

## Review

# Ca<sup>2+</sup>, autophagy and protein degradation: Thrown off balance in neurodegenerative disease

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## ABSTRACT

Substantial progress has been made throughout the last decades in the elucidation of the key players and mechanisms responsible for Ca<sup>2+</sup> signal generation in both excitable and non-excitable cells. Importantly, these studies led also to the recognition that a close correlation exists between the deregulation of cellular Ca<sup>2+</sup> homeostasis and the development of several human pathologies, including neurodegenerative disease. Notwithstanding this advances, much less is certain about the targets and mechanisms by which compromised Ca<sup>2+</sup> signaling exerts its effects on cell function and survival. Recently it has been proposed that deregulation of cellular energy metabolism and protein turnover (synthesis, folding and degradation) are also fundamental pathomechanisms of neurodegenerative disease, pointing to the pivotal role of autophagy, a major cellular pathway controlling metabolic homeostasis. Indeed, activation of autophagy has been shown to represent a highly successful strategy to restore normal neuronal function in a variety of models of neurodegenerative disease. Here we review recent advances in elucidating Ca<sup>2+</sup> regulation of autophagy and will highlight its relationship to neurodegeneration.

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## 1. Introduction

Proper cellular Ca<sup>2+</sup> homeostasis plays a fundamental role in neurons, maintaining cell viability and contributing to the highly controlled spatiotemporal pattern of neuronal activity. Neuronal Ca<sup>2+</sup> signals are generated either by influx through voltage dependent Ca<sup>2+</sup> channels (VDCCs), or following direct activation of ligand activated influx channels such as the ionotropic glutamate receptors [1,2]. In addition, Ca<sup>2+</sup> mobilization from endoplasmic reticulum (ER) Ca<sup>2+</sup> stores through inositol 1,4,5-trisphosphate and ryanodine receptors (IP<sub>3</sub>R and RyR) contributes to the generation of local Ca<sup>2+</sup> signals, but usually represents a slower signaling modality and thus might also be involved in additional cellular homeostatic circuits, including the regulation of ER Ca<sup>2+</sup> content and protein folding [3]. Removal of Ca<sup>2+</sup> from the cytosol is ensured by the activity of plasmamembrane and endoplasmic reticulum Ca<sup>2+</sup>-ATPases (PMCA and SERCA, respectively). These pumps extrude Ca<sup>2+</sup> from the cytosol through active transport, in expense

of a substantial fraction of the cellular ATP pool. Additionally, Ca<sup>2+</sup> extrusion is mediated by the Na<sup>+</sup>/Ca<sup>2+</sup> exchanger (NCX) of the plasmamembrane, also coupled to the function of the Na<sup>+</sup>/K<sup>+</sup>-ATPase [4,5]. Mitochondrial Ca<sup>2+</sup> uptake acts as an additional mechanism that buffers intracellular Ca<sup>2+</sup>, but primarily represents a bimodal signal that on one hand adapts mitochondrial metabolism to the cellular demands; and on the other hand leads to cell death under different stress conditions [6,7]. The early recognition of the importance of Ca<sup>2+</sup> handling in neuronal function gave the initial boost to the detailed characterization of the mechanisms involved in Ca<sup>2+</sup> signal generation; but the broad interest in neuronal Ca<sup>2+</sup> signaling was also due to the now generally accepted concept that if not properly balanced, intracellular Ca<sup>2+</sup> signals represent a threat to neuronal function and survival. Indeed, glutamate-induced neurotoxicity – excitotoxicity in broader terms – has been attributed to abnormal cellular Na<sup>+</sup> and Ca<sup>2+</sup> handling as early as in 1981 [8]. Along these lines, it has been well established that the sustained activation of the glutamate controlled Ca<sup>2+</sup> influx pathway leads to the activation of a vicious circle resulting in the disintegration of global cellular Ca<sup>2+</sup> homeostasis. Ultimately it manifests in an irreversible, delayed increase of cytosolic [Ca<sup>2+</sup>] (often termed cellular Ca<sup>2+</sup> overload), and activation of both necrotic and apoptotic cell death pathways [9–11]. The main factors responsible for this

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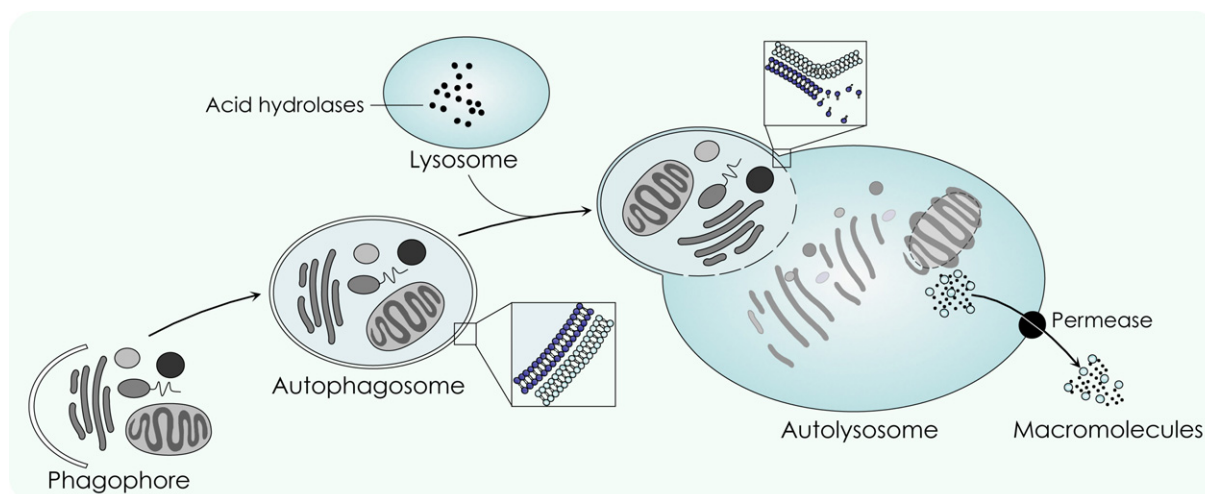
derangement are (i) the activation of the  $\text{Ca}^{2+}$  activated family of cysteine proteases called calpains [12] that degrade NCX and PMCA, the major transporters responsible for  $\text{Ca}^{2+}$  extrusion [13,14] and (ii) metabolic insufficiency due to the increased demand of ATP used for  $\text{Ca}^{2+}$  extrusion in face of a compromised mitochondrial function. The latter is also a  $\text{Ca}^{2+}$  dependent process, manifested by the loss of mitochondrial membrane potential and ATP production [11,15]. In addition, depletion of intracellular  $\text{Ca}^{2+}$  stores such as the ER has also been linked to  $\text{Ca}^{2+}$  dependent neuronal death through compromised protein folding in the ER, and concomitant chronic activation of the ER stress response, a process linked to activation of both  $\text{Ca}^{2+}$  dependent and independent cell death pathways [16–19]. Importantly, the concept of excitotoxicity has been recently applied to neurodegenerative processes as well, in order to provide the mechanism of cell death in these pathologies. Data from patients, animal and cellular models pointed to the pivotal role of impaired mitochondrial function, deregulation of  $\text{Ca}^{2+}$  homeostasis and subsequent activation of calpains not only during stroke, but also in motoneuron disease, as well as in Parkinson's, Alzheimer's and Huntington's diseases (for reviews see [20–23]).

While the mechanisms and molecules mediating the deregulation of  $\text{Ca}^{2+}$  homeostasis have been extensively studied, leading to the establishment of successful strategies in limiting the disease processes, much less is known about the downstream targets of cellular  $\text{Ca}^{2+}$  overload.  $\text{Ca}^{2+}$ -induced mitochondrial depolarization, depletion of the cellular ATP pool, deficiency of  $\text{Ca}^{2+}$  dependent protein folding in the ER and perturbation of cellular redox balance all point to a wide-ranging metabolic disorder underlying neurodegeneration. Importantly, in the recent years, autophagy has been (re-) discovered, and defined as a cluster of fundamental cellular processes aimed at maintaining cellular metabolic homeostasis, balancing the energy and substrate levels according to the actual cellular activity, growth, proliferation and environmental stress conditions. In addition, impaired protein turnover has been shown to underlie neurodegenerative disease, and there is compelling evidence that the process can be rescued by the concerted action of the autophagy-lysosome (ALS) and the ubiquitin-proteasome (UPS) systems (for recent reviews see [24–27]). Finally, intimate connections between cellular  $\text{Ca}^{2+}$  homeostasis, ALS and UPS have been found in several instances. Here we will briefly review the basic concepts and key players of cellular protein and energy homeostasis, and summarize recent data linking their deregulation to  $\text{Ca}^{2+}$  signaling and ultimately to neurodegenerative disease.

## 2. Autophagy and the proteasome—the basics

The term 'autophagy' was first introduced by the Belgian biochemist Christian de Duve, who received the Nobel Prize in Physiology or Medicine in 1974 for leading the discovery of the lysosome and his work on this organelle [28]. De Duve chose this term to distinguish the lysosomal degradation of the cell's own components from the lysosomal degradation of extracellular material, a process that he called heterophagy [29]. Etymologically, autophagy derives from the Greek words *auto* (self) and *phagy* (eating). This term reflected observations made by electron microscopy, which showed novel single- and double-membraned vesicles that contained organelles and parts of the cytoplasm at different stages of degradation [30]. During the following decades, a combination of morphological and biochemical studies identified the presence of hydrolases within the lumen of these vesicles, and provided insight into the early formation of a double-membrane sequestering organelle identified as the phagophore, which develops into a double-membrane vacuole (autophagosome) and delivers portions of the cytosol to lysosomal degradation [31–33]. At present, we are certain that autophagosomes do not contain hydrolytic enzymes, and that it is the fusion between the autophagosome and the lysosome that forms terminal single-membrane autophagic vacuoles (autolysosomes), in which hydrolytic degradation takes place and the resulting elements are returned into the cytosol for anabolic reactions [34]. This process is currently classified as *macroautophagy* (Fig. 1). Importantly, macroautophagy appears to operate in an organelle-selective manner, e.g. towards mitochondria (macromitophagy), endoplasmic reticulum (reticulophagy), portions of the nucleus (nucleophagy), peroxisomes (macropexophagy), microorganisms (xenophagy), ribosomes (ribophagy) or protein aggregates (aggrephagy) [35]. Recent studies revealed new possibilities regarding this selectivity, as poly-ubiquitinated structures (such as organelles, pathogens or aggregate-prone proteins) can be degraded by macroautophagy via p62/SQTM- and NBR1-mediated recognition [36–38]. Thus, selective ubiquitination might provide a common trigger for the above variety of processes, representing a currently dynamically expanding area of research.

At least two further forms of autophagy have also been described, differing in the mechanism by which substrate material is taken up by the lysosome [35,39]. For instance, during *microautophagy*, the lysosomal membrane directly engulfs the substrate structures. This mechanism constitutes a degradation



**Fig. 1.** Current view of macroautophagy.

During the process of macroautophagy, portions of the cytosol including complete organelles are surrounded by phagophores, which expand and develop into double-membrane autophagosomes. The sequestered cargo and inner membrane of the autophagosome are degraded by hydrolases present in the lysosomal lumen. Resulting macromolecules are recycled into the cytosol.

pathway both for organelles and long-lived proteins, but unlike macroautophagy, it does not appear to be related to the cellular adaptation to nutrient deprivation. One particular form of microautophagy is the highly selective degradation of peroxisomes (micropexophagy), mediating adaptation to oxidative stress in yeast [40]. Other subclasses of this pathway include the lysosomal capture of secretion vesicles (crinophagy), mitochondria (micromitophagy) and portions of the nucleus (piecemeal microautophagy of the nucleus) [35]. Finally, a third form of self-eating is named *chaperone-mediated autophagy* (CMA), which is responsive to nutrient deprivation. CMA also operates by selective recognition of substrates, but this pathway does not include bulk engulfment by membranes. Instead, cytosolic proteins that contain a specific pentapeptide lysosome-targeting motif (consensus sequence KFERQ) are recognized by a complex of chaperone proteins (including heat shock 73 kDa protein, hsc73) and targeted to the lysosomal membrane where they bind to the lysosome-associated membrane protein Lamp-2a that operates as a lysosomal receptor. The substrate protein is subsequently unfolded by the extra-lysosomal chaperone complex and transported into the lysosomal lumen for degradation [39]. A KFERQ-related motif is present in about 30% of cytosolic proteins, including  $\alpha$ -synuclein [41] and the amyloid  $\beta$  precursor protein (APP) [42]. It is still not clear how the chaperone complex recognizes the KFERQ-related motif. Some post-transcriptional modifications (e.g. oxidation, cleavage or denaturation) of the substrates may render the motif more accessible to chaperones, increasing their lysosomal uptake by CMA. Interestingly, APP can be bound by hsc73 (and hence feed into CMA) when the default pathway for its degradation is inhibited, yet this interaction does not involve the APP KFERQ sequence [43].

Meticulous research in the past 20 years led to the identification of a phylogenetically conserved family of autophagic (*Atg*) genes, heralding the molecular era in autophagic history. To date, more than 30 *Atg* genes have been described in yeast [44], many of them having orthologs in mammals [34]. A significant connection between the genetic basis of autophagy and its implications in medicine was established in 1999 by the group of Beth Levine, who demonstrated that human cells lacking one allele of *Becn1/Atg6* display increased tumor formation [45,46]. *Becn1* was subsequently identified as a haploinsufficient tumor suppressor gene and hence autophagy began to be viewed as a candidate for *in vivo* modulation of tumorigenicity [47]. Further evidence related autophagy to a wide range of human diseases including neurodegeneration, muscle disease, cardiac failure, infection, inflammation and even to the study of lifespan extension [48]. This highlights the crucial role of autophagy in the maintenance of metabolic homeostasis by removing aged or damaged intracellular structures and rendering them available when adaptation to dwindling nutrient resources is necessary [49,50]. Accordingly, current theories propose that neurodegeneration is a result of impaired processing and degradation of proteins, leading to impaired metabolic adaptation to cellular stress and thus compromised cellular survival [27,51,52], a concept strongly supported by *in vivo* genetic models of a series of fundamental genes involved in autophagy [53]. In order to understand the complex relationship between  $Ca^{2+}$  mediated cellular stress, autophagy and protein degradation in degenerating neurons in the following section we put across the basic mechanisms by which autophagy and autophagy-linked protein degradation systems are regulated.

### 2.1. Molecular regulation of autophagy

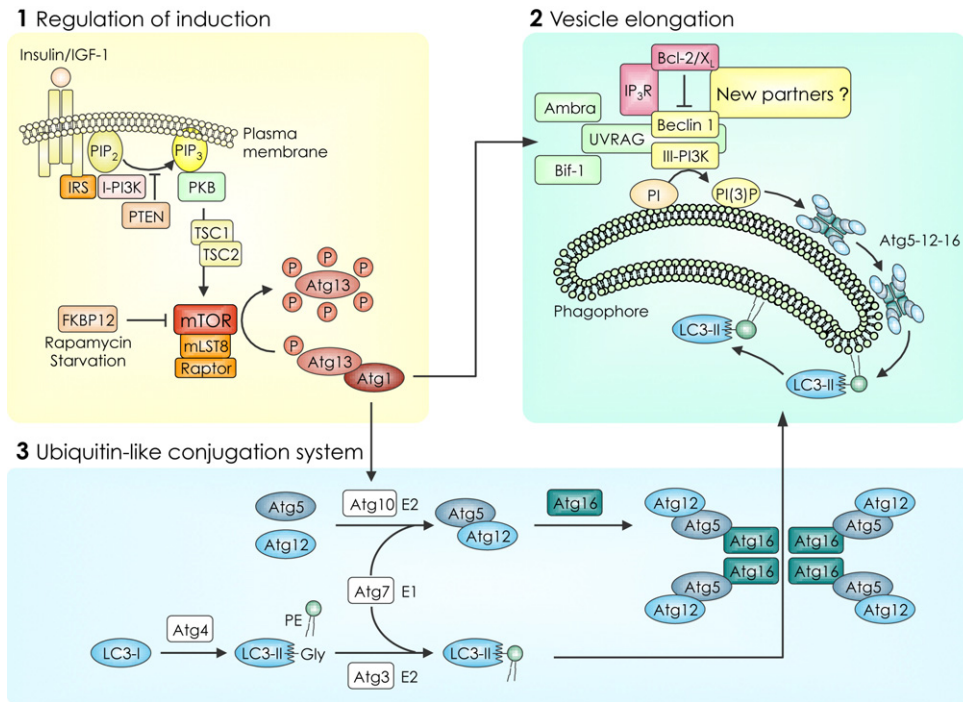
Macroautophagy (hereafter referred to as autophagy) is centrally regulated by the mammalian target of rapamycin (mTOR), a serine/threonine kinase that controls cell growth and metabolism in response to nutrients, growth factors, ATP and stress. It is found

in two structurally and functionally distinct multiprotein complexes, TORC1 and TORC2. TORC1 (formed by mTOR, raptor and mLST8) is rapamycin-sensitive and mediates the temporal control of cell growth by transcription, translation and very importantly, autophagy. TORC2 (formed by mTOR, rictor, mLST8 and protor) is rapamycin-insensitive and mediates the spatial control of cell growth by regulating the actin cytoskeleton [54]. High ATP and growth factor levels are stimulatory for TORC1 [55], which under these conditions maintains macroautophagy at low basal levels. On the contrary, TORC1 inhibition by nutrient starvation or rapamycin (a macrolide that scavenges mTOR through FKBP12) unleashes massive macroautophagy [56].

Upstream of TORC1, a regulated balance between protein phosphatases and kinases controls its activation. The insulin/IGF-1 (insulin-like growth factor 1) receptor pathway inhibits autophagy through activation of the class I phosphatidylinositol 3-kinase (PI3K). This class-I PI3K produces phosphatidylinositol-3,4,5-trisphosphate [PtdIns(3,4,5)P<sub>3</sub>], a molecular signal for the activation of protein kinase B (Akt/PKB) that indirectly activates mTOR, thereby allowing for the inhibition of autophagy [57]. Conversely, the tumor suppressor PTEN (phosphatase and tensin homologue) stimulates autophagy through its PtdIns(3,4,5)P<sub>3</sub>-phosphatase activity, antagonizing the insulin/IGF-1 pathway [58]. In addition, the role of a nutrient/AMP sensitive protein kinase (AMPK) upstream of TORC1, results crucial for adapting mTOR activity to nutrient starvation conditions [59]. The implications of this kinase in a neurodegeneration- $Ca^{2+}$  context will be discussed further below.

Better studied in yeast models, the pathway downstream of TOR relies on its kinase activity over Atg13. Active TOR maintains Atg13 at a hyperphosphorylated, inactive state. Upon mTOR inhibition, Atg13 becomes dephosphorylated and is able to bind Atg1, a serine/threonine kinase that triggers the cascade of *Atg* proteins, which operate as two parallel ubiquitin-like conjugation systems [60]. One involves the conjugation of Atg12 to Atg15 by the E1-like enzyme Atg7 and the E2-like enzyme Atg10. This cascade leads to the assembly of a heterotrimeric complex composed of Atg5-Atg12-Atg16, currently considered to promote the elongation of the phagophore [61]. The second ubiquitin-like system involves the conjugation of phosphatidylethanolamine (PE) to Atg8 (LC3 in mammals) by the sequential action of the protease Atg4, the E1-like enzyme Atg7 and the E2-like enzyme Atg3 [62]. Atg8 is thought to serve as a microtubule-anchoring point at the membrane of the autophagosome, allowing for its trafficking to lysosomes.

A second crucial step in phagophore elongation is the activation of a multiprotein complex with class-III PI3K activity. In contrast to the class-I, the class-III PI3K is autophagy-stimulatory through the production of phosphatidylinositol-3-phosphate [PtdIns(3)P], which is thought to serve as a signal for the recruitment of the Atg5-Atg12-Atg16 heterotrimeric complex to the phagophore membrane. The class-III PI3K complex is composed of the catalytic subunit Vps34 and the regulatory proteins Atg6/Vps30 and Vps15 [63]. When the class-III PI3K binds to Vps 14 (complex I), it regulates autophagy. In contrast, when it binds to Vps38 (complex II) it regulates vacuolar trafficking [64]. The mammalian ortholog of Atg6/Vps30 is the tumor suppressor protein Beclin 1, which was first identified as a Bcl-2 interacting protein, and is mono-allelically deleted in 40–75% of sporadic human breast and ovarian cancers [45,46]. Beclin itself does not have any enzymatic activity but acts as a platform, by recruiting several activators or repressors of the class-III PI3K complex I that leads to autophagy. Beclin 1 partners such as UVRAG (UV-resistance associated gene) [65], endophilin B/Bif-1 [66] and Ambra (activating molecule in Beclin-regulated autophagy) [67], can promote the activation of the class-III PI3K, thereby increasing autophagy; on the other hand Bcl-2/X<sub>L</sub> [65,68], and the inositol-1,4,5-trisphosphate recep-



**Fig. 2.** Molecular regulation of macroautophagy.

The regulation of induction in the process of macroautophagy depends on the kinase activity of mTOR, which phosphorylates Atg13 (Section 1). Inhibition of mTOR by the absence of growth factors or rapamycin treatment, leads to Atg13 dephosphorylation and activation of the kinase Atg1 that unleashes the Atg cascade in two directions. One involves the activation of a class-III PI3K complex that stimulates vesicle elongation (Section 2). This complex is composed of Vps34, Beclin 1, a series of co-activator molecules (UVRAG, Ambra, Bif-1, in green), Beclin 1 repressors Bcl-2/X<sub>L</sub>, IP<sub>3</sub>R (in red) and perhaps new binding partners. Elongation depends also on the activation of an ubiquitin-like conjugation system that operates in two ways (Section 3); by stepwise processing of Atg5-12-16 phagophore-stabilizing complexes, and by cleavage/conjugation of LC3 to phosphatidylethanolamine present in the phagophore membrane.

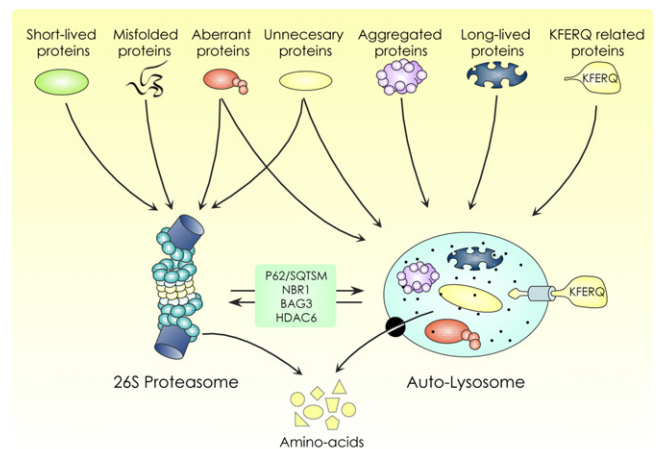
tor (IP<sub>3</sub>R) [69] are Beclin 1 repressors, hence inhibiting autophagy (Fig. 2).

2.2. Cellular protein degradation: the ubiquitin-proteasome system and the autophagy-lysosomal system

Two main pathways have been implicated in the catabolism of cellular proteins, the ubiquitin-proteasome system (UPS) and the autophagy-lysosomal system (ALS). It has been generally assumed that short-lived and misfolded proteins are substrates for the UPS pathway, while long-lived proteins, aggregates, and a particular subset of proteins containing a lysosomal-targeting consensus motif are rather substrates for the ALS [52]. Currently however, a large body of evidence supports the notion that these pathways interact at several levels [70] (Fig. 3).

Here for the sake of brevity we give just a brief description of the UPS pathway (for reviews see [71,72]). The UPS provides specific and timely recycling of essential proteins. It is also an adaptive response to maintain a vital amino acid pool during acute starvation and contributes significantly to the degradation of recently synthesized defective proteins [73,74]. In eukaryotic cells, the UPS comprises the 26S proteasome and the ubiquitin system. The 26S proteasome is a highly conserved protease, composed of the catalytic 20S and the regulatory 19S subunits. It works concertedly with the ubiquitin system, which tags substrates with polyubiquitin chains as a marker for proteasomal degradation. Ubiquitin (Ub) is conjugated to substrate proteins by the action of three enzymes: E1 (Ub-activation enzyme), E2 (Ub-conjugation enzyme) and E3 (Ub ligase). Once Ub is activated by E1, it is transferred to E2, from which E3 attaches Ub covalently to Lys residues of a substrate protein [75]. Poly-Ub substrates are recognized by the 26S proteasome and subsequently degraded by the 20S catalytic subunit in an ATP-dependent manner, allowing

for the resulting amino acids to serve in new protein synthesis. The regulatory 19S subunit and several de-ubiquitylating enzymes, remove poly-Ub chains from the substrates before they enter into the catalytic 20S subunit, therefore generating free Ub that serves to tag new substrates for proteasome-mediated degradation [71].



**Fig. 3.** Catabolic pathways for proteins.

The ubiquitin-proteasome system (UPS) substrates include short-lived, misfolded, aberrant and superfluous or unnecessary proteins; whereas substrates for the autophagy-lysosomal system (ALS) include superfluous, aberrant, aggregated and long-lived proteins, as well as a subset of proteins containing a lysosomal-targeting KFERQ motif. Although the UPS and autophagy have long been considered as independent systems, increasing evidence suggests that they interact at several points, for instance at the level of the proteins p62/SQSTM1, NBR1, BAG3 and HDAC6 (see text for details).

The ALS constitutes a second catabolic pathway for the breakdown of proteins. It is responsible for maintaining amino acid pools during chronic starvation and represents the main degradation pathway for long-lived proteins, although its contribution to eliminate defective proteins may equal to that of the UPS [76]. The crosstalk between the two systems is well illustrated by the degradation of  $\alpha$ -synuclein, which is substrate for the UPS, macroautophagy and chaperone-mediated autophagy [41,77]. Under conditions in which one degradation system is compromised, enhanced degradation by the alternate pathway may protect against the accumulation of toxic species [52]. For instance, impaired proteasomal degradation of polyglutamine aggregates in a cellular Huntington's model, requires HDAC6-dependent microtubule transport in order to increase the efficiency and selectivity of autophagic degradation [78]. In addition, in a *Drosophila* model of spinobulbar muscular atrophy, triggered by UPS inhibition, overexpression of HDAC6 *in vivo* rescued degeneration in an autophagy-dependent manner [79]. Similarly, rapamycin-induced autophagy was sufficient to rescue the loss of nigral dopaminergic neurons caused by UPS inhibition in a mouse model of Parkinson's disease [80]. Further molecular connections between the UPS and autophagy are represented by p62/SQSTM1 and NBR1, which both bind ubiquitin and the autophagosomal component LC3, serving as an autophagic receptor for poly-Ub substrates [36–38,81]. In this context, inhibition of autophagy may lead to accumulation of p62, which by scavenging poly-Ub substrates impairs the optimal proteasomal degradation of short-lived proteins [82]. Conversely, loss of p62 can represent a pathomechanism leading to neurodegeneration [83]. The list of newly discovered components of these systems is continuously growing, and we can certainly predict that further links with neurodegeneration will be exploited in the near future.

### 3. 'Ca<sup>2+</sup>-induced autophagy' or 'repair of Ca<sup>2+</sup>-induced damage by autophagy'? A tour through the ER to mitochondria

The first evidence linking autophagic degradation and cellular Ca<sup>2+</sup> homeostasis was reported in 1991 by the group of Per O. Seglen, demonstrating that the presence of Ca<sup>2+</sup>, in an unidentified intracellular compartment, is necessary for the efficient autophagy-lysosomal degradation of proteins, independently of Ca<sup>2+</sup>-activated effector kinases [84]. During the following years, various studies have identified a series of Ca<sup>2+</sup>-signaling related proteins in the molecular toolkit of autophagy, unveiling a vastly complex interaction between the two processes. Indeed, as discussed below, the currently available data do not support a simple and straightforward correlation between Ca<sup>2+</sup> signals and autophagic activity.

#### 3.1. Ca<sup>2+</sup>-mediated induction of autophagy during nutrient deprivation

Adenosine monophosphate-activated protein kinase (AMPK) is a major nutrient-sensing protein that leads to autophagy induction during starvation, thus guaranteeing the classic adaptive outcome of autophagy [85–87]. The group of Marja Jäätelä reported in 2006 that in MCF-7 breast cancer cells, agents mediating Ca<sup>2+</sup> release from the ER such as extracellular ATP and 1,25 dihydroxyvitamin D<sub>3</sub> (or its analogue EB1089), induce autophagy by the sequential activation of Ca<sup>2+</sup>-calmodulin-dependent kinase kinase- $\beta$  (CaMKK- $\beta$ ) and AMPK, mediating the inhibition of mTOR [88]. This led to the proposal that increased cytosolic [Ca<sup>2+</sup>]<sub>c</sub> ([Ca<sup>2+</sup>]<sub>c</sub>) might be a common trigger of autophagy, supported also by the findings that BAPTA-AM suppressed and ionomycin mimicked the effect of effect

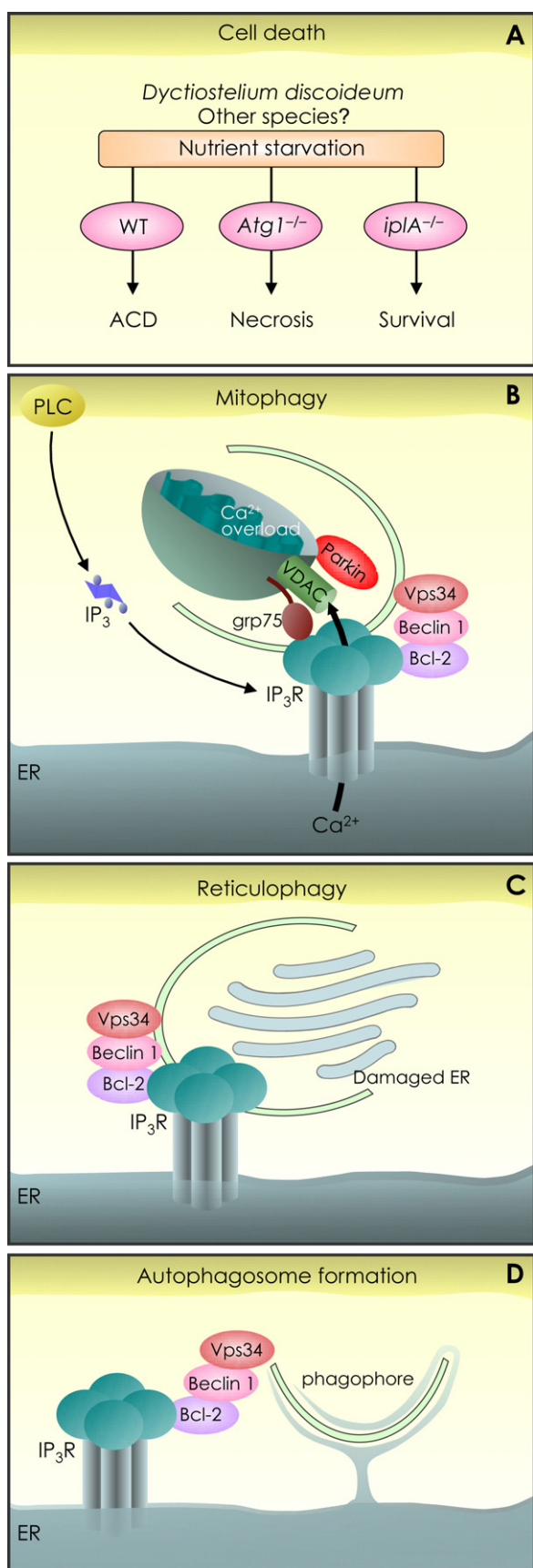
ATP and EB1089 [88]. In accordance with this concept, depletion of ER Ca<sup>2+</sup> stores was shown to block nutrient starvation mediated autophagy in the cardiomyocyte HL-1 cell line, although in a Beclin-1 independent manner [89]. However, none of these studies could demonstrate an unambiguous correlation between cytosolic Ca<sup>2+</sup> levels and autophagic activity, while it appeared dependent rather on the state of filling of the ER Ca<sup>2+</sup> store. Indeed, ER targeted Bcl-2 appeared to be the most potent inhibitor of autophagy in these studies by lowering ER luminal Ca<sup>2+</sup> levels ([Ca<sup>2+</sup>]<sub>er</sub>). Along these lines, several further works demonstrated that ER Ca<sup>2+</sup> depletion, a condition frequently observed in cellular stress conditions, is an effective inducer of autophagy. In the next sections, we will discuss the possible mechanism and Ca<sup>2+</sup> dependency of autophagy induction in these cases.

#### 3.2. Autophagy and ER stress: friends and foes

The ER lumen represents both the major site of intracellular Ca<sup>2+</sup> storage and the site where transmembrane and secreted proteins are folded and post-translationally modified [3]. ER stress refers to conditions that disrupt the luminal environment, including redox balance and luminal Ca<sup>2+</sup> homeostasis, resulting in the accumulation of unfolded/misfolded proteins. ER stress triggers an evolutionary conserved adaptive response named unfolded protein response (UPR), which is initiated by three major signal transducers of the ER membrane: the protein kinase-like ER kinase (PERK), the inositol requiring enzyme (IRE1) and the activating transcription factor 6 (ATF-6). This signaling pathway aims to restore the homeostatic conditions in the ER lumen by limiting protein translation and import, increasing its folding capacity and promoting the degradation of misfolded proteins [90]. Importantly, autophagy is strongly induced by protein aggregation and oxidative stress. In this manner, ER stress and autophagy often become activated in parallel and partly share their signaling pathways (particularly the ones driven by PERK, ATF6 and ATF4) and team up to remove toxic byproducts of protein misfolding [53,91,92]. However, the interaction between these pathways is not as simple in all cases (see e.g. a very recent work on the role of IRE-1 by the group of Laurie Glimcher [93]) partly because beyond a certain ER stress threshold, the pro-survival effects of the UPR become insufficient and transducers of this pathway ultimately induce cell death, hence preventing the progression of damaged and potentially dangerous cells for the organism [94–96].

ER stress-induced cell death can proceed in both Ca<sup>2+</sup>-independent and Ca<sup>2+</sup>-dependent ways. The proapoptotic Bcl-2 family members Bax and Bak are able to directly interact with IRE1, which mediates apoptotic cell death through Jun-N-terminal kinase (JNK)-dependent phosphorylation and inactivation of Bcl-2 [97], parallel with activation of another proapoptotic signal, Bim [98]. In a different model, ER stress-based photodynamic therapy has been documented to mediate degradation of SERCA2 and consequent depletion of the ER Ca<sup>2+</sup> pool, leading to Bax/Bak-dependent apoptosis [99]. Likewise, ER stress induces the expression of a truncated SERCA1 isoform, causing ER Ca<sup>2+</sup> leak, mitochondrial Ca<sup>2+</sup> overload and Bax mediated cytochrome c release [100]. Although the role of autophagy has not been directly assessed in these instances, it is sensible to propose that activation of cell death pathways during fervent or prolonged ER stress, sets off a protective response mediated at least partly by autophagy, as already shown in several apoptotic settings [101]. Apart from this teleological argument, two sets of data have provided experimental evidence to this concept, highlighting an indirect role of Ca<sup>2+</sup> in the activation of adaptive autophagy.

First, the anti-apoptotic proteins Bcl-2 and Bcl-X<sub>L</sub> have been repeatedly reported to mediate a decrease of [Ca<sup>2+</sup>]<sub>er</sub> and conse-



**Fig. 4.** Proposed implications of IP<sub>3</sub>R-dependent autophagy. (A) Nutrient starvation represents a strong stimulus for autophagic cell death (ACD) in the protist *Dictyostelium discoideum*. In this organism, *Atg1* null cells do not die by ACD, but die by necrosis. However, mutations in the gene *ipIA* (which encodes for the

quently Ca<sup>2+</sup> release [3,102–106], and these effects are protective against mitochondrial apoptosis [107,108]. In an autophagic context, Bcl-2/X<sub>L</sub>-mediated inhibition of autophagy has primarily been attributed to its interaction with the essential autophagic protein Beclin 1 [109–111], but increasing evidence suggests that Bcl-2 also inhibits autophagy by lowering ER calcium levels [88,89,112,113]. In this fashion, Bcl-2 acts as a suppressor of both Ca<sup>2+</sup>-dependent apoptotic and autophagic pathways [114–116]. An apparent explanation for these observations is that by reducing the releasable amount of Ca<sup>2+</sup> from the ER, mitochondrial Ca<sup>2+</sup> overload will not occur, but a direct effect of Bcl-2/X<sub>L</sub> or Ca<sup>2+</sup> on ER membrane-processes cannot be excluded. In fact, it has been reported that autophagy is strongly activated by IP<sub>3</sub>R inhibition [117]. In this model, the IP<sub>3</sub>R interacts with the essential autophagic protein Beclin 1, inhibiting its autophagic functions [69]. Importantly, the knockdown or overexpression of Beclin 1, does not affect calcium homeostasis in the ER, neither modifies cytosolic or mitochondrial calcium levels (unpublished data), indicating that the IP<sub>3</sub>R acts beyond its calcium channel functions, as an ER-resident transducer of autophagy. The relationship between the IP<sub>3</sub>R and Beclin 1 is indirect, mediated by Bcl-2. These findings suggest that the autophagic machinery composed of the class-III PI3K multi-protein complex is anchored to the ER membranes through the IP<sub>3</sub>R, and could respond to different needs of autophagy induction at the level of the ER. One important connection between autophagy and its regulation by the IP<sub>3</sub>R has been documented in the protist *Dictyostelium discoideum* [118]. This organism adopts a unicellular life form under nutrient-rich conditions, but upon dwindling of nutrient resources, they restrict their number via autophagic cell death (ACD, a form of cell death with vacuolated morphology [119,120]) and group in a multicellular form, able to migrate, proliferate and respond to light or temperature [121,122]. During this adaptive phase, silencing of *Atg1* impairs ACD and shifts cell death to necrosis [123]. Mutagenesis studies revealed that inactivation of the gene *ipIA* (the only gene encoding IP<sub>3</sub>R in this organism) protects *D. discoideum* cells from ACD and necrosis [124], further supporting the evolutionarily preserved importance of the IP<sub>3</sub>R in mediating autophagy. In this manner, the IP<sub>3</sub>R might provide important autophagic functions in four different scenarios: by leading to ACD [118,124]; by promoting the specific removal of damaged ER zones by autophagy [91,92,125–127]; by promoting the specific removal of calcium-overloaded mitochondria in regions of close proximity between the ER and mitochondrial networks, in which the IP<sub>3</sub>R is the linking column [128–130]; and by serving as a scaffolding site in the ER membranes, to the assembly of the autophagic machinery that catalyzes the nucleation, elongation and closing of autophagosomes [131] (Fig. 4). In a different model, IP<sub>3</sub>R opening leads to its ubiquitination and subsequent elimination via the ER-associated degradation (ERAD) pathway, which depends on the UPS system to clear the ER of aberrant proteins [132]. By degrading IP<sub>3</sub>R, the ERAD pathway reduces the sensitivity of Ca<sup>2+</sup> stores to IP<sub>3</sub>, and may

unique form of IP<sub>3</sub>R in this species) rescue both, autophagic and necrotic cell death in this and perhaps other organisms. (B) Agents that increase cytosolic calcium (such as IP<sub>3</sub>) lead to calcium uptake by neighboring mitochondria. If an overload of mitochondrial calcium occurs, a mechanism mediated by the ubiquitin ligase Parkin (which is mutated in Parkinson's disease) targets damaged mitochondria to autophagic degradation. By bridging ER and mitochondrial networks, as well as being a scaffolding site for autophagic proteins, the IP<sub>3</sub>R is proposed to play an important role in selective mitophagy. (C) One of the cellular responses induced by the unfolded protein response, is dependent on the selective degradation of ER by autophagy. The IP<sub>3</sub>R might provide the molecular toolkit for the efficient degradation of specific zones of stressed ER. (D) It has recently been proposed that phagophores originate from ER membranes via a cup-shaped cradle that surrounds the nascent autophagosome. The IP<sub>3</sub>R could play an important role by recruiting the Beclin 1/class-III PI3K complex that catalyzes autophagosome formation.

protect cells from the deleterious effects of over-activation of  $\text{Ca}^{2+}$  signaling.

Secondly, as pointed out above, ER stress-induced cell death can proceed through direct  $\text{Ca}^{2+}$  mediated interaction with mitochondria, which provide a further organelle specific platform for autophagy induction. This latter option is discussed in the next paragraph.

### 3.3. Autophagy and repair of $\text{Ca}^{2+}$ -induced cellular damage: the mitochondrial connection

By activating Krebs cycle dehydrogenases, an increase in mitochondrial  $[\text{Ca}^{2+}]$  ( $[\text{Ca}^{2+}]_m$ ) promotes the supply of NAD(P)H, stimulating respiratory chain activity and ATP production [6,133]. Hence, mitochondrial function largely depends on its  $\text{Ca}^{2+}$  load [134]. It appears that low/moderate increases in  $[\text{Ca}^{2+}]_m$  are necessary and sufficient for adapting ATP production to cellular demands [135], but overload of mitochondria with  $\text{Ca}^{2+}$  unequivocally leads to disruption of the mitochondrial membrane integrity, permeability transition, irreversible oxidative damage to mitochondrial membranes and loss of mitochondrial ATP production [136]. This phenomenon has been linked to both necrosis and apoptosis and consequently, to a variety of pathological conditions, including neurodegeneration [15,137,138]. Recently, selective mitochondrial autophagy stood up as a principal mechanism that counterbalances mitochondrial damage. By removing damaged mitochondria from the cell, autophagy inhibits the cytotoxic release of proapoptotic molecules, and contributes to maintain a healthy mitochondrial pool [139,140]. In this context, the identification of the mechanisms responsible for triggering these events is of outstanding importance. Endothelin B/Bif-1 has been recently described to control both mitochondrial integrity and an essential component of the Beclin-1 hosted complex initiating autophagosome formation [141]. However, its exact role in selective mitophagy induction has not yet been systematically studied. More relevant to neurodegeneration, a recent study proposed that the initiation of mitophagy depends on the ubiquitin ligase parkin [142]. Recessive mutations in the gene *Park2* constitute a major cause for early-onset recessive Parkinson's disease. By impairing the turnover of mitochondria, mutations in the *Park2* gene thus might lead to the loss of dopaminergic neurons and to the development of Parkinson's disease. Moreover, parkin overexpression also rescued neuronal cells from mitochondrial oxidative stress and mitochondrial fragmentation induced by loss of the Parkinson-related PTEN-induced kinase 1 (Pink-1) [143], an effect dependent on selective mitophagy [144]. Importantly, the GTPase dynamin-related protein-1 (Drp-1) triggers the mitochondrial fission events prior to autophagic engulfment [145], and the activity of Drp-1 itself has been reported to contribute to the effects of  $\text{Ca}^{2+}$  signals upon mitochondrial motility and fusion/fission dynamics [128]. In this manner,  $\text{Ca}^{2+}$  dependent mitochondrial function and dysfunction, as well as its effects upon neuronal survival are intimately linked to autophagy, warranting for further studies to explore the key players in these liaisons, holding an enormous potential as pharmacological targets for the treatment of neurodegenerative diseases.

### 4. Direct effect of cytosolic $\text{Ca}^{2+}$ on protein degradation by the ALS and UPS: a further mechanism of $\text{Ca}^{2+}$ -mediated neurotoxicity?

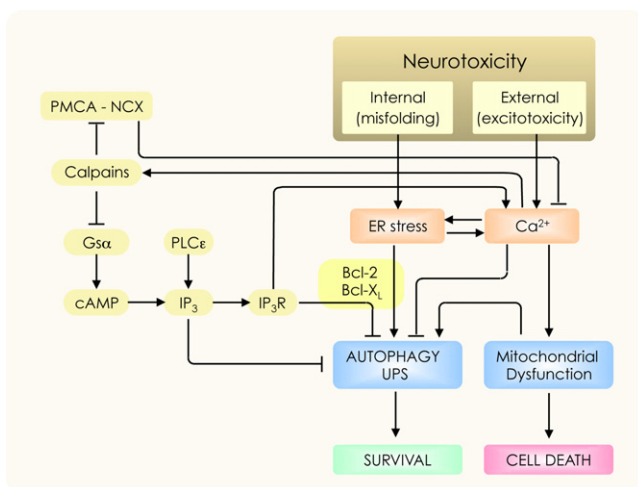
As discussed above, removal of aggregate-prone proteins from the cytoplasm by the ALS and UPR represents a promising strategy for the treatment of neurodegenerative diseases. By inhibiting mTOR, rapamycin induces autophagy and contributes to this effect,

but with considerable immunosuppressant effects [56]. To overcome this limitation, the group of David Rubinsztein performed a screening of a small molecule library to identify compounds that activate the clearance of  $\alpha$ -synuclein aggregates by inducing autophagy. Surprisingly, they identified VDCC inhibitors as potent ALS inducers in this screen, suggesting that increased  $[\text{Ca}^{2+}]_c$  might act as an inhibitor of ALS or UPR. Although the mechanism by which these compounds work is not entirely clear, since no data were presented on how an increase of  $[\text{Ca}^{2+}]_c$  in their screening model is generated, they also provided compelling evidence that calpain inhibition enhances the clearance of both mutant huntingtin and of  $\alpha$ -synuclein aggregates [146]. Moreover, the same group has also shown that lithium, by depleting the intracellular inositol phosphate pool and thus inhibiting the generation of  $\text{Ca}^{2+}$  signals, exerts a similar effect [147]. Indeed, beneficial effects of this cation have been shown both in motoneuron and Alzheimer's diseases [148,149]. These findings are in agreement with other reports showing that calpain activation is responsible for blocking autophagy by cleaving Atg5 [150] and for inhibiting autophagy mediated cell death in the presence of caspase inhibitors [151]. On another hand, the presence of the calpain 4 regulatory subunit appears to be necessary for basal autophagy in a mouse model [152] and, as discussed above, an increase of  $[\text{Ca}^{2+}]_c$  could also be associated with increased autophagic activity [84,88,89,153].

Further studies on comparable cellular and animal models will be necessary to resolve this issue, but another set of data on the role of  $\text{Ca}^{2+}$  mediated enzymes in UPR might indicate a more general role of pathological  $\text{Ca}^{2+}$  signals to impair protein degradation in neurons. Indeed, while  $\text{Ca}^{2+}$  influx in the post-synaptic density appears to induce protein degradation through CaMKII activation as part of physiological synaptic remodeling [154], high  $[\text{Ca}^{2+}]$  can inhibit ubiquitination and the activation of the proteasome [155,156]. Thus, it would be interesting to see whether the same phenomenon occurs during excitotoxicity ( $\text{Ca}^{2+}$  deregulation) in animal models of different neurodegenerative diseases. In addition, such an inhibition might also promote compensatory activation of the ALS, which might explain contradictory findings on the role of cytoplasmic  $[\text{Ca}^{2+}]$  in the regulation of autophagy.

### 5. Coda

Recent advances in exploring the pathological basis of neurodegenerative disease have contributed to reconnect previously divergent fields of research, such as proteasomal protein degradation, autophagy, ER stress and  $\text{Ca}^{2+}$  signaling. The results from these combined approaches revealed an extremely complex picture of the disease, which continues to develop with astonishing pace. We attempted to provide a snapshot of these advances based on available data, which is summarized in Fig. 5. Impaired degradation of damaged proteins leads to their gradual accumulation in a subset of neurons, and this event appears now to be central in initiating neurodegeneration (probably accompanying also unhealthy aging). As a consequence, deregulation of  $\text{Ca}^{2+}$  homeostasis, ER stress and direct toxic effects impairs mitochondrial function, leading to a general energy deficit of the neuron, driving a vicious cycle that ultimately culminates in neuronal cell death. However, several components of the activated signaling pathways ( $\text{IP}_3$  levels,  $\text{IP}_3\text{R}$ ,  $\text{Ca}^{2+}$ /CaM dependent kinases, calpains, energy sensors [AMPK], ER stress-induced transcription factors) embark an homeostatic response through autophagy and UPS, in order to rescue cell from this cycle. The multiplicity of these pathways also explains the lack of simple generalization of the role of individual components, such as  $\text{Ca}^{2+}$  signaling. Evi-



**Fig. 5.** The roles of  $\text{Ca}^{2+}$  in autophagy and UPS regulation during neurodegeneration.  $\text{Ca}^{2+}$  signals exert both, direct and indirect effects on autophagic flow.  $\text{Ca}^{2+}$ -induced damage on ER protein folding (ER stress) and mitochondria (depolarization), triggers by its own general and organelle specific autophagy, in order to reestablish cellular homeostasis (adaptive autophagy induction). However, several results points to a direct inhibition of autophagy (and the UPS) by direct targets of  $\text{Ca}^{2+}$ , such as calpain and CAM-dependent kinases. Interestingly, the pathway appears to be potentiated by cAMP signals. Inhibition of cytoplasmic  $\text{Ca}^{2+}$  signals thus leads to activation of basal autophagy, counterbalancing the deleterious effects of excitotoxicity.

dently, the balance of toxic and adaptive responses will define the fate of these neurons, thus future interventions augmenting the selective proteasomal and autophagic degradation of damaged organelles, or toxic protein species, will certainly increase the likelihood of neuronal survival and reduce the degenerative process.

## Conflicts of interest

None declared.

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