

# Table of content

Chapter 1: Introduction.....	1
1.1. On our effect on the planet .....	1
1.2. Sustainability .....	2
1.3. Solar water heating .....	3
1.4. Policy optimization.....	4
1.5. Reinforcement Learning and Deep Reinforcement Learning.....	4
1.6. Objectives .....	5
1.6.1. General objective.....	6
1.6.2. Specific objectives .....	6
1.7. Structure of the Thesis.....	6
Chapter 2: Literature Review .....	8
2.1. Literature on solar water heating .....	8
2.2. Literature on policy optimization .....	8
2.3. Summary.....	10
Chapter 3: Theoretical framework.....	11
3.1. Dense Deep Neural Networks .....	11
3.1.1. Gradient Descent .....	12
3.1.2. Momentum .....	13
3.1.3. Backpropagation.....	14
3.1.4. Softmax activation.....	17
3.1.5. DNN initialization .....	19
3.2. Deep Reinforcement Learning Algorithms .....	19
3.2.1. Basic Concepts .....	20
3.2.2. Policy gradient methods and value methods .....	21
3.2.3. REINFORCE algorithm .....	22
3.2.4. Actor-Critic Algorithm.....	22
3.2.5. Q-Learning and Deep Q-Learning.....	24
3.2.5.1. Q-Values.....	24
3.2.5.2. Q-Learning .....	24
3.2.5.3. Deep Q-Learning algorithm .....	25
3.2.5.4. Double DQN.....	27
3.2.5.5. Prioritized Experience Replay .....	27

3.3. Reliability theory .....	28
3.3.1. Hazard rate.....	30
3.3.2. Exponential distribution .....	31
3.3.3. Series system .....	31
3.3.4. Parallel system.....	32
3.4. Discrete-time Markov chains.....	32
3.4.1. Geometric distribution.....	33
3.4.2. Steady-state probabilities.....	34
3.5. Heat pipe evacuated tube solar collectors.....	37
3.6. Heat pumps and refrigeration systems.....	38
3.7. TRNSYS.....	40
Chapter 4: Development of the training platform .....	42
4.1. System under study.....	42
4.2. Actions.....	44
4.3. Rewards .....	46
4.4. Environment state.....	48
4.5. TRNSYS-Python connection.....	49
4.6. Introducing stochastic failures.....	53
4.6.1. Failure rates of individual devices.....	54
4.6.2. Construction of the Markov chains .....	56
4.7. Pseudo-code versions of the Python Scripts.....	60
4.7.1. Initializer.....	60
4.7.2. Code to train the network .....	61
4.7.2.1. Main code .....	61
4.7.2.2. Interaction at 8.00 AM.....	62
4.7.2.3. Interaction from 10.00 AM to 8.00 PM.....	62
4.7.2.4. Interaction at 10.00 PM .....	63
Chapter 5: Methodology .....	64
5.1. Stages of the study.....	64
5.2. Result Analysis .....	64
Chapter 6: Results and Discussion .....	68
6.1. Comparison of DRL algorithms .....	68
6.2. Comparison to a non-smart-controlled baseline.....	74
6.2.1. Testing method .....	75

6.2.2. Results .....	76
6.3. Comparison of different network architectures and training hyperparameters .....	77
6.3.1. Comparing Different Architectures .....	79
6.3.2. Comparing Different Discount Factors .....	81
6.3.3. Comparing traditional DQN to Double DQN.....	84
6.3.4. Effect of momentum.....	85
6.4. Behavior comparison under different reward parameters .....	86
6.4.1. Changing the value of $\alpha_1$ .....	87
6.4.2. Changing the value of $\alpha_2$ .....	94
6.4.3. Changing the value of $\alpha_3$ .....	97
6.4.4. Effect of the $\alpha_4$ parameter.....	98
6.5. System subject to failures.....	99
6.5.1. Training is carried out with the Markov chains of the real system .....	101
6.5.2. Training is carried out with planned failure cycles .....	108
6.5.3. Alternative Markov chains .....	114
6.5.4. Effect of momentum for failure-subject agents.....	117
6.5.5. Agent selection and final testing .....	119
Chapter 7: Conclusions.....	126
7.1. Accomplishment of objectives .....	126
7.2. Future work .....	127
8. Glossary .....	129
9. Bibliography .....	130
Annexes .....	134
Annexed A. Further details about the water heating system simulation .....	134
Annexed A.1. Detailed flow diagrams .....	134
Annexed A.2. Parameters of the elements in the system simulation (Types) .....	135
Annexed A.3. Elements (Types) with external files.....	138
Annexed A.4. Imposed Temperatures .....	142
Annexed B. All results of Section 6.5. ....	143