

On non-coplanar Hohmann transfer using angles as parameters

Ángel Rincón^{1,2} · Patricio Rojo² · Elvis Lacruz³ · Gabriel Abellán⁴ · Sttiwuer Díaz⁵

Received: 17 June 2015 / Accepted: 23 July 2015 / Published online: 4 August 2015
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Abstract We study a more complex case of Hohmann orbital transfer of a satellite by considering non-coplanar and elliptical orbits, instead of planar and circular orbits. We use as parameter the angle between the initial and transference planes that minimizes the energy, and therefore the fuel of a satellite, through the application of two non-tangential impulses for all possible cases. We found an analytical expression that minimizes the energy for each configuration. Some reasonable physical constraints are used: we apply impulses at perigee or apogee of the orbit, we consider the duration of the impulse to be short compared to the duration of the trip, we take the nodal line of three orbits to be coincident and the three semimajor axes to lie in the same plane. We study the only four possible cases but assuming non-coplanar elliptic orbits. In addition, we validate our method through a numerical solution obtained by using some of the actual orbital elements of Sputnik I and Vanguard I satellites. For these orbits, we found that the most fuel-efficient transfer is obtained by applying the initial impulse at apocenter and keeping the transfer orbit aligned with the initial orbit.

Keywords Hohmann transfer · Non-coplanar orbit transfer · Two-impulse · Optimization · Plane change

1 Introduction

In 1925, Hohmann studied the transfer between coplanar circular orbits and found that the minimum fuel transfer in a Newtonian gravitational field occurs when two impulses are applied producing an elliptic transfer orbit which is tangent to both of the terminal circular orbits. A first impulse is used to set the vehicle into the elliptic transfer orbit, while a second impulse leads to a circular orbit at the final radius (Hohmann 1960; Prussing 1992). Many researchers have made contributions to the improvement and understanding of this type of orbital transfers. More recently, Hohmann transfer has been generalized from the original idea to more general cases: Broucke and Prado (1994) considered N -impulse transfers between any two coplanar orbits ($\forall N \leq 4$) and for the two-impulse maneuver developed optimality conditions that lead to a non-linear system of three equations and three unknowns, whereas Arulvar and Naik (2012) discussed Hohmann transfer between two circular orbits but including a dynamical approach Lambert solution (i.e., considering the transfer time of the orbit to change from one point to another). Mabsout et al. (2009) addressed the optimization of the orbital Hohmann transfer considering only the coplanar case using as optimization parameter the eccentricity of the transfer orbit. On the other hand, different techniques of standard optimization had been used for minimizing a cost function. For example, one studied the isoperimetric problem of finding the extremal transfers for the given characteristic velocity for the orbits (Kirpichnikov et al. 2003). Some of the authors of this paper

✉ Á. Rincón
arrincon@uc.cl

¹ Departamento de Física, P. Universidad Católica de Chile, Casilla 306, Santiago, Chile

² Departamento de Astronomía, Universidad de Chile, Casilla 36-D, Santiago, Chile

³ Centro de Investigaciones de Astronomía, A.P. 264, 5101 Mérida, Venezuela

⁴ Departamento de Física, Universidad Central de Venezuela, AP 47270, Caracas 1041-A, Venezuela

⁵ Grupo de Información y Comunicación Cuántica, Departamento de Física, Universidad Simón Bolívar, Sartenejas, Edo. Miranda 89000, Venezuela

(Lacruz 2010) have calculated the solution for the generalized non-coplanar Hohmann transfer only for the first configuration (there exist four configurations that minimize the energy according to Kamel and Soliman 1999). In this paper we consider elliptic orbits and $N = 2$ impulse transfers (Broucke and Prado 1994); taking a split between initial and transference planes ($i_{it} \neq 0^\circ$), this improves and generalizes the work of Mabsout et al. (2009) and differs from Kirpichnikov et al. (2003), since we will not consider the launch time of spacecraft. We consider non-coplanar orbits, which improve the solution of Arlulkar and Naik (2012). It is relevant to discuss the role of orbital transfer in astronomy and engineering: the orbital transfers are required for a standard space mission. Generalized coplanar Hohmann transfer had been used to model a space vehicle traveling in elliptic orbits of the Earth and Jupiter around the Sun (Kamel et al. 2011) and shows the importance of this kind of study in astronomy and the planetary sciences. The standard (non-perturbed) transfer between orbits is treated using Kepler problem theory, i.e. considering Keplerian orbits, because these are non-perturbed solutions of the two body problem as a first approximation to a typical orbital motion. We choose to work with the standard set of inertial orbital elements: $\mathcal{O} := \{a, e, i, \Omega, \omega, \tau\}$, where a is the *semimajor axis*, e is the *eccentricity*, i is the *inclination*, Ω is the longitude of the *ascending node*, and ω is the *argument of periapsis*. Finally, the sixth parameter is the *epoch* τ indicating the time at which the orbiter passes through periapsis. Using the solution of the Kepler problem and some constraints in orbital elements, we develop an extension of the original Hohmann model considering elliptical and non-coplanar orbits. We investigate orbital changes between elliptical orbits using non-tangential impulses which are applied at periapsis and apoapsis of the orbit in order to obtain minimum cost of fuel. In this paper we find several minimum solutions and we determine which case (Kamel and Soliman 1999) is optimal from an energetic point of view. The present paper is organized as follows. In Sect. 2 we discuss the problem and the physical constraints used to solve it. In Sect. 3 we use a standard optimization technique for each configuration and get a polynomial function, whose solution (once we found its inverse) gives the angle between the initial orbit and transfer orbit. In Sect. 4 we consider some numerical values in order to use our solution in a particular example whereas in Sect. 5 we discuss briefly the solution and consequences. Finally, in Sect. 6 we present some relevant conclusions of this paper.

2 Problem to solve: a general approach

In order to visualize all possible trajectories of an orbiter we consider the initial, transfer, and final orbits, with the same nodal line (see Fig. 1). The initial orbit is where first

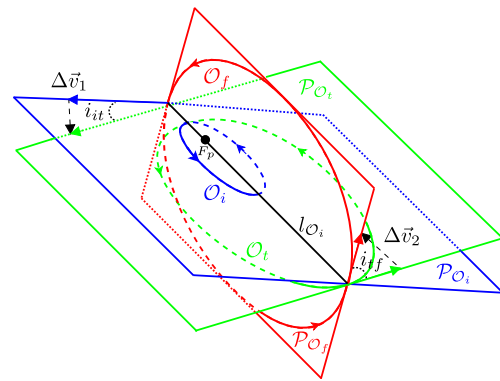


Fig. 1 \mathcal{P}_{O_i} is the plane (blue color) where the initial orbit is located, \mathcal{P}_{O_t} is the plane (green color) that contains the transfer orbit. \mathcal{P}_{O_f} is the plane (red color) that contains the final orbit. The arrow directions indicate the motion of the satellite in each orbit. l_{O_i} is the so-called line of apsides for the initial orbit. Note that it is not required for the initial orbit to be interior to the projection final orbit we just take $r_f \gg r_i$ as a particular case

impulse is applied and has a set of orbital parameters given by $\mathcal{O}(a, e, i, \Omega, \omega, \tau)_i$. The second orbit, the transfer orbit, is described by a set of parameters $\mathcal{O}(a, e, i, \Omega, \omega, \tau)_t$; this orbit is where the second impulse will be applied. The arrival orbit has orbital parameters $\mathcal{O}(a, e, i, \Omega, \omega, \tau)_f$. We want to emphasize that each orbit lies in a plane and between any two planes it is possible to define an inclination angle. This is an important point since we want to find the minimum value given by the minimization of cost function (usually the cost function is defined as the sum of impulses per unit mass), taking one of the orbital elements as parameter. This will be commented on in Sect. 3.

2.1 Model constraints

- The radius of the initial impulse is lower than the radius of the final impulse.
- The initial, \mathcal{O}_i , and final, \mathcal{O}_f , orbits form an inclination angle $i_{if} \in (0^\circ, 180^\circ)$, between their orbital planes \mathcal{P}_{O_i} and \mathcal{P}_{O_f} , respectively.
- When we apply the first impulse $\Delta v_1 = \|\Delta \vec{v}_1\|$, where $\Delta \vec{v}_1$ is the vector of the first maneuver, it produces an inclination angle $i_{it} \neq 0^\circ$, between the \mathcal{P}_{O_i} and \mathcal{P}_{O_t} plane of the transfer orbit, \mathcal{O}_t .
- When we apply the second impulse $\Delta v_2 = \|\Delta \vec{v}_2\|$, where $\Delta \vec{v}_2$ is the vector of the second maneuver, it produces an inclination angle i_{tf} , between the \mathcal{P}_{O_t} , and \mathcal{P}_{O_f} .
- The apsides of the three orbits are collinear with the nodal line of the three orbits.
- The primary focus, F_p , is common in the three orbits and coincides with the origin of inertial reference frame.

In Fig. 1 we show the three angles i_{if} , i_{it} , and i_{tf} . Now, the angle i_{if} is fixed and defined (because we know in advance the angle between initial and arrival orbits), so we will

choose one of the angles i_{it} and i_{tf} as the parameter to minimize. In order to do the minimization, we need to define a function that allows us to calculate the angles previously mentioned. This is the necessary energy for the orbital maneuvers. We call this function \mathcal{F} the *cost function*; it may depend on all orbital parameters.

2.2 Mathematical aspects

Hohmann (1960) found the transfer of minimum cost between two circular orbits using an elliptical transfer orbit. In this work we choose the inclination i_{it} as parameter. However, it is possible to choose any orbital parameter in order to find a minimum of cost function and get the best possible trajectory (Abad 2012). We need to write down the cost function in terms of the two impulses. In order to compute the impulses, we need to get the norm of each one and relate them with the orbital parameters. This is given by the *Vis Viva* equation (Montenbruck and Gill 2005),

$$\epsilon = -\frac{\mu}{2a} = \frac{1}{2}v^2 - \frac{\mu}{r}, \tag{1}$$

where ϵ is the orbital energy, $\mu = GM_{\oplus}$ is a constant (with M_{\oplus} Earth mass), r is the relative distance between the two bodies, and $v = \|\vec{v}\|$, where \vec{v} is the required velocity vector. Since the two impulses will be applied, one at the perigee and the other at the apogee, we need to obtain the velocities in perigee and apogee for each orbit. Using the formulation for a general conic section we get, in polar coordinates,

$$r_a = (1 + e)a, \tag{2a}$$

$$r_p = (1 - e)a. \tag{2b}$$

Considering Eqs. (2a), (2b), and (1) it is possible to obtain the norm of velocity in perigee and apogee which induces a simple solution,

$$v_a = \sqrt{\frac{\mu}{a} \left(\frac{1 - e}{1 + e} \right)}. \tag{3a}$$

$$v_p = \sqrt{\frac{\mu}{a} \left(\frac{1 + e}{1 - e} \right)}. \tag{3b}$$

Using (3a), (3b), and the standard impulse definition we get the two impulses applied at perigee and apogee, and we define the cost function \mathcal{F} as the sum of this two maneuvers. In the following section we will show this procedure in detail.

3 Method and results

We define the cost function \mathcal{F} as

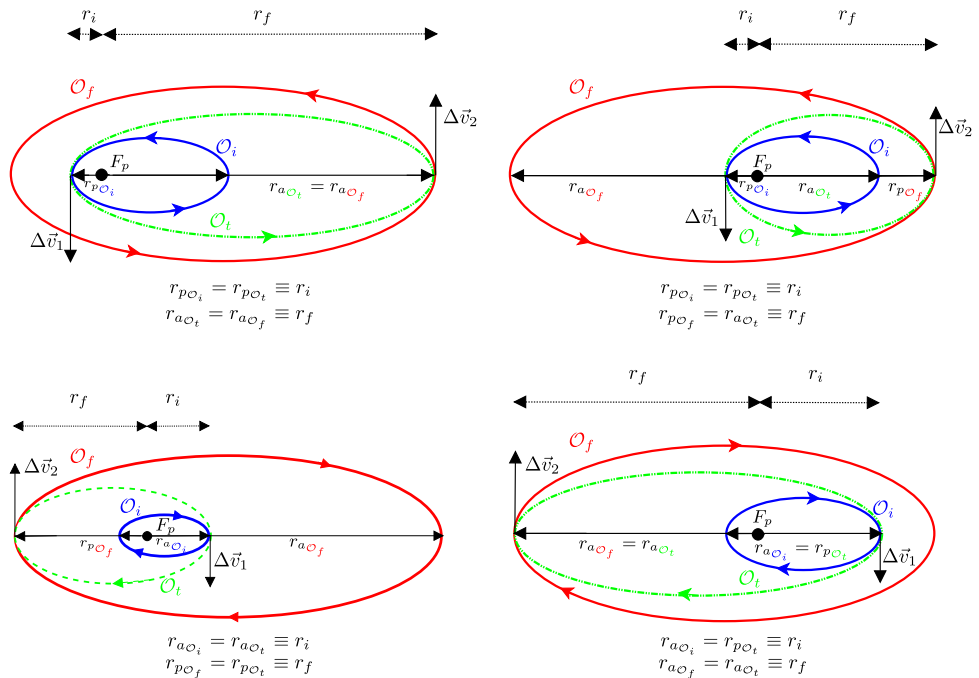
$$\mathcal{F} \equiv \|\Delta\vec{v}_1\| + \|\Delta\vec{v}_2\|, \tag{4}$$

where these impulses (per unit mass) are non-tangential, applied at apside extreme lines, that is, perigee and apogee, respectively (see Fig. 2). The impulsive maneuver vectors are given by

$$\Delta\vec{v}_1 \equiv \vec{u}_i - \vec{v}_i, \tag{5}$$

$$\Delta\vec{v}_2 \equiv \vec{v}_f - \vec{u}_f, \tag{6}$$

Fig. 2 Configurations considering two non-tangential impulses applied in perigee and apogee orbit (only four cases). We show constraints relative to this for each case. The figure in the upper left panel corresponds to our first configuration, the upper right figure corresponds to the second. The lower left and right figures are the third and fourth configurations, respectively. These figures are a plane projection of the three-dimensional problem (Lacruz 2010)



where the vectors \vec{v}_i and \vec{u}_i refer to the initial velocities of the initial and transfer orbits, respectively. In the same way, \vec{v}_f and \vec{u}_f are the final velocities of the final and transfer orbits, respectively. The first impulse, $\Delta v_1 = \|\Delta \vec{v}_1\|$, is applied in the initial orbit and the second impulse is applied in the transfer orbit, necessary to switch to the final orbit. When the first impulse is applied, the initial and transfer orbits coincide; that happens just in this point, as is seen in Fig. 2, for different configurations. For the first configuration (upper left panel of Fig. 2) the initial impulse $\Delta \vec{v}_1$ is located at the perigee of the transfer elliptic orbit $r_{p_{O_t}}$ and this coincides with the initial orbit, whereas the final impulse $\Delta \vec{v}_2$ is located at the apogee of the transfer elliptic orbit $r_{a_{O_t}}$ and this coincides with the final orbit. Note that the other three configurations are easily obtained using the corresponding impulse maneuvers according to Fig. 2. Thus, a link between the parameters of the orbits is established. A similar situation occurs between the transfer and arrival orbits when the second impulse is applied. We found an expression for the impulse maneuvers in terms of the velocity vectors for each orbit and angles between orbital planes; their norms are

$$\|\Delta \vec{v}_1\| = \sqrt{u_i^2 + v_i^2 - 2u_i v_i \cos(i_{it})}, \tag{7}$$

$$\|\Delta \vec{v}_2\| = \sqrt{v_f^2 + u_f^2 - 2u_f v_f \cos(i_{if} - i_{it})}, \tag{8}$$

where $u_i = \|\vec{u}_i\|$, $v_i = \|\vec{v}_i\|$, $u_f = \|\vec{u}_f\|$, and $v_f = \|\vec{v}_f\|$. Notice that we used the fact $i_{if} \equiv i_{it} + i_{tf}$. To find the minimum value for the \mathcal{F} function it is necessary to calculate the derivative with respect to one of the orbital parameters or another free parameter. As we already said, we can choose any parameter we want. The variation respect to i_{it} has been little studied in the literature, so we decided to work this case. In order to find the minimum value with respect to i_{it} for the function \mathcal{F} , it is required that

$$\frac{\partial \mathcal{F}}{\partial i_{it}} = 0, \tag{9a}$$

$$\frac{\partial^2 \mathcal{F}}{\partial i_{it}^2} > 0. \tag{9b}$$

Putting (7) and (8) into (9a) and rearranging terms in a convenient way

$$\frac{u_i v_i \sin(i_{it})}{v_f u_f \sin(i_{if} - i_{it})} = \sqrt{\frac{\mathcal{K}_i - 2u_i v_i \cos(i_{it})}{\mathcal{K}_f - 2u_f v_f \cos(i_{if} - i_{it})}}, \tag{10}$$

with \mathcal{K}_i and \mathcal{K}_f being the kinetic energies before and after applying the impulses, per mass unit, respectively. To facilitate the algebra we make the following definitions:

$$\sigma = \cos(i_{it}), \tag{11a}$$

$$1 - \sigma^2 = \sin^2(i_{it}), \tag{11b}$$

$$\cos(i_{if} - i_{it}) = \mathcal{A}_1 \sigma + \mathcal{A}_2 \sqrt{1 - \sigma^2}, \tag{11c}$$

$$\sin^2(i_{if} - i_{it}) = \mathcal{A}_1^2 + \mathcal{A}_3 \sigma^2 + \mathcal{A}_4 \sigma \sqrt{1 - \sigma^2}, \tag{11d}$$

where the \mathcal{A}_ℓ set, with $\ell = 1, 2, 3, 4$, is given by

$$\mathcal{A}_\ell = \{\cos(i_{if}), \sin(i_{if}), -\cos(2i_{if}), -\sin(2i_{if})\}. \tag{12}$$

Substituting Eqs. (11a), (11b), (11c), and (11d) into (10) and after some calculations we obtain a polynomial of the form (Lacruz 2010)

$$\sum_{j=0}^{j=3} b_j \sigma^j = \sqrt{1 - \sigma^2} \sum_{j=4}^{j=6} b_j \sigma^{j-4}, \tag{13}$$

where the constant b_j are

$$b_0 = u_i^2 v_i^2 (v_f^2 + u_f^2) - v_f^2 u_f^2 (u_i^2 + v_i^2) \cos^2(i_{if}), \tag{14a}$$

$$b_1 = 2v_f^2 u_f^2 u_i v_i \cos^2(i_{if}) - 2u_i^2 v_i^2 v_f u_f \cos(i_{if}), \tag{14b}$$

$$b_2 = v_f^2 u_f^2 (u_i^2 + v_i^2) \cos(2i_{if}) - u_i^2 v_i^2 (v_f^2 + u_f^2), \tag{14c}$$

$$b_3 = 2u_i^2 v_i^2 v_f u_f \cos(i_{if}) - 2v_f^2 u_f^2 u_i v_i \cos(2i_{if}), \tag{14d}$$

$$b_4 = 2u_i^2 v_i^2 v_f u_f \sin(i_{if}), \tag{14e}$$

$$b_5 = -v_f^2 u_f^2 (u_i^2 + v_i^2) \sin(2i_{if}), \tag{14f}$$

$$b_6 = 2v_f^2 u_f^2 u_i v_i \sin(2i_{if}) - 2u_i^2 v_i^2 v_f u_f \sin(i_{if}). \tag{14g}$$

Squaring Eq. (13) and regrouping terms, we obtain the following sixth-degree polynomial function:

$$\mathcal{P}(\sigma) = \sum_{j=0}^{j=6} c_j \sigma^j = 0, \tag{15}$$

where the c_j are

$$c_0 = b_0^2 - b_4^2, \tag{16a}$$

$$c_1 = 2(b_0 b_1 - b_4 b_5), \tag{16b}$$

$$c_2 = 2(b_0 b_2 - b_4 b_6) + b_1^2 + b_4^2 - b_5^2, \tag{16c}$$

$$c_3 = 2(b_0 b_3 - b_5 b_6 + b_1 b_2 + b_4 b_5), \tag{16d}$$

$$c_4 = 2(b_1 b_3 + b_4 b_6) + b_2^2 + b_5^2 - b_6^2, \tag{16e}$$

$$c_5 = 2(b_2 b_3 + b_5 b_6), \tag{16f}$$

$$c_6 = b_3^2 + b_6^2, \tag{16g}$$

which are represented in an implicit form in terms of the velocities and angles, respectively. Now we need to obtain the roots of Eq. (15), since they will provide the angle that minimizes the cost function. This procedure is similar in each case, but some important differences appear due to the transfer parameters eccentricity and semimajor axis (Kamel et al.

Table 1 Selective parameter $k_f^{(n)}$ and $k_i^{(n)}$ for each case

	Case 1	Case 2	Case 3	Case 4
$k_i^{(n)}$	-1	-1	+1	+1
$k_f^{(n)}$	+1	-1	-1	+1

Table 2 Initial and final velocity vectors for each case, where \vec{v}_{pO_i} , \vec{v}_{pO_f} , and \vec{v}_{aO_f} are the velocity vectors in the perigee in initial, transfer, and final orbits, respectively. \vec{v}_{aO_i} , \vec{v}_{aO_t} , and \vec{v}_{aO_f} are the velocity vectors in the perigee in initial, transfer, and final orbits, respectively

	Case 1	Case 2	Case 3	Case 4
\vec{v}_i	\vec{v}_{pO_i}	\vec{v}_{pO_i}	\vec{v}_{aO_i}	\vec{v}_{aO_i}
\vec{v}_f	\vec{v}_{aO_f}	\vec{v}_{pO_f}	\vec{v}_{pO_f}	\vec{v}_{aO_f}
\vec{u}_i	\vec{v}_{pO_t}	\vec{v}_{pO_t}	\vec{v}_{aO_t}	\vec{v}_{pO_t}
\vec{u}_f	\vec{v}_{aO_t}	\vec{v}_{aO_t}	\vec{v}_{pO_t}	\vec{v}_{aO_t}

2011). In order to keep this discussion in general terms, we need to define both parameters appropriately:

$$a_t^{(n)} = \frac{1}{2}((1 + k_f^{(n)} e_f) a_f + (1 + k_i^{(n)} e_i) a_i), \tag{17}$$

$$e_t^{(n)} = \frac{(1 + k_f^{(n)} e_f) a_f - (1 + k_i^{(n)} e_i) a_i}{(1 + k_f^{(n)} e_f) a_f + (1 + k_i^{(n)} e_i) a_i}, \tag{18}$$

where $k_f^{(n)}$ and $k_i^{(n)}$ are parameters that change depending of each case (see Table 1 for specific values). In the same way, the initial and final velocities are different, depending on the configurations. All the possible cases are shown in Table 2, according to Fig. 2.

4 Numerical example

In order to illustrate the solution of this problem (i.e. find the minimum value of the cost function with respect to the i_{it} variable), we get the cost function for each case using some known reference values. First of all, we need to get two impulse maneuvers to produce Hohmann transfer with orbital plane change. For this purpose in general six constants are required: $\mu_{\oplus} \equiv GM_{\oplus} = 3.98 \times 10^{11} \text{ km}^3 \text{ s}^{-2}$, the initial-final angle $i_{if} = \pi/2$ rad, and the set $\{e_i, a_i, e_f, a_f\}$ where we have taken $e_i = 0.052$, $a_i = 6948$ km corresponding to the Sputnik I satellite (NASA 1957), and $e_f = 0.190$, $a_f = 8682.5$ km corresponding to the Vanguard I satellite (NASA 1958). Using previous parameters, our solutions give different roots where one of this is the minimum global in the cost function between all possible roots (six in the most general case). The roots are in Table 3 displayed case by case. For instance, in the Case 1 $\langle i_{it} \rangle_p = 0.0577968$ rad

Table 3 Inclination angle obtained by two different techniques: using our sixth-degree polynomial function $\langle i_{it} \rangle_p$ and by the numerical solution $\langle i_{it} \rangle_e$. $\Delta \langle i_{it} \rangle$ indicates absolute error in radians. The angles obtained using our procedure and the numerical solution are given in radians

	Case 1	Case 2	Case 3	Case 4
$\langle i_{it} \rangle_p$	0.0577968	0.0269421	0.0260117	0.0505942
$\langle i_{it} \rangle_e$	0.0577970	0.0269422	0.0260117	0.0505981
$\Delta \langle i_{it} \rangle$	0.0000002	0.0000001	0 $\mathcal{O}(7)$	0.0000039

and $\langle i_{it} \rangle_e = 0.0577970$ rad, equivalently 3.31152417 degree and 3.3115127 degree, respectively. Therefore, the absolute error is $\Delta \langle i_{it} \rangle = |\langle i_{it} \rangle_p - \langle i_{it} \rangle_e| = 10^{-5}$ degree. In Table 3, the term $\mathcal{O}(7)$ means the exact value and the numerical values are in agreement to the seventh order of a polynomial expansion of the correct result.

5 Discussion

Since all cases share the same initial and final orbital elements, the efficiency between them can be compared. In this sense, we note that the cases 1 and 4 (such as the cases 2 and 3) can be directly compared, since the cost function looks similar. It can be understood due to cases 1–4 and 2–3 presenting some symmetry degree (reflection). In spite of it, the cost functions of cases 1 and 4 (and cases 2 and 3) are different because of the particular start and arrival points in the orbit (Fig. 2). We find that two cases are the ones most efficient, the first case has the initial impulse at pericenter, with the corresponding final impulse at apocenter, whereas the fourth case has the initial impulse at apocenter, with the corresponding final impulse at apocenter. On the other hand, cases 2 and 3 are the ones worst with respect to the energy used to change between orbits. By revisiting the cost function plot we note that the more economical configurations arrive at the apocenter whereas the more expensive cases arrive at the pericenter (Fig. 3). Furthermore, as we need to apply an extra impulse to stop the vehicle from the transfer orbit to final orbit (cases 2 and 3), a difference between the cost functions is established.

6 Conclusions

In this paper we obtain the analytical minimum cost function of an orbital transference between two non-coplanar elliptical orbits considering as free parameter the inclination between the initial and transfer plane. We recovered the solution obtained by Lacruz (2010) for the first configuration and calculate the solution for the other three possible cases. We compared the only four possible cases (Kamel and Soliman 1999) of the orbital transfer considering two impulses

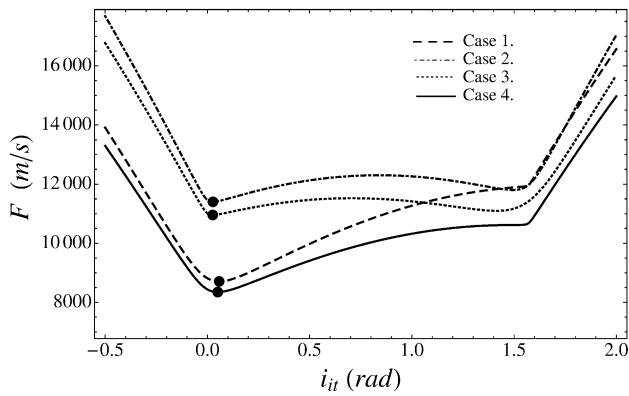


Fig. 3 Cost functions (by case) \mathcal{F} put together as a function of the initial-transfer angle i_{it} . Black points are the minimum values of the cost function for each case. The fourth case is the most economical case relative to the other three cases

applied in perigee and apogee, and we determine the best model for a given set of orbital elements. We compare our analytical solution using orbital data from well-known satellites. Comparing the exact solution and our solution, we observe that the roots where the cost function is minimal are approximately equal so our result is considered valid. In the same way, in accordance with our solution, the fourth case is the optimal possible case. Finally, we show that it is always cheaper to have the final impulse at apocenter.

Acknowledgements Á. Rincón acknowledges support by CONICYT/ALMA Astronomy Grants # 31110010 and CONICYT-Chile

through Grant D-21151658, P. Rojo was supported by Fondecyt proyect #1120299, Basal PFB06 y Anillo ACT1120. E. Lacruz acknowledges support through the CIDA. The authors would especially like to thank Daniel Casanova Ortega, who agreed to review this work.

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