

Contents

1	Introduction.....	1
1.1	Background.....	1
1.2	Motivation.....	4
1.3	Objectives.....	5
1.3.1	Main Objective.....	5
1.3.2	Specific Objectives.....	5
2	Literature Review.....	6
2.1	Direct Shear Test.....	6
2.1.1	Test Objective.....	7
2.1.2	Required Equipment.....	7
2.1.3	Test Procedure and Results.....	8
2.2	Surface Roughness Definitions.....	10
2.2.1	Definition of Roughness and Waviness.....	10
2.3	Discontinuity Roughness Measurement.....	13
2.4	Surface Roughness Characterization Models.....	14
2.4.1	Classical Methods.....	14
2.4.2	Sampling Interval and Scale Effects on Surface Roughness Characterization.....	15
2.5	Rock Joints Shear Strength Models.....	17
2.5.1	Mohr-Coulomb model.....	17
2.5.2	Patton model.....	18
2.5.3	Barton – Bandis model.....	20
2.5.4	Other Models.....	23
2.6	Scale Effects in Rock Joints Shear Strength.....	25
2.6.1	Bandis Scale Effects Study.....	25
2.6.2	Other Studies.....	30
3	Numerical Simulation of Direct Shear Tests.....	32
3.1	Model Setup.....	32
3.1.1	System of Units.....	33
3.1.2	Mesh element properties.....	33
3.1.3	Boundary conditions.....	34
3.2	Constitutive Law and Material Properties.....	37
3.3	Joint Contact Model.....	38
3.3.1	Contact Definition.....	38
3.3.2	Contact Model.....	42
3.3.3	Model Steps.....	44

3.4	Automatization of the Simulation Process	44
3.4.1	Model Input.....	46
3.4.2	Model Drawing and Mesh Generation	46
3.4.3	Abaqus Input File Edition.....	47
3.4.4	Shear Test Simulations and Result Extraction.....	47
3.5	Implicit and Explicit Analysis	50
3.5.1	Inertial Forces and Mass Scaling.....	51
3.6	Calibration of Model Parameters.....	52
3.7	Scale effects Related Issues and Solutions	57
3.8	Summary.....	61
3.8.1	Analysis Conditions	61
3.8.2	Model Properties.....	61
4	Results of Sampling Interval and Scale Effects.....	62
4.1	Sampling Interval effects on Shear Strength	62
4.1.1	Shear Test Simulation Results.....	63
4.2	Results from Abaqus/Explicit and Abaqus/Standard	72
4.3	Scale Effects on Shear Strength	73
4.3.1	Shear Test Simulation Results.....	74
5	Results Analysis and Discussion	77
5.1	Analysis of Results	77
5.1.1	Sampling Interval Effects	77
5.1.2	Explicit and Implicit Analysis	79
5.1.3	Scale Effects Analysis	79
5.2	Discussion.....	80
5.2.1	General Model Behavior	80
5.2.2	Sampling Intervals Effect on Shear Strength	80
5.2.3	Scale Effects on Shear Strength.....	83
5.3	Practical Implications.....	84
6	Conclusions	89
6.1	Recommendations	91
7	Bibliography.....	93
Appendix A	Surface Roughness Measurement Methods.....	99
Appendix A.1	Contact Methods	99
Appendix A.2	Non-Contact Methods.....	101
Appendix A.3	Fringe Pattern Methods (Active triangulation).....	104
Appendix B	Roughness Characterization Methods.....	106

Appendix B.1	Statistical Methods	106
Appendix B.2	Fractal Methods.....	110
Appendix C	Results processing and Calibration Work in Abaqus/Explicit	113
Appendix C.1	Results Processing.....	113
Appendix C.2	Abaqus/Explicit Calibrations	114
Appendix D	Sampling Interval Analysis	117
Appendix D.1	Shear Strength	117
Appendix D.2	Dilation Results	137
Appendix E	Scale Effects Study	147
Appendix E.1	Profile N°1	147
Appendix E.2	Profile N°2	148
Appendix E.3	Profile N°3	150
Appendix E.4	Profile N°4	151
Appendix E.5	Profile N°5	153
Appendix E.6	Profile N°6	154
Appendix E.7	Profile N°7	156
Appendix E.8	Profile N°8	157
Appendix E.9	Profile N°9	159
Appendix E.10	Profile N°10	160