

# Phase Transition in $\mathcal{PT}$ Symmetric Active Plasmonic Systems

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**Abstract**—Surface plasmon polaritons (SPPs) are coherent electromagnetic surface waves trapped on an insulator–conductor interface. The SPPs decay exponentially along the propagation due to conductor losses, restricting the SPPs propagation length to few microns. Gain materials can be used to counterbalance the aforementioned losses. We provide an exact expression for the gain, in terms of the optical properties of the interface, for which the losses are eliminated. In addition, we show that systems characterized by lossless SPP propagation are related to  $\mathcal{PT}$  symmetric systems. Furthermore, we derive an analytical critical value of the gain describing a phase transition between lossless and prohibited SPPs propagation. The regime of the aforementioned propagation can be directed by the optical properties of the system under scrutiny. Finally, we perform COMSOL simulations verifying the theoretical findings.

**Index Terms**—Surface plasmons polaritons, active/gain materials,  $\mathcal{PT}$  symmetry, lossless propagation.

## I. INTRODUCTION

**A**LIGHT-matter interaction called surface plasmon polaritons (SPPs) has gained the scientists' interest due to its unique properties, such as control of electromagnetic (EM) energy in subwavelength scales [1]–[4], high sensitivity in dielectric properties [3], [5], [6], negative refraction and hyperbolic wave front [7], [8]. SPPs have been applied in nanophotonics, imaging, optical holography, nano antennas, biosensing,

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integrated circuits and metamaterials [6], [9]–[12]. Important progress has been made in plasmonics with 2-D materials, such as graphene and black phosphorus, where the plasmonic properties can be tuned by using chemical doping or applying external gate voltage [3], [13]–[18]. Moreover, plasmonic lenses, waveguides and meta-materials based on graphene have already been applied [3], [19], [20]. Last but not least, multi-layers structures have been created by stacking 2-D crystals one on top of another providing surprising electronic and optical features [14], [18], [21].

Near plasma frequency  $\omega_p$ , the electrons on the surface of metals or semiconductors are free to move sustaining collective oscillations [2], [22]–[26]. The coupling between light and electron oscillations allows the creation of transverse magnetic (TM) EM surface waves, namely SSPs. From the mathematical point of view, SPPs are surface waves bounded along the interface between two materials with sign reversed dielectric permittivities, i.e., a dielectric-conductor interface, and their EM field decays exponentially away from the interface (evanescent waves) [2], [22]–[26].

In this study, we focus on plasmonic waveguides formed by a planar interface which consists of two semi-infinite layers with reversed sign permittivities, namely a dielectric and a metal. The dispersion relation which characterizes the SPPs propagation can be determined by Maxwell equations (ME) as [2], [4], [23], [24]

$$\beta = k_0 n = k_0 \sqrt{\frac{\varepsilon_d \varepsilon_m}{\varepsilon_d + \varepsilon_m}}, \quad (1)$$

where  $k_0 = \omega/c$  is the free space wave number of the incident excitation light of angular frequency  $\omega$ ,  $n$  is the plasmon effective refractive index,  $\varepsilon_d$  and  $\varepsilon_m$  the permittivity of dielectric and metal, respectively, and  $c$  is the speed of light in vacuum.

SPPs decay exponentially along the interface as well, due to the metal losses. In mathematical language, the metal losses are described by a negative imaginary part in the permittivity function of the metal, i.e.  $\varepsilon_m = -\varepsilon_{1m} - i\varepsilon_{2m}$ , where  $\varepsilon_{1m}, \varepsilon_{2m} > 0$ . Consequently, the SPPs wave number  $\beta$  becomes complex, viz.  $\beta = \beta' + i\beta''$ , where the imaginary part accounts for losses of SPPs energy. The imaginary part  $\Im[\beta]$  of Eq. (1) determines the characteristic propagation length  $L$ , which shows the rate of change of the energy attenuation of SPPs along the propagation axis [4], [23], [24], that is

$$L = \frac{1}{2\Im[\beta]}. \quad (2)$$

Gain materials, rather than passive dielectrics, have been used to reduce the losses in SPPs propagation. These active materials are characterized by a complex permittivity function, i.e.,  $\varepsilon_d = \varepsilon_{1d} + i\varepsilon_{2d}$  with  $\varepsilon_{1d}, \varepsilon_{2d} > 0$ , where the imaginary part accounts for gain, that is, the dielectrics give energy to the system counterbalancing the metal losses [4], [23], [27]–[29]. In addition, active dielectrics have been used for exploring  $\mathcal{PT}$  symmetry in optical systems [30]–[33] characterized by the condition that  $n(-x) = n^*(x)$ , where  $n$  and  $n^*$  the refractive index and its complex conjugate, respectively;  $x$  denotes the spatial coordinate along the interface. Metamaterials with  $\mathcal{PT}$  symmetric effective refractive index can be constructed by the combination of gain dielectrics and loss metals [31]–[33]. What makes  $\mathcal{PT}$  symmetric media interesting is that they allow control over EM field by tuning the gain and loss of the materials.

It has been already demonstrated in [4], [23], [28], [29] that for a certain value of gain, the losses in SPPs propagation may vanish. Consequently, the SPPs propagation constant  $\beta$  as well as the effective refractive index  $n$  become real and therefore the  $\mathcal{PT}$  symmetry is satisfied, since  $n$  does not exhibit any spatial dependence along the interface. Furthermore, Eq. (1) states that a  $\mathcal{PT}$  symmetric  $n$  leads to infinite propagation length, viz. lossless SPPs propagation.

In the present study, we investigate theoretically and numerically the  $\mathcal{PT}$  symmetry in active plasmonic systems. In Section II, we provide an explicit expression of the gain, namely  $\varepsilon_{PT}$ , for which the losses in SPPs propagation have been eliminated. In addition, we find a critical value  $\varepsilon_c$  of  $\varepsilon_{PT}$ , where SPPs wave number  $\beta$  and the effective SPPs refractive index  $n$  shift from real to imaginary regime, subsequently the  $\varepsilon_c$  is a  $\mathcal{PT}$  symmetry breaking point. It is remarkable that it is a steep phase transition from lossless to prohibited SPP propagation, which offers the opportunity to control whether SPPs propagate or not by tuning the optical properties of the interface. In Section III, we apply the theoretical results derived in the previous Section on interfaces comprised of active dielectrics and Drude metals. In Section IV, we proceed with numerical simulations by solving the full system of ME in the frequency domain by using the commercial multiphysics software COMSOL, and we show that lossless SPPs propagation corresponding to  $\mathcal{PT}$  symmetry can be achieved in the presence of gain dielectrics. Finally, concluding remarks are offered in Section V.

## II. $\mathcal{PT}$ AND CRITICAL GAIN

In this Section, we calculate the exact expression of the dielectric permittivity gain counterpart  $\varepsilon_{2d}$ , for which the SPPs propagate without losses in the dielectric-metal interface.

Plugging the complex structure of the dielectric and metal permittivity into Eq. (1), function  $n$  can be written in the ordinary complex form as [34]

$$n = \sqrt{\frac{\sqrt{x^2 + y^2} + x}{2}} + i \operatorname{sgn}(y) \sqrt{\frac{\sqrt{x^2 + y^2} - x}{2}} \quad (3)$$

where  $\operatorname{sgn}(y)$  is the discontinuous signum function and

$$x := \frac{\varepsilon_{1d} \|\varepsilon_m\|^2 - \varepsilon_{1m} \|\varepsilon_d\|^2}{\|\varepsilon_d + \varepsilon_m\|^2} \quad (4a)$$

$$y := \frac{\varepsilon_{2d} \|\varepsilon_m\|^2 - \varepsilon_{2m} \|\varepsilon_d\|^2}{\|\varepsilon_d + \varepsilon_m\|^2} \quad (4b)$$

with  $\|\varepsilon_*\|$  denoting the norm of the complex number  $\varepsilon_*$ .

Considering the plasmon effective index  $n$  in Eq. (3) in the  $(x, y)$ -plane, we observe that a lossless SPP propagation, i.e.,  $\Im[\beta] = 0$ , is warranted when the conditions  $y = 0$  and  $x > 0$  are simultaneously satisfied. For  $y = 0$  and  $x < 0$ , although the imaginary part in Eq. (3) vanishes due to the signum function, its real part becomes imaginary, i.e.,  $\beta = i\sqrt{|x|}$ , which does not correspond to propagation SPP modes. Studying the permittivity dependence of  $x$  and  $y$  in Eq. (4) and solving the condition  $y = 0$  with respect to the dielectric gain part  $\varepsilon_{2d}$  for  $\varepsilon_d \neq -\varepsilon_m$ , we obtain two exact solutions, i.e.,  $\varepsilon_{2d} \rightarrow \varepsilon_{2d}^\pm$  of the form

$$\varepsilon_{2d}^\pm = \frac{\|\varepsilon_m\|^2}{2\varepsilon_{2m}} \left( 1 \pm \sqrt{1 - \left( \frac{2\varepsilon_{1d}\varepsilon_{2m}}{\|\varepsilon_m\|^2} \right)^2} \right) \quad (5)$$

The result in Eq. (5) is in agreement with the one derived in [4], [23] following yet a different derivation path. Invoking the physical argument of the SPP wave bound to the dielectric-metal interface, we read that only  $\varepsilon_{2d}^-$  is of physical relevance, since  $\varepsilon_{2d}^+$  leads to waves radiating in the transverse towards the interface direction [23]. Taking into account the, by definition, positive real domain of  $\varepsilon_{2d}^-$  and the dependence of the latter on the metal-dielectric components, we read that the following inequality has to be satisfied

$$\|\varepsilon_m\|^2 > 2\varepsilon_{1d}\varepsilon_{2m} \quad (6)$$

For  $\varepsilon_d = -\varepsilon_m$  both  $x$  and  $y$  diverge exhibiting asymptotically the same image, thus

$$\lim_{\varepsilon_d \rightarrow -\varepsilon_m} \Re[\beta] = \lim_{\varepsilon_d \rightarrow -\varepsilon_m} \Im[\beta] \rightarrow +\infty \quad (7)$$

This in turn means that the former complex point does not belong to the set domain of the lossless SPP propagation since  $y \neq 0$ .

Solving, on the other hand, the equation  $x = 0$  with respect to the dielectric gain  $\varepsilon_{2d}$  for  $\varepsilon_d \neq -\varepsilon_m$ , we may determine the critical value  $\varepsilon_c$  distinguishing the regimes of lossless and prohibited SPP propagation, namely

$$\varepsilon_c = \varepsilon_{1d} \sqrt{\frac{\|\varepsilon_m\|^2}{\varepsilon_{1m}\varepsilon_{1d}} - 1} \quad (8)$$

Equating Eqs. (5) and (8), i.e.,  $y = 0 = x$ , we obtain the condition  $\varepsilon_{1d}\varepsilon_{2m}(\varepsilon_{1d} - \varepsilon_{1m}) = 0$  which reduces to  $\varepsilon_{1d} = \varepsilon_{1m}$ , since  $\varepsilon_{1d}, \varepsilon_{2m} > 0$ . Replacing the former value of  $\varepsilon_{1d}$  in Eqs. (5) and (8) we obtain  $\varepsilon_{2d}^- \neq \varepsilon_c$  and  $\varepsilon_{2d}^- = \varepsilon_c = \varepsilon_{2m}$  for  $\varepsilon_{1m} < \varepsilon_{2m}$  and  $\varepsilon_{1m} > \varepsilon_{2m}$ , respectively. The former case is obviously a contradiction. The latter case corresponds to the singularity point in Eq. (7), where  $x, y \neq 0$ , thus it is a contradiction as well. In other words,  $x$  and  $y$  do not become zero simultaneously, implying that the critical value  $\varepsilon_c$  is not an element of the domain set of the wave number  $\beta(y = 0) \equiv \beta_0$ . This is in agreement with the propagation length  $L$  in Eq. (2). Indeed, when  $y = 0$  then  $L$  tends to infinity, which means that the SPP wave number must exhibit a nonzero value. An even more interesting point, unveiled from the  $y = 0 = x$  analysis, is the estimation of the  $\beta_0$  behaviour when approaching the

critical point,  $\varepsilon_{2d}^- \rightarrow \varepsilon_c$  ( $y = 0$  and  $x \rightarrow 0$ ), described by Eq. (7) for  $\beta \rightarrow \beta_0$  with  $\varepsilon_{1m} > \varepsilon_{2m}$ . Physically, the former point corresponds to the wave electrostatic character of zero phase velocity, known in literature as the surface plasmon mode [24]. Including the discontinuity at the point  $\varepsilon_c$ , the entire codomain of  $\beta_0$  is described as follows

$$\beta_0 = \begin{cases} \text{Real,} & x > 0 \\ \text{Imaginary,} & x < 0 \\ \text{Complex Infinity,} & x \rightarrow 0 \end{cases} \quad (9)$$

In the general case of  $\beta$ , where  $y$  (excluding the point  $\varepsilon_d = -\varepsilon_m$ ) may take nonzero values as well, we observe the following. For  $\varepsilon_{2d} < \varepsilon_{2d}^-$ , the signum function in Eq. (3) is negative since then  $y < 0$  implying  $\Im[\beta] < 0 \Rightarrow L < 0$ . This means that the imaginary part of  $\beta$  accounts for losses and the SPP amplitude decreases along the propagation surface. Conversely, for  $\varepsilon_{2d}^- < \varepsilon_{2d} < \varepsilon_{2d}^+$  yielding  $y > 0 \Rightarrow \text{sgn}(y) > 0$ , we have  $\Im[\beta] > 0 \Rightarrow L > 0$ . In this case the imaginary part of  $\beta$  accounts for gain and the SPPs amplitude increases along the propagation surface. In the special case of  $y = 0 \Rightarrow \varepsilon_{2d} = \varepsilon_{2d}^-$  studied above, the SPP amplitude is constant along the propagation surface. This behaviour of the signum function fully explains the results observed in [23] regarding the SPP amplitude.

An interesting feature of the lossless SPP propagation case, i.e., for  $\varepsilon_{2m}^- < \varepsilon_c$ , in regard to the refractive index  $n$  is that the latter fulfils the condition  $n(y = 0) = n^*(y = 0)$ , since its imaginary part vanishes owing to the signum function. This in turn, may be considered as the  $\mathcal{PT}$  symmetry phase condition, where  $n$  is spatial independent. However the structure is not  $\mathcal{PT}$  symmetric in the narrow sense, the real value of the supported propagation constant along the interface admits time-reversal and geometrical symmetry. Then, the dielectric gain expression  $\varepsilon_{2d}^-$  in Eq. (5) can be attributed to the  $\mathcal{PT}$  symmetry property satisfied by the lossless SPP propagation and denoted as  $\varepsilon_{2d}^- \equiv \varepsilon_{\mathcal{PT}}$ . We shall keep this denomination in what follows. On the contrary, in the case  $\varepsilon_{2m}^- < \varepsilon_c$ , the  $\mathcal{PT}$  condition is not satisfied, since the refractive index is imaginary. Subsequently, the critical gain  $\varepsilon_c$  may be regarded as the  $\mathcal{PT}$ -symmetry breaking point of the plasmonic system under scrutiny.

### III. ACTIVE DIELECTRIC - DRUDE METAL INTERFACE

It is quite remarkable that  $\varepsilon_{\mathcal{PT}}$  as well as  $\varepsilon_c$  depend on the optical properties of the dielectric and metal, that is,  $\varepsilon_{1d}$ ,  $\varepsilon_{1m}$  and  $\varepsilon_{2m}$ . The metal permittivity in turn may generally exhibit a dependence on the angular frequency  $\omega$ , so that by tuning  $\omega$  we may control the values of  $\varepsilon_{\mathcal{PT}}$  lying below or above  $\varepsilon_c$ . Precisely, for interfaces comprised of an active dielectric and a Drude metal [24],  $\varepsilon_m$  is given as

$$\varepsilon_m(\omega) = - \left( \frac{\omega_p^2}{\omega^2 + \Gamma^2} - \varepsilon_h \right) - i \frac{\omega_p^2 \Gamma}{\omega(\omega^2 + \Gamma^2)} \quad (10)$$

where  $\varepsilon_h$  denotes the high frequency permittivity,  $\omega_p$  is the plasma frequency and  $\Gamma$  accounts for metal losses in frequency units [24].

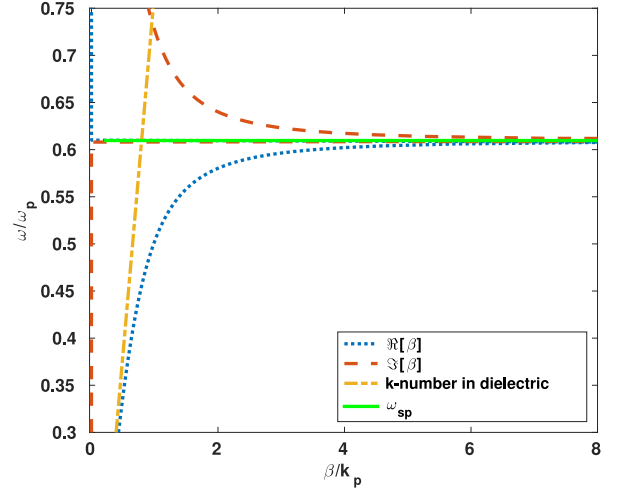


Fig. 1. The SPP dispersion relation  $\beta$  under  $\mathcal{PT}$  symmetry ( $\varepsilon_{2d} = \varepsilon_{\mathcal{PT}}$ ), i.e.,  $\beta_0$ , with respect to the frequency  $\omega$ .  $\Re[\beta]$  and  $\Im[\beta]$  are indicated by dotted blue line and orange dashed line respectively. The dash-dot yellow line is referred to the wave number of light in the dielectric, whereas the horizontal solid green line shows the SPP resonance frequency  $\omega_{sp}$  where the interchanging between  $\Re[\beta]$  and  $\Im[\beta]$  appears.  $k_p = \omega_p/c$  is used as normalized unit of wavenumbers and  $\omega_p$  as normalized unit for frequencies as well.

By virtue of Eq. (10) we can express the  $\varepsilon_{\mathcal{PT}}$  and  $\varepsilon_c$  in terms of the frequency  $\omega$ . Moreover, taking Eq. (7) into consideration, we may obtain the SPP resonance frequency  $\omega_{sp}$  [2], [4], [24]

$$\omega_{sp} = \sqrt{\frac{\omega_p^2}{\varepsilon_{1d} + \varepsilon_h} - \Gamma^2} \quad (11)$$

It can be proven that for Drude metals the  $\varepsilon_{\mathcal{PT}}$  is always smaller than  $\varepsilon_c$  for frequencies lower than  $\omega_{sp}$ . Thus, according to our theoretical results we anticipate lossless SPPs propagation for  $\omega < \omega_{sp}$  and prohibited SPPs propagation for  $\omega > \omega_{sp}$ .

In order to verify our theoretical predictions, we calculate the SPP dispersion relation for an interface consisting of silver with  $\varepsilon_h = 1$ ,  $\omega_p = 1.367 \cdot 10^{16}$  Hz,  $\Gamma = 1.018 \cdot 10^{14}$  Hz and silica glass with  $\varepsilon_{1d} = 1.69$  and  $\varepsilon_{2d} = \varepsilon_{\mathcal{PT}}$ . The frequency values are confined in the regime imposed by the inequality in Eq. (6). In Fig. 1 we plot the real (dotted blue line) and imaginary (orange dashed line) part of the normalized SPP dispersion relation  $\beta_0/k_p$  ( $k_p \equiv \omega_p/c$ ) with respect to the normalized frequency  $\omega/\omega_p$ ; the yellow dash-dot line shows the wave number ( $k$ -number) in the dielectric, and the resonance frequency  $\omega_{sp}$  is represented by the horizontal green solid line where the interchange between  $\Re[\beta]$  and  $\Im[\beta]$  appears. We observe, indeed, that for  $\omega < \omega_{sp}$  the imaginary part of  $\beta$  vanishes while for  $\omega > \omega_{sp}$  the SPPs wave number is purely real. Subsequently, in the vicinity of  $\omega = \omega_{sp}$  a phase transition from lossless to prohibited SPPs propagation is expected (see Section IV).

Fig. 1 highlights the relation between  $\beta$  and the metal permittivity, and demonstrates the  $\mathcal{PT}$  symmetry breaking point  $\varepsilon_c$ , where the  $\Re[\beta]$  vanishes. In Fig. 2 we consider a variable  $\varepsilon_{1d}$  and record the dependence on it of both the magnitude  $\Re[\beta]$  in Eq. (1) and  $\varepsilon_{\mathcal{PT}}$  in Eq. (5) for three different frequencies, namely  $\omega = \{0.45\omega_p, 0.5\omega_p, 0.55\omega_p\}$ . The former is represented by color lines on the complex plane defined by  $(\varepsilon_{1d}, \varepsilon_{\mathcal{PT}})$

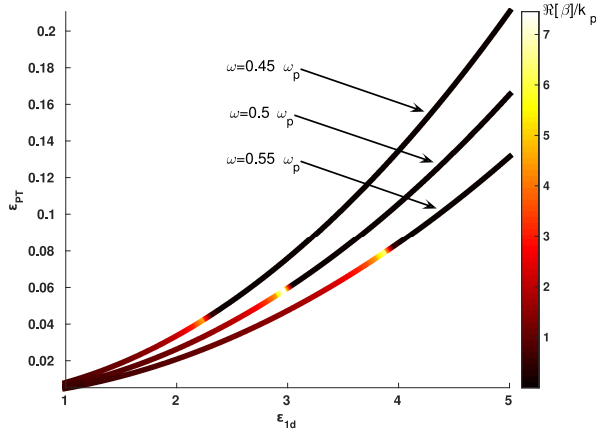


Fig. 2. The  $\mathcal{PT}$  gain  $\varepsilon_{\mathcal{PT}}$  with respect to the real part of the dielectric  $\varepsilon_{1d}$  is plotted for three different frequencies  $\omega$ . The colors on each curve represents the magnitude of the  $\Re[\beta]$  normalized to  $k_p$ . We observe that the more dense the dielectric is the more gain is needed to achieve lossless SPP propagation. For all frequencies there is a critical gain for which the  $\Re[\beta]$  transmits suddenly from high magnitude (bright colored line) to zero value (black line), showing gain saturation and a phase transition from undamped SPPs to forbidden propagation.

for  $x$  and  $y$  axis, respectively. Each color line corresponds to a different frequency. Fig. 2 unveils that the more dense the dielectric is the higher value of the gain we need for having undamped SPPs propagation. In addition, the  $\Re[\beta]$  vanishes very suddenly as we increase the gain, verifying that at this point the  $\mathcal{PT}$  symmetry breaks and the SPPs propagation becomes prohibited. According to the aforementioned figure we can tune the magnitude of the  $\Re[\beta]$  as well as  $\varepsilon_c$  and  $\varepsilon_{\mathcal{PT}}$  by choosing the appropriate dielectric.

#### IV. SIMULATIONS

In this Section, we verify our theoretical predictions of Sections II and III, by solving numerically the full system of ME in the frequency domain in a 2-D space for TM polarization electric and magnetic fields. The numerical experiments have been performed by virtue of the multi-physics commercial software COMSOL. Precisely, we explore the SPPs propagation length  $L$  with respect to  $\omega$  on the interface between two semi-infinity layers, i.e., an active dielectric and a Drude metal, recording the desired phase transition from lossless to prohibited SPP propagation. We further demonstrate the lossless SPPs propagation, analysing the magnetic field intensity along the surface of two known in literature configurations, the Kretschmann-Raether and the Otto configurations [2], [24], [29]. In our numerical experiments the frequency  $\omega$  is confined in the range  $[0.3\omega_p, 0.75\omega_p]$  with the integration step  $\Delta\omega = 0.01\omega_p$ .

Regarding the active dielectric – Drude metal interface described in the previous section, we conduct the near-field excitation technique [15], [16], [24] to excite SPPs on the metallic surface. For this purpose, a circular EM source of radius  $R = 20$  nm has been located 100 nm above the metallic surface acting as a point source, since the wavelength  $\lambda$  of the EM wave in the silica glass is constrained to  $\lambda \gg R$  [24]. In addition, perfectly matched layers (PML) are used as boundary conditions.

In Fig. 3 we demonstrate, in a log-linear scale, the propagation length  $L$  with respect to  $\omega$  subject  $\mathcal{PT}$  symmetry (blue

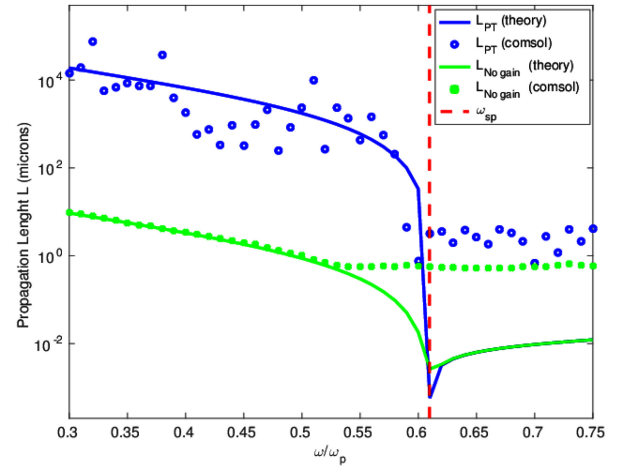


Fig. 3. The SPP characteristic length  $L$  is demonstrated as function of the frequency  $\omega$  subject  $\mathcal{PT}$  symmetry (blue line/open circles) and in the case of gainless propagation (green line/points). In both cases, the solid lines indicate the theoretical prediction, whereas the points show the numerical results obtained by COMSOL simulations. A phase transition from lossless (large  $L$ ) to prohibited propagation (small  $L$ ) occurs in the case of  $\mathcal{PT}$  symmetry. The red vertical dashed line indicates the resonance frequency  $\omega_{sp}$ , where the phase transition takes place. The theoretical curves show deviation from simulations for frequencies near and greater that  $\omega_{sp}$ , because in this regime quasi-bound EM modes appear.

line and open circle). For the sake of comparison, we plot  $L(\omega)$  for the gainless case (green line and filled circles). The solid lines represent the theoretical predictions obtained by Eq. (2), whereas the circles indicate COMSOL results. For the numerical calculations, the characteristic propagation length has been estimated by the inverse of the slope of the  $\log(I)$ , where  $I$  is the magnetic intensity along the interface [2], [24], [35]. The red vertical dashed line denotes the SPP resonance frequency  $\omega_{sp}$ , in which the phase transition appears. The graphs in Fig. 3 indicate that in the presence of the  $\mathcal{PT}$  gain, i.e.,  $\varepsilon_{2m} = \varepsilon_{\mathcal{PT}}$ , the SPPs may travel for very long, practically infinite, distances. Approaching the resonance frequency  $\omega_{sp}$ ,  $L$  decreases rapidly leading to a steep phase transition on the SPPs propagation. The deviations between theoretical and numerical results in Fig. 3 for frequencies near or greater than  $\omega_{sp}$  are attributed to the fact that in the regime  $\omega_{sp} < \omega < \omega_p$ , there are quasi-bound EM modes [24], where EM waves are evanescent along the metal-dielectric interface and radiate perpendicular to this. Consequently, the observed EM field for  $\omega > \omega_{sp}$  does not correspond to SPPs but belongs to the quasi-bound modes.

So far, the theoretical findings in Sections II and III have been successfully confirmed. We further proceed investigating the  $\mathcal{PT}$  symmetry in active plasmonic systems. We perform COMSOL simulations based on the total internal reflection (TIR) method, applied on the Kretschmann-Raether and Otto configurations, separately. Within the former, a thin metal film is sandwiched between two dielectrics with the incident wave hitting the denser medium. In Otto configuration, the denser the dielectric and the metal sandwich a lighter dielectric. In both configurations a type of silica glass, with dielectric constant  $\varepsilon_D = 4$ , is used as denser passive dielectric, whereas for active dielectric (lighter medium) as well as for metal, we use the materials described in Section III. Furthermore, for the COMSOL simulations [35], [36], we utilize a monochromatic plane wave

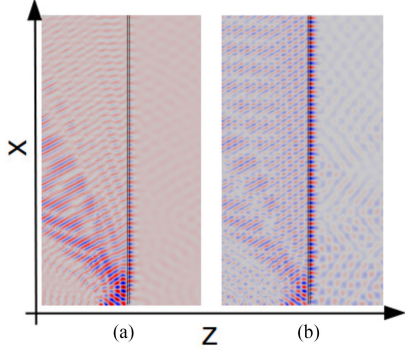


Fig. 4. COMSOL simulations show the intensity distribution of the magnetic field on a Kretschmann-Raether configuration, for (a)  $\varepsilon_{2d} = 0$  (No gain) (b)  $\varepsilon_{2d} = \tilde{\varepsilon}_{\mathcal{PT}} = 0.026$  ( $\mathcal{PT}$  symmetry).

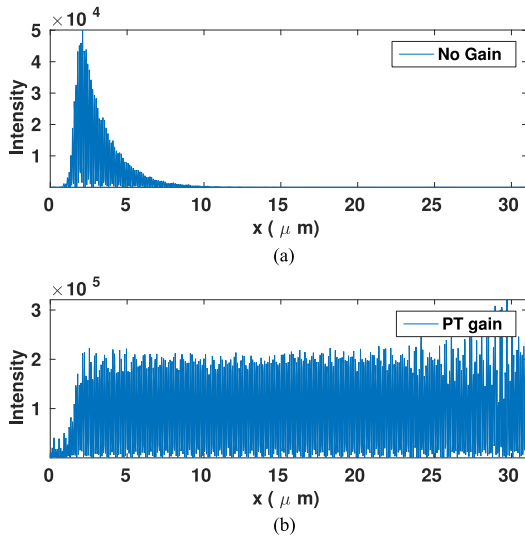


Fig. 5. Characteristic profile of the magnetic field intensity along the interface at Kretschmann-Raether configuration of Fig. 4, for (a)  $\varepsilon_{2d} = 0$  (No gain) (b)  $\varepsilon_{2d} = \tilde{\varepsilon}_{\mathcal{PT}} = 0.026$ , where lossless SPP propagation is achieved.

source of frequency  $f = 870$  THz, amounting to 40% of plasma frequency  $\omega_p$ , and corresponding to a wavelength  $\lambda = 345$  nm in the ultraviolet regime. Again, PML are used as boundary conditions.

According to the Eq. (5), the  $\mathcal{PT}$  gain for which SPPs propagate without losses is calculated to be  $\varepsilon_{\mathcal{PT}} = 0.012$ . We observe, however, that in the numerical experiments a larger gain is needed (of the same magnitude though), namely  $\tilde{\varepsilon}_{\mathcal{PT}} = 0.026$ , for both configurations. This deviation from the theoretical value can be justified if one takes into consideration that the assumption of semi-infinitely thick metal and dielectric layers composing the interface [23], under which the SPP dispersion relation of Eq. (1) holds true, is experimentally not fully satisfied.

In the Kretschmann-Raether configuration a thin metal of thickness  $d = 45$  nm has been used for exciting SPPs. The resulting propagation is illustrated in Fig. 4(a) under lack of gain and in Fig. 4(b) for the  $\mathcal{PT}$  symmetric case. The corresponding profiles of the magnetic field intensity along the interface are demonstrated in Fig. 5, where the lossless SPP propagation is evident.

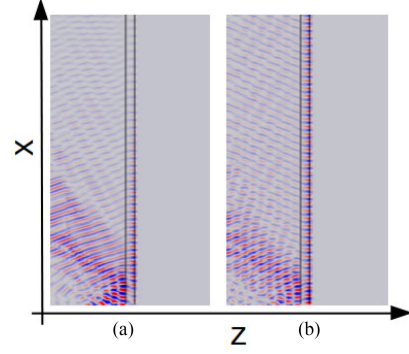


Fig. 6. COMSOL results for the intensity distribution of the magnetic field at an Otto configuration, for (a)  $\varepsilon_{2d} = 0$  (No gain) (b)  $\varepsilon_{2d} = \tilde{\varepsilon}_{\mathcal{PT}} = 0.026$  ( $\mathcal{PT}$  symmetry).

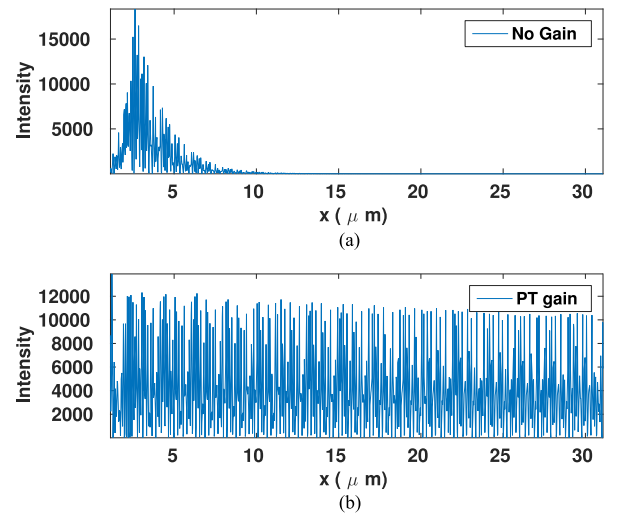


Fig. 7. Characteristic profile of the magnetic field intensity along the interface at Otto configuration of Fig. 6, for (a)  $\varepsilon_{2d} = 0$  (No gain) (b)  $\varepsilon_{2d} = \tilde{\varepsilon}_{\mathcal{PT}} = 0.026$ , where lossless SPP propagation is achieved.

In the Otto configuration, on the other hand, the SPPs excitation is succeeded by means of an active dielectric of thickness  $d = 150$  nm which has been used between a non-active dielectric and a metal. By analogy to the Kretschmann-Raether configuration experiment, we present the SPP propagation without gain in Fig. 6(a) and for the  $\mathcal{PT}$  gain with  $\tilde{\varepsilon}_{\mathcal{PT}} = 0.026$  in Fig. 6(b). In Fig. 7 the corresponding profiles of the magnetic intensity along the interface are presented, unveiling again a clear lossless SPPs propagation in the  $\mathcal{PT}$  case.

## V. CONCLUDING REMARKS

Summarizing, we have investigated the role of active/gain dielectrics in plasmonic systems. In particular, we have studied the propagation properties of SPPs along an interface confined by two semi-infinite layers: a dielectric and a metal. We have calculated an exact expression  $\varepsilon_{2d}^-$  for the dielectric gain  $\varepsilon_{2d}$ , for which the metal losses have been completely counterbalanced, resulting to lossless SPPs propagation along the interface. We argued that the a plasmonic system characterized by the aforementioned lossless propagation may be related to  $\mathcal{PT}$  symmetric systems, i.e.,  $\varepsilon_{\mathcal{PT}} \equiv \varepsilon_{2d}^-$ . Within the  $\mathcal{PT}$  symmetry, a critical

gain  $\varepsilon_c$  exists distinguishing between the real and imaginary part of the SPP dispersion relation. This distinction corresponds to a phase transition from lossless to prohibited SPP propagation. It is remarkable that the  $\varepsilon_{PT}$  as well as the  $\varepsilon_c$  depend on the optical properties of the interface.

We applied our theory to interfaces consisting of Drude metals and gain dielectrics demonstrating the predicted by the theory lossless propagation as well as the phase transition at the SPP resonance frequency  $\omega_{sp}$ . We performed numerical simulations with COMSOL software, using the near-field excitation method in order to investigate our theory, verifying successfully all the theoretical predictions. We also performed COMSOL simulations for two different plasmonic configurations based on the TIR method- Kretschmann-Raether and Otto configurations- where lossless SPP propagation can be achieved.

Active metamaterials may be designed to have the desirable frequency-dependent permittivity response, as Eq. (5) points out; these metamaterials could be used for the fabrication of  $PT$  symmetric plasmonic systems, providing infinite SPPs propagation. The active metamaterials may be used to design  $PT$  symmetric plasmonic integrated circuits which could transfer information in sub-wavelength scales for large (theoretically infinite) distance, rather than a passive plasmonic system where SPPs propagate for few micrometers. Moreover, we demonstrated that there is a threshold in the  $PT$  gain values, above which the  $PT$  symmetry breaks and thus the system passes from lossless to prohibited propagation. The gain threshold as well as the  $PT$  gain depend on the optical properties of the dielectric and metal, subsequently we could control the SPPs propagation by tuning the dielectric constant of metal  $\varepsilon_m$  or the real part of dielectric permittivity  $\varepsilon_{1d}$ ; for instance, the former, i.e.,  $\varepsilon_m$ , is usually frequency-dependent, thus we can interchange between lossless and prohibited SPP propagation by tuning the frequency of the incident EM wave.

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Authors' photograph and biography not available at the time of publication.