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# Dysphagia screening and risks of pneumonia and adverse outcomes after acute stroke: An international multicenter study

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Menglu Ouyang<sup>1,2</sup>, Elizabeth Boaden<sup>3</sup>, Hisatomi Arima<sup>1,4</sup>, Pablo M Lavados<sup>5,6,7</sup>, Laurent Billot<sup>1</sup>, Maree L Hackett<sup>1,3</sup> , Verónica V Olavarría<sup>5,6</sup> , Paula Muñoz-Venturelli<sup>1,5,6</sup>, Lili Song<sup>1,2</sup>, Kris Rogers<sup>1</sup>, Sandy Middleton<sup>8</sup> , Octavio M Pontes-Neto<sup>9</sup>, Tsong-Hai Lee<sup>10</sup>, Caroline Watkins<sup>3</sup>, Thompson Robinson<sup>11</sup> and Craig S Anderson<sup>1,2,12</sup> ; for the HeadPoST Investigators\*

## Abstract

**Background:** Dysphagia is associated with aspiration pneumonia after stroke. Data are limited on the influences of dysphagia screen and assessment in clinical practice.

**Aims:** To determine associations between a “brief” screen and “detailed” assessment of dysphagia on clinical outcomes in acute stroke patients.

**Methods:** A prospective cohort study analyzed retrospectively using data from a multicenter, cluster cross-over, randomized controlled trial (Head Positioning in Acute Stroke Trial [HeadPoST]) from 114 hospitals in nine countries. HeadPoST included 11,093 acute stroke patients randomized to lying-flat or sitting-up head positioning. Herein, we report predefined secondary analyses of the association of dysphagia screening and assessment and clinical outcomes of pneumonia and death or disability (modified Rankin scale 3–6) at 90 days.

**Results:** Overall, 8784 (79.2%) and 3917 (35.3%) patients were screened and assessed for dysphagia, respectively, but the frequency and timing for each varied widely across regions. Neither use of a screen nor an assessment for dysphagia was associated with the outcomes, but their results were compared to “screen-pass” patients, those who failed had higher risks of pneumonia (adjusted odds ratio [aOR] = 3.00, 95% confidence interval [CI] = 2.18–4.10) and death or disability (aOR = 1.66, 95% CI = 1.41–1.95). Similar results were evidence for the results of an assessment for dysphagia. Subsequent feeding restrictions were related to higher risk of pneumonia in patients failed dysphagia screen or assessment (aOR = 4.06, 95% CI = 1.72–9.54).

<sup>1</sup>The George Institute for Global Health, Faculty of Medicine, University of New South Wales, Sydney, Australia

<sup>2</sup>The George Institute China at Peking University Health Science Center, Beijing, China

<sup>3</sup>Faculty of Health and Wellbeing, University of Central Lancashire, Preston, Lancashire, UK

<sup>4</sup>Department of Preventive Medicine and Public Health, Faculty of Medicine, Fukuoka University, Fukuoka, Japan

<sup>5</sup>Department of Neurology and Psychiatry, Clínica Alemana de Santiago, Santiago, Chile

<sup>6</sup>Facultad de Medicina, Clínica Alemana Universidad del Desarrollo, Santiago, Chile

<sup>7</sup>Departamento de Ciencias Neurológicas, Facultad de Medicina, Universidad de Chile Universidad de Chile, Santiago, Chile

<sup>8</sup>Nursing Research Institute, St Vincent's Health (Sydney) Australia, Australian Catholic University, Sydney, Australia

<sup>9</sup>Stroke Service—Neurology Division, Department of Neuroscience and Behavioral Sciences, Ribeirão Preto Medical School, University of Sao Paulo, Sao Paulo, Brazil

<sup>10</sup>Stroke Center and Department of Neurology, Linkou Chang Gung Memorial Hospital and College of Medicine, Chang Gung University, Taoyuan, Taiwan

<sup>11</sup>Department of Cardiovascular Sciences and NIHR Leicester Biomedical Research Centre, University of Leicester, Leicester, UK

<sup>12</sup>Neurology Department, Royal Prince Alfred Hospital, Sydney Health Partners, Sydney, Australia

\*Full list of investigators is listed in the Supplemental Appendix.

## Corresponding author:

Craig S Anderson, The George Institute for Global Health, PO Box M201, Missenden Road, Sydney, NSW 2050, Australia.  
Email: canderson@georgeinstitute.org.au

**Conclusions:** Failing a dysphagia screen is associated with increased risks of pneumonia and poor clinical outcome after acute stroke. Further studies concentrate on determining the effective subsequent feeding actions are needed to improve patient outcomes.

### Keywords

Dysphagia, screen, assessment, acute stroke, pneumonia, disability, clinical trial

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## Introduction

Pneumonia is a common complication of acute stroke, which increases the likelihood of death and poor recovery, and costs of care.<sup>1–3</sup> As dysphagia is also common and associated with aspiration pneumonia,<sup>4–6</sup> stroke management guidelines recommend that health professionals screen for this impairment before their patients receive any oral intake of food, fluid, or medications.<sup>7–12</sup> However, the evidence base supporting this recommendation is of moderate grade, with only one cluster clinical trial of implementing protocols that included dysphagia screening/assessment in conjunction with fever and hyperglycemia management showing improved short- and long-term clinical outcomes.<sup>13</sup> A small “before-and-after” study showed that the implementation of dysphagia screening by nurses reduced pneumonia and length of stay in hospital,<sup>14</sup> while delays in screening and assessment for dysphagia in patients were associated with pneumonia in the UK national stroke registry.<sup>15</sup> Although a range of simple and systematic approaches exist for the assessment of dysphagia, data are limited on how well these are incorporated into clinical pathways and influence feeding actions and clinical outcomes in practice.<sup>14</sup> The international Head Positioning in Acute Stroke Trial (HeadPoST) data set,<sup>16</sup> therefore, provides an opportunity to examine the utility of screening and assessment of dysphagia, and feeding actions, on key clinical outcomes in a large cohort of stroke patients with a broad range of characteristics who were recruited from 114 hospitals in nine countries.

## Methods

### Design

This study is a predefined secondary analysis of HeadPoST, the design and main results of which are outlined in detail elsewhere.<sup>16</sup> In brief, HeadPoST was an international, multicenter, cluster cross-over, randomized controlled trial of two different head positions in 11,093 adult patients with acute stroke undertaken between March 2015 and November 2016. Patients were excluded if they had resolved

neurological symptoms consistent with a transient ischemic attack, a clear contraindication to either head position, any medical condition that would compromise adherence to the protocol or assigned head position, or refusal to participate. The main results showed there were no significant differences in disability outcomes and risks between those assigned to the lying-flat or sitting-up head positions for at least 24 h after hospital admission. The study was approved by ethics committees of participating sites. Consent was obtained from all patients or appropriate surrogates for participation, use of medical data, and central follow-up assessment.

### Assessments

After central randomization, stratified by country, centers were required to implement the first assigned intervention position until a target number of consecutive patients was reached, before crossing over to apply the other intervention to a similar number of consecutive patients. Data collection included the time, result, and action of any: dysphagia screen, defined as the use of a simple brief noninvasive bedside test, such as a drinking a sip of water; and any dysphagia assessment, defined as a more systematic examination performed by a speech pathologist/therapist or qualified clinician, according to local standard protocols. Only data pertaining to the first performed dysphagia screen/assessment were recorded. In practice, patients should have a dysphagia assessment after failing a dysphagia screen,<sup>12</sup> and the results used to inform a local treatment plan to prevent aspiration pneumonia. As this was a pragmatic study, the specific practitioner, tool, and approach to any dysphagia screen/assessment were not specified in the protocol. Moreover, the study protocol allowed some flexibility in the assigned head position: to address any potential investigator concerns over harms, those patients allocated to the lying-flat position could be turned to their side; and patients assigned to either head position could have this interrupted for short intervals ( $\leq 3$  nonconsecutive periods for  $< 30$  min) for feeding or mobility over the required 24 h of applying the intervention, if it was considered necessary.

## Outcome

The primary outcome for these analyses was pneumonia, reported as a serious adverse event, and classified according to the following predefined criteria<sup>17</sup>: “definite” pneumonia included  $\geq 3$  features of new or worsening cough, increased respiratory rate, oxygen desaturation, fever  $>38^{\circ}\text{C}$ , leukocytosis or leukopenia, and purulent secretions, rales or bronchial breath sounds over the chest together with positive radiological abnormalities (patchy infiltration, lobar consolidation, or pleural effusion); “probable” pneumonia was defined as  $\geq 3$  of the listed features but without any radiological abnormalities; and “uncertain” pneumonia was  $< 3$  features with or without an abnormal X-ray. The secondary outcome was death or disability, defined as scores 3–6 on the modified Rankin scale (mRS) on blinded assessment at 90 days postrandomization.

## Statistical analysis

Both individual and hospital baseline characteristics were assessed in univariate analyses. Predictors of dysphagia screening (or assessment) and the outcomes of interest were determined by chi-square test for categorical variables, t-test for approximately normally distributed variables, and Wilcoxon rank-sum test for skewed continuous variables. Variables identified with  $P$  values  $< 0.2$  were included in multivariable models. All potentially significant predictors were included in multilevel logistic regression models to estimate associations (Supplemental Tables S1 and S2). The primary analyses were the associations between the use of a dysphagia screen (as a quality of stroke care performance measure, yes vs. no) and its result (fail vs. pass) on the outcomes of pneumonia and death or disability (mRS: 3–6), independent of having a dysphagia assessment. Secondary analyses were for associations of a dysphagia assessment according to dysphagia screen status (unscreened, pass, and fail) and clinical outcomes. A complete case data set was used to build models for analyzing each of the association. The term “unadjusted” was used in an initial, binomial logistic regression, hierarchical mixed model, where adjustment was made for the study design with fixed effects of head position (lying-flat vs. sitting-up) and cross-over period, and random effects of cluster and interaction between cluster and cross-over period. Sequential multilevel models were then “adjusted,” first for region of recruitment and then with the addition of prespecified baseline covariates and hospital characteristics. A further analysis was conducted to explore the influence of feeding restrictions on clinical outcomes in patients who failed either screening or assessment. Any interactions between significant variables and dysphagia screen/assessment were checked in each level of the

models, and only those that were significant ( $P < 0.01$ ) were included in the final model. Associations between exposures and outcomes were assessed across the predefined subgroups of the main trial. Multiple imputations were used for sensitivity analysis due to 12% missing data on 90-day clinical outcome. Ten imputed data sets were generated and the odds ratios (ORs) were pooled from the imputation analysis. Data are reported with OR and 95% confidence intervals (CIs), and a standard level of significance was used ( $P < 0.05$ ). No adjustments were made for multiplicity or missing data. All analyses were performed with SAS Software version 9.3 (SAS Institute, Cary, NC).

## Results

### Frequency and time of dysphagia screen and assessment

Among 11,093 HeadPoST participants, there were 15 patients without any details on the use of dysphagia screening or assessment. Overall, there were 8784 (79.2%) and 3917 (35.3%) patients who had a screen and assessment for dysphagia, respectively, but the frequency and timing for each varied significantly across regions (Table 1). Frequency of dysphagia screening was low in China (69.2%) and South America (61.5%) compared to Australia/UK (91.4%) and India/Sri Lanka (87.0%). Conversely, the frequency of dysphagia assessment was highest in South America (62.3%) compared to the other regions (range, 23.3%–35.5%). Overall, median times from admission to dysphagia screen and assessment were 2.2 h (interquartile range (IQR) = 0.8–6.3) and 12.5 h (IQR = 1.8–28.0), respectively, but this varied from approximately 1 to 38 h across regions, being shortest in China and longest in South America (Table 1). The majority of dysphagia assessments were undertaken subsequent to dysphagia screening; only 3% had an assessment recorded before a dysphagia screen.

### Baseline and hospital characteristics by screening and assessment

Patients without a dysphagia screen were younger, had greater premorbid disability, and more severe neurological impairment at the time of presentation (Supplemental Table S3). At hospital level, patients from hospitals with a stroke unit, guidelines for acute stroke treatment, local special pathways from stroke care, local protocols for swallow dysfunction, and speech pathologists were more likely to receive screening (Supplemental Table S4). In comparison, in hospital with available protocol for swallow dysfunction, neurologists, dysphagia specialist nurses, and speech

**Table 1.** Frequency and timing of dysphagia screening and assessment in 11,093 stroke patients, by region of recruitment

Region	Dysphagia screen performed			Dysphagia assessment performed		
	N (%)	P value <sup>a</sup>	Time from hospital arrival Median (IQR), hours	N (%)	P value <sup>a</sup>	Time from hospital arrival Median (IQR), hours
Overall (N = 11,093)	8784 (79.2)		2.2 (0.8–6.3)	3917 (35.3)		12.5 (1.8–28.0)
Australia/UK (N = 4761)	4338 (91.4)	<0.0001	2.6 (1.1–5.7)	1684 (35.5)	<0.0001	20.0 (6.2–34.1)
China <sup>c</sup> (N = 4652)	3218 (69.2)		1.2 (0.5–3.4)	1488 (32.0)		1.4 (0.7–4.4)
India/Sri Lanka (N=770)	670 (87.0)		6.5 (1.5–19.0)	179 (23.3)		19.0 (6.8–48.0)
South America (N = 910)	558 (61.5)		26.7 (12.8–46.6)	566 (62.3)		37.5 (20.9–54.2)

IQR: interquartile range.

<sup>a</sup>P value obtained from Chi-square test.

<sup>b</sup>P value obtained from Mann–Whitney Test (Wilcoxon rank-sum test).

<sup>c</sup>Includes Taiwan.

pathologists, patients were more likely to have further dysphagia assessment (Supplemental Table S5).

### Results of dysphagia screen and further assessment

Overall, 22.8% (2004/8784) of patients failed a dysphagia screen (Table 2; Supplemental Figure S1). Compared to those who passed, dysphagia screen-fail patients were significantly older, with greater premorbid disability, cardiovascular disease and chronic obstructive pulmonary disease, and more severe baseline neurological impairment (Table 2). Of the 6778 patients who passed the dysphagia screen, 1775 (26.2%) proceeded to

a dysphagia assessment, which was passed by the great majority (96.1%). There were 2292 patients who did not have a dysphagia screen, of whom 739 (32.2%) proceeded to a dysphagia assessment (Supplemental Figure S1). They were older, more often female, with greater premorbid dependency and more severe baseline neurological impairment without coma, and more often placed on a feeding restriction regime, compared to those without a dysphagia assessment (Supplemental Table S6). Of the 2003 dysphagia screen-fail patients, there were 1402 (70.0%) who proceeded to a dysphagia assessment; they tended to have milder neurological impairment compared to the 601 patient who did not have a dysphagia assessment (Supplemental Table S7).

**Table 2.** Baseline characteristics of patients, by result of dysphagia screen

Baseline characteristics	Pass N = 6778	Fail N = 2004	P value
Age, years	66.9 ± 13.6	72.5 ± 14.1	<0.0001
Male	4215 (62.2)	1062 (53.0)	<0.0001
Pathological subtype			
Acute ischemic stroke	5749 (85.0)	1715 (86.0)	<0.0001
Intracerebral hemorrhage	492 (7.3)	211 (10.6)	
Uncertain	523 (7.7)	69 (3.5)	
GCS score	15 (15–15)	14 (11–15)	<0.0001
Severe (3–8)	67 (1.0)	117 (5.8)	<0.0001
NIHSS score	4 (2–6)	11 (6–18)	<0.0001
Severe ≥ 15	258 (3.8)	705 (35.2)	<0.0001
Pre-stroke mRS score			<0.0001
Independent (0–1)	5504 (81.2)	1474 (73.6)	
Mild disability but independent (2)	676 (10.0)	206 (10.3)	
Disabled (3–5)	590 (8.7)	317 (15.8)	
Prior cardiovascular disease <sup>a</sup>	3373 (49.8)	1137 (56.7)	<0.0001
Prior COPD	238 (3.5)	91 (4.6)	0.030
Time to screen, hours	2.0 (0.8–5.8)	2.7 (1.0–10.5)	<0.0001
>24 h	405 (6.5)	262 (14.0)	<0.0001
Feeding restrictions	750 (11.2)	1681 (84.1)	<0.0001

Note: Data are n (%), mean ± SD, or median (interquartile range). GCS: Glasgow coma scale; NIHSS: National Institute of Health Stroke Scale; COPD: chronic obstructive pulmonary disease; mRS: modified Rankin scale.

<sup>a</sup>Includes history of heart disease, stroke, or diabetes mellitus.



Patients who neither had a screen nor assessment were younger, had lower NIHSS scores, were less dependent, and free of prior medical history, but with higher GCS scores at baseline (Supplemental Table S8).

### Pneumonia outcome

Overall, 362 (3.3%) patients developed pneumonia, but the frequency varied significantly across regions and according to the use and results of a dysphagia screening and assessment (Supplemental Table S9). In particular, the frequency of pneumonia was higher in those who had dysphagia screen (or assessment), and especially in those who failed, and it was also associated with longer times to having a dysphagia screen (and assessment) (Supplemental Tables S9 and S10).

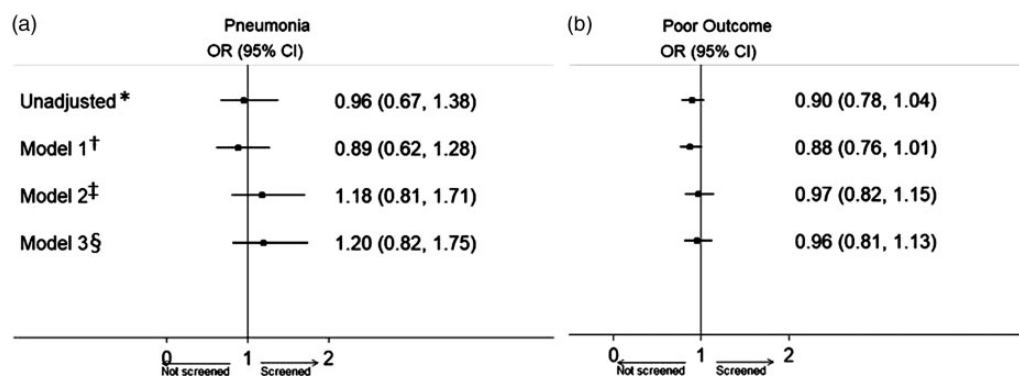
In multivariable analysis adjusted both individual and hospital level of characteristics, there was no association between the use of dysphagia screen and pneumonia (adjusted odds ratio (aOR)=1.20, 95% CI=0.82–1.75; Figure 1(a)). However, compared to those who passed a dysphagia screen, screen-fail patients had a significantly higher risk of pneumonia (1.5% vs. 10.0%; aOR = 3.00, 95% CI = 2.19–4.10) (Supplemental Table S9; Figure 2(a), Model 2). Similarly, there was no association between the use of dysphagia assessment and risk of pneumonia (Supplemental Figure S2(A)).

### Death and disability at 90-day outcome

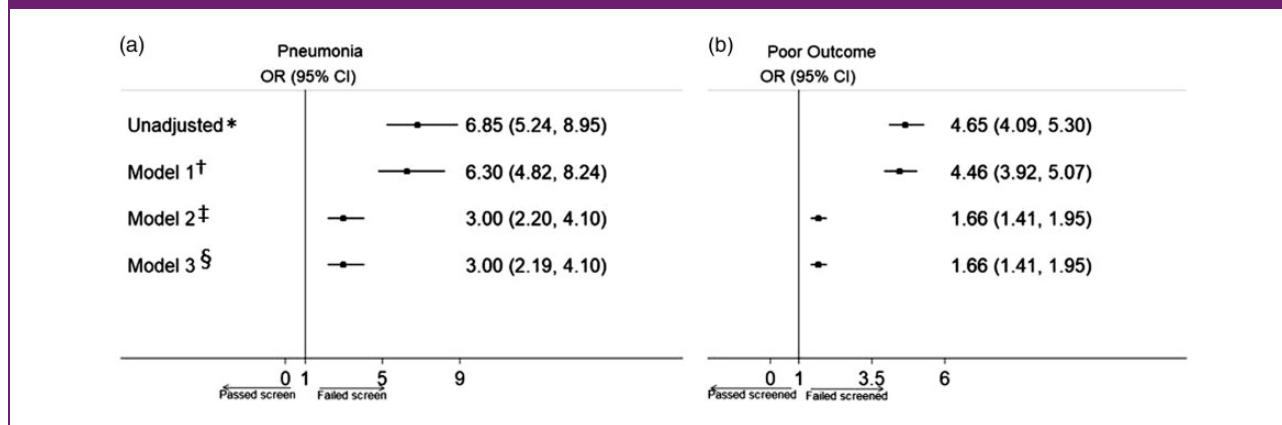
There were 12% (1345/11,093) of patients with missing 90-day clinical outcome data, who were younger and with greater premorbid disability compared to those with complete data (Supplemental Table S12). There was no association between the use of dysphagia screen itself and poor clinical outcome (death or disability) (aOR=0.96, 95% CI=0.81–1.13; Figure 1(b)). However, there was a significant association between those who failed compared to those who passed a dysphagia screen (68.1% vs. 30.8%,  $P < 0.0001$ ; aOR = 1.66, 95% CI = 1.41–2.95; Supplemental Table S9 and Figure 2(b)). Compared to patients who did not have a dysphagia assessment, those who did had a higher risk of poor outcome (47.5% vs. 34.6%,  $P < 0.0001$ ; Supplemental Table S9). There was a significant association between dysphagia assessment and poor outcome in patients who passed a dysphagia screen (aOR = 1.39, 95% CI = 1.14–1.69; Supplemental Figure S2(B)). The significance of this association varied by region (Supplemental Figure S3). Failing a dysphagia assessment was significantly associated with increased risks of pneumonia (aOR = 3.04, 95% CI = 2.11–4.39) and poor outcome (aOR = 2.22, 95% CI = 1.76–2.80; Supplemental Figure S4).

**Figure 1.** Outcomes by the use of dysphagia. Poor outcome refers to death or disability (scores 3–6 on the mRS) at 90 days.

\*Unadjusted refers to adjustment for study design features in a mixed logistic regression model with fixed period, fixed head position treatment, random cluster, and random cluster cross-over period. †Model 1 (country level) includes adjustment for region of recruitment (Australia and UK, China includes Taiwan, India and Sri Lanka, and South America). ‡Model 2 (individual level) for analysis includes variables in model 1 and age as continuous, sex, premorbid function (mRS scores: 0–1 as independent; 2 as mild disability but independent; 3–5 as disabled), NIHSS (National Institutes of Health Stroke Scale) as continuous, stroke type (acute ischemic, intracerebral hemorrhage, or uncertain), past history of cardiovascular disease (heart disease, diabetes mellitus, or stroke), past history of chronic obstructive pulmonary disease, and current smoker. §Model 3 (hospital level) for (a) further adjusted number of stroke patients admitted annually, academic hospital, location of hospital, local protocol for swallow dysfunction, available of neurologists, dysphagia specialist nurses, and speech pathologists. For (b) further adjusted present of dedicated stroke unit and guidelines for acute treatment of stroke care. OR: odds ratio; CI: confidence interval.



**Figure 2.** Outcomes by results of dysphagia screen. Poor outcome refers to death or disability (scores 3–6 on the mRS) at 90 days. \*Unadjusted refers to adjustment for study design features in a mixed logistic regression model with fixed period, fixed head position treatment, random cluster, and random cluster cross-over period. †Model 1 (country level) includes adjustment for region of recruitment (Australia and UK, China includes Taiwan, India and Sri Lanka, and South America). ‡Model 2 (individual level) for analysis includes variables in model 1 and age as continuous, sex, pre-morbid function (mRS scores: 0–1 as independent; 2 as mild disability but independent; 3–5 as disabled), NIHSS (National Institutes of Health Stroke Scale) as continuous, stroke type (acute ischemic, intracerebral hemorrhage, or uncertain, past history of cardiovascular disease (heart disease, diabetes mellitus, or stroke), past history of chronic obstructive pulmonary disease, and current smoker status. §Model 3 (hospital level) for (a) further adjusted number of stroke patients admitted annually, academic hospital, location of hospital, local protocol for swallow dysfunction, available of neurologists, dysphagia specialist nurses, and speech pathologists; and (b) further adjusted present of dedicated stroke unit and guidelines for acute treatment of stroke care. OR: odds ratio; CI: confidence interval.



### Subgroup analysis

There was consistency in the relation between the use of dysphagia screening and pneumonia and poor outcome across patient subgroups (Supplemental Figures S5 and S6). Although there was no influence of head positioning on pneumonia, there was a lower risk of poor outcome in patients who were allocated to the lying-flat position (aOR = 0.88, 95% CI = 0.77–1.00; Supplemental Figure S7). Similarly, there was no heterogeneity across subgroups in the results according to either a pass or fail on a dysphagia screen on pneumonia and poor outcome (Supplemental Figures S8 and S9). The effect of failing dysphagia screen is also consistent in patients with different head positions of the patients (Supplemental Figure S10(B)).

### Influence of feeding restrictions

Patients who failed dysphagia screen were more likely to be placed on feeding restrictions compared to those passed (84.1% vs. 11.2%,  $P < 0.0001$ ; Table 2). The incidence of pneumonia and poor outcome were more in patients had feeding restrictions compared to those did not (9.5% vs. 0.9%,  $P < 0.0001$  and 67.6% vs. 28.7%,  $P < 0.0001$ , respectively; Supplemental Table S9). In the stratified analysis, subsequent use of feeding restrictions were related to higher risk of pneumonia,

especially for patients failed a dysphagia screen or dysphagia assessment (11.9% vs. 1.8%,  $P < 0.0001$ ; Supplemental Table S12 and aOR = 4.06, 95% CI = 1.72–9.54; Supplemental Figure S11(A)). There was considerable regional variation in the feeding regimes provided to patients who failed a dysphagia screen, although the use of a nasogastric tube was the most common method (Supplemental Table S13).

### Sensitivity analysis

With adjustment of both baseline and hospital characteristics, and the use of multiple imputation for missing outcome, the results were similar to our primary analyses (Supplemental Figures S12 and S13).

### Discussion

In this large multinational study, we found no clear association between the use of a simple screen or detailed assessment of dysphagia regardless of the test results, as a quality of care measure, and either pneumonia or poor functional outcome after acute stroke. However, patients who failed either of these tests were clearly at increased risks of these adverse outcomes. The risk of pneumonia varied widely across regions and was related to the timing of dysphagia screen and assessment.



The overall 3% frequency of pneumonia in our study was lower than reported in many other studies,<sup>15,17,18</sup> but similar to that of a large registry study.<sup>19</sup> The patients in our study were likely subjected to rigorous assessment and management of dysphagia by virtue of their participation in a clinical trial, where pneumonia was an expected adverse outcome. However, it is likely that selection bias and variable definitions influence the detection and reporting of pneumonia across studies. As in a real-life registry-based study, our protocol included consecutive patients with acute stroke. However, we did not specify any particular procedures for investigators to follow, and the assessment and management of dysphagia were performed according to local protocols.

Dysphagia screening, assessment, and management vary across countries in the context of specifications and interpretation of guidelines for stroke management.<sup>9–12</sup> In our study, the median times from admission to screening and assessing dysphagia in Australia and UK were similar to another study conducted during 2013–2014.<sup>15</sup> In China, dysphagia screens and assessments were performed at approximately the same time, although guidelines in this country make no specific recommendation regarding when or how to conduct them.<sup>10</sup> Another UK stroke registry study has shown an association between delayed screen and assessment of dysphagia and increased risk of pneumonia.<sup>15</sup> In our study, dysphagia screening was most delayed in South America, which may in part explain the higher rate of pneumonia (6.5%) there compared to other regions.

We found no evidence of an association between the use of dysphagia screening and the risk of adverse outcomes. Another cluster clinical trial also showed no association of dysphagia screening and risk of pneumonia but rather a relation with lower risk of death and severe disability,<sup>13</sup> which may have been due to an effect of other components of the care bundle targeting fever and hyperglycemia. However, our study shows that patients who fail a dysphagia screen are at increased risk of pneumonia and poor clinical outcome, which is consistent with other studies.<sup>13,14,20,21</sup> The majority (84%) of patients who failed dysphagia screening were placed on feeding restrictions. These data are consistent with guideline recommendation for routine use of dysphagia screening in patients with acute stroke, with subsequent use of feeding restrictions or early dysphagia treatment in those who fail.

Another finding from our study was the increased risk of pneumonia or poor clinical outcome in “screen-fail” or “assessment-fail” patients and particularly those placed on restricted feeding. We assume that it might be related to the mixed methods of feeding practices we measured, some of which might introduce adverse effect. A previous retrospective study has

shown that the presence of nasogastric feeding was associated with reduced functional recovery and increased mortality after stroke.<sup>22</sup> However, there is randomized evidence of early use of nasogastric tubes in dysphagia patients and lower risk of adverse outcomes,<sup>22</sup> while dysphagia therapy programs appear to reduce the risk of pneumonia in the acute phase of stroke.<sup>8</sup> We were unable to assess for any association of individual feeding actions on outcomes, as patients were often on multiple feeding restriction regimes. It is likely that analyses are complicated by indication bias, where high-risk patients receive the intervention of interest, as our stratified analyses showed that patients who passed a dysphagia screen but subsequently had a dysphagia assessment had higher risks of poor clinical outcome compared to other patients. Further studies concentrate on evaluating different methods of feeding actions subsequently after failing dysphagia screen will be essential to improve patient’s clinical outcomes.

In our study, it is interesting to note that a quarter of patients passed a dysphagia screen yet went on to also receive a dysphagia assessment, and majority (96%) of them were reconfirmed as passed. Some of them may have deteriorated after screen and therefore required further assessment; however, many may not have. This duplicated assessing for dysphagia was also noted in the QASC (Quality in Acute Stroke Care) trial, with similar proportion (97%) also deemed safe to swallow by the speech pathologist.<sup>13</sup> Further examination of the reason for double swallowing surveillance in stroke patients is warranted. From another perspective, such inefficient duplicated assessment is costly and time-consuming, especially for some low-resourced settings. We recommend patients who had passed a screen and with no further deterioration should not be reviewed by a health professional.

We acknowledge several limitations, including the inability to prespecify (or standardize) the methods of screening and assessment used across participating centers. As such, we were unable to provide any details regarding the type and quality of screening and assessments approaches undertaken for dysphagia and for other aspects of background management. Another factor is that participants in our study are likely to have received a greater attention to standard of care processes including dysphagia monitoring and feeding actions because of the specific nature of our clinical trial assessing the influence of head positioning on stroke outcomes. However, this was a prespecified secondary analysis of a large international trial based on local protocol by regions. Our findings reflect usual practice according to current guideline recommendations across countries. A major strength of our study is the large sample size of patients with a broad range of characteristics from a range of health-care

settings with variable resourcing levels. Moreover, selection bias was likely reduced compared to most conventional individual patient randomized clinical trials, by the inclusion of consecutive stroke patients within a cluster cross-over design. We also considered the influences of institutional factors in multilevel models that included adjustment for various hospital characteristics.

## Conclusions

The utility of dysphagia screening and assessment varies according to countries and local guidelines. Failing a dysphagia screen was associated with higher risk of pneumonia and poor outcome from acute stroke. Subsequent feeding restrictions are related to increased risk of adverse outcomes. Further randomized controlled trials that evaluating the effects of feeding actions are urgent to improve patient's outcome.

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## Author contributions

CSA, HA, MLH, CW, and LS contributed to the concept and rationale for the study. MO did the statistical analysis with assist from KR. MO wrote the first draft of manuscript with input from CSA. All authors have seen and approved the final version of the manuscript for publication.


## Declaration of conflicting interests


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## ORCID iD

Maree L Hackett  <https://orcid.org/0000-0003-1211-9087>

Verónica V Olavarria  <https://orcid.org/0000-0003-4300-9921>

Sandy Middleton  <https://orcid.org/0000-0002-7201-4394>

Craig S Anderson  <https://orcid.org/0000-0002-7248-4863>

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