapering), and placebo. The group of DEE and oral prednisolone achieved a higher rate of clinical and endoscopic remission (75%) after 2 mo compared to placebo<sup>[42]</sup>. DEE-treated patients showed no adverse effects associated with GCs, in contrast to 80% of prednisone group, in whom acne, hirsutism and weight gain were reported. This delivery tool is an attractive choice for patients with IBDs to avoid most of GCs' adverse effects.



### **Resistance and dependence, an unresolved issue for GC treatment**

More than half of IBDs patients on GC therapy respond to treatment, approximately 28% have a partial response, and 19% are non-responders (GC-resistant). However, about 20% of IBDs patients on long-term GC therapy become dependent<sup>[43,44]</sup>. Therefore, clarifying this GC resistance/dependency is crucial to making appropriate decisions regarding patient treatment. GC resistance or refractoriness is the inability of GCs to exert their effects on target tissues, thus limiting the efficacy of the therapy. In IBDs, GC resistance is defined as the persistence of an active manifestation of the pathology, despite having received standard treatment of 0.75 mg/kg per day for 4 or more weeks<sup>[44]</sup>.

On the other hand, GC dependency is the need for GCs to maintain remission. Patients are considered GC-dependent if they fail to taper to steroid doses below 10 mg within 4 mo (starting dose 0.75-1 mg/kg oral prednisone-equivalent) or if they relapse within 3 mo after the discontinuation of GC treatment<sup>[44]</sup>. Usually, long-term treatment with GCs is associated with its resistance or dependency and a more aggressive clinical phenotype in IBD patients<sup>[45]</sup>.

## **MOLECULAR BASIS OF GLUCOCORTICOID TREATMENT**

Exogenous GCs are highly lipophilic compounds, making them widely bioavailable. Similar to endogenous cortisol, GCs are primarily transported in the bloodstream bound to corticosteroid-binding globulin and, to a lesser extent, to albumin<sup>[46]</sup>. GCs have the ability to passively diffuse through cell membranes and interact with the glucocorticoid receptor (GR), a member of the nuclear receptor superfamily of ligand-dependent transcription factors<sup>[47]</sup>.

### **Molecular characteristics of GR and its impact on Immune system**

GR is a cytosolic protein with a molecular weight of 94 kDa encoded by the *NR3C1* gene (5q31.3). It contains nine exons that mainly code for two transcripts formed by alternative splicing: the  $\alpha$  and  $\beta$  isoforms<sup>[48]</sup>. In addition to GR $\beta$ , three less-well-characterized isoforms have been reported: GR $\gamma$ , GR-A and GR-P<sup>[49]</sup>. Moreover, GR $\alpha$  and GR $\beta$  can also undergo alternative translation initiation in exon 2, which generates eight additional GR isoforms with truncated N-terminals giving them distinct properties<sup>[49]</sup>.

The amino terminal region of GR $\alpha$  contains a ligand-independent transactivation domain (AF-1), a highly conserved central DNA binding domain (DBD), and a hinge segment. The carboxyl-terminal region contains the ligand-binding domain, which includes an AF-2 region that interacts with co-regulators in a ligand-dependent manner<sup>[50]</sup>. The GR $\beta$  is a shorter protein which differs from GR $\alpha$  in its C-terminal

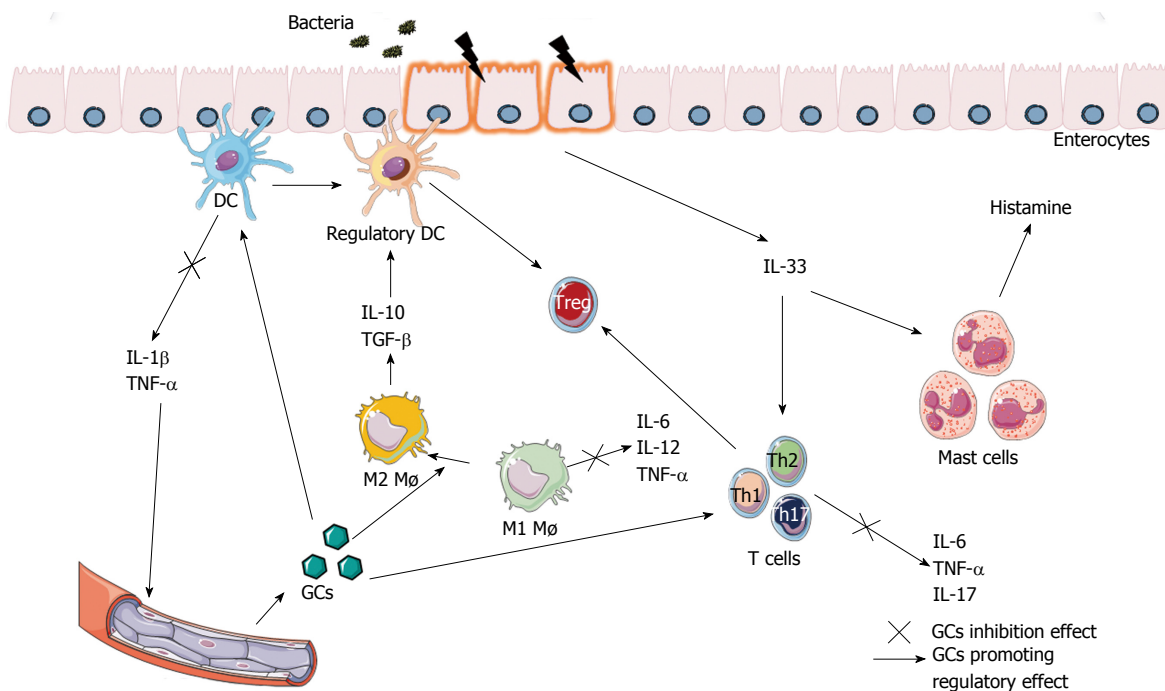
domain and antagonizes the activity of GR $\alpha$ <sup>[51]</sup>. After GC binding, the receptors undergo conformational changes and expose the DBD, interact with chromatin, and regulate gene expression<sup>[50]</sup>.

The GR-GC complex can inhibit proinflammatory proteins such as nuclear factor  $\kappa$ B and AP-1 through protein-protein interactions. These molecular mechanisms down-regulate the expression of proinflammatory cytokines and chemokines, such as IL-1 $\alpha$ , IL-1 $\beta$  and IL-8. In addition, GCs up-regulate the expression of other cytokines that suppress the production of inflammatory mediators: such as transforming growth factor- $\beta$ 3 (TGF- $\beta$ ) and IL-10, increasing its anti-inflammatory function. They also inhibit T and B lymphocyte proliferation, and promote a tolerant macrophage profile (M2) (Figure 1)<sup>[46,52,53]</sup>.

### **Molecular basis of GC resistance, a clue for personalized treatment**

The molecular mechanisms of GC resistance in IBDs have been associated with changes in the GR isoform levels, polymorphisms in *NR3C1* or genes involved in GC bioavailability, and impaired cytokine production<sup>[46,48,54,55]</sup>. The most important genes associated to GC resistance are summarized in Table 2. Changes in levels of GR $\alpha$  and  $\beta$  isoforms have been associated with GC resistance. The  $\alpha$ -isoform is the most well-studied protein, but only increased levels of the  $\beta$ -isoform have been associated to GC resistance in clinical trials in UC, asthma, nasal polyposis, cancer and chronic lymphocytic leukemia<sup>[53]</sup>. The GR $\beta$  protein is located primarily in the nucleus and is found mostly in T lymphocytes, macrophages, neutrophils, eosinophils, and peripheral blood mononuclear cells; however, it has also been reported in brain, lung, and heart tissue<sup>[56,57]</sup>. It has been proposed that GR $\beta$  may act as a dominant negative to GR $\alpha$ , since GR $\beta$  does not bind to GCs, but rather it interacts with glucocorticoid element response (GRE) causing GC resistance. This mechanism alters GR $\alpha$  signaling independent of GR $\alpha$ -GR $\beta$  heterodimer formation<sup>[58]</sup>. An *in vitro* study showed that overexpression of GR $\beta$  in a colonocyte cell model induces a vast deregulation of gene expression without Dex treatment, and a proportion of these genes have been shown to be altered in IBDs patients<sup>[59]</sup>. Thus, GR $\beta$  may directly change transcriptional gene activity of proinflammatory molecules. This effect is independent of GR $\alpha$  function and may promote the GC-resistance.

Furthermore, GR $\beta$  can bind to RU-486, a GR $\alpha$  antagonist, in such a way as to change the cellular location of this GR $\alpha$ , and alter its ability to regulate gene expression<sup>[60]</sup>. In addition, it has previously been shown that the expression of GR $\beta$  can be transcriptionally activated in regulatory T lymphocytes and neutrophils by proinflammatory cytokines<sup>[61]</sup>. Hence, GR $\beta$  expression may affect the immune response of these cells promoting inflammation and non-response to GC<sup>[55,57,62]</sup>.



**Figure 1 Immunologic dynamics and the responses triggered by glucocorticoids in inflammatory bowel diseases.** During activation of the immune response in inflammatory bowel diseases (IBDs), the invasion of bacteria and the cytokine cascade triggered by the disruption of the epithelial barrier prompt an inflammatory response in the intestinal mucosa. Among the different cells that participate in the pathology of IBDs, the most important are represented in this diagram. When bacterial organisms interact with dendritic cells (DC), or the intestinal epithelium is disrupted due to an inflammatory response, the production of proinflammatory cytokines and the release of DAMPs (Damaged- Associated Molecular Patterns) such as TNF- $\alpha$  and IL-33, respectively, is elicited. This leads to the infiltration of immune cells such as monocytes which differentiate into proinflammatory M1 macrophages (M $\phi$ ) and lymphocytes T (T cells), along with mast cell activation. In the presence of glucocorticoids (GCs), the infiltrating monocytes differentiate into a regulatory M2 profile M $\phi$  and CD103<sup>+</sup> DCs. These tolerogenic cells produce anti-inflammatory cytokines, skew the infiltrating naive T cells toward a T regulatory phenotype, and control the inflammatory response. Also, the action of GCs blocks the production of proinflammatory cytokines by the M1 M $\phi$ , T cells and DCs, leading to the healing of the intestinal mucosa. Figure 1 was produced using Servier Medical Art from <http://smart.servier.com>. TGF- $\beta$ : Transforming growth factor- $\beta$ ; IL: Interleukin.

**Table 2 Molecular highlights on responses to steroidal treatment**

Gene/protein	Function	Molecular alteration or genetic variant	Effect on GC response	Ref.
NR3C1	GC receptor	Increased expression of the isoform rs6189 rs6190 rs6195	Block signaling of the isoform/GC resistance	[58]
			Altered transactivation of GC receptor/GC resistance	[54,63]
MDR1	Export of drugs from the cell	rs41423247 rs1045642 rs1128503 rs1045642 rs1800896	Enhanced sensitivity to GC	[65]
			Alternative splicing of receptor/GC resistance	[54,63]
			Decreased MDR1 levels/GC resistance in Brazilian CD patients	[68]
IL-10	Anti-inflammatory cytokine	rs1800896	Possible misfolding/GC dependence in Chinese CD patients	[75-77]
EBF3	Transcription factor	Decreased levels of EBF3	Lowered production of IL-10/GC dependence in UC and CD patients	[45]
PAR2	G protein coupled receptor	Methylation of gene promoter	More severely inflamed phenotype/GC dependence GC resistance/dependence in UC patients.	[72] [82]

UC: Ulcerative colitis; CD: Crohn's disease; GCs: Glucocorticoids.

In 2009, a study was conducted to measure the expression of GR $\beta$  in intestinal mucosa of IBD patients that were refractory to treatment with GCs<sup>[55]</sup>. High levels of the GR $\alpha$  isoform were found in both GC-resistant and responsive patients; however, GC-resistant patients expressed higher levels of GR $\beta$ , in comparison with the GC-responder group. In the intestinal mucosa, the cells that primarily expressed

the GR $\beta$  receptor were CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes, macrophages and B cells. Fibroblasts and vascular endothelial cells expressed GR $\beta$  in a minor proportion. These results suggest that a higher ratio of GR $\beta$ /GR $\alpha$  in inflammatory mononuclear cells disturbs the effects of GCs resulting in refractory outcomes.

Single nucleotide polymorphisms (SNPs) in *NR3C1* gene, have been associated with GC resistance<sup>[54]</sup>,

and these SNPs may result in different effects on the receptor structure and its signal transduction<sup>[54]</sup>. The most-studied SNPs that could induce a refractory phenotype in IBDs patients are: ER22/23EK (rs6189 and rs6190), N363S (rs6195), and BcII (rs41423247)<sup>[54]</sup>. The ER22/23EK is produced by a change in the amino acid residues 22 and 23 in the GR, where two glutamic acids (E) are replaced by an arginine (R) in position 22, and a lysine (K) in position 23<sup>[63]</sup>. These SNPs affect the transactivation process in *in vitro* assays, where the receptor is less likely to change its conformation and induce gene transcription in the presence of GCs<sup>[64]</sup>. The SNP N363S is in codon 363 of exon 2 and results in an amino acid change from asparagine (N) to serine (S). This SNP was reported to be associated with enhanced sensitivity to GCs, as was demonstrated by a Dex suppression test<sup>[65]</sup>. The BcII SNP was identified as a cytosine-guanine substitution 646 nucleotides downstream from exon 2, yielding 2.2 and 3.9 kb long fragments<sup>[63]</sup>, which could suggest alternative splicing. A meta-analysis that included a mostly Caucasian population, analyzed the association of each of these 3 SNPs with GC resistance in IBDs patients<sup>[54]</sup>. Although no association was found, the analysis of the presence of all three SNPs, (or combinations of two SNPs) as a polygenic additive effect, could show risk associations of these variants with GC resistance.

Like the GR, the P-glycoprotein (P-gp) has also been associated with GC resistance in IBDs. P-gp is a trans-membrane glycoprotein with a molecular weight of 170 kDa encoded by the *MDR1* gene, which is located on the long arm of chromosome 7. P-gp is responsible for the absorption, distribution, metabolism and excretion of various drugs including GC<sup>[66]</sup>. A relationship between increased P-gp levels in circulating lymphocytes and intestinal epithelial cells with GC-resistant IBDs patients has been reported<sup>[67]</sup>. Polymorphisms in the *MDR1* gene have been shown to alter P-gp function, which in turn modifies the response to GCs<sup>[67]</sup>. A case-control study in a Brazilian population demonstrated that SNP C3435T (rs1045642), located in exon 26 of *MDR1* was associated with GC resistance in CD but not in UC patients<sup>[68]</sup>.

Additionally, higher expression of proinflammatory cytokines has been associated with GC resistance. Overexpression of IL-6 and IL-8 has been associated with non-responsiveness to GC treatment<sup>[69]</sup>. In pediatric patients with UC, a similar correlation was found between the expression levels of IL-6 and unresponsiveness to GC<sup>[70]</sup>. In this study, the patients who did not respond to GC had higher levels of IL-6, compared to responders. Given the pleiotropic nature of IL-6, this correlation could reflect a cause-effect relationship between the signaling of the GC receptor and the expression of proinflammatory cytokines, but the real reason for the failure to respond remains unclear<sup>[70]</sup>.

Similarly, in CD a correlation between cytokine production and resistance to GC was found. A clinical

study showed that in intestinal mucosa from GC-resistant patients, the rate of apoptosis of T and B cells, presence of caspase-3 and IL-10 production were diminished compared to healthy and GC-responsive individuals<sup>[71]</sup>. These findings suggest a strong relationship between cytokine signaling and the inhibition of the GR effect in inflammatory diseases.

Analyses of gene expression using microarrays have been conducted to associate gene deregulation with GC resistance from colonoscopic biopsies of IBDs patients. *ATG16L1*, a gene related to the autophagy process was down-regulated in GC-resistant patients<sup>[72]</sup>. A low expression of the ATG16L1 protein has been associated with altered secretion of mucus by Paneth cells<sup>[73]</sup>. Furthermore, inhibition of autophagy led to Dex-resistance in a lymphoma cell line and in a mouse model<sup>[74]</sup>. This evidence indicates the importance of autophagy in the GC-resistant phenotype.

### **GC dependence: another genetic issue**

Contrary to GC refractoriness, the molecular mechanisms behind GC dependence are poorly studied. There is evidence that associates *MDR1* SNPs (rs1128503 and rs1045642) with GC-dependent CD patients in a Chinese population<sup>[75]</sup>. Both SNPs correspond to a change from cytosine (C) to thymine (T) and are in coding regions of the gene (exon 12 and 26, respectively). Based on computational protein analysis, these SNPs, as haplotypes, seem to affect protein folding which could alter P-gp levels<sup>[76]</sup>, but this mechanism has not been experimentally demonstrated. Nevertheless, the rs1045642 - CC was frequently found in individuals with significantly higher P-gp levels in plasma along with increased protein activity (measured by rhodamine efflux in CD56<sup>+</sup> natural killer cells), compared to carriers of the TT genotype<sup>[77]</sup>. This study suggests that increased GC transportation out of cells might drive a decreased GC response leading to the dependent phenotype<sup>[75]</sup>.

SNPs in the *IL-10* gene have been associated with GC dependency as well. The SNP -1082 A/G (rs1800896) is located in the promoter sequence of the *IL-10* gene, and a case control study of this variant showed an association with GC dependence in UC and CD patients<sup>[45]</sup>. This SNP was also related to a lower production of IL-10 in IBDs patients. Together, this evidence suggests that intrinsically inferior levels of IL-10 may generate GC dependency because exogenous GCs would be necessary to reach the anti-inflammatory IL-10 content necessary to achieve sustained remission. Other mechanisms, similar to those of refractoriness, such as altered expression of GR that impair intracellular signaling, have been proposed<sup>[78]</sup>.

Downregulation of EBF3 was related to GC dependence in IBD patients<sup>[72]</sup>. The transcription factor EBF3 is a downstream target of SMAD2/3, which are components of the TGF- $\beta$  signaling pathway<sup>[79]</sup>. This anti-inflammatory mechanism might be impaired in the context of low EBF3 expression<sup>[80]</sup>. Moreover,

EBF3 down-regulation has been associated with a low rate of apoptosis and increased cell proliferation<sup>[81]</sup>. This evidence suggests that decreased EBF3 levels might allow for a more severely inflamed phenotype making necessary a continual GC administration to maintain remission in IBD patients and driving their dependence.

### Epigenetics knowledge in GCs dependence/resistance in IBDs

Epigenetic changes may also cause GC dependence/resistance. In the context of IBDs, higher methylation of the *Protease-Activated Receptor 2 (PAR2)* gene, has been associated with steroid-dependent and resistance phenotypes in UC patients<sup>[82]</sup>. PAR2 is a G protein coupled receptor that is involved in pro-inflammatory responses and play a role in IBDs pathogenesis<sup>[82]</sup>. However, its specific role in GCs dependence/resistance has not been described.

Various studies relate the epigenetics changes such as hypermethylation of promoter genes with severe disease and risk of neoplasia<sup>[83-85]</sup>. There is evidence that epigenetic shifts can occur in the early stages of embryonic development in genes known as metastable epialleles, and these events can appear, as shown in rat and murine models, by environmental factors such as stress<sup>[86-88]</sup>. However, more analyses are needed to clarify the role of epigenetics in controlling the molecular mechanisms that underlie dependence or resistant to GCs in IBDs patients.

## CONCLUSION

It has been over half a century since the first use of GC in IBD, yet amazingly, it currently remains a widely used therapeutic tool, specifically in disease exacerbations. The latest pharmacological applications, known as second-generation steroids, have contributed to the reduction of adverse reactions, however, increased effectiveness would need to be demonstrated in order for them to replace traditional GC therapy.

Despite findings showing positive GC responses, some patients remain resistant or become dependent to this drug. Deepening our understanding of the molecular basis of these undesired effects will help us generate novel, personalized GC therapies, depending on a patient's genetic background and cytokine profiles.

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