

# Table of Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Radio astronomy and radio-astronomical instrumentation . . . . .	2
1.1.1	Radiation processes and radio observations . . . . .	2
1.1.2	Radio astronomy receivers . . . . .	5
1.2	Interferometry in astronomy . . . . .	7
1.2.1	Michelson stellar interferometry . . . . .	9
1.2.2	The demand for higher resolution: single dish v/s interferometer . . . . .	9
1.2.3	The uv-plane and visibility . . . . .	10
1.2.4	Fringes and coherence . . . . .	11
1.2.5	The van Cittert-Zernike theorem . . . . .	12
1.2.6	Aperture synthesis . . . . .	13
1.2.7	ALMA: the eye to look into the hidden universe . . . . .	13
1.3	Interferometric measurements in radio astronomy: loss of coherence and correction techniques . . . . .	16
1.3.1	Atmospheric distortion and its wavelength dependence . . . . .	17
1.3.2	Effects of precipitable water vapour . . . . .	20
1.3.3	Phase referencing . . . . .	22
1.3.4	Band to Band calibration . . . . .	23
1.3.5	Sub-array experiment: self calibration transfer technique . . . . .	24
1.4	Benefits of allowing ALMA to operate in a dual-frequency observation mode	24
1.4.1	Improvement in phase calibration . . . . .	25
1.4.2	Enabling the highest resolution for ALMA . . . . .	26
1.4.3	Observation of transient phenomena . . . . .	27
1.5	Proposed research . . . . .	29
1.5.1	Objectives . . . . .	29
1.5.2	Hypothesis . . . . .	29
1.5.3	Structure of this thesis work . . . . .	30
<b>2</b>	<b>Conceptual Design of a Dual-Frequency Receiver for ALMA</b>	<b>31</b>
2.1	Introduction . . . . .	31
2.2	State of the art of dual-frequency receivers . . . . .	32
2.3	Why using the Band 6 and 9 of ALMA? . . . . .	33
2.3.1	Specifications of Band 6 and 9 receivers . . . . .	35
2.3.2	Analysis of available space to introduce additional hardware . . . . .	35
2.4	Quasi-Optics and Gaussian beam approximation . . . . .	36
2.4.1	Gaussian beam theory . . . . .	37

2.4.2	The paraxial wave equation . . . . .	37
2.4.3	Gaussian beam propagation . . . . .	40
2.4.4	Asymptotic behaviour of Gaussian beams . . . . .	41
2.4.5	Edge Taper . . . . .	43
2.4.6	Quasioptical design: ABCD matrix formalism . . . . .	45
2.5	Sensitivity losses and beam distortion . . . . .	46
2.5.1	Cross-polarization . . . . .	47
2.5.2	Beam coupling efficiency . . . . .	49
2.6	Beam combiner assembly and performance analysis . . . . .	54
2.6.1	Zemax model . . . . .	54
2.6.2	Cross-polarization analysis . . . . .	55
2.6.3	Noise temperature analysis . . . . .	56
2.6.4	Sensitivity analysis . . . . .	57
2.7	Mechanical design and fabrication . . . . .	61
2.8	Conclusions . . . . .	65
<b>3</b>	<b>Design, Construction and Characterization of Frequency Selective Surfaces</b>	<b>66</b>
3.1	Introduction . . . . .	66
3.2	State of the art on Terahertz technologies . . . . .	67
3.3	Dichroic filters theory . . . . .	68
3.3.1	Transmission line theory for dichroics and their spectral properties . . . . .	70
3.3.2	Properties of Dichroic . . . . .	71
3.3.3	Theoretical analysis of dichroic filters . . . . .	72
3.3.4	Angular dependence . . . . .	74
3.3.5	Calculation methods . . . . .	75
3.4	Proposed configurations . . . . .	75
3.4.1	Simulated performance for proposed prototypes . . . . .	78
3.5	Fabrication of proposed prototypes . . . . .	81
3.6	Transmission characterization . . . . .	84
3.7	Performance analysis . . . . .	87
3.7.1	Experimental results for the flower-type configuration . . . . .	88
3.7.2	Experimental results for single-hole configuration . . . . .	90
3.7.3	Experimental results for Jerusalem-cross configuration . . . . .	91
3.8	Conclusions . . . . .	92
<b>4</b>	<b>Smooth-Walled Antennas for THz Frequency Range: Design and Evaluation</b>	<b>94</b>
4.1	Introduction . . . . .	94
4.2	Smooth-Walled Spline-Profile Horns . . . . .	94
4.2.1	The historical development of horn antennas . . . . .	94
4.2.2	Diagonal-spline and Conical-spline horn designs . . . . .	96
4.3	Performance Evaluation of Designs . . . . .	98
4.3.1	Analysis of Far Field Beam Pattern Simulations . . . . .	98
4.3.2	Example of Application: Integration into ALMA Band-6 Receiver . . . . .	101
4.3.3	Construction . . . . .	105
4.4	Experimental methodology and Results . . . . .	107
4.4.1	Theoretical background . . . . .	107

4.4.2	Planar near-field technique . . . . .	108
4.4.3	Experimental set-up . . . . .	110
4.4.4	Beam performance analysis . . . . .	113
4.5	Conclusions . . . . .	113
<b>5</b>	<b>Summary and Conclusions</b>	<b>115</b>
5.1	General summary . . . . .	115
5.1.1	Chapter 2 . . . . .	115
5.1.2	Chapter 3 . . . . .	116
5.1.3	Chapter 4 . . . . .	116
5.2	Future work . . . . .	116
5.3	Conclusions . . . . .	117
	<b>Bibliography</b>	<b>117</b>

# List of Tables

1.1	Optical parameters of the ALMA antennas . . . . .	15
1.2	ALMA frequency bands . . . . .	18
2.1	ALMA cryostat design specification . . . . .	34
2.2	Optical train description of ALMA Band 6 . . . . .	37
2.3	Optical train description of ALMA Band 9 . . . . .	38
2.4	Dimension of the SWZ . . . . .	38
2.5	Reference Values for the Gaussian beam $T_e$ and $F_e$ . . . . .	44
2.6	Values of diameter for each element forming the beam combiner optical system.	54
2.7	Beam size, required taper and optimal diameter at each reflecting surface .	64
3.1	Design specifications for a suitable dichroic filter. . . . .	75
3.2	Values of the optimization parameters for each dichroic configuration . . . . .	76
4.1	Table 1: Design specifications. . . . .	98
4.2	Table 2: Profile parameters than define the wall shape for each horn design.	98
4.3	Calculated parameters of the diagonal-spline horn. . . . .	101
4.4	Calculated parameters of the conical-spline horn. . . . .	101
4.5	Optical train parameters for existing Band-6 receivers. . . . .	103

# List of Figures

1.1	Atmospheric windows at Chajnantor.	3
1.2	Types of heterodyne receivers	6
1.3	Types of heterodyne receivers	8
1.4	Schematic representation of an interferometric measurement using the Very Large Telescope (VLT).	11
1.5	Relationship between uv-plane and image plane.	14
1.6	Image of ALMA site by night.	15
1.7	Images of the HL-Tau protoplanetary disk (left) and the event horizon of black hole (right).	16
1.8	Schematic of an ALMA antenna.	17
1.9	Atmospheric distortion producing lost of coherence	19
1.10	Schematic illustration of Kolmogorov turbulence model	21
1.11	Temporal dependence of atmospheric fluctuation	22
1.12	Spatial dependence of atmospheric fluctuation	23
1.13	Antennas paired for sub-array experiment	26
1.14	Atmospheric calibration problem.	27
1.15	Contribution functions of the continuum intensity	28
2.1	ALMA cryostat layout	34
2.2	Optical train representation.	35
2.3	Cold optics drawing of Band 6 and 9.	36
2.4	Images of the cryostat.	39
2.5	The Safe Work Zone	40
2.6	Gaussian beam representation.	42
2.7	Transformation of a Gaussian-beam.	46
2.8	General elliptical mirror configuration.	48
2.9	Axially aligned beam missmatch	51
2.10	Tilted beam missmatch	52
2.11	Offset beam missmatch	53
2.12	Proposed System.	55
2.13	Cross polarization distortion calculation	56
2.14	Resulting cascade system for each receiver,	57
2.15	Noise temperature calculation for each receiver band. For this calculation the highest reported noise temperature for each receiver has been used.	58
2.16	Coupling Efficiency Analysis	59
2.17	Tolerance Analysis	60

2.18	Layout of the bands in the ALMA cryostat and mechanical design of the optical system. . . . .	62
2.19	Rendering of the beam combiner system once is mounted on the cryostat . . . . .	63
2.20	Constructed beam combiner optical system . . . . .	64
3.1	Dichroic plate geometry . . . . .	68
3.2	Applications of dichroic filters . . . . .	69
3.3	Metallic grids and their equivalent circuits . . . . .	70
3.4	Angular dependence of the spectral properties of a dichroic. . . . .	74
3.5	Proposed configurations for a dichroic filter . . . . .	76
3.6	Simulated dichroic band-coverage as a function of the plate thickness. . . . .	77
3.7	Simulated performance for each configuration at normal beam incidence. . . . .	79
3.8	Simulated performance for each configuration at non-normal beam incidence.	80
3.9	Constructed JC dichroic configuration. . . . .	82
3.10	Constructed SH dichroic configuration. . . . .	83
3.11	Constructed FT dichroic configuration viewed from its front and back face. .	84
3.12	Michelson Interferometer . . . . .	85
3.13	Relation between interferogram and polychromatic light spectrum . . . . .	86
3.14	Diagram of the experimental setup used for the characterization. . . . .	87
3.15	FTS experimental set-up. . . . .	88
3.16	Experimental results for FT dichroic . . . . .	89
3.17	Experimental results for SH dichroic . . . . .	90
3.18	Implemented super-cell . . . . .	91
3.19	Experimental results for JC dichroic . . . . .	92
4.1	The two horns under study . . . . .	95
4.2	Basic 1-D profile of the smoothed-wall horn antennas. . . . .	97
4.3	Simulated radiation beam pattern . . . . .	99
4.4	Simulated return loss . . . . .	100
4.5	Optical train description of the ALMA cold optics . . . . .	102
4.6	Edge taper versus frequency . . . . .	103
4.7	Simulated far field radiation pattern . . . . .	104
4.8	Return loss comparison. . . . .	105
4.9	Final mechanical design of the horn using the split-block technique. . . . .	105
4.10	One of the blocks of the constructed horn. . . . .	106
4.11	The propagation regions of an electromagnetic beam . . . . .	107
4.12	Schematic of the receiver system used to characterize the horn. . . . .	109
4.13	Experimental set-up to measure near-field radiation pattern . . . . .	110
4.14	Experimental results for far field radiation pattern . . . . .	111
4.15	Comparison between experimental results (dotted lines) and simulations (dashed lines) for far field radiation pattern. . . . .	112