



# Stress modelling using cellular automata for block caving applications

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## ABSTRACT

In underground mining, rock mass stress is commonly modeled as a continuum. However, in block cave mining discrete modelling should be used to properly represent the stress over the extraction level in the broken column where there are high rock columns of large rock fragments. Unfortunately, we lack methods that use discrete modelling of stress in the broken column at block caving scale. In this work, we propose a vertical stress model of granular material to simulate static and dynamic flow conditions. The model is developed within a gravity flow simulator based on cellular automata to simulate the scale of the problem and flow conditions. The vertical stress model proposed is calibrated through four experimental models for the static condition. Then, based on the results from experimental testing, the dynamic condition is calibrated and compared with different flow scenarios. The results show that the proposed model can correctly simulate the vertical stresses in static conditions as well as dynamic conditions under the different flow setups tested. This vertical stress model with its flow simulator based on cellular automata has the potential to be applied at block caving scale once calibration parameters are defined.

## 1. Introduction and literature review

Studies of stress in granular material have been carried out for many years due to this material's importance in many industries (i.e. Food, Pharmacy, Mining<sup>1–3</sup>). In block cave mining, the granular material is composed of large rock fragments within a broken column of hundreds of meters. Thus, a soil mechanics approach has been proposed to estimate stress in the caved column,<sup>4–7</sup> using the corrected classic Janssen approach<sup>8</sup> as an initial stress estimation. Nevertheless, the stress modelling of a broken column is more complex when different rock types, draw strategies, and complex cave geometries have to be considered.

A common tool used to study complex granular media problems is the distinct element method (DEM;<sup>9</sup>), frequently used in stress studies (e.g.<sup>10–17</sup>). DEM has also been used in mining studies.<sup>18–21</sup> However, in cave mining studies,<sup>19–21</sup> the problems have been limited to a drawbell, a short column height and simplified shape fragments because of the problem of scale. As an example in Ref. 21, a draw simulation of a cylindrical model of 0.7 m height and 0.34 m diameter takes between a week and a month to complete. Other numerical tools are used for large scale problems that quickly simulate the ore flow without considering dynamic interaction between particles, such as PCBC,<sup>22</sup> CAVESIM,<sup>23</sup>

FLOWSIM,<sup>24</sup> and REBOP.<sup>5</sup>

Stress has also been measured in the extraction level of block cave mines<sup>25–27</sup> with different techniques for field stress measurement such as stress cells, over-coring, the Flat Jack test, and hydraulic fracturing.<sup>28,29</sup> Rojas et al.<sup>25</sup> used stress cells in the Esmeralda mine (within the El Teniente mine) and identified the need for production pillar rehabilitation after abutment stress to provide support during ore extraction. Xia et al.<sup>27</sup> also studied the stability of the extraction level before and after undercutting in the Tongkaungyu mine using over-coring. In this mine, the stability problems mainly occurred during the undercutting, but stability problems were also reported during ore extraction. Additionally, indirect techniques have been applied. Martin et al.<sup>30</sup> proposed stress model calibration based on the drift damage and the depth of failure, while Gonzales et al.<sup>31</sup> used the damage observed in borehole cameras to model stress. In the Deep Ore Zone mine, they measured production drift convergence,<sup>32</sup> where stress concentration during ore extraction was observed. Another indirect technique was proposed by Xia et al.<sup>33</sup> to estimate stress in the extraction level through the thin plate theory.

During ore extraction induced stress is, directly or indirectly, observed in the extraction level. Moreover, damage from stress has been reported in this level.<sup>6,34,35</sup> Stress measurement is useful during mining; however, it would also be useful to estimate stress in previous stages.

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List of symbols			
2D	Two dimensions	$H$	Model height
3D	Three dimensions	$h_i$	Height of movement zone
$\beta_i$	Weight distribution function	IMZ	Isolated movement zone
$\varphi_w$	Friction wall [°]	MZ	Movement zone
$\sigma_v$	vertical stress	$n$	number of cells in vertical axis
$\sigma_{v0}$	Initial vertical stress	$P_i$	Weight
$\gamma_i$	specific gravity	$P_T$	Total weight
CA	Cellular automata	$P_V$	vertical weight transmitted
DEM	Distinct element method	$R$	Relaxation parameter
$d_{ij}$	distance between cell $i$ and $j$	$s$	Stress model parameter (in stagnant and/or movement zone)
$B$	Stress model parameter in stagnant zone	$V$	Cell volume
$B_{min}$	Stress model parameter in movement zone	$W_T$	Stagnant zone width
		$W_i$	Movement zone width

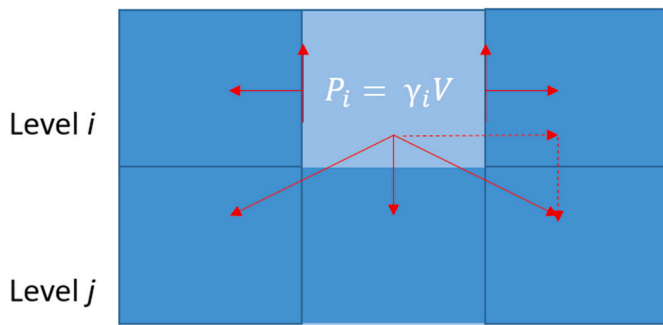


Fig. 1. Profile view (2D) of weight transmission in a cell lattice, the red arrows represent the force transmissions of a cell. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

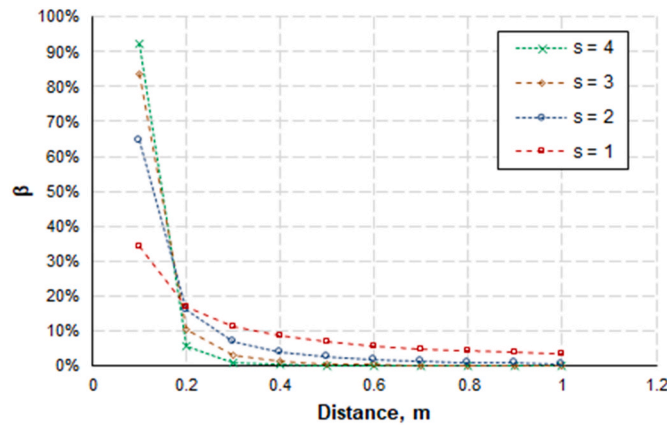


Fig. 2. Effect of  $s$  on the force distribution parameter.

DEM are not preferable yet for full block cave scales because of the time required for simulation. Thus, in this work we propose to incorporate a vertical stress model in a gravity flow simulator based on cellular automata (CA) that will be able to quickly model vertical stress in high draw columns under different draw scenarios.

## 2. Stochastic stress model through cellular automata

Granular media can be described by stochastic interactions<sup>36</sup> mainly due to their random shapes, sizes, contact points and contact forces.<sup>37</sup> However, this randomness follows a degree of regularity, which has

allowed stochastic models of granular material flow<sup>36,38–41</sup> and stress in granular material<sup>42–44</sup> to be developed.

In mining, the stochastic rules used for gravity flow have been applied through Cellular Automata (CA).<sup>23,24,45–52</sup> Gravity flow modelling with CA is based on the void diffusion mechanism.<sup>53</sup> This mechanism has been identified in Block and Sublevel caving mines,<sup>54–59</sup> including in fine material fragmentation (<0.4 mm<sup>60</sup>). CA have also been used for a wide variety of complex matter in models such as rough annular shear cells, lattice-gas, lattice-grain, hybrid models, movable CA, elasto-plastic rock failure, Continuous-discontinuous CA and.<sup>61–69</sup>

In granular materials, the stresses are transmitted by chain forces due to the media inhomogeneity,<sup>42,70</sup> that generates stochastic stress distribution. Liu et al.<sup>42</sup> and Coppersmith et al.<sup>43</sup> proposed stress modelling in granular material by CA, through stochastic weight transmitted downward between particles, without considering the coordination number. Then, Hemmingsson et al.<sup>44</sup> applied force vectors to model the vertical forces transition reporting favorable results in silo and heap geometries of granular material.

In this work, we applied the weight transition through cells in a CA to simulate gravity flow in caving mines.<sup>47,52</sup> The gravity flow propagated during ore extraction was simulated using the void diffusion concept. The voids are inserted in draw points and have a probability of moving upwards and exchanging location with a non-void (granular) cell. Inversely, the weight of a (granular) cell is distributed randomly among lower cells (as shown in Fig. 1 in 2D). The weight is distributed only over non-void cells. In Fig. 1, a cell in the  $i$  level distributed its weight,  $P_i$ , over a lower cell in the  $j$  level. The weight is a function of the specific gravity,  $\gamma_i$ , and the cell volume,  $V$ . Additionally, shear forces (by friction) and horizontal forces could be considered. However, in this work only vertical force transmission was modeled. The vertical stress model was applied in a cubic lattice of cells where a cell distributed its weight over 9 lower cells (in 3D).

The vertical forces in the model are the weight components (by gravity) and the shear components (by friction). The shear components support part of the individual cell's weight. Here, we simplified the weight transmission introducing a buoyant parameter,  $E$ , that represents the weight fraction of a cell that is distributed to lower cells. Then,  $1 - E$  is the cell's weight fraction that is supported by friction. The cell's weight distributed to lower cells is represented by Eq. (1).

$$\gamma_i - \gamma_i \cdot (1 - E) = \gamma_i \cdot E \quad E = \{0, 1\} \quad (1)$$

Then, the weight of a cell in level  $j$  is its weight plus the weight fractions of the nine upper cells (in 3D; Eq. (2)).

$$P_j = \gamma_j V + E \sum_{i=1}^9 P_i \beta_i \quad (2)$$

$P_j$  is the weight of cell  $j$  and  $\beta_i$  is the weight fraction of the cell  $i$

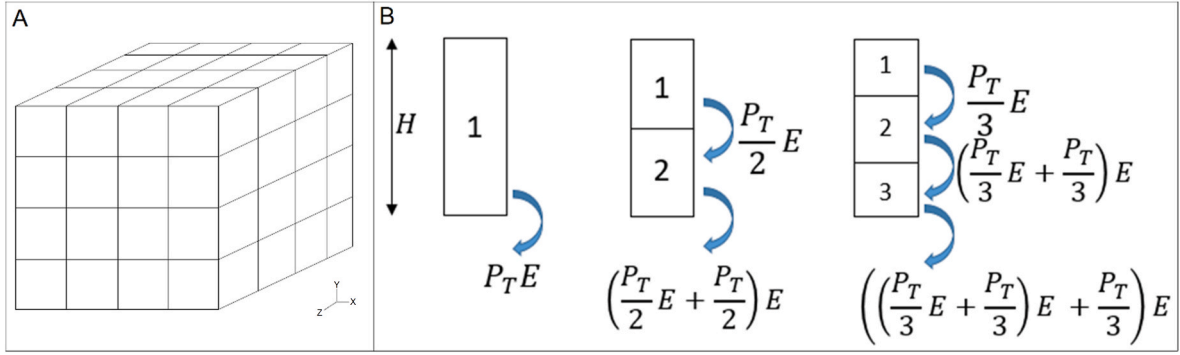


Fig. 3. A: Cubic cell lattice. B: Cumulative effect of parameter  $E$  as function of the cell lattice and model height.

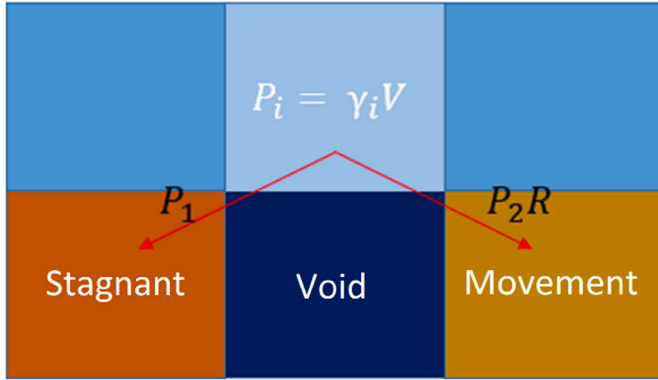


Fig. 4. Profile view (2D) of mass transmission in a six-cell arrangement with stagnant and movement zones (cells).

transmitted to cell  $j$ .  $\beta_i$  is defined by the multinomial probability distribution in Eq. (3),

$$\beta(x_j) = \frac{n!}{\prod_{j=1}^k x_j!} \prod_{j=1}^k p_j^{x_j} \quad (3)$$

where  $k = \{1, \dots, 9\}$ ,  $x_j = d_{ij}$  is the distance between cells  $i$  and  $j$ ,  $n$  is the sum of the nine distances as  $n = \sum_{j=1}^k x_j$ , and  $p_j$  are the initial probabilities of the nine cells defined here as an inverse function of the distance (Eq. (4)), similar to the void diffusion rule used in the flow simulator.<sup>47</sup>

$$p_j = \frac{\left(\frac{1}{d_{ij}}\right)^s}{\sum \left(\frac{1}{d_{ij}}\right)^s} \quad (4)$$

In Eq. (4),  $s$  is a model parameter that must be calibrated. The influence of this parameter on the force distribution ( $\beta_i$ ) is shown in Fig. 2 as a function of the distance between cells.

As can be observed in Fig. 2, the weight fraction received from upper cells decreased for larger distances between cells. The weight fraction distributed near the model boundary is omitted as was proposed by Hemmingsson et al.<sup>44</sup> because the wall friction (at the boundary) generates equal force in opposite directions. Additionally, the weight supported by nearby cells increases when  $s$  increases. Higher variability could be added to the model using a random  $s$ . However, this variability should not highly influence the mean vertical stress calculated in a level.

### 2.1. Cell lattice influence

The gravity flow simulator used a cubic cell lattice (Fig. 3A), in which the cell weight is transmitted level by level through the Y

direction applying the buoyant parameter  $E$ . Then, the cell size and the total height both influence the vertical stress transmitted to the next lower level for a specific  $E$  value. This influence is shown in Fig. 3B for a fixed height ( $H$ ). There is a cumulative effect of the  $E$  parameter when the cell weight is transmitted: the total weight,  $P_T$ , transmitted at the very bottom is decreased based on the number of cells in the vertical axis due to the parameter  $E$ .

Using the stress model, the weight calculated at the bottom,  $P_V$ , can be related to the total system weight,  $P_T$ , and to the number of cells in the vertical axis,  $n$ , as shown in Eq. (5).

$$P_V = \sum_{i=1}^n \frac{P_T}{n} E^{(i)n-\infty} P_T \ln\left(\frac{1}{1-E}\right) = P_T \frac{E}{n} \frac{1-E^n}{1-E} \quad (5)$$

### 2.2. Stress modelling during gravity flow

The proposed stress model is defined for static granular material. However, as we have seen in previous studies, vertical stress increases in the stagnant zones and decreases in the movement zones when gravity flow begins due to ore extraction.<sup>4,5,7,19</sup> One reason is that the movement zones have less bulk density,<sup>71-73</sup> decreasing the contact points between particles for force transmission. In our stress model, we introduce a relaxation parameter,  $R$  (between 0 and 1), to model the weight distribution between the movement and stagnant zones. This parameter should depend on the rock properties and system geometry. The  $R$  parameter decreases the probability of weight distribution over the movement zone when there is a cell (or more) located in the stagnant zone (Fig. 4). The weight distribution of a cell, defined by Eq. (3), over a cell in the movement zone is multiplied by  $R$  to decrease the weight transmitted over this cell. The weight distribution defined by  $\beta_i$  must sum 100% to avoid weight losses for this rule, assuming that  $P_1 + P_2 R = 100\%$  (in Fig. 4 example for 2D). The weight is distributed mainly over the stagnant zones by including this  $R$  parameter.

Additionally, the height of the model influences  $E$  (as described in section 2.1). Thus, the movement zone height also influences  $E$ . Then, we defined  $E_{min}$ , for the buoyant parameter in the movement zone that depends on the movement zone height. Finally, the parameters  $R$  and  $E_{min}$  are used to model stress under flow conditions.

### 3. Experimental methodology

Four physical models were simulated in the gravity flow simulator applying the stress model. The vertical stress measurements obtained from the physical models were then used to calibrate the parameter  $E$  in the static condition. The physical model of Castro et al.<sup>7</sup> was selected to calibrate the parameters  $E_{min}$  and  $R$  because in this model different flow scenarios were tested. Finally, the numerical model calibrated in static and dynamic conditions was compared with different flow scenarios. Python V3.7 was used in this work to build the CA stress model.

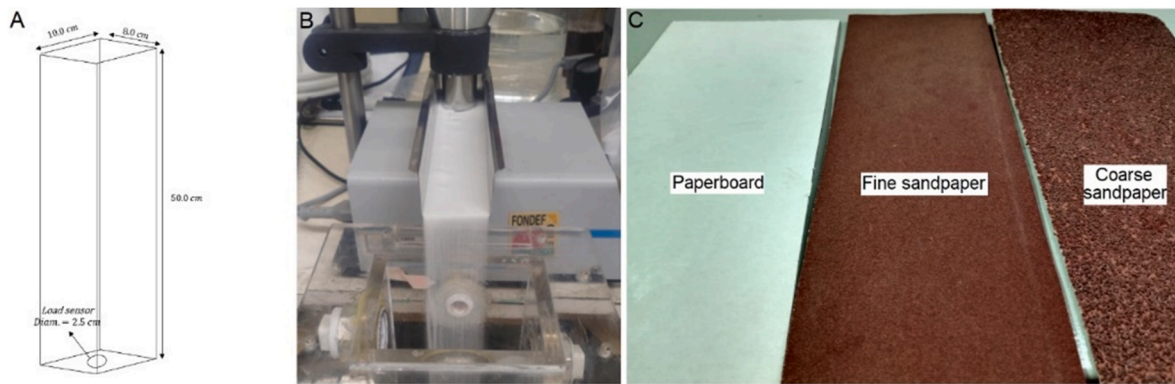


Fig. 5. A: Column model dimensions. B: Feeder system used to fill the model. C: Wall's material.

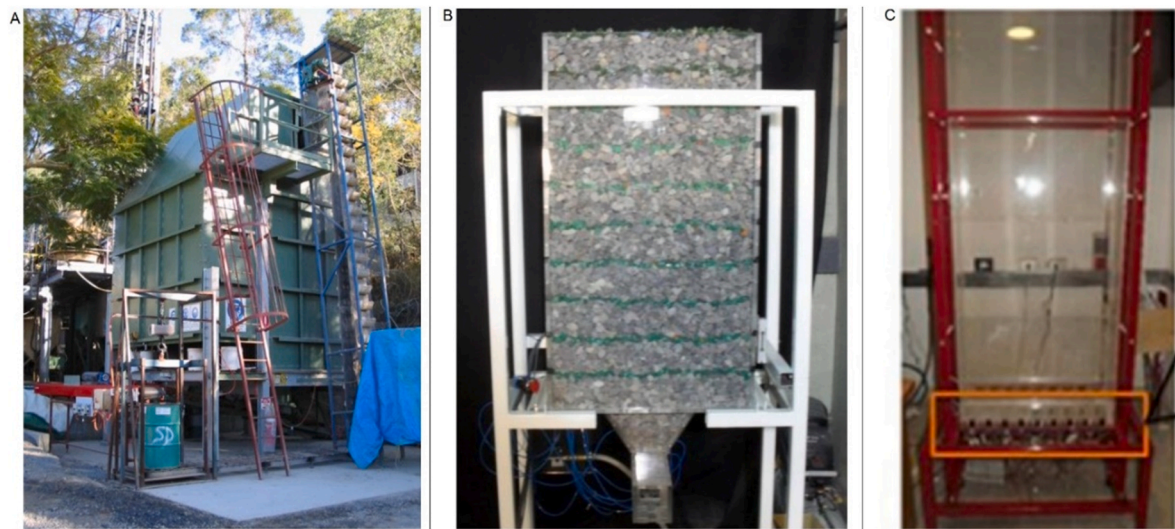


Fig. 6. Physical models used in this study. A: Castro.<sup>4</sup> B: Orellana.<sup>74</sup> C: Castro et al.<sup>7</sup>

**Table 1**  
Model dimensions.

Dimension	Castro <sup>4</sup>	Orellana <sup>74</sup>	Castro et al. <sup>7</sup>
Height [m]	2.4	1	2.5
Width [m]	3.4	0.54	0.7
Length [m]	3.3	0.35	0.23
Granular material	Gravel	Gravel	Sulphide ore

**Table 2**  
Summary of granular material properties.

Parameter	Castro <sup>4</sup>	Orellana <sup>74</sup>	Castro et al. <sup>7</sup>	Glass sphere	Gravel
Real density, kg/m <sup>3</sup>	2700	2690	2600	2500	2670
Bulk density, kg/m <sup>3</sup>	1900	1610	1420	1150	970
Particle size, mm	4-14	7-35	[0.8-11]	0.6	[2.36-4.7]
Internal friction angle, °	39	ND	39	27.2	37.9
Angle of repose, °	ND	28.9	29	21.1	40.1

ND: No data.

**Table 3**  
Friction wall angles (°) in the Seditest model.

Materials	Paperboard	Fine sandpaper	Coarse sandpaper
<b>Glass spheres</b>	19.0 ± 0	24.0 ± 0.2	31.5 ± 0.7
<b>Gravel</b>	32.3 ± 0.6	41.3 ± 2.3	42.7 ± 1.5

**Table 4**  
Vertical stress reported in physical models.

Test	Model	Mean vertical stress [kPa]	Standard deviation [kPa]
1	Castro <sup>4</sup>	32.49	15.57
2	Orellana <sup>74</sup>	8.4	6.98
3	Castro et al. <sup>7</sup>	19.65	5.58
4	Seditest gravel ( $\varphi_w = 32.3^\circ$ )	0.48	0.07
5	Seditest gravel ( $\varphi_w = 41.3^\circ$ )	0.38	0.05
6	Seditest gravel ( $\varphi_w = 42.7^\circ$ )	0.36	0.08
7	Seditest glass ( $\varphi_w = 19.0^\circ$ )	0.68	0.01
8	Seditest glass ( $\varphi_w = 24.0^\circ$ )	0.62	0.03
9	Seditest glass ( $\varphi_w = 31.5^\circ$ )	0.54	0.01

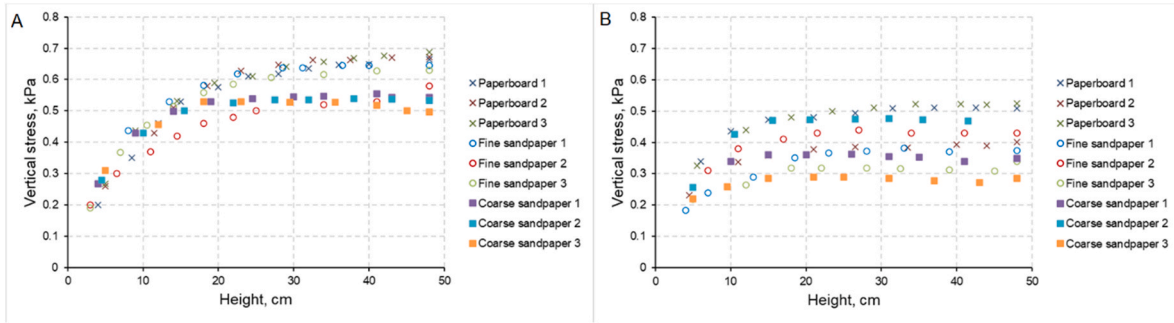


Fig. 7. Vertical stress reported in the Seditest model, 3 replications per test. A: Glass spheres and B: Gravel.

Table 5  
Numerical model calibration: static condition.

Parameter	Castro <sup>4</sup>	Orellana <sup>74</sup>	Castro et al. <sup>7</sup>	Seditest Gravel			Seditest Glass Spheres		
				$\varphi_w = 32.3^\circ$	$\varphi_w = 41.3^\circ$	$\varphi_w = 42.7^\circ$	$\varphi_w = 19^\circ$	$\varphi_w = 24^\circ$	$\varphi_w = 31.5^\circ$
Mean vertical stress (experimental)	32.49	8.63	19.65	0.48	0.38	0.36	0.68	0.62	0.54
Mean vertical stress (simulated)	32.44	8.65	19.51	0.48	0.38	0.36	0.68	0.62	0.54
Standard deviation (experimental)	15.57	6.99	5.58	0.07	0.05	0.08	0.01	0.03	0.01
Standard deviation (simulated)	15.62	6.37	5.37	0.08	0.07	0.09	0.05	0.04	0.04
E (%)	99.54	97.21	98.95	54.0	41.8	38.5	71.8	69.1	64.6
s	N <sup>8,7</sup>	N <sup>50,49</sup>	N <sup>4,3</sup>	N[2,0.1]	N[2,0.1]	N[2,0.1]	N[2,0.1]	N[2,0.1]	N[2,0.1]

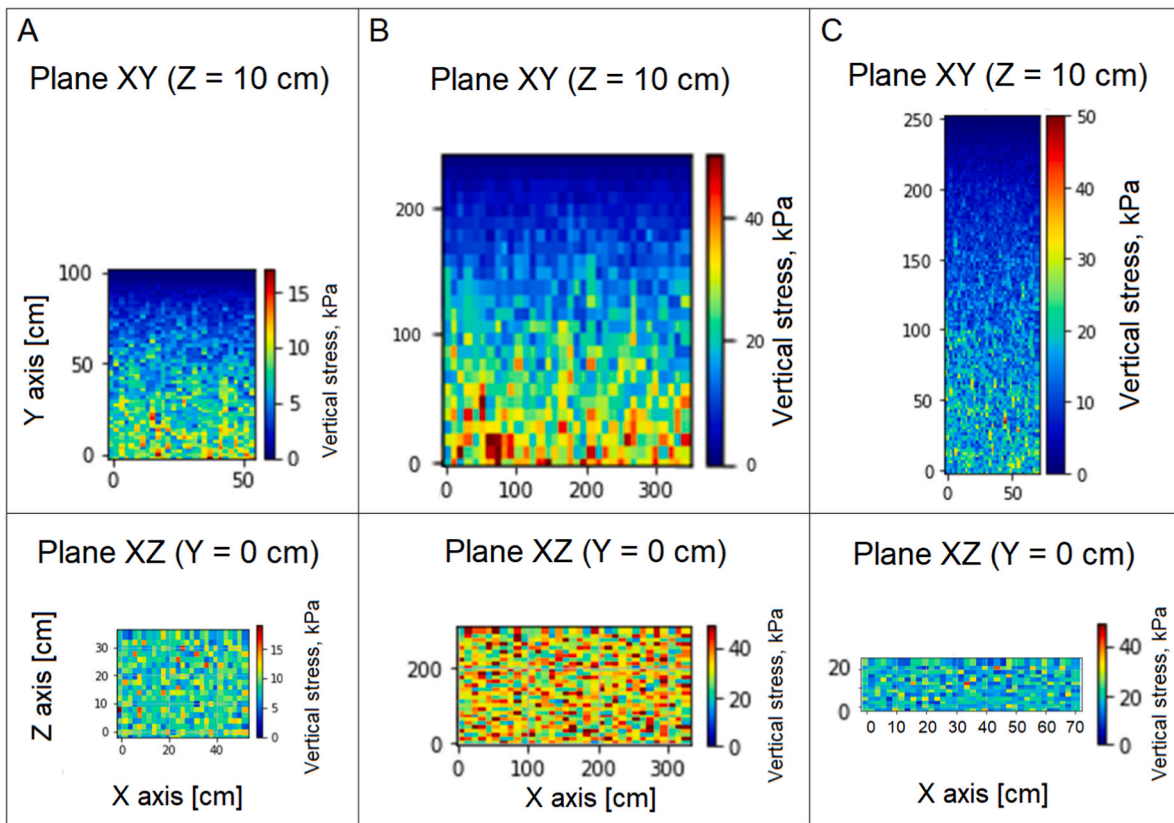


Fig. 8. Simulated vertical stress (color legend in kPa) for tests 1, 2 and 3. A: Test 1. B: Test 2. C: Test 3. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

3.1. Physical models

The data obtained from the physical models were used in this study to calibrate the stress model. Three of the physical models were selected because stress for block caving applications had previously been

measured.<sup>4,7,74</sup> Additionally, a fourth physical model (Seditest) was used in this work to vary the effect of the wall friction and material properties.

The setup of the Seditest model consists of an acrylic column shown in Fig. 5, with a height of 50 cm and 10 × 8 cm<sup>2</sup> square-cross section. At

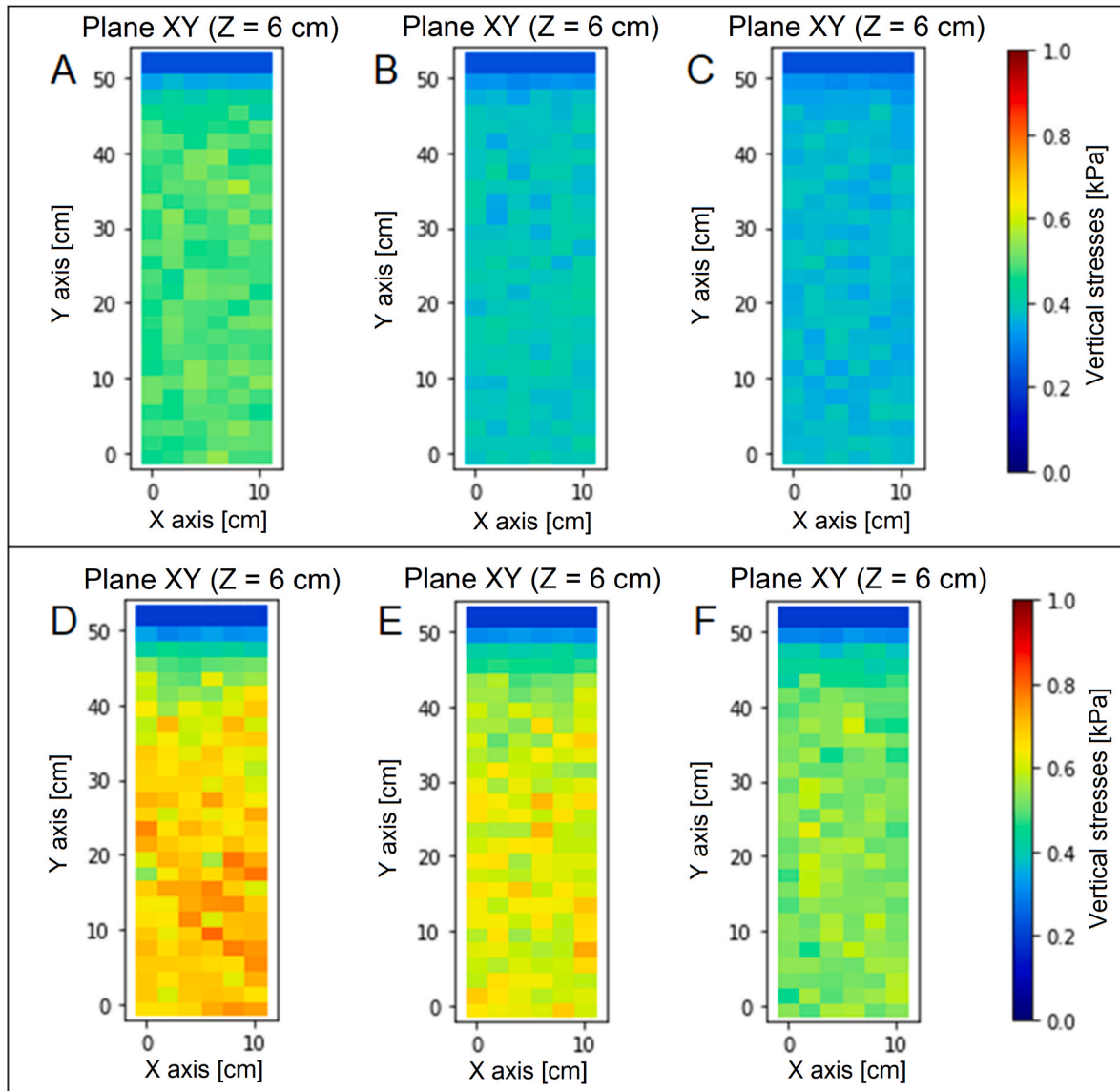


Fig. 9. Simulated vertical stress for the Seditest with gravel in A: Test 4. B: Test 5 and C: Test 6, and glass spheres in D: Test 7. E: Test 8 and F: Test 9.

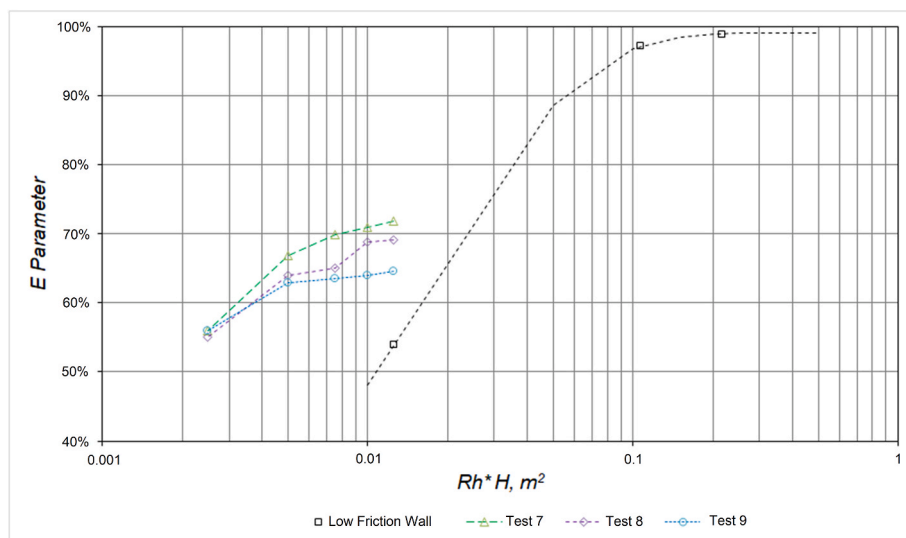


Fig. 10. Model geometry and wall-friction effect on  $E$ . The low friction wall markers correspond to the physical model of Fig. 6.

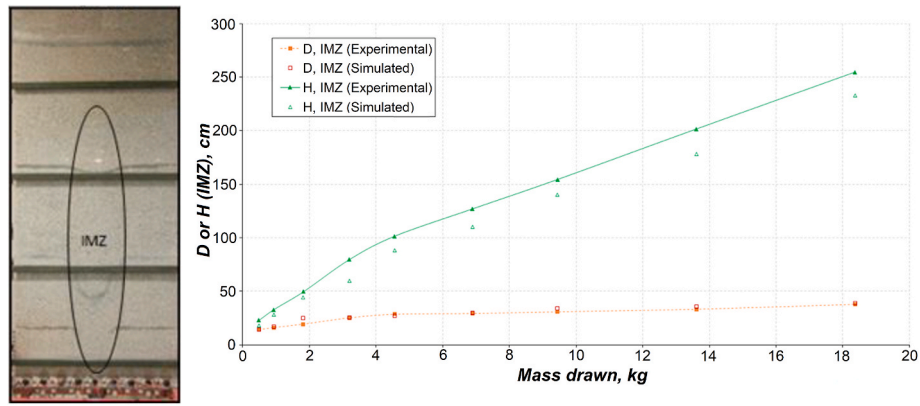


Fig. 11. Isolated extraction used to calibrate numerical parameters during flow.<sup>7</sup>

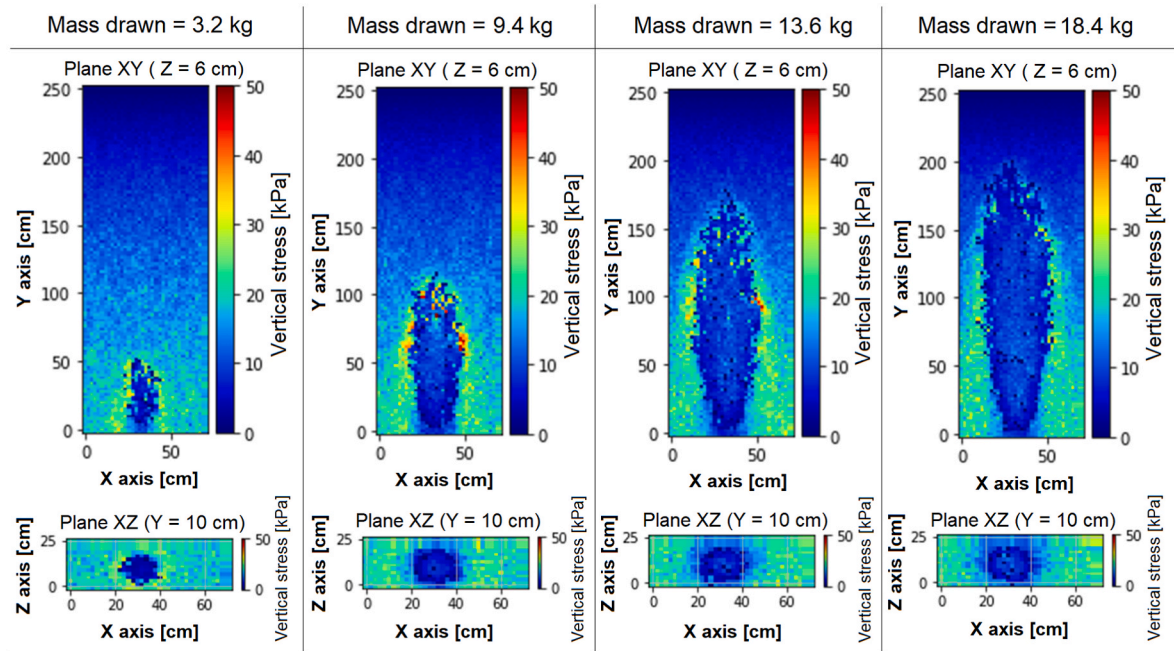


Fig. 12. CA simulation of vertical stresses during isolate draw.

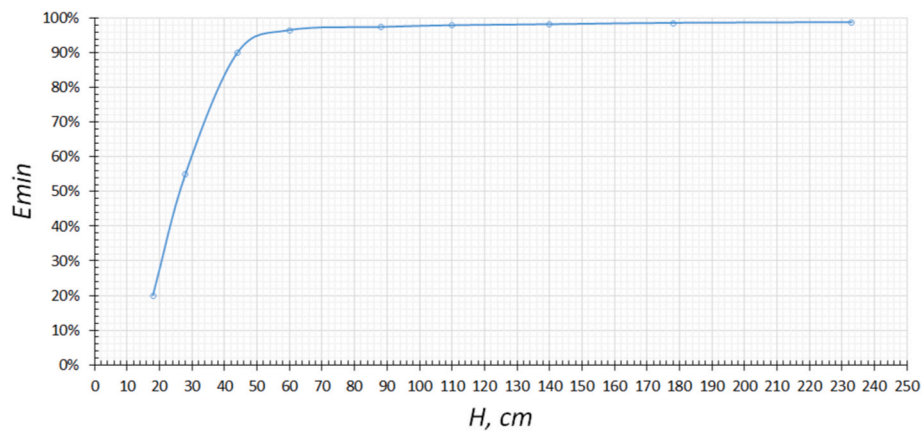


Fig. 13.  $E_{min}$  based on IMZ height obtained from isolated experiment by.<sup>7</sup>

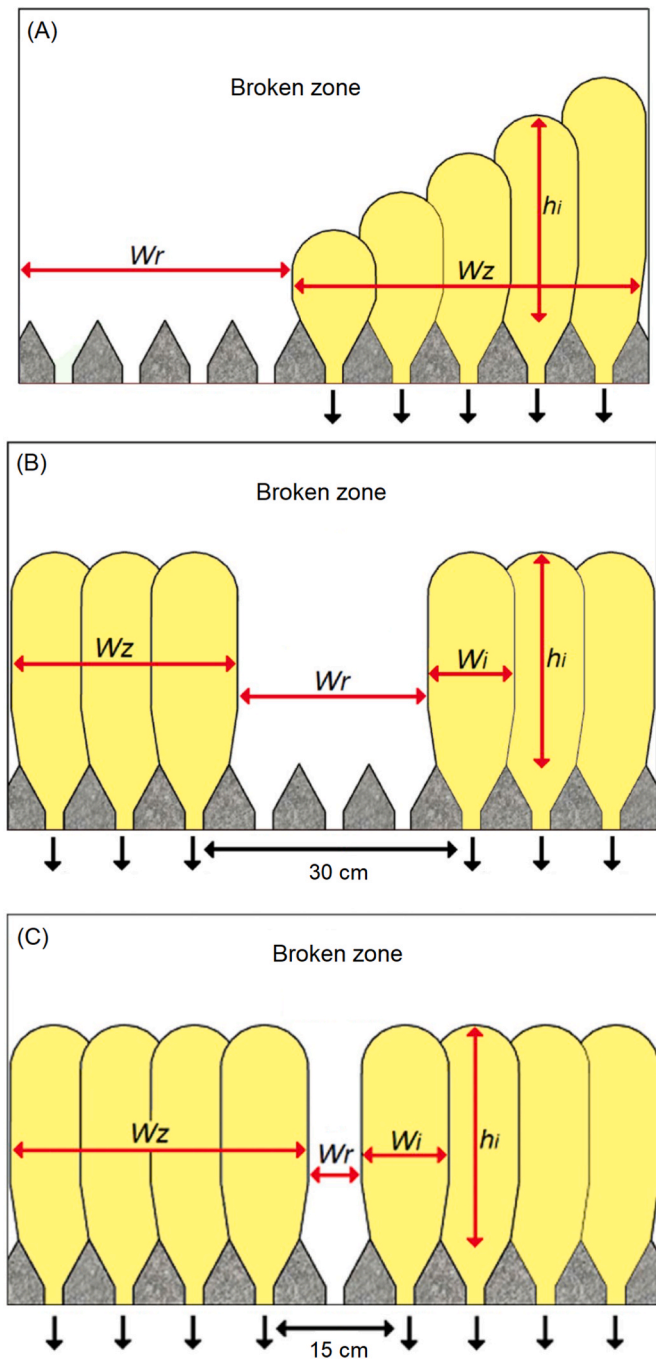


Fig. 14. Experimental setup of different draw strategies (modified from 7). A. Panel caving strategy. B: Block caving with 30 cm of pillar. C: Block caving with 15 cm of pillar.

the bottom of the column a load sensor (Danfoss MBS 4510-060G2418) is located. A feeder is used to fill the column with the granular material (Fig. 5-B). Glass spheres and gravel were used as granular material. Additionally, three materials were used in the model walls to modify the wall roughness: paperboard, a fine sandpaper, and a coarse sandpaper.

The other three physical models used to calibrate the stress model are presented in Fig. 6. In these models, the vertical stress was measured in the base around drawpoints or over drawbells. All experiments demonstrated high variability of stress measurements. Table 1 indicates the physical model dimensions and granular media used.

Table 2 indicates the material characteristics used in the models of Fig. 6 and the Seditest. In the Seditest, two granular materials were used:

glass spheres and gravel. The gravel was previously fragmented and sieved. The real density and bulk density of the material were measured.<sup>75</sup> A simple shear test using a shear box (10 × 10 cm<sup>2</sup>) was used to measure the undrained shear properties.<sup>76</sup>

The friction between the granular materials and the wall materials,  $\phi_w$ , was measured using the tilting methodology proposed by Nedderman.<sup>77</sup> It is assumed that this value is the static friction wall angle parameter for the maximum angle before sliding. Table 3 shows the friction wall angle obtained for the material used in the Seditest model. The friction wall angles increase as wall roughness increases for all granular materials tested as was expected. The friction wall angles were also reported to be 25°<sup>7</sup> and 19.7°<sup>74</sup> respectively. The friction angle between the wall and the material is higher than the internal friction angle of the glass spheres when coarse sandpaper is used and is also higher for gravel when fine and coarse sandpapers are used.

In the Seditest model, the filling time per test was 2 min. After filling the column, the vertical stress was measured for 10 min, during which no changes of vertical stress measurement were observed. A total of 6 combinations of wall and granular materials were used, each combination was repeated 3 times for a total of 18 tests.

### 3.2. Stress data

The stress data reported in Castro,<sup>4</sup> Orellana,<sup>74</sup> Castro et al.<sup>7</sup> and Seditest are shown in Table 4. Here the mean vertical stress measured in the static condition (i.e. before flow) is presented.

In the Seditest model, the vertical stress was also measured during filling at different heights. A Janssen effect was observed in all tests. Fig. 7 shows the results of the glass spheres and gravel for all wall materials. The vertical stress measured on glass spheres increased during filling. The effect of the wall roughness is observed between the paperboard and coarse sandpaper material wall. The results of the fine sandpaper showed a high variability; however, the mean stress values fall between the other wall materials. The gravel showed lower vertical stress than glass spheres. The gravel and glass spheres have a similar bulk density (970 and 1150 kg/m<sup>3</sup>, respectively), but the glass spheres have lower friction wall angles, which could explain why glass spheres demonstrate higher vertical stress.

### 3.3. Stress model calibration

The physical models presented in section 3.1 were simulated numerically to calibrate the stress model. The parameter  $E$  (Eq. (2)) was calibrated through the mean vertical stress reported whereas the parameter  $s$  (Eq. (4)) was calibrated through the standard deviation of the vertical stress reported. The mean square error was minimized to calibrate both parameters ( $E$  and  $s$ ) between the vertical stress from experiments and numerical simulations, using Eq. (6).<sup>78</sup>

$$\min \sum_{j=1}^m (\sigma_{v,exp} - \sigma_{v,sim})^2 \quad (6)$$

Here,  $\sigma_{v,exp}$  is the experimental mean vertical stress, and  $\sigma_{v,sim}$  is the simulated mean vertical stress in the CA model. Ten simulations were run per test as shown in Table 4. The cell size used is 2x2x2 cm<sup>3</sup> in all tests except in test 1,<sup>4</sup> in which the cell size used was 10x10 × 10 cm<sup>3</sup>. The simulation time for the static condition is less than 1 min and approximately 5 min for the draw condition (varying depending on the draw scenario).

First the block model for each test is created which includes the model size and the cell properties (such as cell ID, cell state, cell density,  $d_{50}$ ). Then, the stresses are calculated in the static condition using the cell densities and equations (1)–(4), in which the equations' parameters are calibrated with experimental data. In the flow condition, gravity flow is simulated first, and then the stresses are calculated as mentioned above.



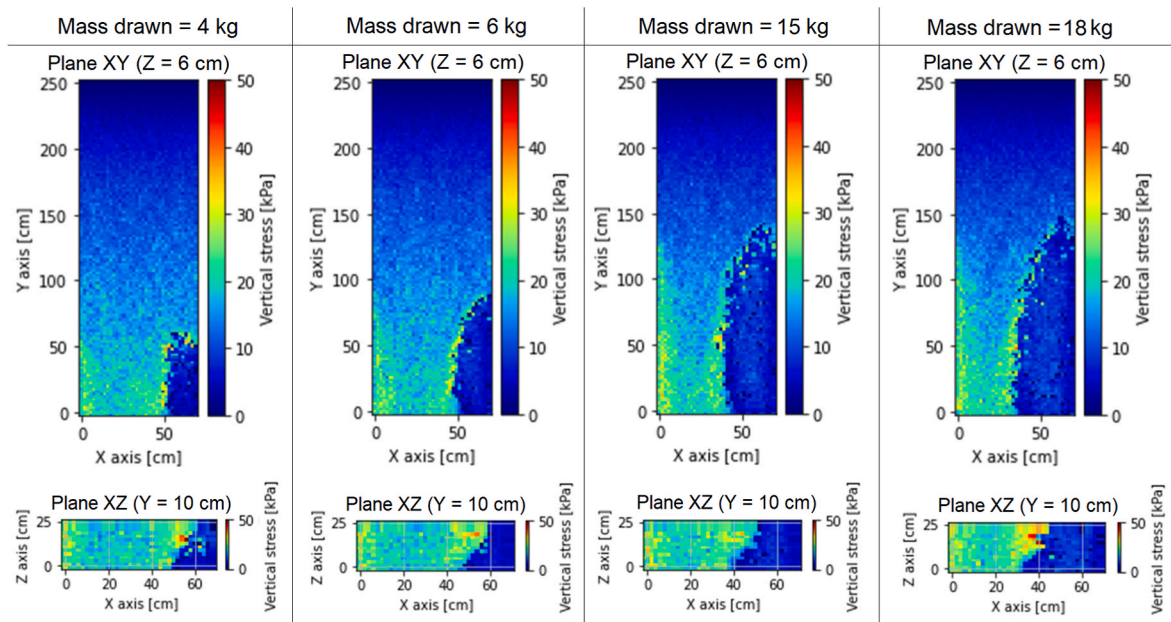


Fig. 15. Vertical stress simulation for panel caving draw strategy.

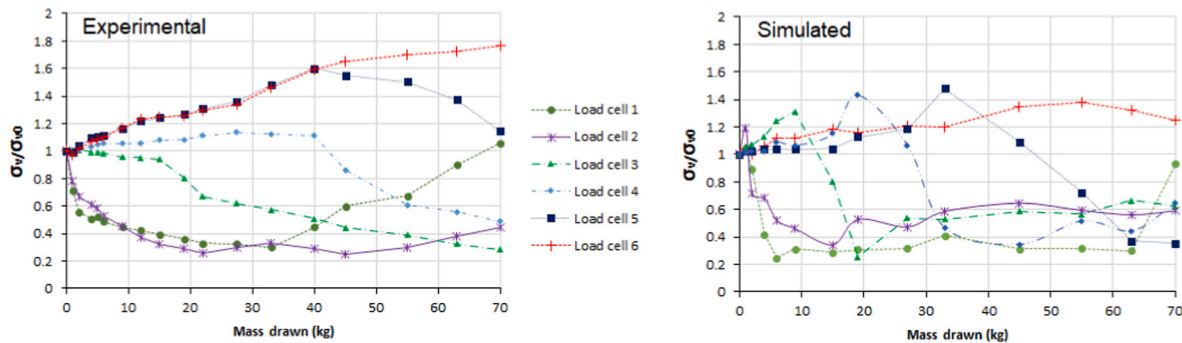


Fig. 16. Experimental and numerical simulation of Panel Caving draw scenario.

## 4. Results

### 4.1. Before flow: static calibration

Table 5 shows the experimental and simulated results, as well as the calibrated parameters  $E$  and  $s$ . Here, the parameter  $s$  was modeled using a normal distribution function (Eq. (7);<sup>79</sup>) to obtain the experimental variability of vertical stress. The parameter  $E$  increased with the height of the model ( $H$ ), decreasing its effect as indicated in section 2.1.

$$p(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{1}{2}\left(\frac{x-\mu}{\sigma}\right)^2\right) \quad \forall x \in \mathbb{R} \quad (7)$$

In this Eq. (7),  $\mu$  is the mean and  $\sigma$  is the deviation, commonly denoted by  $N(\mu, \sigma)$ .

Fig. 8 shows the results of the three models (Test 1, 2 and 3) with the calibrated parameters in static condition. The simulated vertical stress increased with depth ( $Y$  axis) under a stochastic behavior between cells. In the plan views of Fig. 8 (Plane  $XY$ ), high vertical stress variability is observed as was expected from experimental results.

Fig. 9 shows the numerical calibration of the Seditest. The  $E$  parameter also depends on wall friction at least for a small hydraulic radius. This effect can be observed in Fig. 10, where  $E$  is related to geometrical parameters ( $Rh$  and  $H$ ) for gravel and ore materials, which have similar friction angles ( $37.9^\circ - 39^\circ$ ).

The parameter  $E$  increases asymptotically to 100% when  $Rh$  and  $H$

increased in the geometries modeled. In Fig. 10, the wall friction influenced  $E$  (Test 7, 8 and 9), decreasing  $E$  when the wall friction increased. The different  $Rh^*H$  of the Seditest were obtained based on different granular material heights during filling.

### 4.2. During flow: isolated movement zone calibration

In this section, the parameters  $E_{min}$  and  $R$  are calibrated as described in Section 2.2. These parameters are calibrated based on Test 1 reported in Castro et al.,<sup>7</sup> where isolated extraction was simulated. The calibrated parameters are determined minimizing the error between simulated and experimental vertical stresses (Eq. (6)), using the parameter  $E = 98.95\%$  previously determined. Fig. 11 shows the physical experiment used, and the calibration of the flow zone geometry (diameter and height) simulated in the gravity flow simulator.

The calibrated model of vertical stresses is presented in Fig. 12. Here the growing of the isolated extraction zone (IMZ) can be observed due to ore extraction from the base of the model. The vertical stress decreased in the movement zone (blue ellipse). On the other hand, vertical stress concentrations are observed in the boundary of the IMZ implying more weight transmission to stagnant zones.

This calibration allows the evolution of  $E_{min}$  to be determined as function of the height of MZ (Fig. 13) of this setup. The calibrated parameter  $R$  is 0.29. Then, it is possible to evaluate the stress logic under different draw conditions using this experimental setup. In Castro et al.<sup>7</sup>

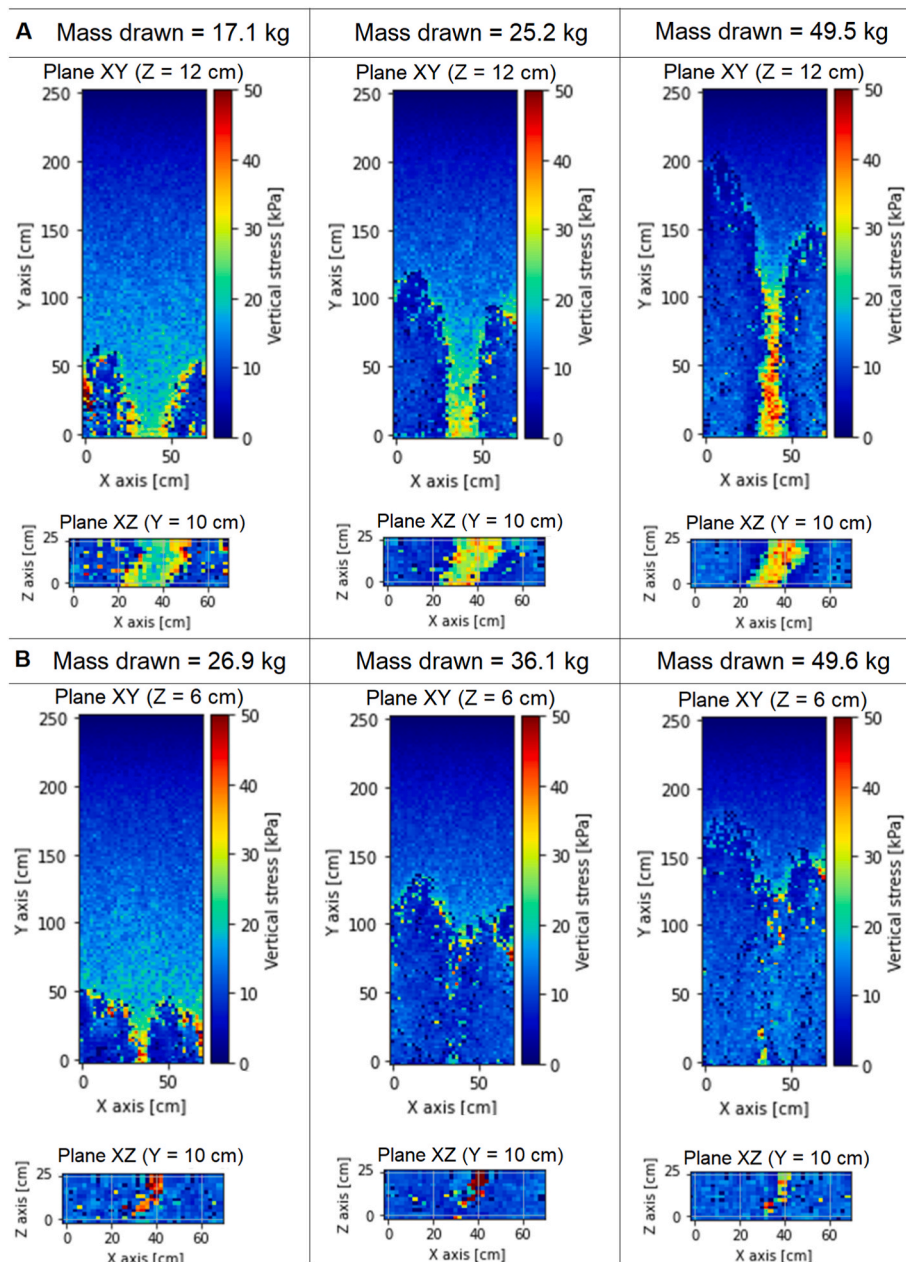


Fig. 17. Vertical stress simulation of Block Caving draw strategy. A: 30 cm of spacing and B: 15 cm of spacing.

different flow scenarios were reported that can be simulated with the calibrated model,  $E = 98.95\%$ ,  $R = 0.29$  and  $E_{min}$  based on Fig. 13.

### 5. Experimental validation

Three flow setups were simulated numerically using the stress model, applying the parameters ( $E$ ,  $s$ ,  $E_{min}$  and  $R$ ) calibrated in Section 4. These flow experiments included a Panel Caving draw, a Block Caving draw with non-flow zone of 30 cm (spacing between drawpoints), and a Block Caving draw with a non-flow zone of 15 cm, reported in Ref. 7. In the Panel Caving draw, the granular material was extracted continuously from different drawpoints (Fig. 14A). In the Block Caving draw with a non-flow zone (stagnant pillar) of 30 cm, the material was drawn from side drawpoints while the central drawpoints were not extracted (Fig. 14B). In the Block Caving draw with a non-flow zone (stagnant pillar) of 15 cm, the material was also drawn from side drawpoints with a smaller non-flow zone (Fig. 14C).

In Fig. 14, the movement zones generated are indicated in yellow. These zones grow continuously due to ore extraction. The width of the movement zone is denoted by  $W_z$ .  $W_i$  is the width of the IMZ,  $H_i$  the height of the IMZ, and  $W_r$  is the stagnant pillar width. In these experiments, the vertical stresses were measured over the drawbells.

The simulated result of the Panel Caving scenario is presented in Fig. 15. Lower vertical stress is observed (blue color) in the cells located at the top of the model and in the movement zone, while higher stress concentration can be observed in the stagnant zone.

The mean vertical stress is compared between experimental and numerical simulations to analyze the stress model. The mean vertical stress in the numerical model was determined based on five replications per test. The vertical stress in the physical experiments was measured using load cells. The same location of the load cell was used to measure the vertical stress in the numerical model. Fig. 16 shows the experimental and numerical results through the ratio between the vertical stress of each cell at this moment of mass draw ( $\sigma_v$ ) and the initial

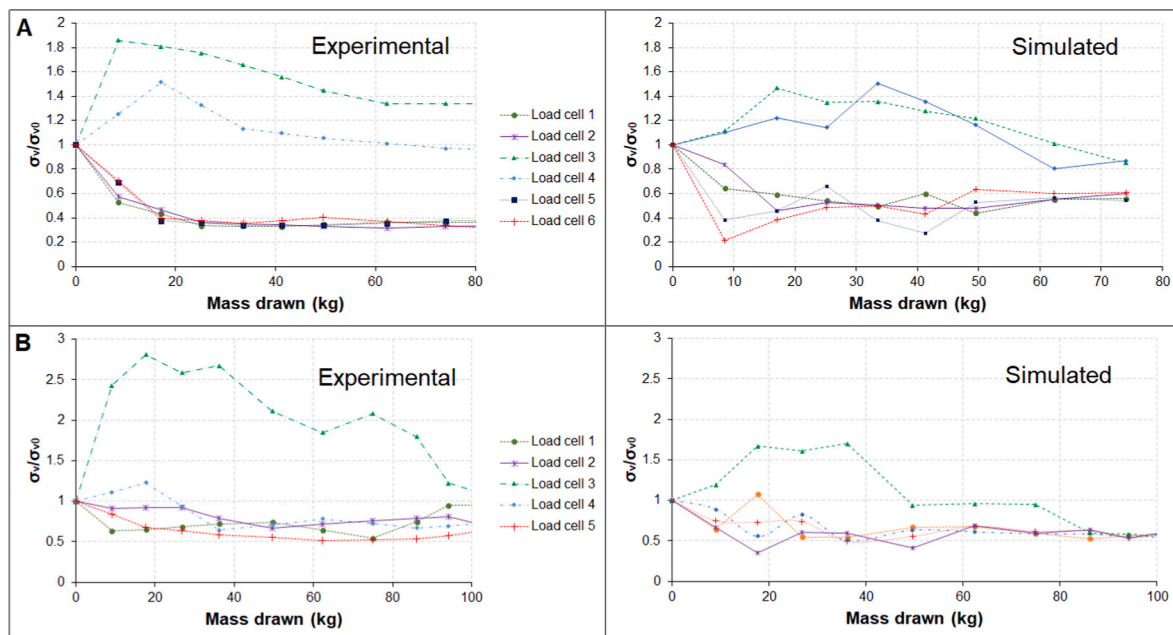


Fig. 18. Experimental and numerical simulation of Block Caving draw scenarios. A: 30 cm of spacing and B: 15 cm of spacing.

vertical stress ( $\sigma_{v0}$ ), for six load cells in the Panel Caving scenario. Here, the stress in the movement zone quickly decreased due to draw (load cells 1 and 2) in both the experimental and simulated models. Similarly, the vertical stress is decreased within the movement zone, and this is reflected when the movement zone reaches load cells 3, 4, and 5. On the other hand, the vertical stress is increased in the stagnant zone, until that zone is transformed into a movement zone. This last behavior has also been reported in DEM simulations.<sup>19,20</sup>

Fig. 17 shows the vertical stress simulated in Block Caving scenarios with a stagnant pillar in the center. The vertical stresses in the movement zones (both sides) decreased during draw, similar to with the Panel Caving draw. On the other hand, in the stagnant zone, the vertical stress increased due to the weight transferred from the movement zones (by parameter  $R$ ). This weight was transferred to the stagnant zone and continued to the bottom of the model. There are higher stress concentrations in a smaller area when a non-flow zone of 15 cm is utilized (Fig. 17B; Plane XZ: mass draw 26.9 and 36.1 kg). Then, the vertical stress in this zone decreased because the cells are reached by the movement zone (Fig. 17; mass draw 49.6 kg).

Fig. 18 shows the experimental and numerical results using the ratio between the vertical stress at the moment of draw and the initial vertical stress of the Block Caving scenarios. Here, six load cells were used in the experiment with a stagnant pillar of 30 cm, while five load cells were used in the experiment with 15 cm. In the Block Caving scenario with 30 cm of non-flow zone (Fig. 18A), there are two load cells located in the stagnant zone: load cells 3 and 4. These load cells showed higher vertical stress at draw in comparison to their initial vertical stress in both the numerical and experimental models. Likewise, in the Block Caving scenario with 15 cm of non-flow zone (Fig. 18B) — with only one load cell located in the stagnant zone: load cell 3 — this load cell had higher vertical stress at draw compared with its initial vertical stress in the experiment and also in the numerical model. The simulated vertical stress in the stagnant zones of both scenarios were of a smaller magnitude than what was experimentally measured. On the other hand, the vertical stress of the movement zones of the experiments and simulations is similar, decreasing due to draw.

The mean errors between the experimental and simulated vertical stress are 1.53 kPa, 2.16 kPa and 5.18 kPa, respectively. These errors are assumed to be acceptable by the authors because of the natural stress variability in granular media and the experimental variability (5.58

kPa). The Block Caving draw scenario with a non-flow zone of 15 cm showed the highest error mainly due to the differences in load cell 3. In that scenario, load cell 3 did not simulate high vertical stress probably because the experiment used to calibrate the parameter  $R$  did not demonstrate stress concentration in the stagnant zone. However, the stress model can replicate vertical stress concentrations in the stagnant zones and — with even more accuracy — stress relaxation in the movement zones.

## 6. Conclusions

In this work, a stress model is presented to simulate vertical stress in granular materials using a CA. The CA used allows gravity flow to be simulated quickly and precisely in large scale models as required by cave mining. Then, the stress in static and dynamic flow conditions can be simulated at large scale when modeled through a gravity flow simulator based on CA. The proposed stress model was calibrated with different experiments at laboratory scale in which a high level of accuracy was observed for different material media and model geometries in static conditions. The parameter  $E$  is constant for the same type of material, problem geometry and mesh selected (cell sizes). However, this parameter increased when the height of the model is increased as in cave mining during caving propagation. The stress model also simulated flow conditions through the parameters  $R$  and  $E_{min}$ . These parameters were calibrated and compared under different flow scenarios, showing good results based on the expected variability of the experimental setup used. Then, our vertical stress model with the flow simulator based on CA has the potential to be applied at block caving scale once the model parameters are defined.

## Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Raul Castro reports financial support was provided by Comisión Nacional de Investigación Científica y Tecnológica. Rene Gomez reports financial support was provided by Comisión Nacional de Investigación Científica y Tecnológica.

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