

Critical Review

Role of the Unfolded Protein Response in Organ Physiology: Lessons from Mouse Models

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Abstract

The endoplasmic reticulum (ER) is a key subcellular compartment involved in the folding and maturation of around one-third of the total proteome. Accumulation of misfolded proteins in the ER lumen engages a signal transduction pathway known as unfolded protein response (UPR) that feedback to recover ER homeostasis or to trigger apoptosis of irreversible damaged cells. The UPR is initiated by three main stress sensors including protein kinase RNA-like ER kinase (PERK), activating transcription factor 6 (ATF6), and inositol-requiring protein 1 α (IRE1 α),

which reprogram the genome through the control of downstream transcription factors. In this article, the authors have reviewed most relevant studies uncovering the physiological function of the UPR in different organs and tissues based on the phenotypes observed after genetic manipulation of the pathway *in vivo*. Biomedical applications of targeting the UPR on a disease context are also discussed. © 2013 IUBMB Life, 65(12):962–975, 2013.

Keywords: ER stress; unfolded protein response; protein misfolding; mouse model; secretory cells

Introduction

The endoplasmic reticulum (ER) is a fundamental subcellular compartment involved in protein synthesis, folding, and maturation. The ER also plays a central role in metabolic processes, including gluconeogenesis and lipid biosynthesis (1). The

maintenance of ER protein homeostasis (referred to as proteostasis) is a highly complex process because it involves the dynamic coordination of a large network of chaperones, foldases, and cofactors, in addition to specific environmental and energetic requirements. Perturbation of the protein folding process at the ER causes the accumulation of misfolded or unfolded proteins on its lumen, a phenomenon known as “ER stress.” In response to ER stress, cells engage an adaptive signal transduction pathway termed the unfolded protein response (UPR; ref. 2). In general terms, the UPR reprograms gene expression to adjust proteostasis or to induce apoptosis of irreversible damaged cells. UPR signaling events are key to buffer fluctuation in the efficiency of the protein folding process. Thus, the UPR mediates several molecular responses toward increasing ER chaperon expression, enhance quality control mechanisms, and the degradation of abnormally folded proteins, in addition to attenuate protein translation and regulate mRNA stability (2).

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TABLE 1

Consequences of the genetic manipulation of UPR components *in vivo*

| Member | Animal model | Phenotype | References |
|---------------|--|--|------------|
| IRE1 α | Full knockout | Embryonic lethality after 12.5–13 days of gestation. Impaired development of blood vessels of the placenta caused by reduced VEGF-A secretion. | (26,27) |
| | Conditional embryo-specific knockout | Mild hypoinsulinemia, hyperglycemia, and a low-weight trend. Abnormal histological structure of the acinar pancreas and salivary serous tissues. Normal liver development. | (28) |
| | IRE1 α /RAG2 $-/-$ chimeric mouse | Defects in early and late stages of B-cell lymphopoiesis. Compromised B-cell immunoglobulin gene rearrangement and production of B-cell receptor. | (26) |
| IRE1 β | Full knockout | Increased susceptibility to colitis and higher mortality when challenged with dextran sodium sulfate. Hyperlipidemia under high-fat diet due to increased circulating chylomicron. Abnormal ER mucin accumulation leading to reduced mucin secretion in bronchial epithelia and goblet cells. | (29–32) |
| | Full knockout | Hypoplastic fetal liver, reduced hematopoiesis, and embryonic death from anemia. Impaired hepatocyte development. | (33) |
| | XBP1/RAG2 $-/-$ chimeric mouse | Defective B-cell differentiation. Reduced immunoglobulin secretion and unresponsiveness to <i>in vivo</i> antigen and virus challenges. Decreased plasma cells in the spleen and bone marrow. Decreased number and reduced survival of conventional and plasmacytoid dendritic cells. | (34–36) |
| XBP1 | Adult liver-specific knockout | No gross liver abnormalities. Reduced plasma levels of cholesterol and triglycerides due to decreased hepatic lipid synthesis and secretion. | (37) |
| | Conditional β -cell-specific knockout | Mild hyperglycemia and glucose intolerance due to impaired proinsulin processing and reduced insulin secretion. Activation of IRE1 α RNase domain leading to proinsulin processing. | (38) |
| | Conditional α -cell-specific knockout | Altered insulin signaling and glucagon secretion. Glucose intolerance and mild insulin resistance. Overactivation of IRE1 α . | (39) |
| | Conditional intestinal epithelial cell-specific knockout | Spontaneous enteritis and increased susceptibility to induced colitis. Absence of Paneth cells and fewer Goblet cells in the intestinal epithelium due to increased apoptosis. | (40) |
| PERK | Full knockout | Hyperglycemia and impaired insulin secretion. Increased loss of β -cells leading to diabetes mellitus. Exocrine pancreas insufficiency. Growth retardation and skeletal dysplasia characterized by deficient bone mineralization, osteoporosis, and abnormal bone development. Reduced secretion of hepatic IGF-I. | (41–43) |



TABLE 1

(Continued)

| Member | Animal model | Phenotype | References |
|---------------|---|--|------------|
| | Adult knockout | Acute diabetes phenotype. Hyperglycemia, ER proinsulin accumulation, and increased β -cell apoptosis. | (44) |
| | Conditional forebrain-specific knockout | Deficits in information processing and enhanced vertical activity. Enhanced perseverative behaviors and reduced behavioral flexibility. | (45) |
| eIF2 α | Homozygous non-phosphorylatable knock-in (S51A) | Lethal within 24 hours of birth. Severe hypoglycemia due to impaired liver gluconeogenesis. | (46) |
| | | Disrupted β -cell function and decreased insulin mRNA and protein at birth. | |
| | Heterozygous non-phosphorylatable knock-in (S51A) | Increased weight gain, glucose intolerance, and impaired insulin secretion under a high-fat diet. β -cell failure. | (47) |
| | Conditional β -cell-specific knockout | Decrease insulin secretion due to misfolding and mislocalization of proinsulin. Mice develop type II diabetes. | (48) |
| ATF4 | Full knockout | Defective eye lens development leading to microphthalmia in adults. | (20,49–52) |
| | | Severe anemia due to impaired fetal-liver hematopoiesis. Reduced number of hematopoietic progenitors. | |
| | | Resistance to death by oxidative stress in neurons. Smaller infarct area and enhanced recovery after ischemic stroke. | |
| | | Dwarfisms and several skeletal abnormalities, including delayed ossification, osteopenia, and impaired osteoblast and chondrocyte proliferation and differentiation. | |
| ATF6 α | Full knockout | Reduced resistance to acute pharmacologically induced ER stress: liver failure. | (24,53–57) |
| | | Hypoglycemia, insulin resistance, and liver steatosis in response to pharmacologically induced ER stress. | |
| | | β -cell failure, increased glucose intolerance, and impaired insulin secretion in mice fed a high fat diet. | |
| | | Compromised muscle recovery after acute exercise and increased intolerance to exercise. | |
| ATF6 β | Full knockout | No obvious phenotype. ^a | (24) |
| OASIS | Full knockout | Growth retardation and severe osteopenia. Reduced mineralization and healing after fracture. | (58,59) |
| | | Reduced number of astrocytes in the cortex due to delayed astrocyte differentiation. | |
| | | Compromised differentiation of goblet cells in the large intestine. | |
| BB2F2H7 | Full knockout | Severe chondrodysplasia. Mice die shortly after birth by suffocation due to incomplete chest cavity formation. | (59) |
| LUMAN | Not reported | | |

TABLE 1

(Continued)

| Member | Animal model | Phenotype | References |
|--------|--------------------------|--|------------|
| CREBH | Liver-specific knockdown | Reduction in blood glucose levels due to decrease in hepatic gluconeogenesis. | (60) |
| | Full-mouse knockdown | Reduced C-reactive protein and serum amyloid p-component in the liver at E14.5. No gross developmental or morphological defects. | (61) |

^aATF6 α and ATF6 β double knockout is embryonic lethal.

The UPR field is growing exponentially specially in the biomedical area based on the demonstrated role of ER stress in diverse human pathologies, including cancer, inflammatory conditions, neurodegeneration, and diabetes (3). However, most mechanistic studies have been carried out in cell culture systems exposed to artificial pharmacological agents that cause ER stress, and the significance of physiological ER stress has only started to be elucidated in the last years. Accumulating studies in animal models have revealed fascinating and specialized functions of the different signaling branches of the UPR in distinct organs. In this review, we focus in discussing and comparing most relevant studies characterizing the phenotypes of targeting the UPR *in vivo* and the consequences in organ and/or tissue-specific functions.

The UPR Signaling Network

Several cellular perturbations can alter ER proteostasis, triggering ER stress. These alterations can include, for example, a drastic depletion of ER calcium, altered ER-to-Golgi vesicular trafficking, impaired ER-associated degradation (ERAD), altered chaperone function, and local oxidative stress (4). The UPR orchestrates adaptation to protein folding stress by modulating at least three parallel signaling pathways initiated by the activation of the stress sensors inositol-required enzyme 1 (IRE1, α and β), protein kinase RNA-like ER kinase (PERK), and activating transcription factor 6 (ATF6, α and β). The IRE1 α signaling branch is the most conserved arm of the UPR and the only one present in yeasts. IRE1 α is a kinase and endoribonuclease that on activation catalyzes the processing of the mRNA encoding the transcription factor X box-binding protein 1 (XBP1), removing a 26-basepair intron (5–8). This nonconventional splicing event changes the coding reading frame of the mRNA, resulting in the expression-spliced XBP1 (XBP1s). XBP1s is a potent and stable transcription factor that regulates a subset of UPR targets involved in folding, quality control, and lipid synthesis (8,9). IRE1 α also activates other stress signaling events through the binding of adapter proteins such as tumor necrosis factor receptor-associated factor 2 (TRAF2), triggering the activation of c-Jun N-terminal kinase

(JNK) and apoptosis signal kinase-1 (ASK1), which have been implicated in processes such as autophagy and apoptosis (2,10,11). Besides, IRE1 α can degrade a subset of mRNAs and miRNAs through its RNase domain in a process termed regulated IRE1 α -dependent decay (RIDD), which can contribute to both adaptations to stress by decreasing protein expression or the induction of apoptosis (12–15).

On activation, PERK dimerizes and autotransphosphorylates leading to phosphorylation of the eukaryotic translation initiator factor 2 α (eIF2 α), a molecular event that arrests protein synthesis and thus contributes to alleviate the overload of misfolded proteins inside the ER (16,17). In addition, phosphorylation of eIF2 α allows the specific and selective translation of the mRNA encoding activating transcription factor 4 (ATF4). ATF4 is a key transcription factor involved in the regulation of genes related to redox balance, amino acid metabolism, protein folding, autophagy, and apoptosis (18–20). Many different mediators of ER stress-induced cell death have been described that depend on PERK signaling. One of the most characterized proteins is C/EBP-homologous protein (CHOP/GADD134), which is controlled by ATF4 (21). In addition, expression of GADD34 could contribute to cell death due to a reestablishment of protein synthesis through eIF2 α dephosphorylation and the enhancement of ROS production (22,23). Finally, under stress conditions, ATF6 translocates to the Golgi apparatus, where it is proteolytically processed by site-1 and site-2 proteases. This processing event releases an active cytosolic fragment (ATF6f) that operates as a basic leucine zipper (bZIP) transcription factor. ATF6f regulates a subset of UPR target genes involved in ERAD and also modulates XBP1 mRNA levels (24). ATF6f and XBP1s may also synergize in the control of a specific pattern of gene expression by the formation of heterodimers (25). Thus, the UPR represents a global homeostatic feedback network that integrates information about the intensity and duration of the stress stimuli toward recovering of proteostasis or inducing cell death of damaged cells.

The biological relevance of proximal UPR signaling modules *in vivo* have gained much insight in the last 5 years because of the discovery of unexpected novel physiological functions (Table 1). Overall, most studies illustrate a fundamental role of the UPR in maintaining the function of

specialized secretory cell function where the high demand of protein folding and secretion constitutes an endogenous source of ER stress. Interestingly, many recent studies also suggest unexpected functions of the UPR in the control of energy metabolism and lipid and cholesterol synthesis, in addition to behavior/cognition and innate immunity. In the next sections, we review important aspects of physiological activities of the UPR *in vivo* assessed using mouse models to target most relevant components of the pathway.

PERK

PERK is one of the most studied UPR sensor *in vivo*, possibly because the knockout animals for PERK were viable and were generated early in 2000. The phenotypes associated with PERK loss of functions involve strong changes in glucose metabolism and pancreatic β -cell survival. In addition to modulate the levels of insulin in pancreas and blood, the production of most digestive enzymes by exocrine pancreas was also altered (41,42,44). Because of these drastic phenotypes, PERK knockout newborn animals gain weight very slowly and develop early diabetes and premature death. Similarly, acute PERK deletion using a tamoxifen-induced system in the pancreas triggered spontaneous diabetes mellitus (44). So far, multiple studies indicate that PERK operates as a physiological sensor to adjust ER folding function of specific secretory tissues that are essential for early development (Fig. 1; examples in refs. 41,42,44,62, and 63). This modulation of the secretory capacity of a developing tissue through PERK may occur through controlling differentiation, increasing proliferation, and/or maturation of the secretory apparatus of β -cells and other cell types (43,64).

A key function of PERK is the regulation of mRNA translation through eIF2 α phosphorylation. Under physiological conditions, PERK controls the capacity of the organelle to fold proteins by limiting the translocation of newly synthesized polypeptides into the lumen of ER lumen (1,2). PERK knockout animals display a number of severe defects in addition to pancreatic dysfunction, including growth retardation, multiple skeletal dysplasias, severe spinal curvature, and reduced locomotor activity (41,42). Most these phenotypes are associated with a primary dysfunction of specialized secretory cells.

As mentioned previously, PERK knockout mice develop hyperglycemia and reduced serum insulin levels (41,44). However, the exocrine and endocrine pancreas develop normally in this animal model, suggesting that altered differentiation of pancreatic cells is not the principal cause for the phenotypes observed. In addition, experimental data indicate that the loss of insulin-positive cells in PERK-deficient animals is not due to the depletion of insulin stores but rather due to the progressive loss of cells capable of synthesizing the hormone (41). Cells of the exocrine and endocrine pancreas of PERK mutant mice had characteristic abnormal ER luminal distension and accumulation of protein inclusions inside this compartment (41).

The most striking feature of the affected tissues in PERK knockout animals is the occurrence of extensive apoptosis of

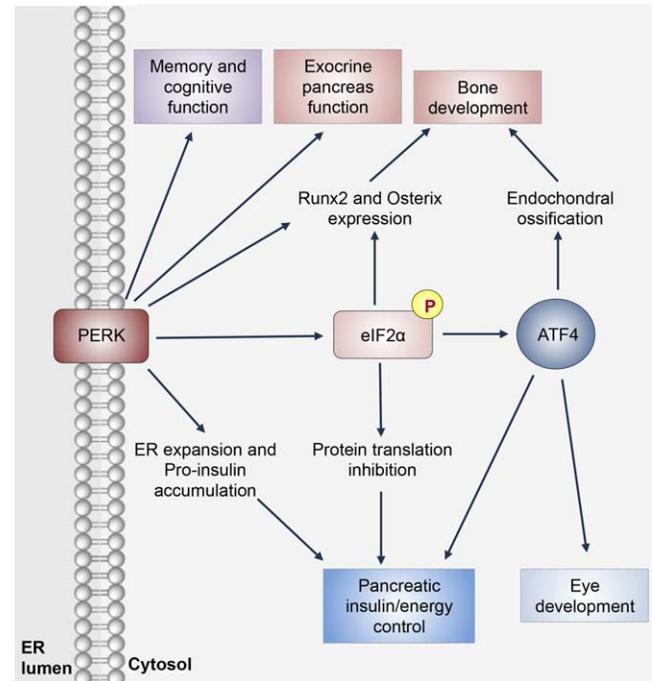


Fig 1

The function of PERK signaling in diverse organs. The figure summarizes the phenotypes described in animals where PERK-mediated events were targeted with genetic manipulation. The PERK pathway regulates metabolic processes related with pancreatic function, development of the skeleton, and memory and cognitive functions. In addition, this signaling branch may modulates eye development through ATF4. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

endocrine and exocrine pancreatic cells (41,42,44), which is preceded by an abnormal accumulation of immature insulin and increased β -cell proliferation (43,44). In addition, global markers of ER stress are upregulated in PERK-deficient animals, suggesting a general disturbance of ER proteostasis (41,43,44). These studies uncovered the function of PERK in islet function, insulin production, and its role in maintaining quiescence in the fasted state by controlling protein synthesis and maturation (42).

PERK signaling also plays an important role in the differentiation of osteoblasts probably because these cells secrete abundant extracellular matrix components. PERK knockout mice exhibit severe osteopenia that is manifested as decreased cortical bone thickness and mineral apposition rate and this is correlated with reduced trabecular bone volume and thickness (42,64,65). Analysis of gene expression patterns during the development of extracellular matrix indicated that PERK regulates bone development through controlling differentiation and proliferation programs in osteoblasts and the secretion of type I collagen. In addition, key genes related to matrix deposition, such as osteocalcin, are regulated by PERK (64,65).

Although sustained activation of PERK has been classically associated to the engagement of apoptosis (66), there is an

interesting recent study indicating that the artificial activation of PERK in oligodendrocytes can provide survival signals in an experimental model of multiple sclerosis, reducing demyelination and axonal degeneration (67). These data suggest that PERK could have divergent roles in neural tissues. Besides, in a recent study, the role of PERK in cognitive function has been examined. Disruption of PERK in the adult mouse forebrain showed a decrease in eIF2 α phosphorylation and ATF4 expression and was associated with altered behavior in several tests (45). Remarkably, a recent report indicated that ablation of *Perk* improves learning and memory of an experimental model of Alzheimer's disease (68). These reports uncovered an important function of PERK in cognitive functions of the nervous system. In summary, accumulating evidence indicates a fundamental role of PERK in adjusting protein synthesis in diverse tissues, having pleiotropic activities in organ homeostasis.

eIF2 α Phosphorylation in Serine 51

eIF2 α is part of a major machinery implicated in the initiation of protein translation in all eukaryotic cells. eIF2 α phosphorylation at serine 51 inhibits the formation of the translation initiation ternary complex and causes attenuation of global protein synthesis (69). In addition to PERK, three alternative kinases can phosphorylate eIF2 α at serine 51, including the general control nonrepressed 2 (GCN2) kinase (activated by amino acid starvation conditions), the double-stranded RNA-dependent (PKR) kinase (activated by viral infections), and the heme-regulated inhibitor (HRI) kinase (responds to iron deficiency; ref. 69).

Knock-in mouse models have been developed to substitute serine 51 for alanine, creating a nonphosphorylatable form of eIF2 α . Homozygous eIF2 α ^{S51A} mutant mice are born at the expected Mendelian ratios and show no obvious developmental differences, but die within 24 h after birth (46). This phenotype is caused in part by the occurrence of severe hypoglycemia owned to impaired postnatal gluconeogenesis and decrease glycogen synthesis and storage in the liver, both essential processes for postnatal survival (46). At birth, eIF2 α mutant mice also show signs of disrupted β -cell function, displaying decreased levels of insulin mRNA and protein when compared with wild-type animals (46). Interestingly, this phenotype is less severe in PERK knockout mice, which show a decrease in insulin levels only several weeks after birth (42), suggesting possible compensatory effects mediated by other eIF2 α kinases, such as PKR and GCN2.

Despite displaying reduced levels of eIF2 α phosphorylation, eIF2 α heterozygous mutant mice develop normally into adulthood. Nevertheless, when fed with a high-fat diet, eIF2 α mutant heterozygous mice gain more weight than their wild-type counterparts, developing glucose intolerance and impaired insulin secretion (47). The reduced insulin secretion observed in eIF2 α ^{S51A} heterozygous animals is caused by β -cell failure, as evidenced by a distended ER and diminished number of insulin granules. Moreover, it was shown that proinsulin

is retained in the ER through its association with the ER chaperone BiP, suggesting an altered folding process (47).

To determine the specific function of eIF2 α in the liver and the pancreas, tissue-specific genetic ablation of this factor has been carried out. Overexpression of GADD34 in the liver, a component of the eIF2 α phosphatase complex, causes eIF2 α dephosphorylation and results in hypoglycemia and reduced hepatic glycogen content (70). In addition, hepatic expression of GADD34 c-terminal domain also resulted in reduced body weight gain, improved glucose tolerance and insulin sensitivity, and decreased liver steatosis in adult mice fed a high-fat diet. This phenomenon was proposed to be due to a direct regulation of genes involved in fatty acid synthesis and lipid metabolism (70). Conditional deletion of eIF2 α in pancreatic β -cells of adult mice also results in hyperglycemia and increased glucose intolerance due to reduced islet mass (48). β -cell-specific deletion of eIF2 α led to decreased secretion of insulin due to higher proinsulin translation, mislocalization of secretory and plasma membrane proteins, reduced expression of ER stress-response genes, and increased oxidative stress (48). All these events were correlated with β -cells apoptosis and a diabetic phenotype.

Taken together, at this point, the phenotypes uncovered on targeting PERK expression or eIF2 α phosphorylation are fairly similar, indicating that this pathway acts in concert to control of energy metabolism, having a fundamental role in sustaining β -cell survival and function, and therefore glucose homeostasis (Fig. 1).

ATF4

ATF4 is a member of the cAMP-responsive element-binding protein (CREB) family of bZIP-containing proteins and has broad roles in developmental pathways, cell differentiation, and organ morphogenesis (49,50,71). ATF4 heterozygous animals are viable and phenotypically normal, whereas only 30% of ATF4 knockout animals survive until adulthood (51). ATF4 deficiency leads to hematologic defects (52) and drastic alterations in eye-lens formation and postnatal hair growth, in addition to pancreatic hypotrophy and growth retardation (43,49–51,72). The severe microphthalmia observed in these animals is due to altered eye lens development, involving a specific perturbation in the survival of the anterior epithelial cells of the lens that give rise to secondary lens fibers (Fig. 1; refs. 50 and 51).

Although the etiology of the partial perinatal lethality triggered by ATF4 deficiency is not yet fully elucidated, several observations point to systemic and metabolic problems associated with poor viability of embryos. ATF4 knockout mice are severely anemic during fetal development, apparently because of insufficient hematopoiesis. It may be feasible that a defect in cell proliferation is involved in these phenotypes, because fetal liver, embryonic lens, and hair follicle are all sites of rapid proliferation (52). Interestingly, deletion of p53 reduces some of the developmental problems observed in ATF4-deficient eye lens (51). ATF4 mutant mice are less susceptible



to diet-induced and age-dependent obesity and diabetes (72). Furthermore, tissues from these animals contain lower amino acid levels. Overall, extensive reports support the concept that ATF4 regulates several aspects of mammalian metabolism, including fat storage, energy expenditure, and glycemic control (73).

Additionally, ablation of ATF4 in mice leads to severe skeletal defects, including delayed ossification and low bone mass, short stature and short limbs (49). ATF4 is expressed in proliferative and prehypertrophic growth plate chondrocytes, suggesting an autonomous function of ATF4 in chondrocytes during endochondral ossification (49). Using osteoblast-specific ATF4-deficient mice, it was demonstrated that this transcription factor regulates terminal differentiation of osteoblasts and many processes related to the control of bone mass (71). ATF4 favors the expression of key genes that regulate the bioactivity of osteocalcin in osteoblasts (71). In addition, ATF4 induces the expression of the trophic factor Indian Hedgehog in chondrocytes, which is essential for skeletal development (49).

The functional role of ATF4 in neural tissue has also been addressed and, surprisingly, it is mostly associated with antioxidant responses rather than control of protein folding-related genes. We reported that ATF4 deletion reduces locomotor recovery after experimental spinal cord injury (SCI; ref. 74). In this pathological context, the effects of ATF4 inactivation are associated with a significant increase in the number of damaged neurons and reduced amount of oligodendrocytes surrounding the injured neurons. In addition, altered microglial activation and proinflammatory cytokine expression were observed in ATF4-deficient mice (74). ATF4 has also been linked to apoptosis in response to oxidative stress in neurons. Gene expression profile analysis revealed that ATF4 regulates a subset of genes that are induced in response to oxidative stress and controls antioxidant response (20). The oxidative stress condition induced by ATF4 deficiency is mediated in part by depletion of the major antioxidant glutathione (20). In this context, ATF4-deficient mice are less susceptible to ischemic brain damage (20), amyotrophic lateral sclerosis (ALS; ref. 75), experience significantly smaller infarcts, and have improved behavioral recovery in models of stroke (20). Thus, these selected studies support the concept of a pleiotropic function of ATF4 in diverse tissues possibly due to its function in modulating cell cycle, metabolism, the redox balance, apoptosis, and protein folding.

CHOP

CHOP is a well-described downstream target of the PERK signaling branch. Ablation of *Chop* in mice does not affect animal survival and do not generate evident developmental defects. CHOP has been extensively studied in the control of apoptosis because it regulates the expression of many cell death regulators under ER stress (22). CHOP enhances the expression of GADD34, which reestablishes protein synthesis on a terminally stressed cell, increasing ROS production and apoptosis. The literature using CHOP-deficient animals in the context of disease

models is very large, and here we highlight only some studies for discussion.

CHOP knockout mice show decreased lung apoptosis in response to intraperitoneal injection of lipopolysaccharide (76). Similarly, these animals are more resistant to apoptosis in the kidney induced by the exposure of animals to the ER stress agent tunicamycin. Interestingly, sublethal intraperitoneal injection of tunicamycin results in severe renal insufficiency (21). CHOP has also been described in atherosclerotic syndrome where targeting CHOP substantially diminishes plaque necrosis and apoptosis (77).

A recent study suggested that ER stress might be involved in hepatocyte injury caused by cholestasis and ethanol consumption (78,79). Acute liver injury and liver fibrosis were assessed in CHOP-deficient mice following bile duct ligation, an experimental model of cholestasis. Liver fibrosis and cell death were attenuated in CHOP knockout mice (78). In addition, ablation of *Chop* triggers remarkable inhibition of hepatocellular apoptosis in response to alcohol feeding but no protection against hyperhomocysteinemia or fatty liver (79).

Although obesity and insulin resistance are associated with ER stress and with the activation of the UPR in adipose tissue and pancreas, respectively, it is not well understood how UPR signaling affects this process. Several studies have been performed to explore the role of CHOP in adipocyte differentiation and function *in vivo* in mice. In a physiological context, CHOP deficiency leads to significantly greater body weight and adiposity than wild-type mice when subjected to a high-fat diet (80). However, in this experimental setting, caloric intake, thermogenesis, glucose tolerance, and insulin sensitivity did not differ between genotypes (80–82). In addition, CHOP deletion in genetically obese mice increased body fat mass, without altering adipocyte size (81). This evidence demonstrated that CHOP suppresses adipogenesis and limits expansion of fat mass *in vivo* in mice, without causing a severe metabolic disorder (82). In a mouse model of type II diabetes, *Chop* deletion increases the capacity of islets to produce insulin (83) and limits the progression of insulin resistance to diabetes, preventing β -cell apoptosis possibly due to attenuated oxidative stress (84,85).

CHOP has also been the focus of attention in pathological conditions affecting the nervous system. For example, pharmacologically induced cerebral ER stress, elicited by intracerebroventricular injection of tunicamycin, causes extensive cell death in hippocampal neurons. Surprisingly, CHOP knockout mice showed enhanced hippocampal cell apoptosis and reduced performance in memory-related behavioral tests (86). In the context of brain ischemia, CHOP deficiency provides significant neuroprotection (87), and also protects against SCI (88) and experimental Parkinson's disease (89). Finally, genetic model of demyelination in Schwann cells was tested, where mutation in myelin protein zero fails to be incorporated into myelin and the protein is retained in the ER. Surprisingly CHOP deficiency in this model restores motor function and reduces active demyelination (90). This study concluded that

the UPR has a pathogenic role in demyelinating peripheral nerves and that signaling through the PERK/eIF2 α /CHOP axis of the UPR provokes demyelination before induction of cell death.

Taken together, all these studies suggest that at least in neural models, the function of CHOP may depend on the disease context, where it could play either a protective role (adaptive UPR) or it may enhance apoptosis of irreversible damaged cell.

ATF6 Family

ATF6 is also a bZIP transcription factor of the cyclic AMP-responsive element (CRE)-binding protein ATF/CREB family. ATF6 exist in two isoforms, ATF6 α and β , both of which are ubiquitously expressed and localized to the ER membrane under resting conditions. On ER stress, ATF6f translocates to the nucleus where it directly regulates genes involved in ER homeostasis such as ER chaperones and ERAD components, in addition to XBP1 mRNA levels (24). Neither ATF6 α nor ATF6 β is essential for embryonic development as both ATF6 α - and ATF6 β -deficient mice are born at the expected Mendelian ratios. These knockout animals do not show evident growth defects and develop normally into adulthood (24,53). However, these animals are hypersensitive to experimental ER stress as revealed by the unexpected lethality observed after the intraperitoneal injection of tunicamycin associated with the generation of acute liver and kidney damage (24,53). Importantly, ATF6 α / β double deficiency results in full embryonic lethality, suggesting that ATF6 α and ATF6 β have functional redundancy, with essential functions during mouse development (Fig. 2; ref. 24).

ATF6 α plays an important role in glucose and lipid homeostasis under both pharmacologically induced and physiological ER stress. For example, unresolved ER stress in ATF6 α knockout animals due to intraperitoneal tunicamycin injection fallout in insulin resistance and hypoglycemia (54). In addition, increased levels of hepatic triacylglycerol, fat deposits, and accumulation of lipid droplets are observed in ATF6 α -deficient mice undergoing experimental ER stress, a phenotype indicative of liver microvesicular steatosis (54,55). This abnormal hepatic phenotype is possibly caused by defects in fatty acid oxidation and lipoprotein secretion due to ER stress-dependent suppression of relevant metabolic transcription factors, such as *C/ebp α* and *Ppar α* (54,55). In agreement with this concept, genetic ablation of any of the three UPR branches leads to the same phenotype, indicating that persistent ER stress suppresses metabolic transcription factors (54). On a more physiological setting, one study indicated that ATF6 α knockout mice fed with a high-fat diet display decreased glucose tolerance but increased insulin sensitivity. These animals showed reduced insulin secretion probably caused by impaired β -cell function (56). What are the molecular mechanisms by which ATF6 α regulates glucose and lipid metabolism? After exposure of mice to a high-fat diet, it has been shown that ATF6 α interacts with the gluconeogenesis stimulator CREB-regulated transcription activator 2 (CRTC2), promoting the expression of ER

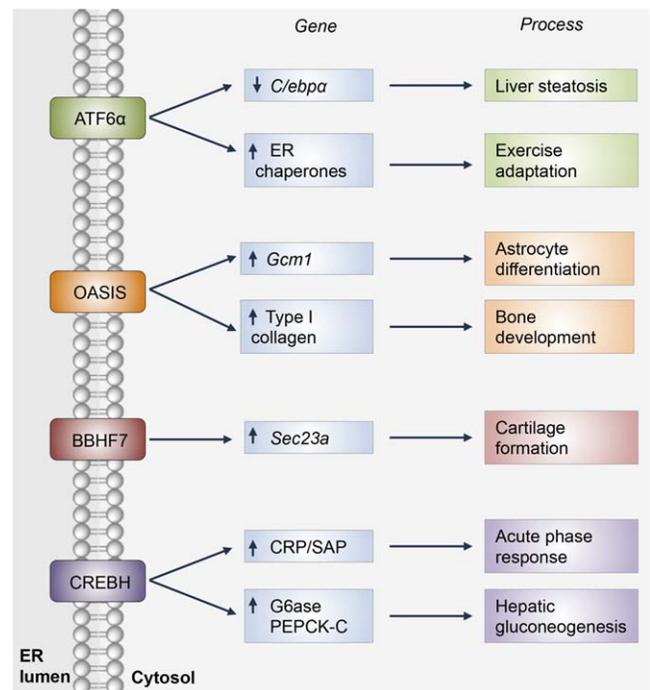


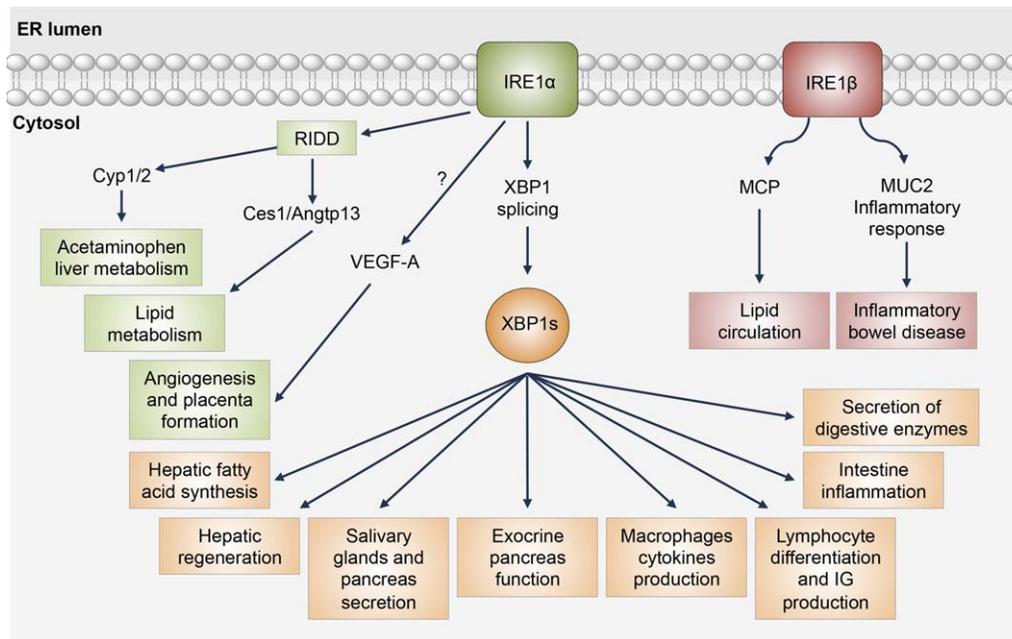
Fig 2

Physiological impact of the ATF6 family of proteins. ATF6 regulates liver fatty acid processing and prevent hepatic steatosis and also acts over muscle during exercise adaptation. OASIS controls astrocyte differentiation and bone development, similarly to BBF2H7. CREBH modulates the immunological acute phase response and hepatic gluconeogenesis. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

stress-related genes. Simultaneously, ATF6 α -CRTC2 interaction inhibits CRTC2 association with CREB, disrupting CRTC2 occupancy over gluconeogenic genes, thus reducing hepatic glucose output (91).

The role of ATF6 α in skeletal muscle recovery after acute exercise and in adaptation to persistent exercise was also uncovered. Transient activation of the UPR following exercise, mediated by the transcriptional coactivator peroxisome proliferators—activator receptor gamma coactivator 1 α (PGC1 α) and ATF6 α , is necessary for muscle adaptation (57). Accordingly, ATF6 α knockout mice do not recover from muscle damage after persistent exercise, correlating with altered expression of proinflammatory genes and the occurrence of global ER stress (57).

ATF6 forms part of a family of bZIP transcription factors, including old astrocyte-specifically induced substance (OASIS), LUMAN (also known as CREB3), BBF2 human homolog on chromosome 7 (BBF2H7; also known as CREB3L2), cyclic AMP-responsive element-binding protein hepatocyte (CREBH; also known as CREB3L3), and CREB4 (also known as CREB3L4; reviewed in ref. 92). These transcription factors share overall structural similarities with ATF6, and they are localized to the ER membrane and are regulated by


Fig 3

Biological impact of IRE1 signaling. The IRE1 α pathway regulates physiological process involved in metabolism in the liver through RIDD activity. XBP1 also controls an extensive variety of processes in diverse tissues that include hepatic and pancreatic secretion, production of cytokines in inflammatory responses, and differentiation of lymphocytes and other cell types. IRE1 β pathway regulates lipid metabolism in epithelial cells and inflammatory process in the intestine. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

proteolysis. In many cases, these ATF6-related proteins are expressed in a tissue-specific manner (Fig. 2; ref. 92).

OASIS was first identified as a protein specifically induced in long-term cultured astrocytes (93). OASIS is also highly expressed in bone tissue, intestine, salivary glands, and prostate. Ablation of OASIS expression in mice leads to growth retardation and severe osteopenia due to impaired osteoblast type I collagen and matrix protein secretion (58). Osteoblast differentiation is a process accompanied by mild ER stress, resulting in cleavage and activation of OASIS (OASISp50). OASISp50 directly binds to an UPR-like sequence in the *Col1a1* gene promoter, increasing type I collagen expression and secretion (58). In contrast, although ATF6 α is also activated in response to ER stress during osteoblast differentiation, it does not activate the transcription of *Col1a1* gene, and ATF6 α knockout mice display no apparent defects in bone tissue development (58). A role of OASIS in astrocyte differentiation and maturation was also determined. OASIS deficiency results in reduced number of astrocytes and increased number of neurons in the cerebral cortex during embryonic development (59).

BBF2H7 is highly expressed in chondrocytes and is essential for cartilage development (59). Targeting BBF2H7 triggers severe chondrodysplasia, and the animals die by suffocation shortly after birth due to incomplete chest cavity formation. They also show reduced cartilage-specific matrix proteins, such as type II collagen and cartilage oligomeric matrix protein (59). CREBH was first identified as a liver-specific transcription factor induced during fetal liver development and by

systemic inflammatory reactions (61,94). CREBH knockdown mice showed no developmental defects; however, it presented a decreased expression of genes involved in the inflammatory acute phase response (61). Interestingly, the overexpression of the CREBH active form (CREBH-N) promotes hepatic gluconeogenesis through the upregulation of gluconeogenesis genes (60). Thus, in contrast to ATF6 α , which functions as a gluconeogenic repressor through its interaction with CRT2, CREBH operates as a gluconeogenic activator. This is a clear example of two related ER stress sensors having divergent functions in the same tissue. Finally, CREBH knockout mice show a slight decrease in hepatic lipid content, in addition to an increase in plasma triacylglycerides (95). CREBH directly regulates genes involved in lipid synthesis and metabolism (95). LUMAN and CREB4 are expressed in most tissues; however, no genetic manipulation studies *in vivo* have yet been conducted.

XBP1

XBP1 is probably the most studied UPR transcription factor. In adult tissue, unspliced *Xbp1* mRNA is ubiquitously expressed. Mice lacking XBP1 display hypoplastic fetal livers, with reduced hematopoiesis resulting in early death during development due to anemia (33). Postnatal XBP1 liver-specific knockout mice do not show any noticeable spontaneous abnormalities, and they have normal body weight and liver mass and present no evidence of liver damage (37). However, XBP1 liver deficiency results in a profound compromise of *de novo*

hepatic lipid synthesis, without causing hepatic steatosis (Fig. 3; ref. 37).

To rescue the deficiency of XBP1 in the liver, XBP1 expression was specifically restored in this tissue using a transgenic mouse model (96). Remarkably, this strategy fully bypasses the embryonic lethality observed in XBP1 knockout animals. However, animals lacking XBP1 in all organs except the liver died shortly after birth from a severe impairment in the production of pancreatic digestive enzymes leading to hypoglycemia and death. Expansion of the ER and the expression of certain ER chaperones were severely impaired in pancreatic exocrine cells and, to a lesser degree, in salivary gland acinar (96). This phenotype is likely due to the failure to handle the ER stress caused by increasing zymogen production during development (96). XBP1 conditional deletion in pancreatic β -cells caused hyperglycemia, glucose intolerance, and markedly decreased number of insulin granules, in addition to impaired proinsulin processing (38,39).

XBP1 was discovered by Laurie Glimcher's laboratory almost 20 years ago from the immunology field (97). Professional secretory immune cells lacking XBP1 display severe abnormalities in their development and function as initially reported in plasma B-cells (34,98,99), and dendritic cells (35). To address the function of XBP1 in lymphocytes, the RAG-2 complementation system was initially used to analyze XBP1-deficient lymphocytes from adult chimaeric animals. These lymphoid chimaeras displayed a severe defect in the generation of plasma cells and therefore reduced immunoglobulin levels in plasma (36). Additional studies then demonstrated that XBP1 is important for B-cell differentiation before the engagement of a highly secretory demand through signaling of the B-cell receptor (100). Although XBP1 regulates plasma cell differentiation, it did not impact the generation of memory B-cells (101). Remarkably, XBP1 deletion in intestinal epithelial cells results in spontaneous enteritis and increased susceptibility to colitis associated with a chronic inflammatory response (40). This is consistent with the discovery of polymorphisms in the *XBP1* gene in patients affected with inflammatory Crohn's disease (102). XBP1 has also been placed downstream of Toll-like receptors, where it modulates the production of proinflammatory cytokines in macrophages (103). Infection of XBP1 MxCre conditional knockout mice with the pathogen *F. tularensis* showed reduced ability to clear out the pathogen from tissues (103). Finally, overexpression of XBP1s in myeloid cells triggers spontaneous multiple myeloma (104,105). Consistent with this, analysis of the XBP1s gene regulatory network at a genomic scale revealed a key role of this UPR transcription factor in cell differentiation associated, for example, with the regulation *Mist1*, a master regulator of myoblast cell differentiation (8). In the same way, XBP1 deficiency in gastric epithelial cells led to altered differentiation of digestive enzyme-secreting zymogenic cells possibly due to *Mist1* deregulation (106).

A novel function of XBP1 was also uncovered in the liver in the regulation of normal fatty acid synthesis (37). XBP1 reg-

ulates key genes involved in fatty acid synthesis, and targeting XBP1 in the liver triggered hypocholesterolemia and hypotriglyceridemia, secondary to a decreased production of lipids from the liver in the absence of hepatic steatosis (37). Another study also showed that ablation of XBP1 ameliorates hepatosteatosis, liver damage, and hypercholesterolemia in an animal model of dyslipidemia (107).

Studies in neurons have uncovered unexpected results where XBP1s target genes may not be involved to protein folding stress in this specific cell type (108). Conditional deletion of XBP1 in the central nervous system has been performed to test its contribution to neurodegeneration. For example, targeting XBP1 in the brain results in autophagy enhancement and protection against experimental Huntington's disease and ALS (109–111). The opposite effect was reported in a model of SCI observing reduced locomotor recovery (74), whereas in the context of optic nerve degeneration, no effects were detected (112). In contrast, the pathology of a prion disease model was not affected by deletion of XBP1 despite evident ER stress in the model (113). Other studies have shown that XBP1 may also have an important activity in the hypothalamus, where it may control energy metabolism through the body and leptin resistance (114). More studies are needed to address the functional impact of XBP1 in the physiology of the nervous system. In summary, all these studies indicate that XBP1 function is important to sustain the function of a subset of specialized secretory cells and their differentiation and has an important activity in the control of energy, lipid, and cholesterol metabolism.

IRE1 α and IRE1 β

IRE1 α is the most conserved sensor of the UPR across species and probably the best described UPR component at the biochemical level. IRE1 α knockout embryos develop drastic defects, leading to embryonic death after 12.5 days of gestation in mice (Fig. 3; ref. 26). The cause of this embryonic lethality is partially understood by studies that argue an extra-embryonic activity of IRE1 α that is essential for embryonic survival. Loss of IRE1 α led to reduction in vascular endothelial growth factor and severe dysfunction of blood vessels in the placenta (27). Surprisingly, a conditional knockout strategy to target IRE1 α but to reconstitute its expression in the placenta rescued the embryonic lethality (27). Unexpectedly, these animals were born and alive without developing fetal liver hypoplasia (27). This rescue allowed exploring the possible function of IRE1 α in adult tissue and organs. Unexpectedly, and in contrast to the phenotypes described for XBP1-deficient cells, IRE1 α deletion caused only mild abnormalities of exocrine tissues, increased blood glucose level, and slightly decreased serum immunoglobulin levels (28). IRE1 α is essential for early lymphopoiesis at the stage of pro-B cells and at a late stage in B-cell lymphopoiesis modulating the terminal differentiation into plasma cells (26). Unexpectedly, in this study, a novel function of IRE1 α was proposed in the recombination of immunoglobulin genes (26).



The role of RIDD in cell physiology is starting to be uncovered. Recent studies in XBP1-deficient tissue had revealed that a feedback loop can induce the constitutive activation of IRE1 α , enhancing RIDD activity. For example, RIDD mediates the degradation of a subset of mRNA related with drug metabolism and the generation of hepatotoxic metabolites, which enhances the resistant to acetaminophen (115). A similar model was proposed in pancreatic β -cells, in which RIDD was responsible for the regulation of insulin expression (38). In the liver, IRE1 α controls lipogenesis and lipoprotein metabolism through the RIDD pathway (107). These few studies highlight the need for the reevaluation of the phenotypes described in XBP1-deficient animals, which could be explained in part by the overactivation of RIDD.

IRE1 β is also implicated in sensing and responding to ER stress signaling in specific tissues (Fig. 3). IRE1 β is exclusively expressed in epithelial cells of the gastrointestinal tract and bronchial epithelia (29–31). IRE1 β -deficient mice develop normally and are indistinguishable from wild-type littermates (29). Mice deficient in IRE1 β did not show any spontaneous intestinal inflammation, but when challenged with dextran sodium sulfate, they develop severe colitis, increasing the kinetic of the inflammatory process and higher mortality than control animals (29).

IRE1 β is also implicated in chylomicron secretion in response to high-cholesterol and high-fat diet (31). IRE1 β mediates the post-transcriptional degradation of microsomal triglyceride transfer protein, a protein involved in the assembly of chylomicron in the ER (31). Moreover, the same idea was tested in a genetic background of atherosclerosis experimental mouse model APOE knockout (116). Double knockout mice for APOE and IRE1 β developed hyperlipidemia and have higher atherosclerotic plaques. In conclusion, these studies indicated that IRE1 β regulates intestinal lipid absorption and circulating lipids (116). Finally, two independent groups demonstrated that IRE1 β is required for mucin production in bronchial epithelia and goblet cells (30,32). IRE1 β deficiency led to aberrant mucin accumulation in the ER of goblet cells and this produced a distention of the ER and UPR activation (32). In the context of lung and intestine, it may be interesting to test in the future the impact of the double deficiency of IRE1 α and β to assess possible complementary functions in tissue homeostasis.

As we mentioned previously, deletion of *Xbp1* produces the overactivation of IRE1 α activity that decrease of some RIDD target genes (115). In this context, overactivation of the IRE1/TRAF2/JNK axis was proposed to contribute to the inflammatory disease observed when XBP1 was targeted in the intestine (40). In some experimental models, including ALS, a chronic ER stress is associated with activation of JNK and ASK1. ASK1 functions as an important molecular effector of apoptosis in many experimental systems in addition to ER stress (117). ASK1-deficient mice are born at the expected Mendelian ratio with normal histological features (118). However, in a pathological context, targeting *Ask1* can provide protection against

ALS or Huntington's disease (11,119), two models where ER stress is observed (3). The impact of the IRE1 α /JNK pathway in general is poorly studied *in vivo*.

Conclusion

Despite extensive studies addressing the consequences of ER stress in cell culture models, the biological impact of UPR signaling *in vivo* appears to be unclear for many relevant physiological processes. Accumulating evidence based on the genetic manipulation of key UPR components in mice revealed that the pathway has an important role in maintaining protein homeostasis during embryonic development and adult tissues. By comparing the phenotypes of different UPR mouse models, it is becoming clear that the pathway has some degree of overlap in terms of organs and cellular processes controlled by distinct ER stress signaling models. One of the major functions of the UPR is to maintain specialized secretory cell function as revealed in B-lymphocytes, salivary glands, gastric cells, exocrine and endocrine pancreas, and other tissues. Although the initial prediction indicated that activation of the UPR may be a consequence of the occurrence of basal and physiological stress due to a high demand of protein synthesis, it is also becoming clear that the UPR network impacts early differentiation stages before acquiring a specialized secretory phenotype (2,120).

The function of the UPR had expanded in the recent years to several physiological processes. It is very clear that loss of function of key UPR components generates drastic metabolic changes at the physiological level in processes related to energy control and lipid and cholesterol metabolism. However, because of the analysis of tissue-specific knockouts, new features have been uncovered in various organs and tissues. The UPR is now emerging as a relevant signaling network with novel activities in the immune system, regulating cytokine production and the global handling of diverse types of pathogens. Finally, many recent drug screenings and studies in mouse models of disease have shown that the pathway represent a relevant target for disease intervention, with demonstrated roles in diseases such as cancer, neurodegeneration, diabetes, and ischemia (see review in ref. 3). More over, studies using *C. elegans* as a model organism suggests that UPR signals may propagate on a cell-nonautonomous manner through different tissues, having functional consequences in innate immunity and aging-related processes (121,122). In summary, most data discussed here place the ER and the stress signals emanating from this compartment as a central rheostat to integrate and fine-tune diverse homeostatic process, having a fundamental biological function in full organ physiology.

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